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of Engineers**
Louisville District

**Geotechnical and
Dam Safety Section**

McAlpine Lock Replacement Project

Foundation Characteristics and Excavation

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**Presented to 2005 Tri-State Infrastructure
Systems Conference & Exposition
August 3, 2005**



Presentation Items:

- Project History and Regional Geology
- Construction Activity and Interactions with Site Geology
- Corrective Measures Required due to Construction Activity Problems
- Disputed Issues and Lessons Learned



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General Geologic Conditions at McAlpine



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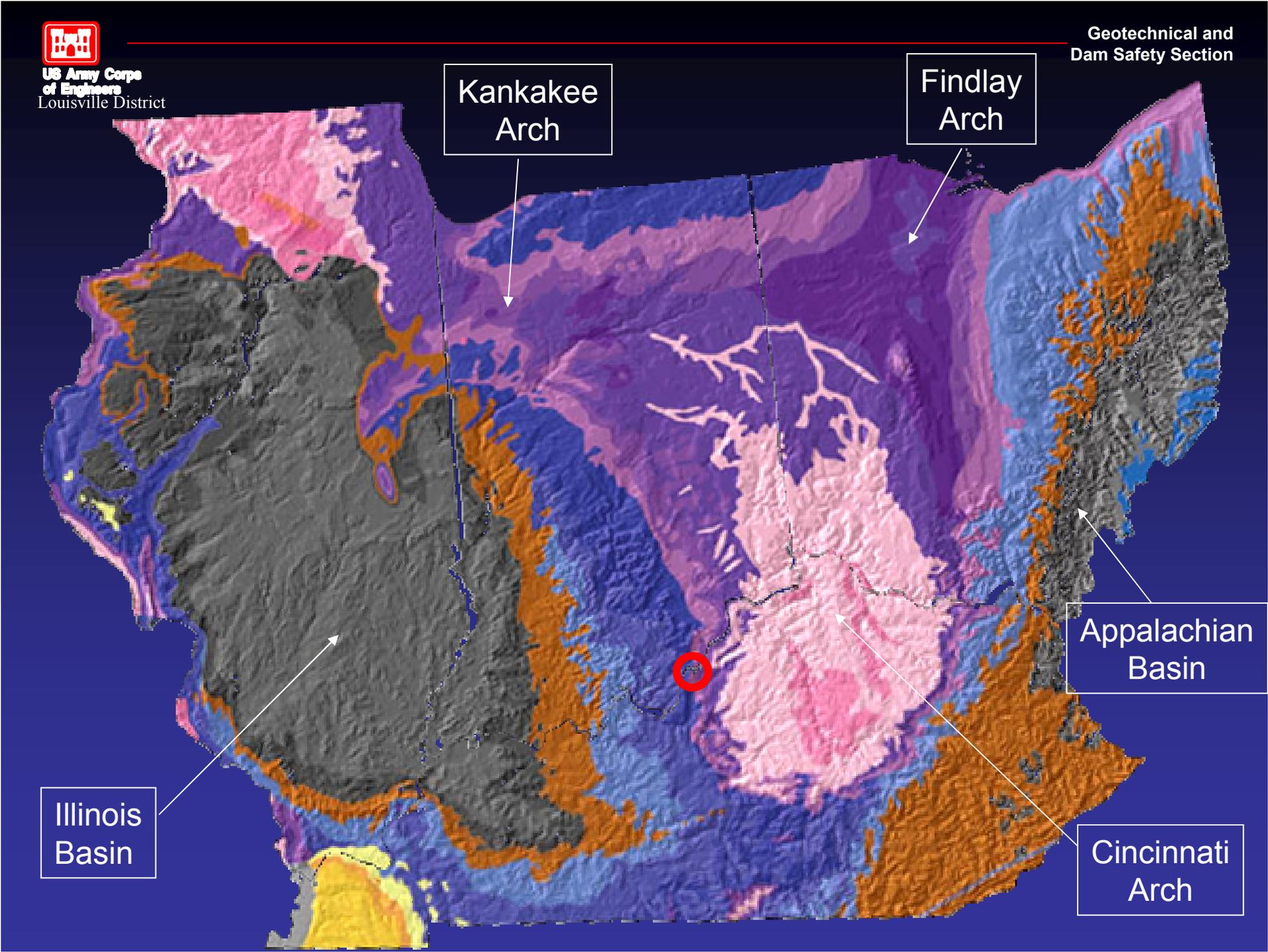
Kankakee
Arch

Findlay
Arch

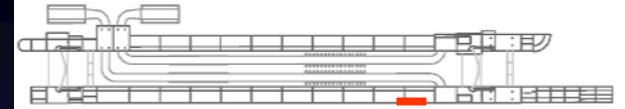
Appalachian
Basin

Cincinnati
Arch

Illinois
Basin



General Geology



New Albany
Shale

Beechwood
Limestone

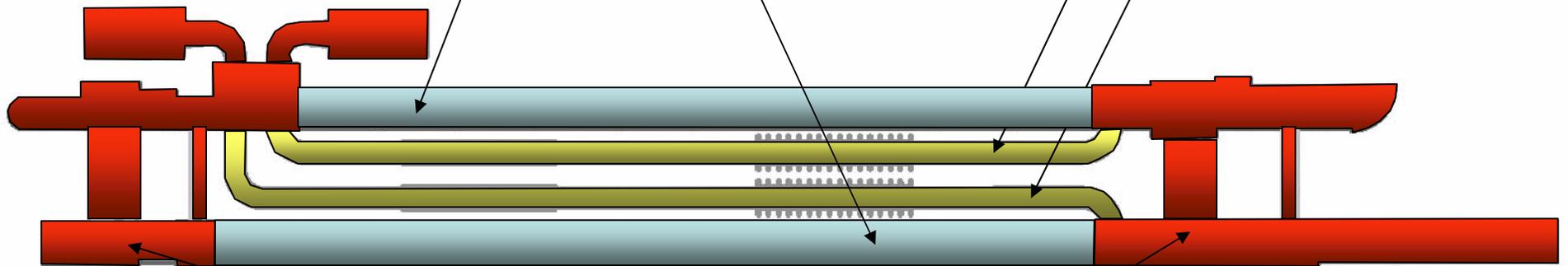
Silver Creek
Limestone

Jeffersonville
Limestone

General McAlpine Layout

Roller Compacted
Concrete (RCC) Monoliths

Lock Floor
Culverts



Conventional Concrete Monoliths



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Mechanical Rock Excavation



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Location of new lock extension



