



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Kentucky Lock Addition Downstream Middle Wall Monolith Design***

**Scott A. Wheeler, P.E.  
Huntington District**

**2005 Tri-Service Infrastructure  
Systems Conference**

**2-4 August 2005**



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Presentation Outline***

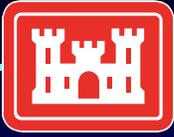
- ◆ **Project Overview**
- ◆ **Downstream Float-In Cofferdam**
- ◆ **Monolith Design**
  - **Seismic Criteria**
  - **Stability Analysis**
  - **Thermal Considerations**
- ◆ **Construction Issues**



**US Army Corps  
of Engineers**  
Huntington District

*One Corps, One Regiment, One Team*

# ***Project Overview***



One Corps, One Regiment, One Team

US Army Corps  
of Engineers  
Huntington District

# Kentucky Lock Location





US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Project Components***

- ◆ **New 110'x1200' Lock Landward of Existing 110'x600' Lock**
- ◆ **Relocations of KY Hwy 62, P&L Railway, TVA Powerhouse Access, and TVA Transmission Towers**



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Project Schedule & Cost***

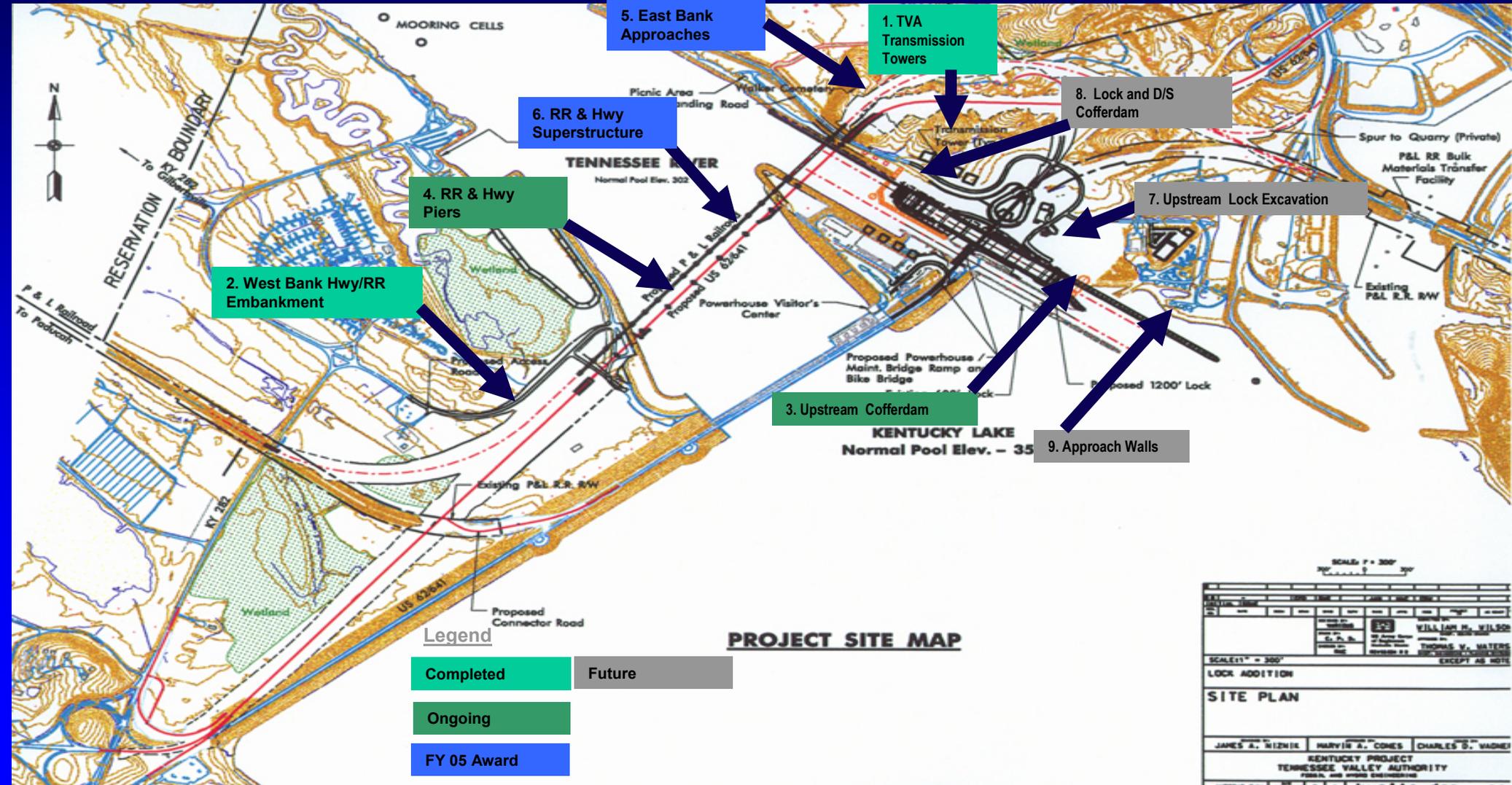
- ◆ **Construction began FY98**
- ◆ **Lock and DS Cofferdam Construction from FY08 through FY18 or beyond based on current funding stream**
- ◆ **Total project costs are currently \$639M**



**US Army Corps  
of Engineers**  
Huntington District

*One Corps, One Regiment, One Team*

# Construction Contracts





**US Army Corps  
of Engineers**  
Huntington District

*One Corps, One Regiment, One Team*

# ***Existing Project Site***





US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Pool, Lock and Cofferdam Elevations***

## ***Pool Levels***

- **Headwater:**

Minimum Normal	Elev. 354
Maximum	Elev. 375
- **Tailwater:**

Minimum	Elev. 300
Maximum Design	Elev. 344

## ***Lock Elevations***

- **Chamber:**

Top of Wall	Elev. 382
Lock Sills	Upper Elev. 335
	Lower Elev. 285
- **Approach Walls:**