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Explosive Rock Excavation



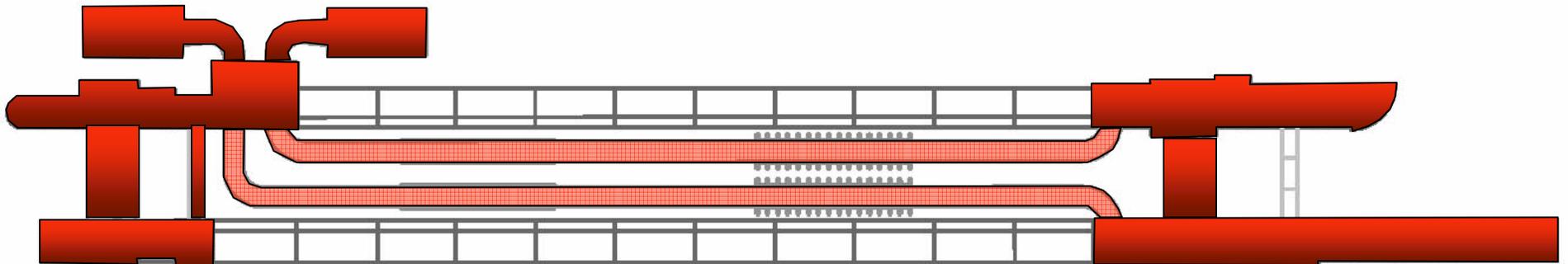
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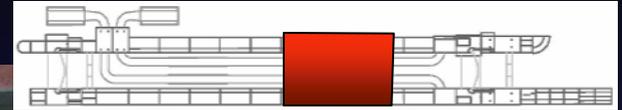
Excavations which penetrate into rock
anywhere from 5-feet to 35 feet,
requiring controlled blasting techniques.





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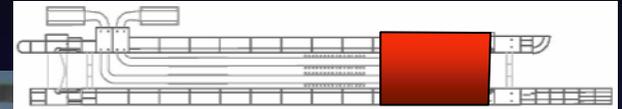
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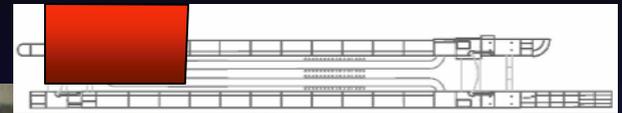
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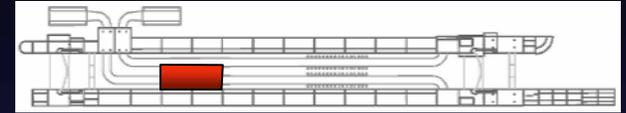
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Smooth-Wall Blasting Technique



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Sinking and production shots
made previous to perimeter shots



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Trim Blast

Buffer Holes

Trim Holes



As a consequence of choosing a method with no perimeter control, a diligent quality control (QC) program needs to be implemented.



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Without a diligent quality
control (QC) program, you
end up with...



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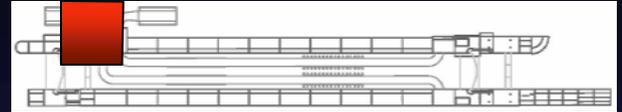
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Examples of back-break due to lack of perimeter control.



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Effects of back-break on foundation integrity

SM-17



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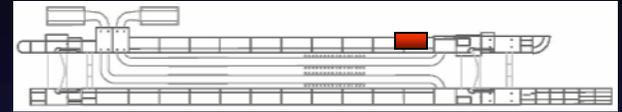
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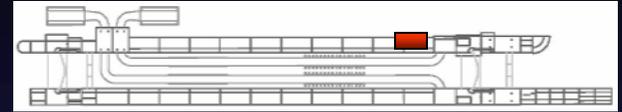
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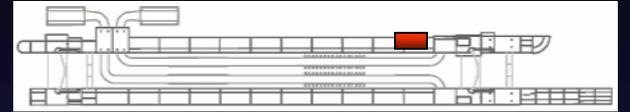
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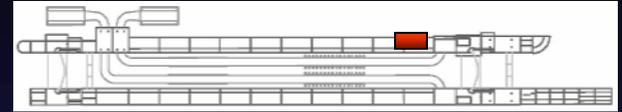
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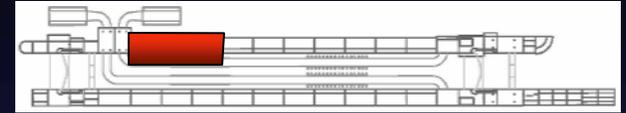
Effects of back-break on foundation integrity

SM-8 through SM-11



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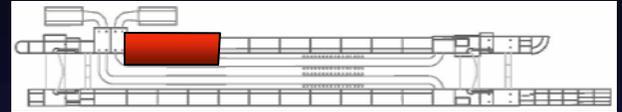
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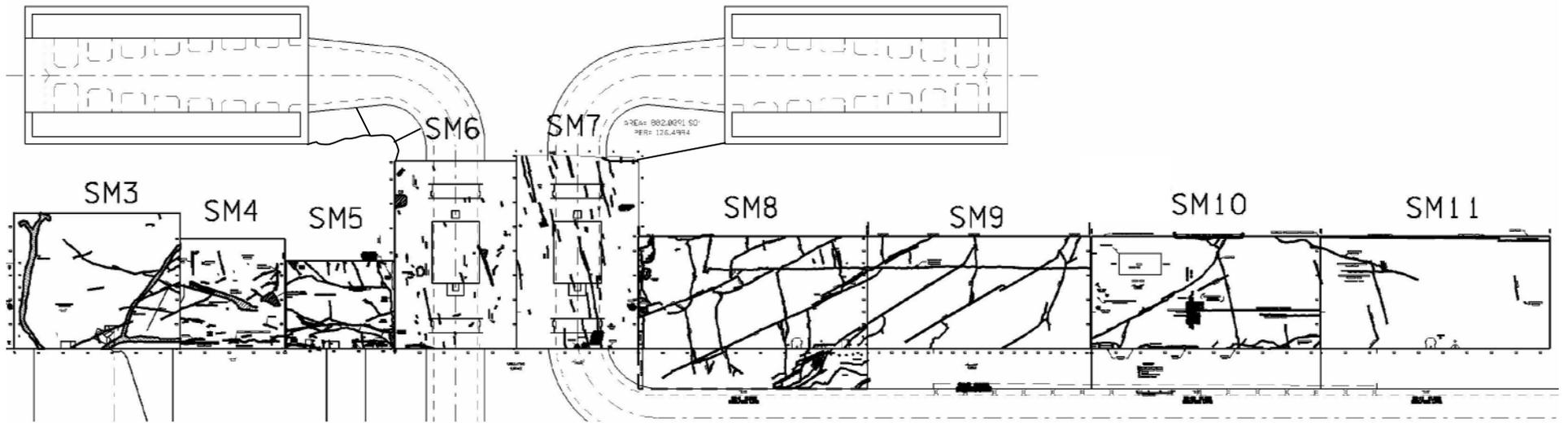


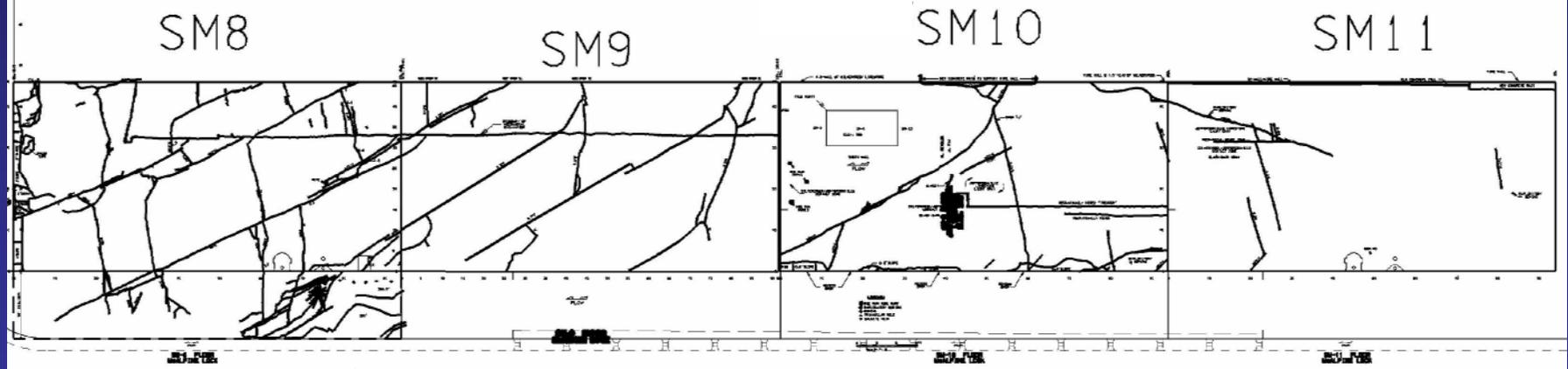


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North Culvert in Lock Floor



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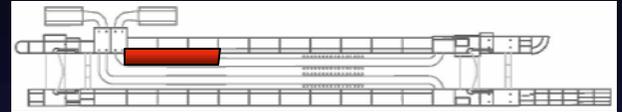


Offset boreholes observed in north face of north culvert



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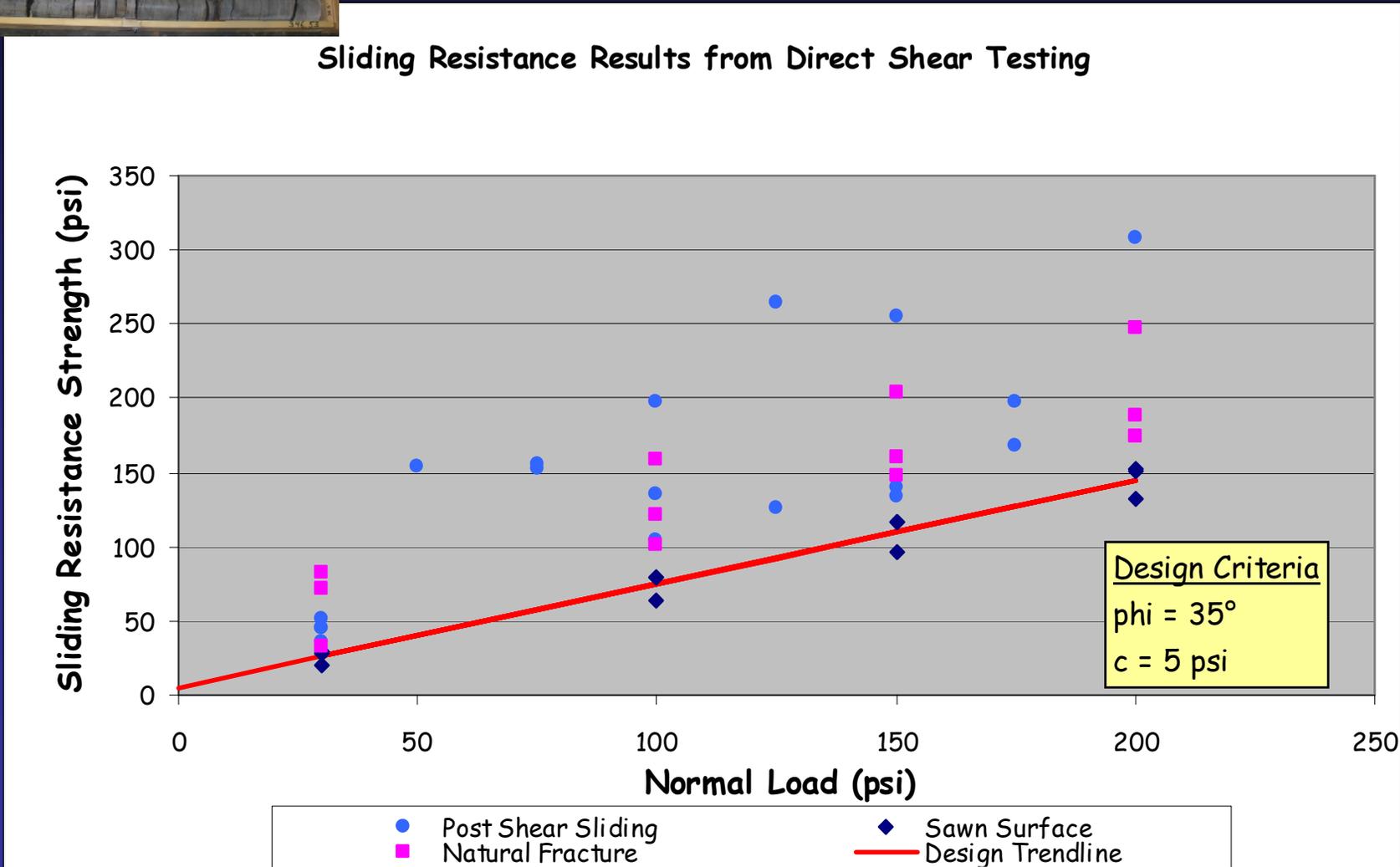