Upper	Elev. Varies (Floating)
Lower	Elev. 345

## ***Cofferdams***

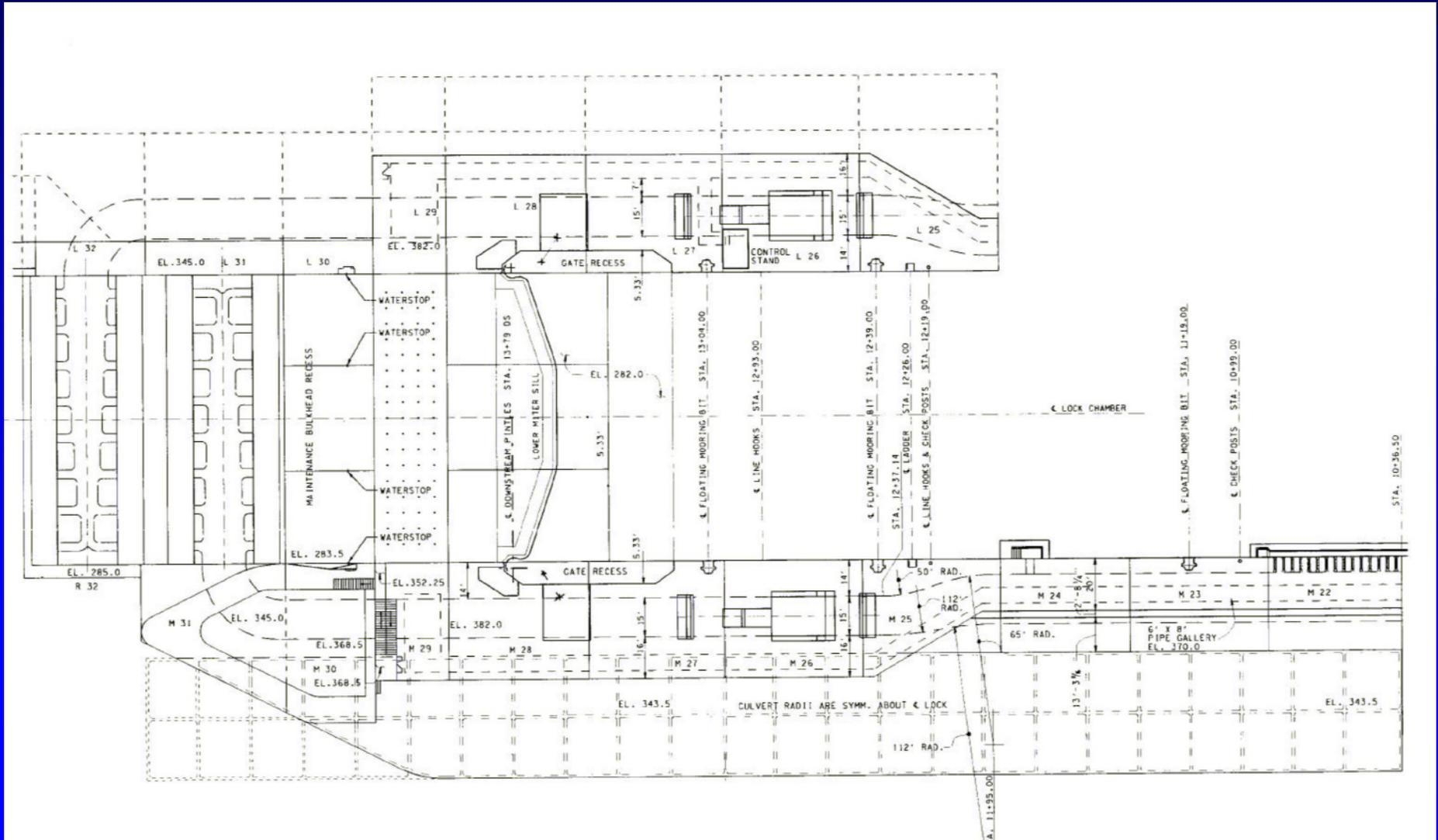
- **Upper Cofferdam:** Top of Protection Elev. 375 (Top of Spillway Gates)
- **Lower Cofferdam:** Top of Protection Elev. 343.5 (25 Year Frequency)



One Corps, One Regiment, One Team

US Army Corps  
of Engineers  
Huntington District

# Downstream Monoliths





US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

## ***PDT Members***

- ◆ **TVA – Owner**
- ◆ **Nashville District – Lock O&M and Project Management**
- ◆ **Bergmann Associates, et. al. – Downstream Cofferdam Design**
- ◆ **Huntington District – Design of DS Monoliths and Sills**
- ◆ **Many others involved in the overall design of the project**



**US Army Corps  
of Engineers**  
Huntington District

*One Corps, One Regiment, One Team*

# ***Downstream Cofferdam***



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

## ***Design***

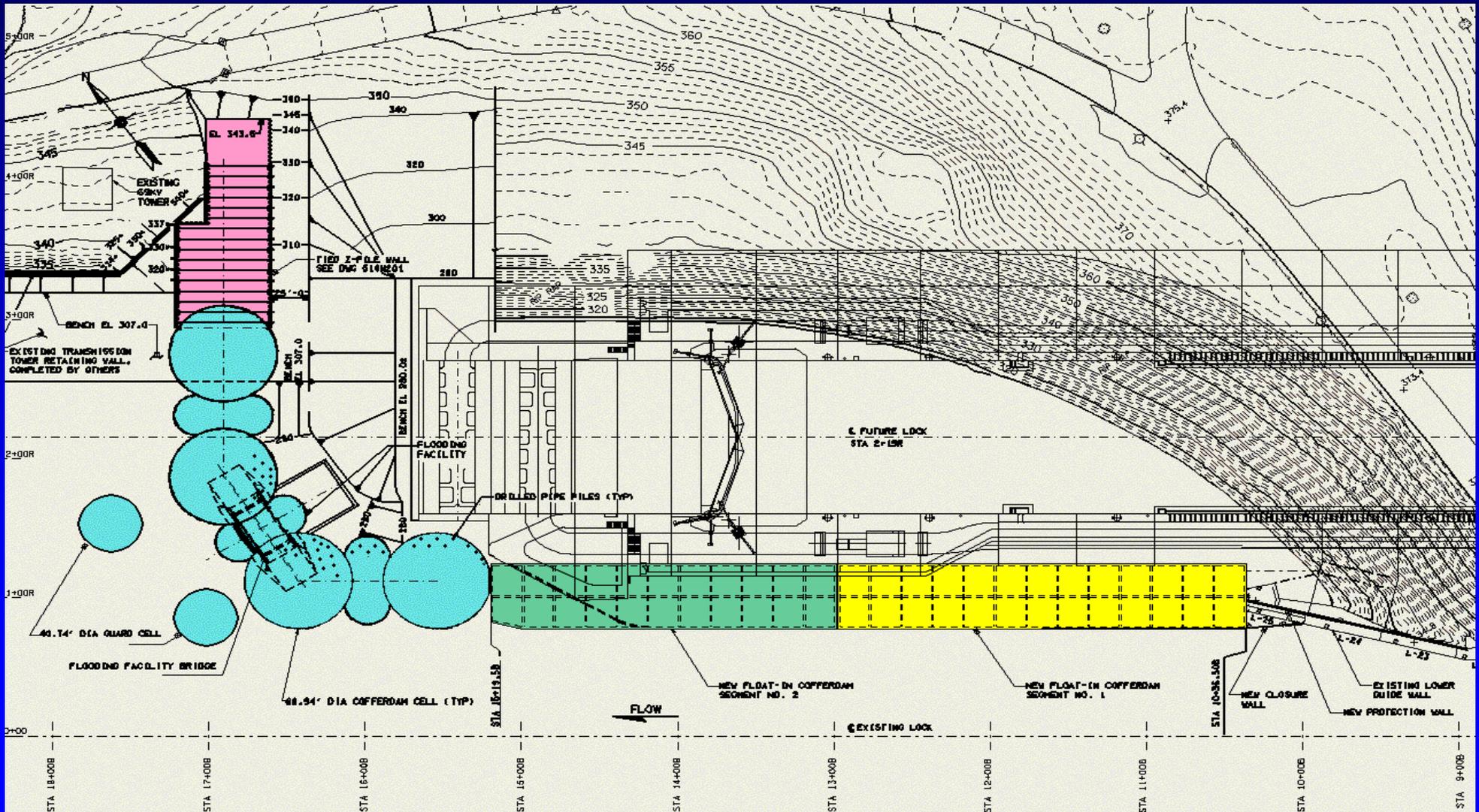
- ◆ **Design of the Downstream Cofferdam was contracted to a joint venture of Bergmann Associates and Ben C. Gerwick with D'Appolonia Engineering**
- ◆ **Design completed in FY03**
- ◆ **Combination of precast concrete float-in with tremie and cast-in-place in-fill, conventional sheet pile cellular, and tied Z-pile structures**