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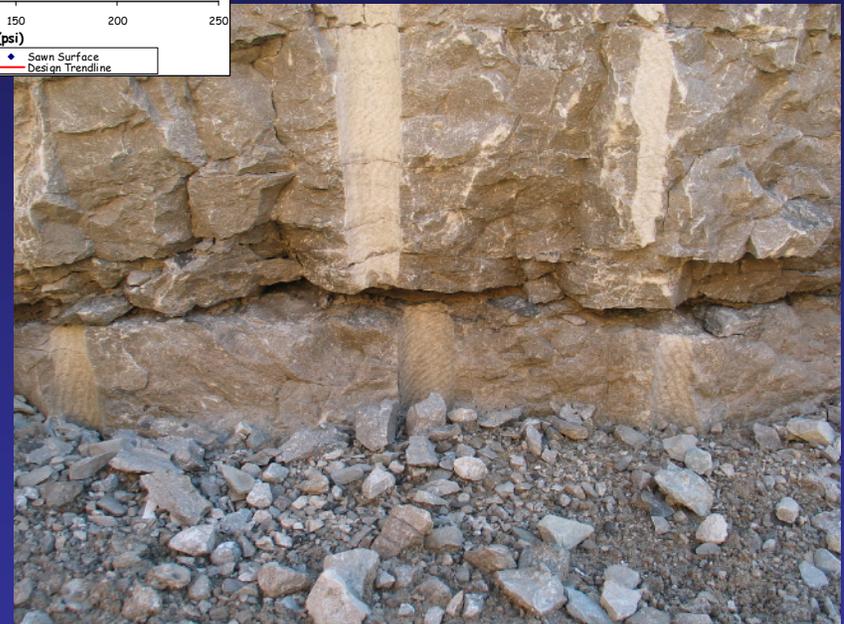
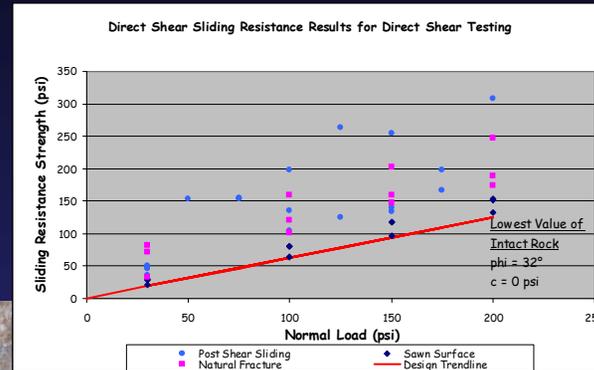
Sliding Resistance Results from Direct Shear Testing



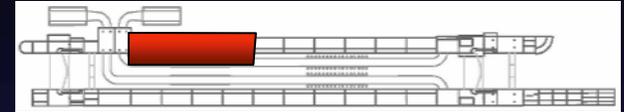


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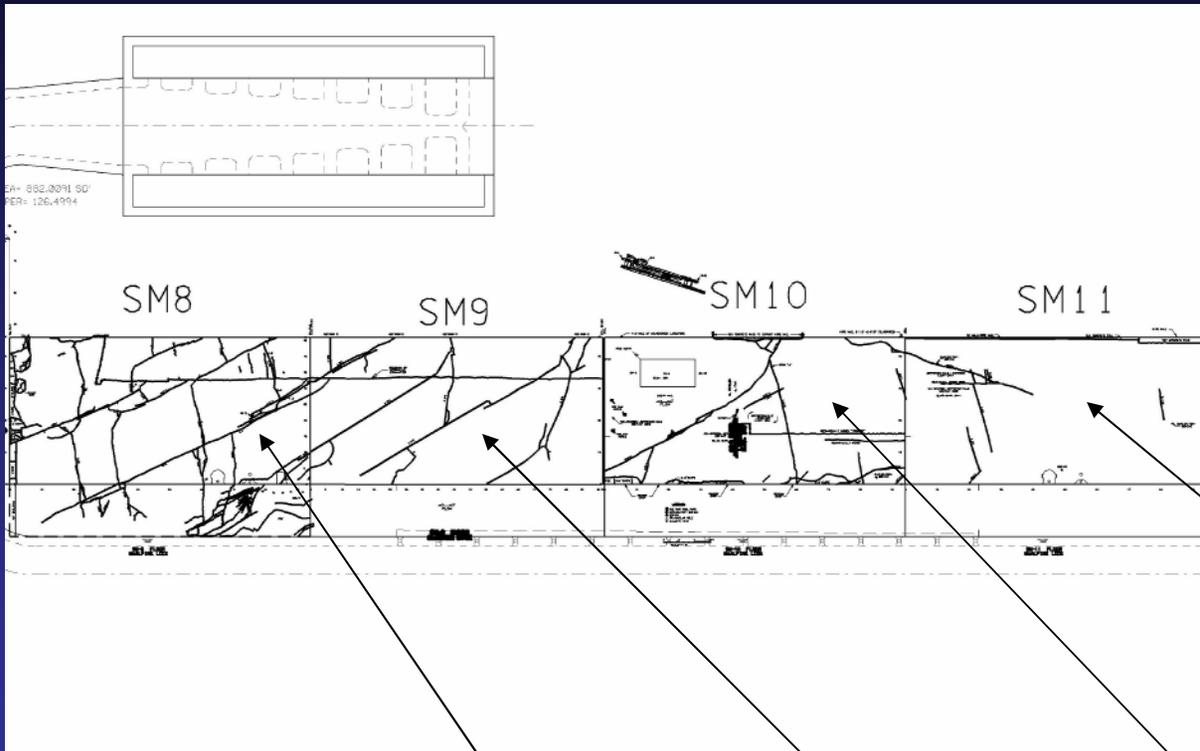
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What kind of strength do you give to a seam
which has been damaged and shifted?



Sliding Resistance Strength



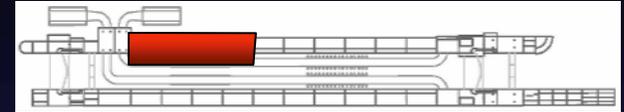
Reduced from
 $\phi = 35^\circ$
 $c = 5 \text{ psi}$

SM-8
 $\phi = 22^\circ$
 $c = 0 \text{ psi}$

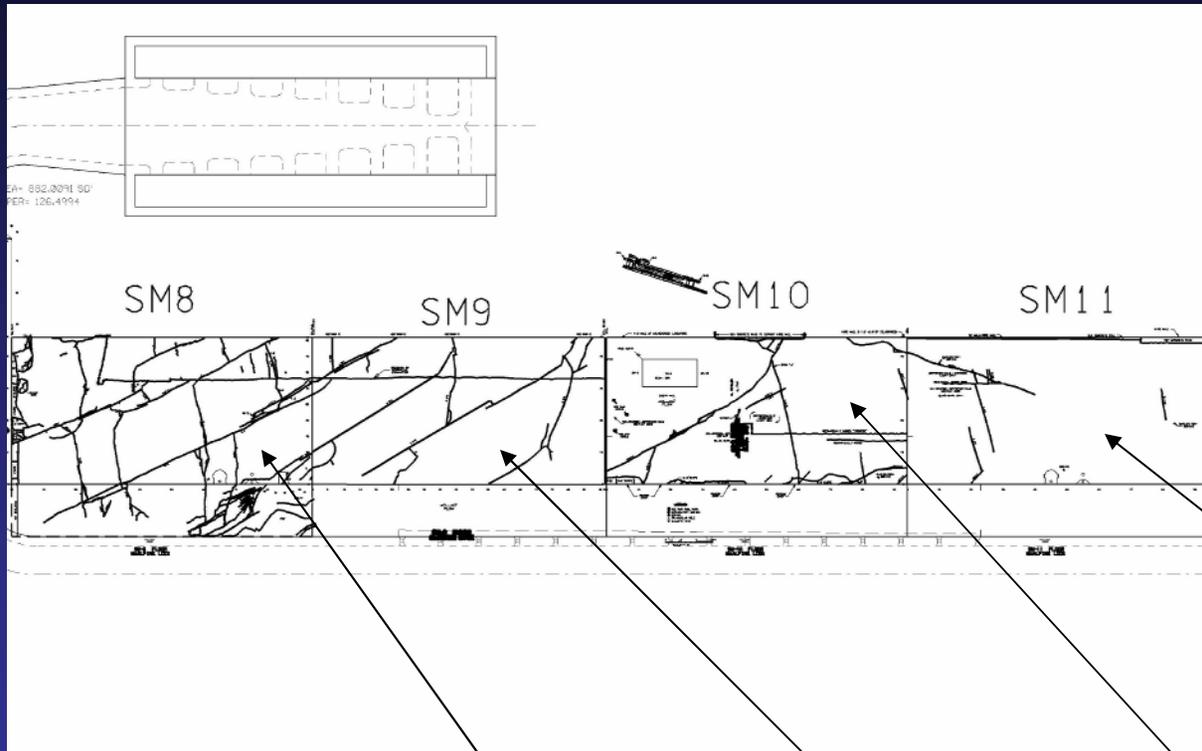
SM-9
 $\phi = 25^\circ$
 $c = 0 \text{ psi}$

SM-10
 $\phi = 27^\circ$
 $c = 0 \text{ psi}$

SM-11
 $\phi = 29^\circ$
 $c = 0 \text{ psi}$



Allowable Bearing Capacity



Reduced
from
62 ksf

SM-8
29 ksf

SM-9
46 ksf

SM-10
46 ksf

SM-11
62 ksf



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SM-11

SM-10

SM-9



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Pre-stressed tendon anchors placed in SM-8 & 9



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Interpretation of what caused the damage

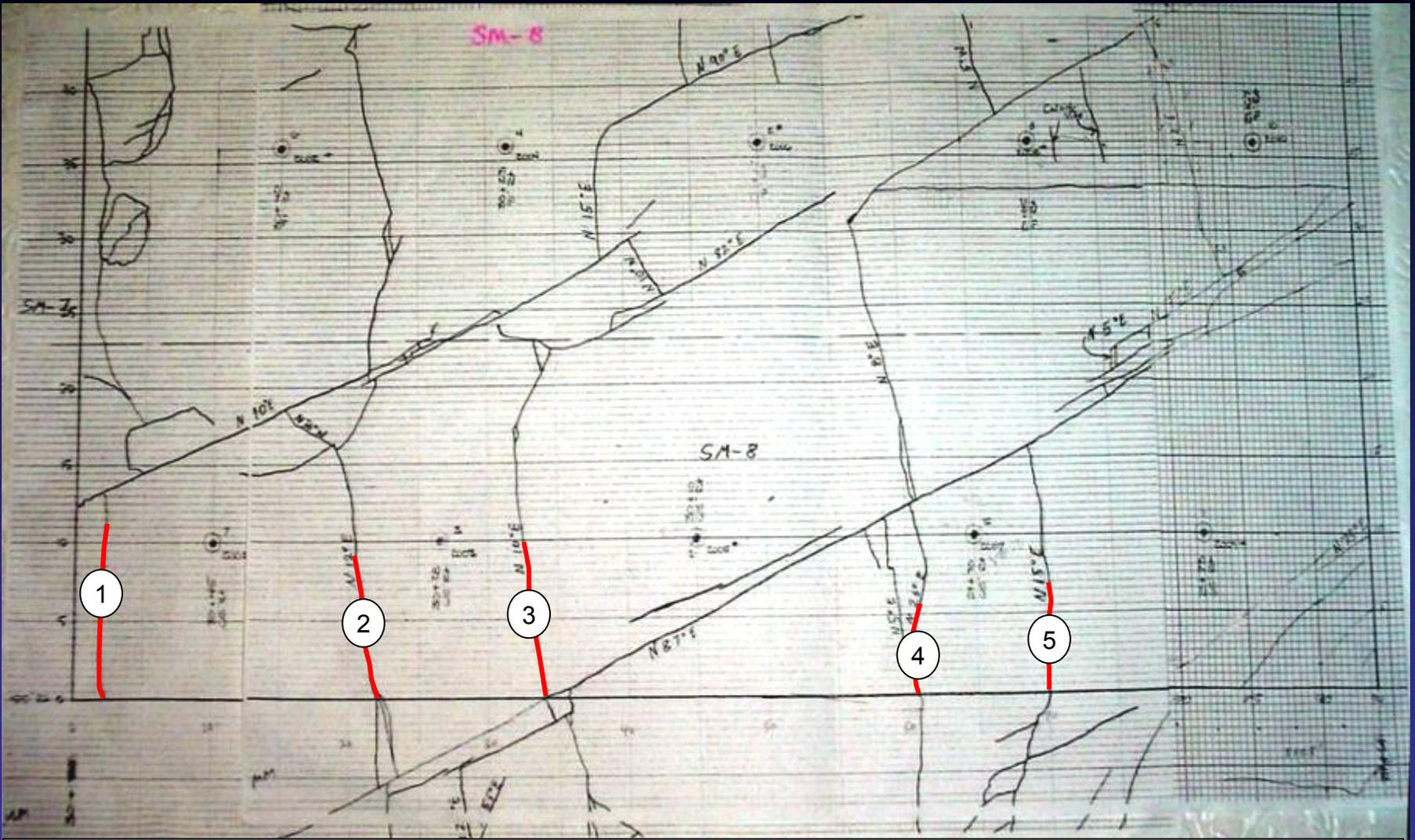


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In-Situ Stress

“Prove that it is there” ... vs ... “Prove that it is not there”