One Corps, One Regiment, One Team

US Army Corps  
of Engineers  
Huntington District

# DS Cofferdam Plan

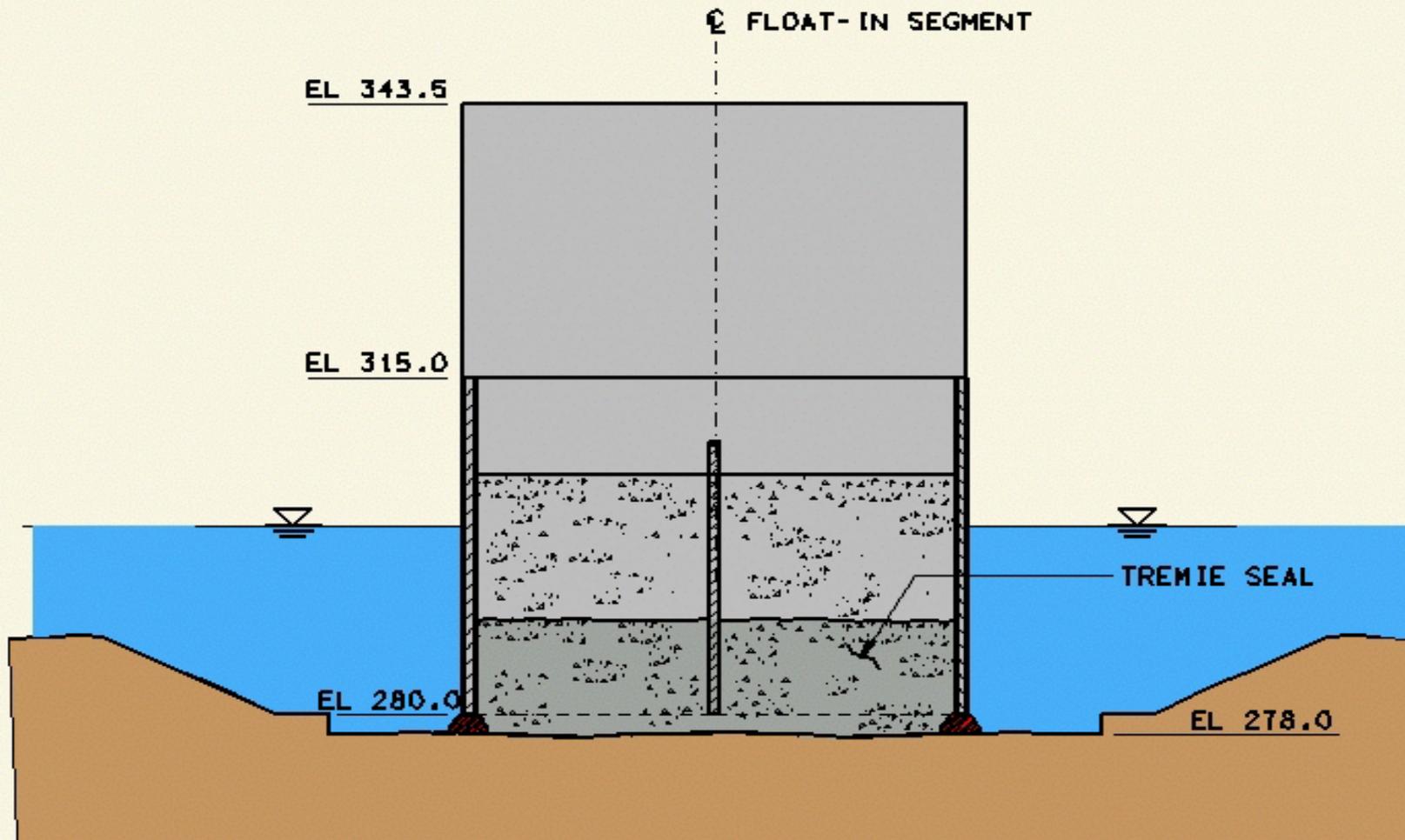




US Army Corps  
of Engineers  
Huntington District

One Corps, One Regiment, One Team

# Typical Cofferdam Section





US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Monolith Design***

- ◆ **Seismic Criteria**
- ◆ Stability Analysis
- ◆ Thermal Considerations



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Seismic Criteria***

## ◆ **Two sets of criteria for design**

- TVA – “Federal Guidelines for Earthquake Analyses and Design of Dams” and USCOLD
- USACE – Earthquake Design and Evaluation for Civil Works Projects, ER 1110-2-1806



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# **Seismic Criteria**

## ◆ **TVA Criteria**

- Probabilistic Approach for MCE – 10,000 year event
- MDE = MCE
- OBE =  $\frac{1}{2}$  MDE
- Only Reservoir Retaining Structures to be designed to the MDE



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Seismic Criteria***

## ◆ **USACE Criteria**

- Deterministic Approach for MCE
- MDE/OBE based on Hazard Potential Classification – High, Significant, or Low
- High Hazard –  $MDE = MCE$
- Significant & Low –  $MDE < MCE$



US Army Corps  
of Engineers  
Huntington District

One Corps, One Regiment, One Team

# Seismic Criteria

Peak Horizontal Accelerations			
Agency	Hazard	MDE	OBE
TVA	Reservoir Ret.	0.25g	0.12g
USACE	High	0.25g	0.12g
	Significant	0.13g	0.05g
	Low	0.10g	0.05g



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Seismic Criteria***

## ◆ **Downstream Monolith Hazard**

- **TVA – Non-Reservoir Retaining Structure**
  - Below Upstream Gates
  - Below Axis of Dam
- **USACE – Significant Hazard Classification**
  - Little or no potential for direct loss of life
  - Loss of a major public facility



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# **Seismic Criteria**

## ◆ **Load Cases to Consider**

- **OBE**
  - **TVA – 0.12g**
  - USACE – 0.05g
- **MDE**
  - TVA – N/A
  - **USACE – 0.13g**
- **Since MDE  $\approx$  OBE, only OBE was analyzed**



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Monolith Design***

- ◆ Seismic Criteria
- ◆ **Stability Analysis**
- ◆ Thermal Considerations



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Stability Analysis***

- ◆ 8 Load Cases Analyzed
- ◆ 3D Analysis on Miter Gate Monoliths
- ◆ 2D Analysis on Remaining Monoliths
- ◆ Monolith and Cofferdam treated as one structure
- ◆ Miter Gate Monolith and Monolith Immediately D/S analyzed as one Structure



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

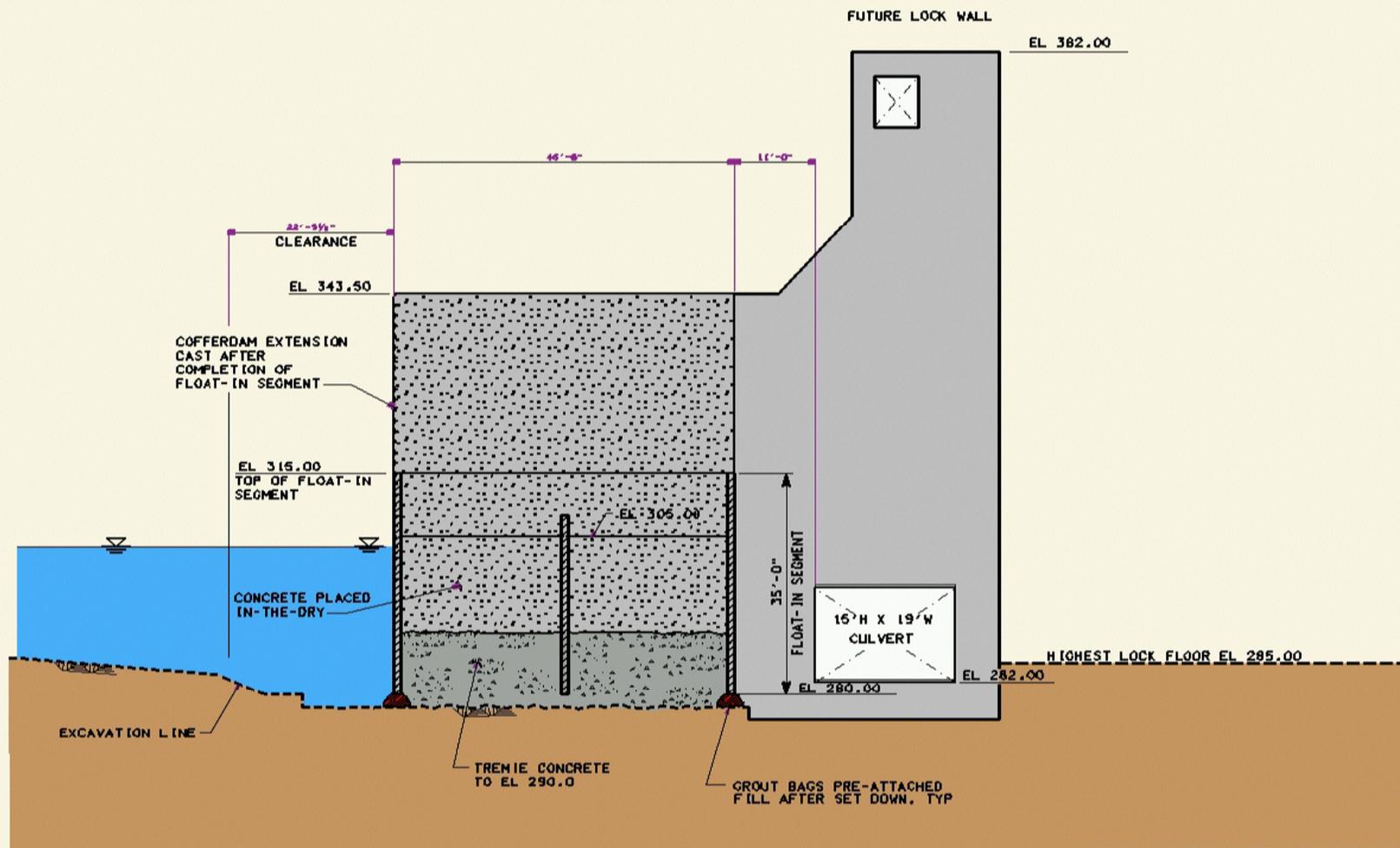
# ***Stability Analysis***

- ◆ **Monolith/Cofferdam Combined Analysis**
  - Required for some load cases
  - Tied together with #7 bars at 18" spacing vertically and horizontally
  - Ties checked against seismic load case