0

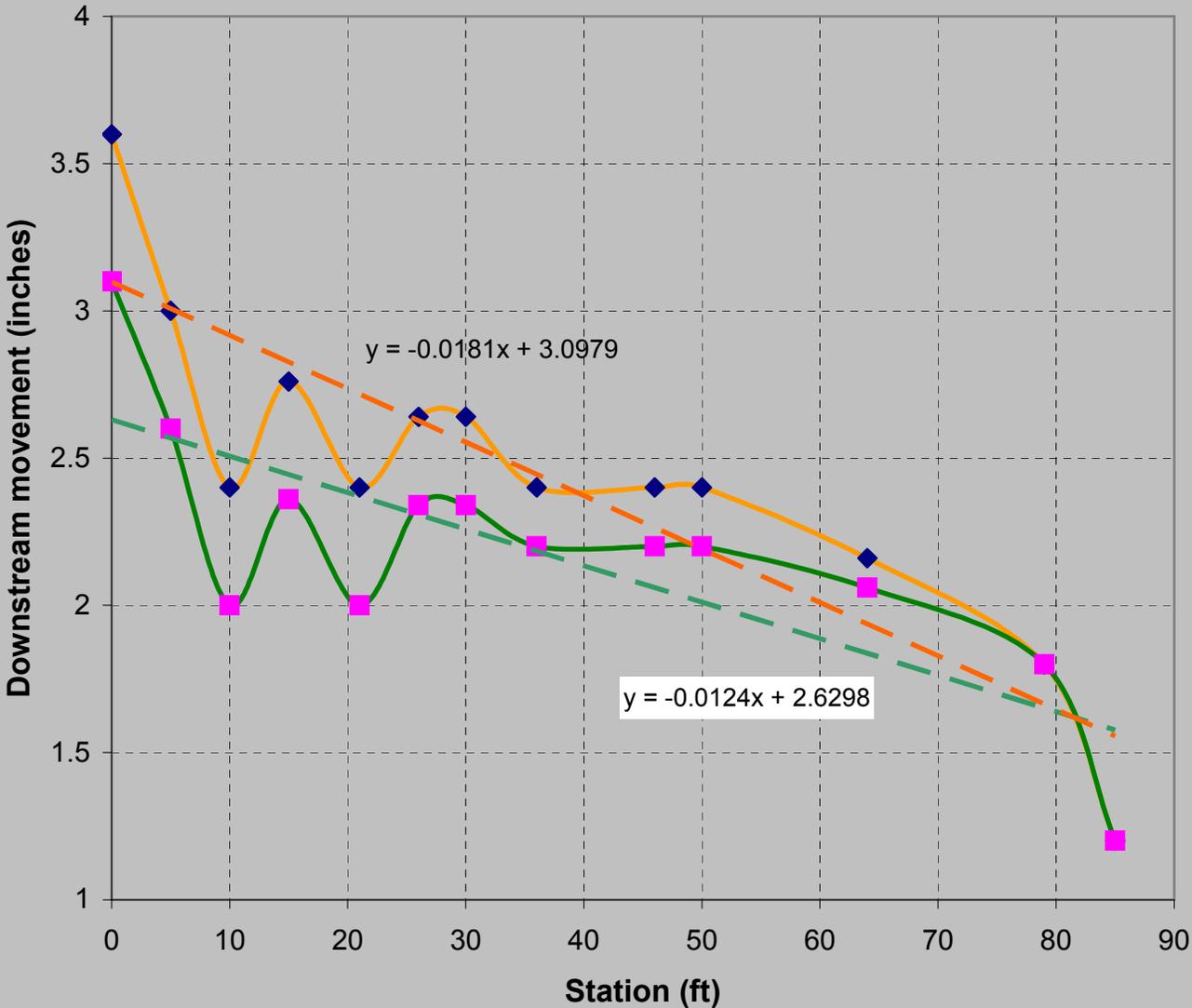
20

40

60

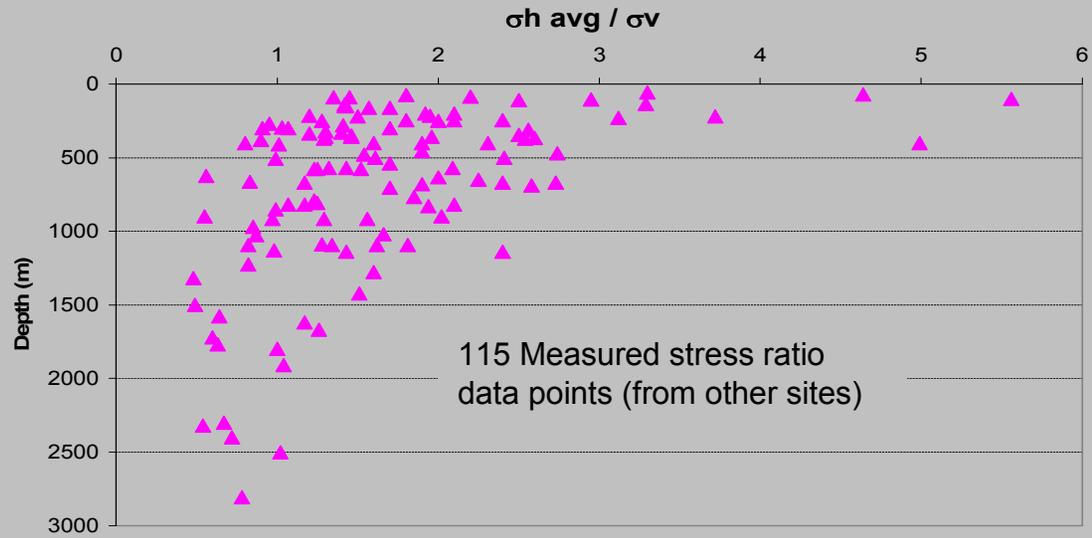
80

SM8N: Downstream Movement

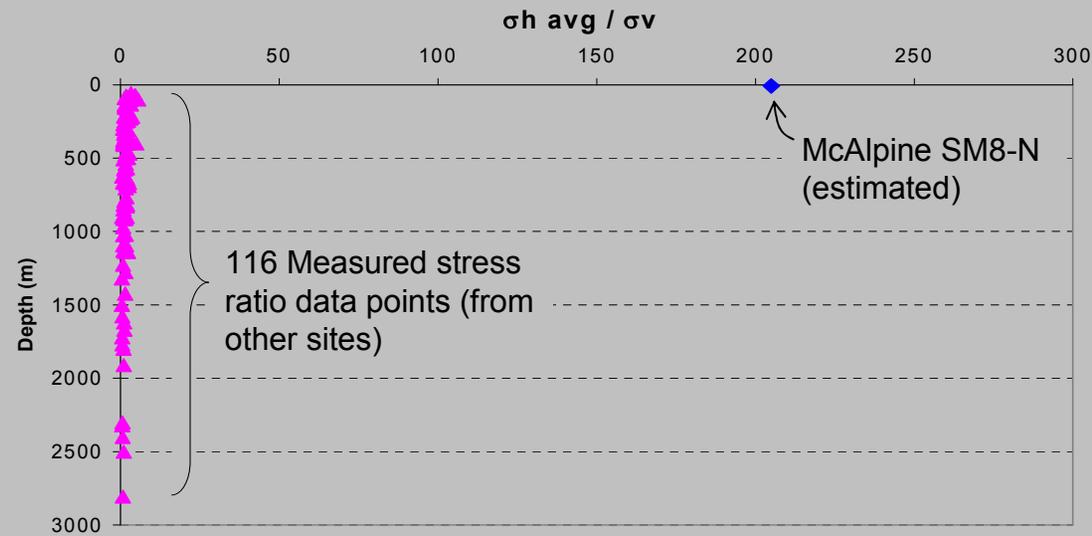


As presented by Dr. Matthew Mauldon

Horizontal Stress Ratio vs Depth

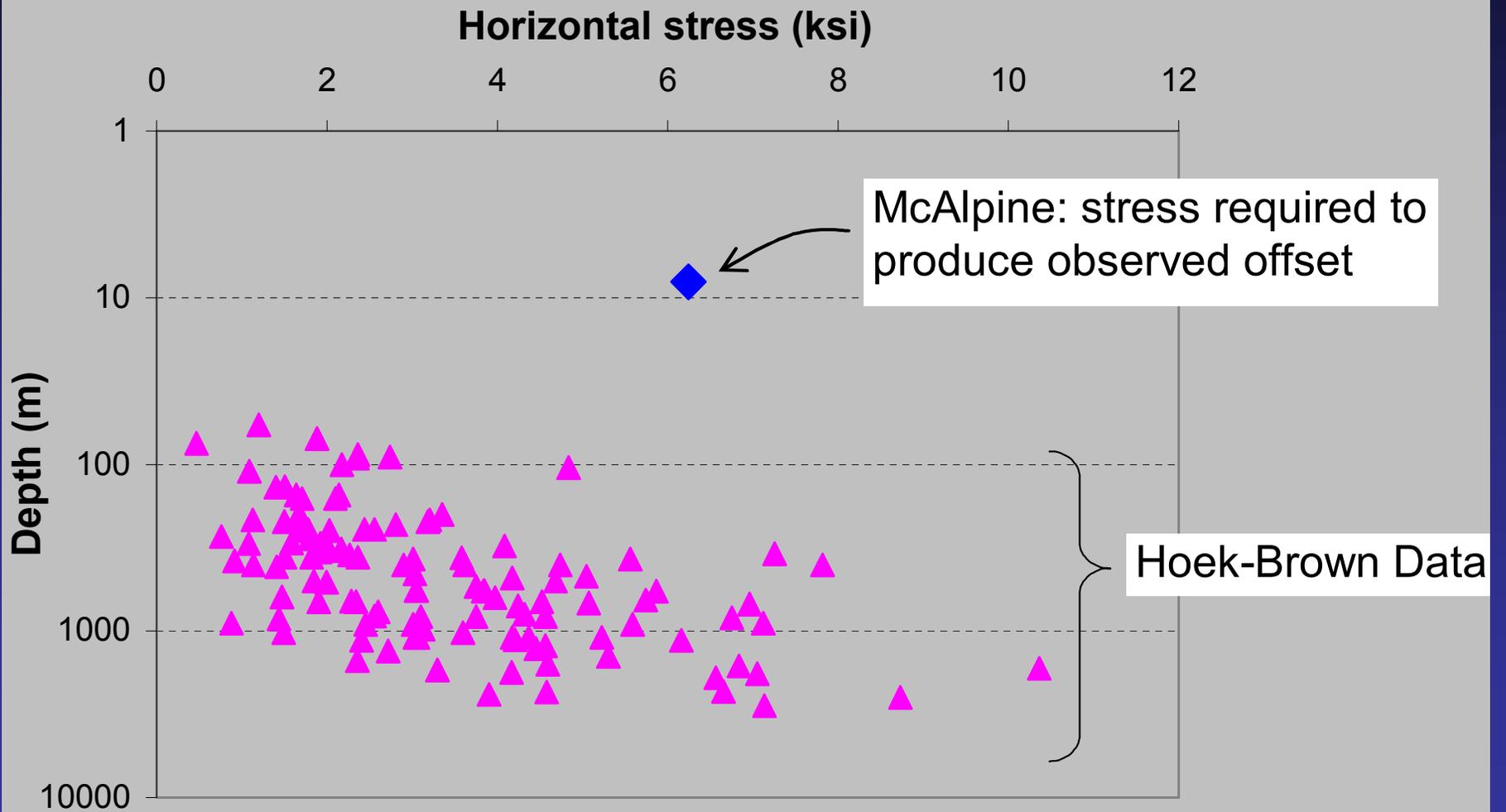


Horizontal Stress Ratio vs Depth



As presented by Dr. Matthew Mauldon

Horizontal Stress vs Depth



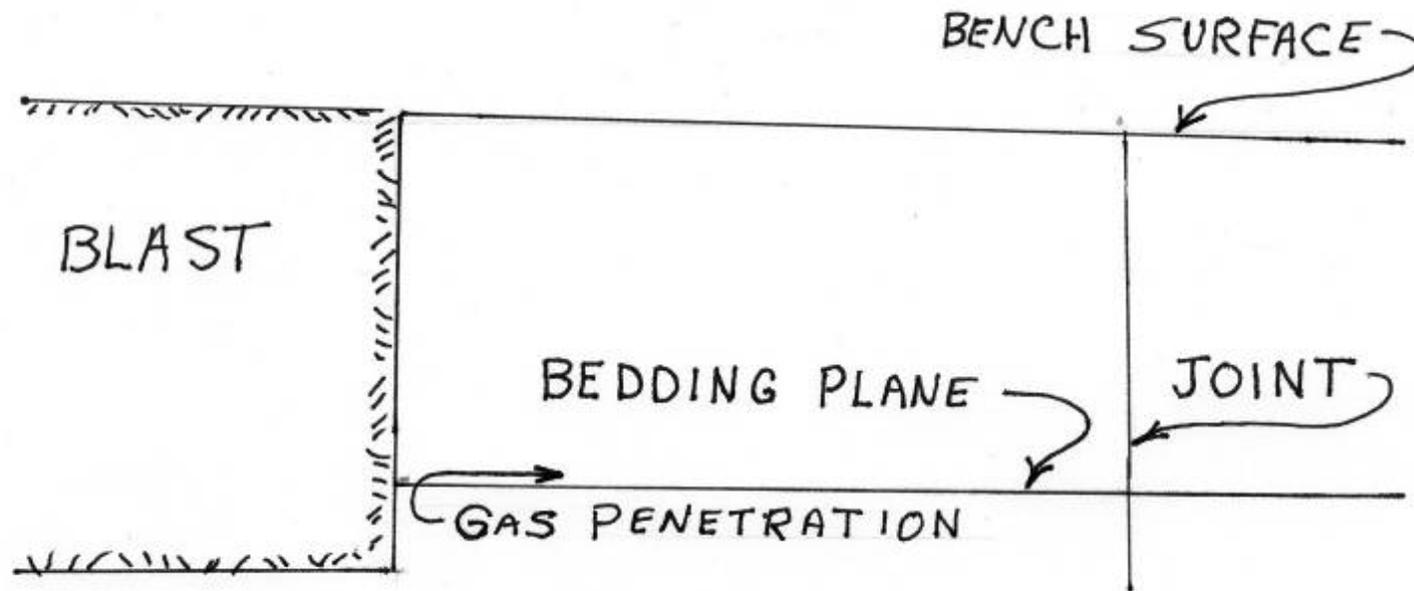
As presented by Dr. Matthew Mauldon



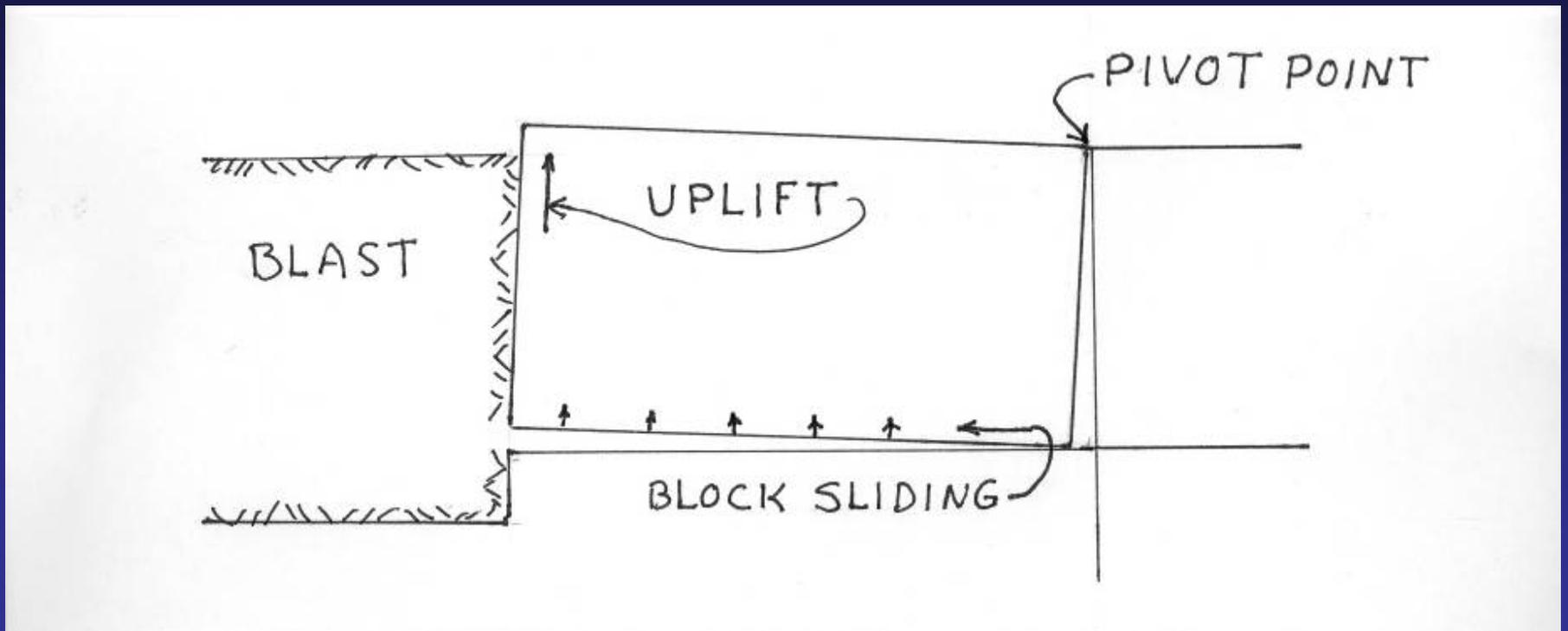
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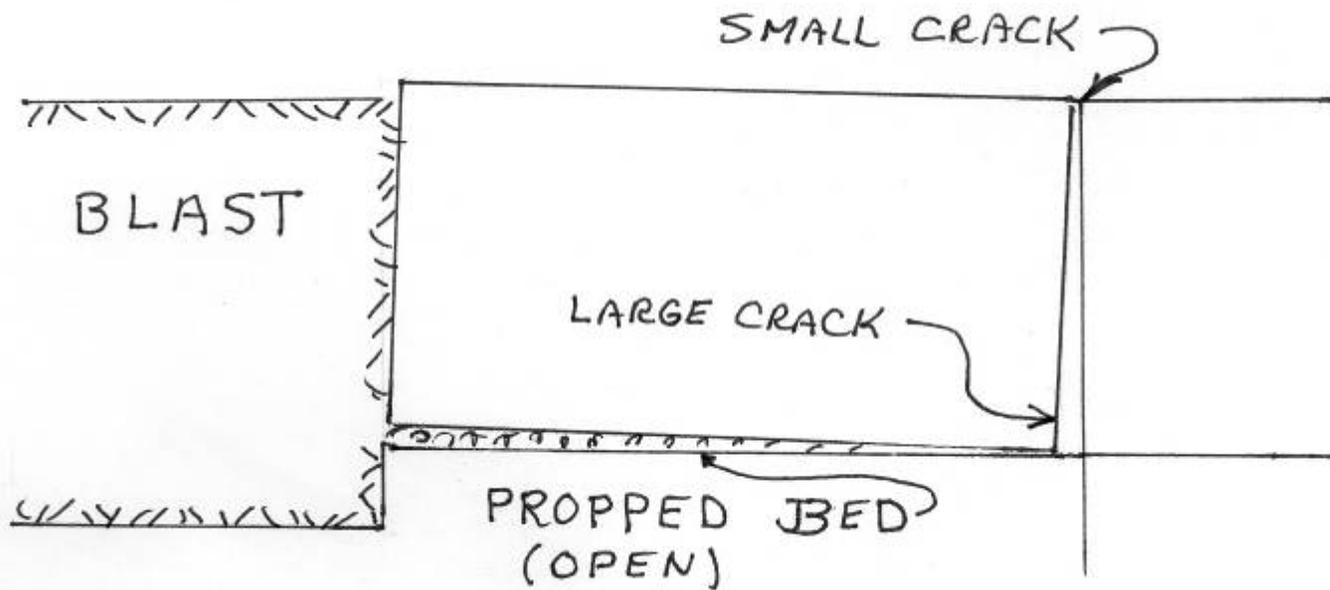
The right interpretation...



As depicted by Dr. Calvin Konya



As depicted by Dr. Calvin Konya



As depicted by Dr. Calvin Konya



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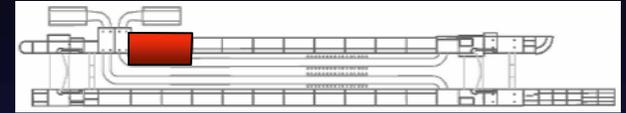
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Besides....



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North Culvert Shots Adjacent to SM-8





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North Culvert Shots Adjacent to SM-8



Gas coming out of fracture in adjacent SM-8 foundation



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North Culvert Shots Adjacent to SM-8





North Culvert Shots Adjacent to SM-8





North Culvert Shots Adjacent to SM-8





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North Culvert Shots Adjacent to SM-8





North Culvert Shots Adjacent to SM-8





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North Culvert Shots Adjacent to SM-8





North Culvert Shots Adjacent to SM-8





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Lessons Learned



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When blasting, always use a proven method which promotes perimeter control, helping to protect the final rock surfaces.





Document every possible observation in detail, no matter how insignificant it appears to be at the time !





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In order to make said observations, specify what is expected of the Contractor to maintain as clean a work site as possible.





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QC procedures must be implemented and adhered to. Post-blast conditions must be observed so future blast designs are modified to prevent perpetuating problems.



MISTAKES

IT COULD BE THAT THE PURPOSE OF YOUR LIFE IS
ONLY TO SERVE AS A WARNING TO OTHERS.



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Questions?