US Army Corps  
of Engineers  
Huntington District

# Stability Analysis



**STAGE 7: COMPLETE MONOLITH PLACEMENT**



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Stability Analysis***

## ◆ **Seismic Analysis of Ties**

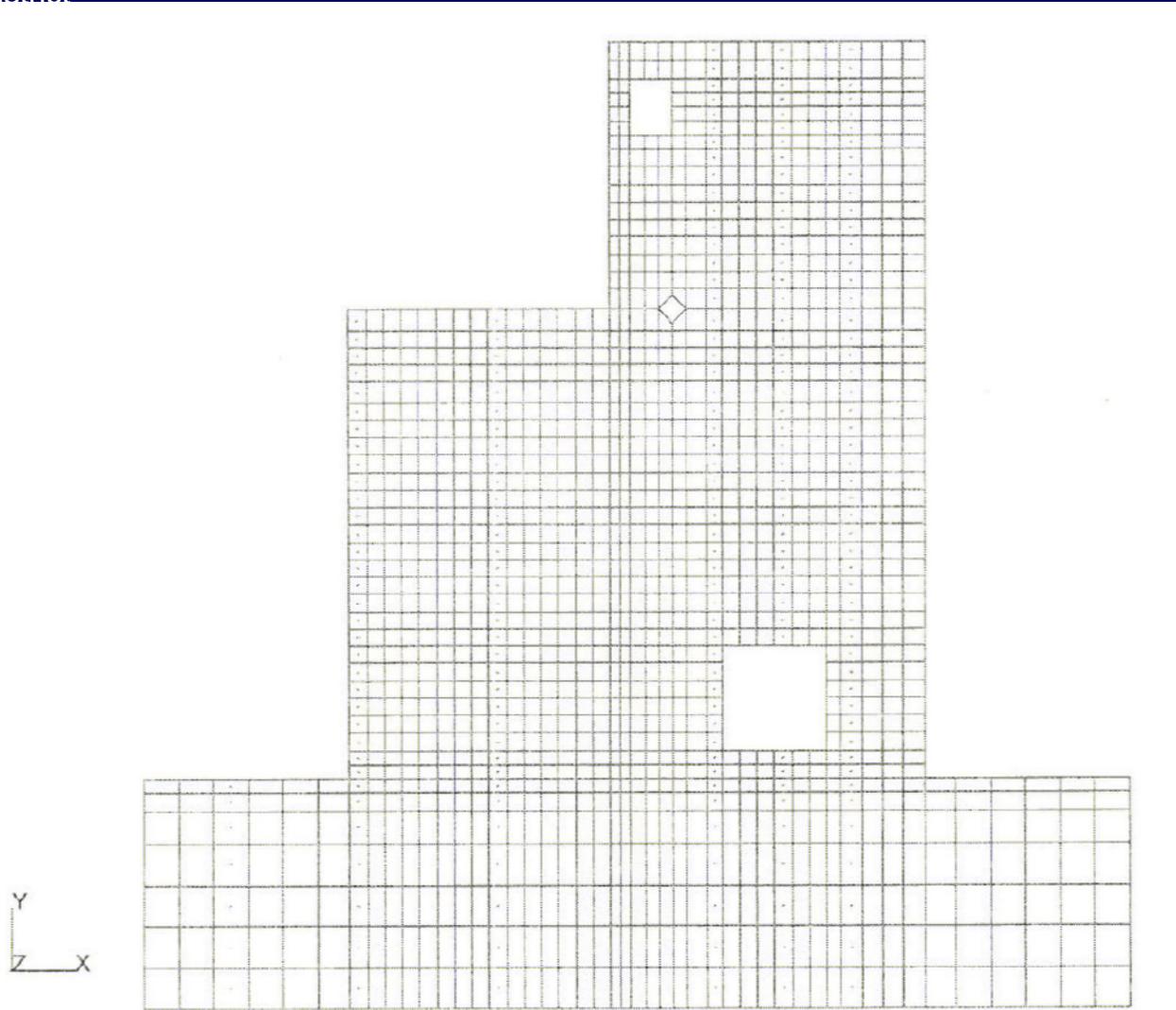
- 1<sup>st</sup> Attempt – Non-Linear Time History Analysis using GTSTRUDL
- Ties Modeled as Non-Linear Springs
- Non-Linear Gap Elements at Concrete-Rock Interface
- 1<sup>st</sup> Runs Took 15+ Days and Produced Useless Results
- Next Attempt Exceeded the Computer's Addressable Memory Space

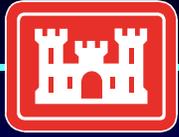


US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Stability Analysis***





US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Stability Analysis***

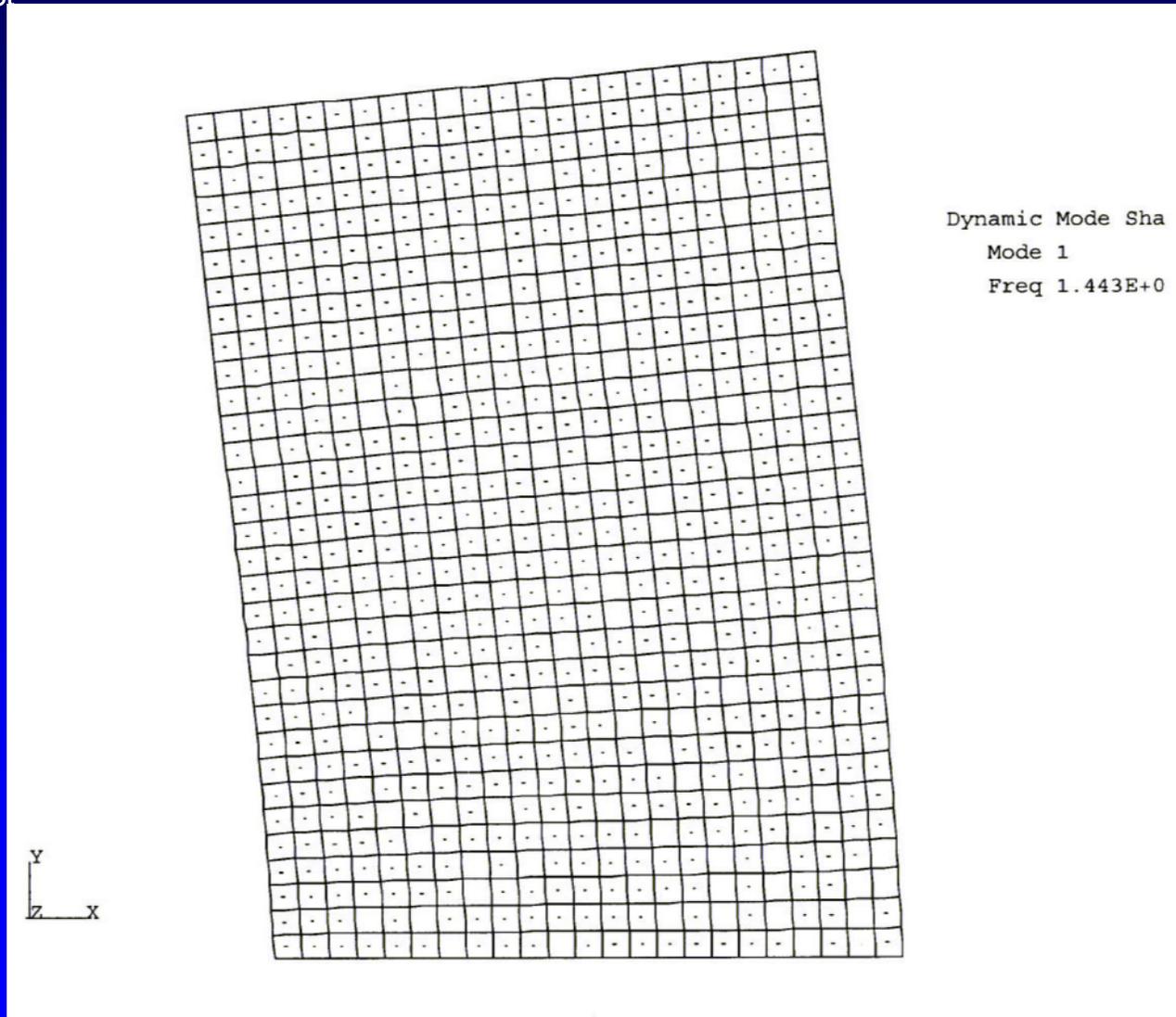
- ◆ **Seismic Analysis of Ties (Cont'd)**
  - Abandoned the Non-Linear Analysis
  - Response Spectrum Analysis of Individual Structures (Cofferdam and Monolith)
  - Modal Analysis using GTSTRUDL
  - Assumed Worst Case of Peak Response of Each Structure Occurring at Same Time and Completely Out of Phase
  - Results Gave a FS of About 3



US Army Corps  
of Engineers  
Huntington District

One Corps, One Regiment, One Team

# Stability Analysis

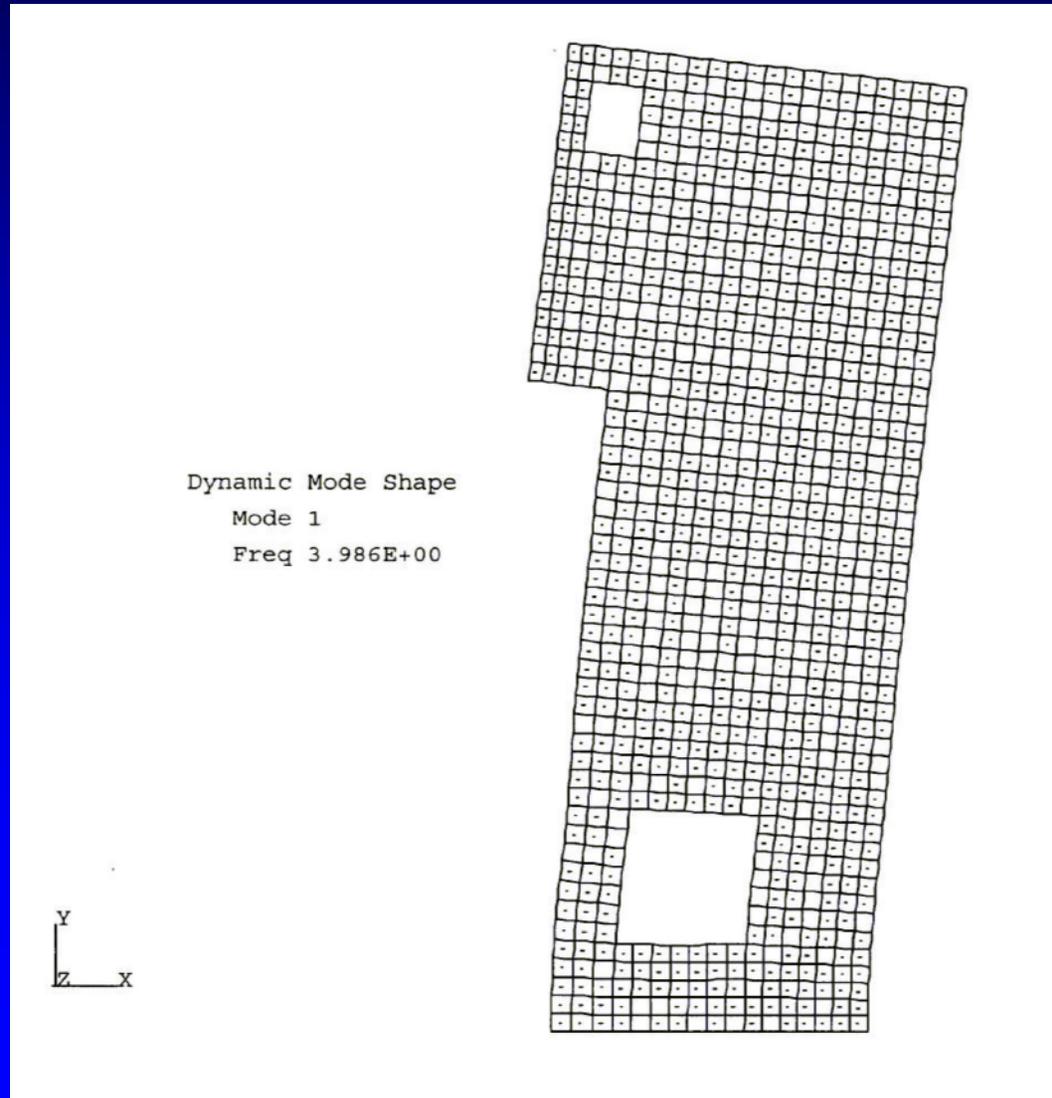




US Army Corps  
of Engineers  
Huntington District

One Corps, One Regiment, One Team

# Stability Analysis





US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Stability Analysis***

## ◆ **Miter Gate Monolith Design**

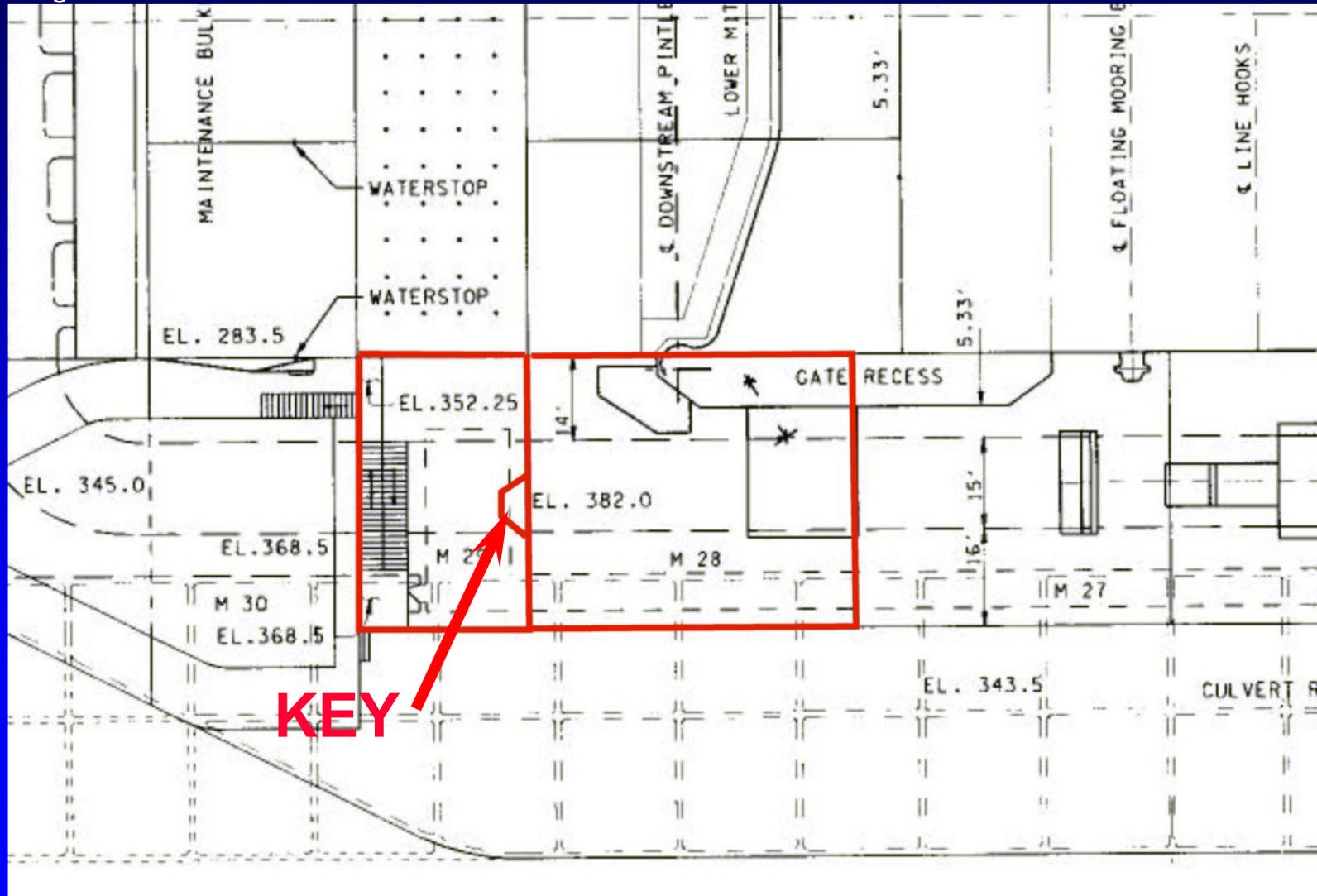
- Does Not Meet Criteria for Some Load Cases When Analyzed Alone
- Determined What Additional Force Required at D/S Joint to Meet Criteria
- Designed a Shear Key to Carry this Force
- Monolith Joint To Also Be Grouted

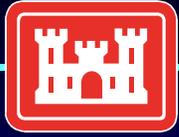


One Corps, One Regiment, One Team

US Army Corps  
of Engineers  
Huntington District

# Stability Analysis





US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Monolith Design***

- ◆ Seismic Criteria
- ◆ Stability Analysis
- ◆ **Thermal Considerations**



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Thermal Considerations***

- ◆ **Two Options to Deal with Thermal Loads**
  - Separate the Structures with Bond Breaker and/or Insulation and Model Just the Lock Concrete
  - Model the Combined Structure Accounting for the Heat Transfer and Restraint Provided by the Cofferdam
- ◆ **Second Option Required Based on Stability**
- ◆ **Thermal Analysis Performed by Black & Veatch**



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Thermal Considerations***

- ◆ **Parametric Studies to Determine Lift Heights and Placement Restrictions**
- ◆ **Thermal Cracking Analysis**
  - First Step Was to Model the Construction Sequence of the Cofferdam
    - 10' Tremie Placement
    - 5' Lifts Every 7 Days
  - Lock Construction Then Began on Day 365
    - Approximately 5' Lifts Every 5 Days





US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Thermal Considerations***

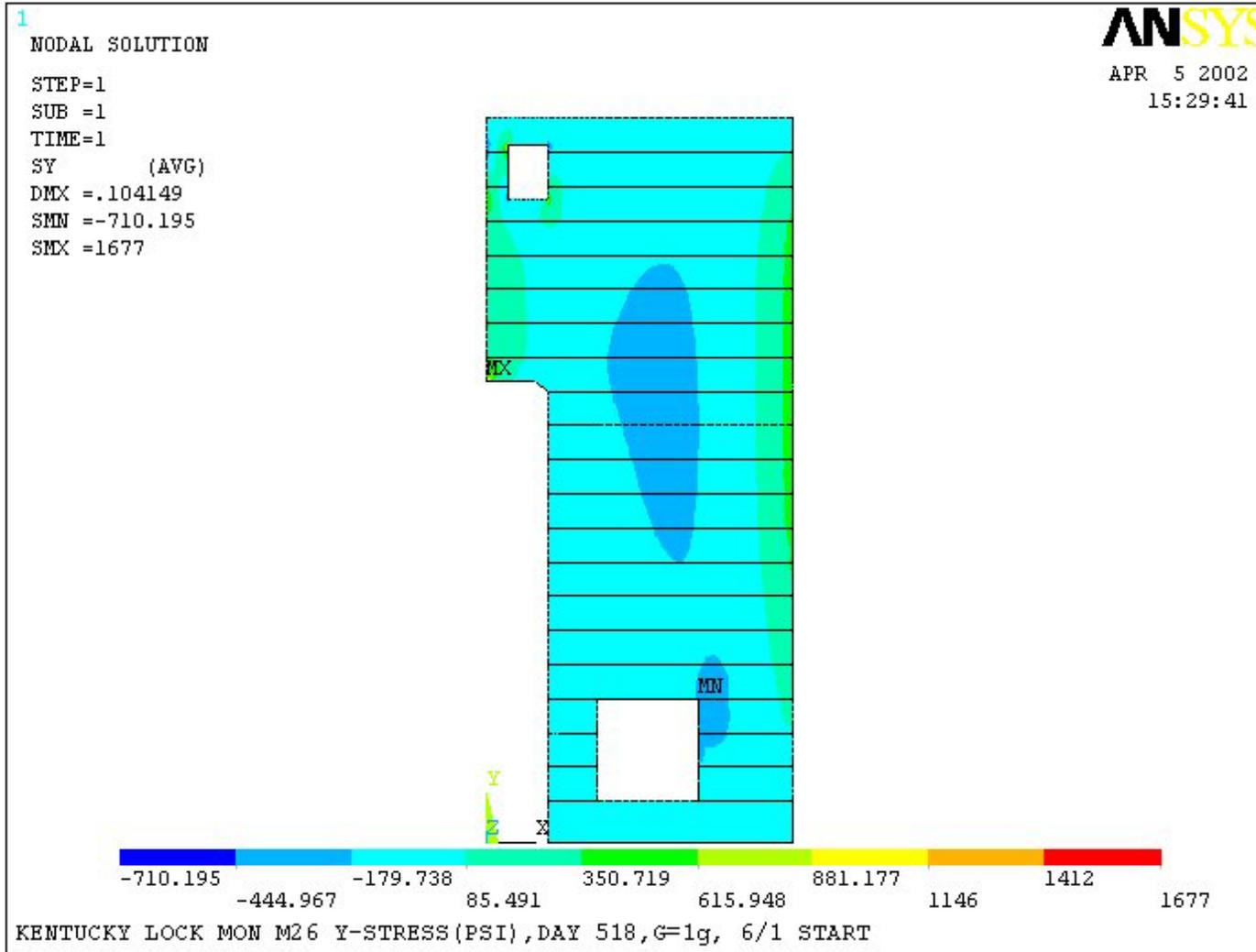
## ◆ **Thermal Analysis Results**

- Temperature and Shrinkage Steel Required
  - Around Culvert and Gallery
  - All Exposed Faces
  - Around the Chamfer at Top of Cofferdam
- Didn't Account for Longitudinal Restraint from Cofferdam
  - Provide T&S Steel at This Face, or
  - Create Joints in Cofferdam



US Army Corps  
of Engineers  
Huntington District

# Thermal Considerations

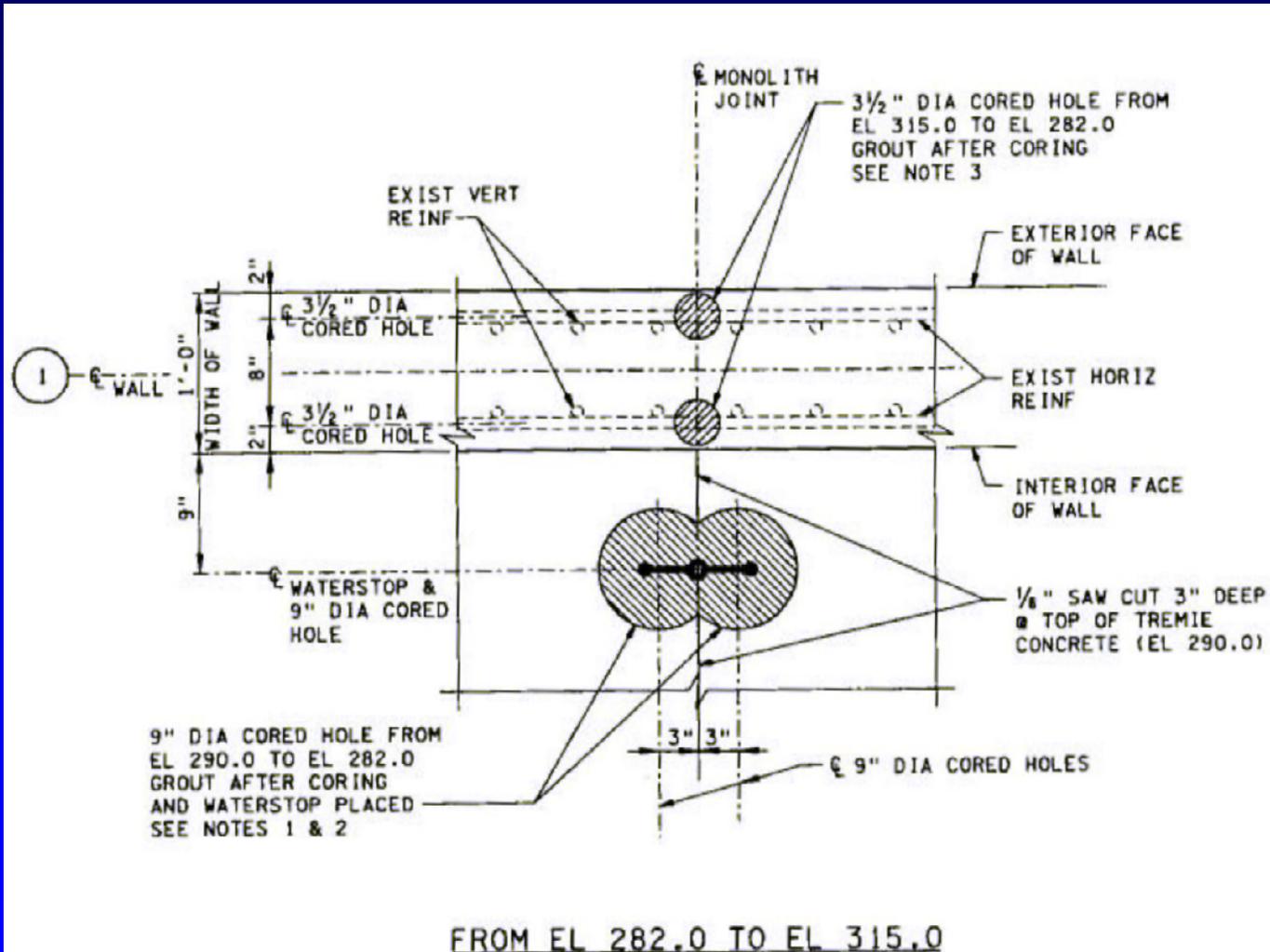




US Army Corps  
of Engineers  
Huntington District

One Corps, One Regiment, One Team

# Thermal Considerations





**US Army Corps  
of Engineers**  
Huntington District

*One Corps, One Regiment, One Team*

# ***Construction Issues***

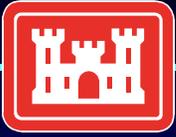


US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Construction Issues***

- ◆ **Primarily Related to Foundation**
  - Excavation Adjacent to Cofferdam – Founding Elevation of Lock 2' to 17' Below Cofferdam Foundation
  - Presence of Solution Channels – One Known Channel That May Extend to Below Upstream Corner of First Monolith



US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Construction Issues***





US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***Construction Issues***





US Army Corps  
of Engineers  
Huntington District

*One Corps, One Regiment, One Team*

# ***KY Lock Addition – D/S Middle Wall Monolith Design***

## ***Questions?***

***Scott A. Wheeler, P.E.***

***CELRH-EC-DS***

***502 8<sup>th</sup> St.***

***Huntington, WV 25701***

***304-399-5929***

***Scott.A.Wheeler@usace.army.mil***