



Time-Dependent Reliability Modeling for Use in Major Rehabilitation of Embankment Dams and Foundation

Robert C. Patev

NAD Regional Technical Specialist

Navigation/Risk and Reliability



Outline

- Time-Dependent Reliability
- Hazard Functions
- Wolf Creek Major Rehabilitation Report (MRR)
 - Time-Dependent Reliability Modeling
 - Expert-Opinion Elicitation



Reliability

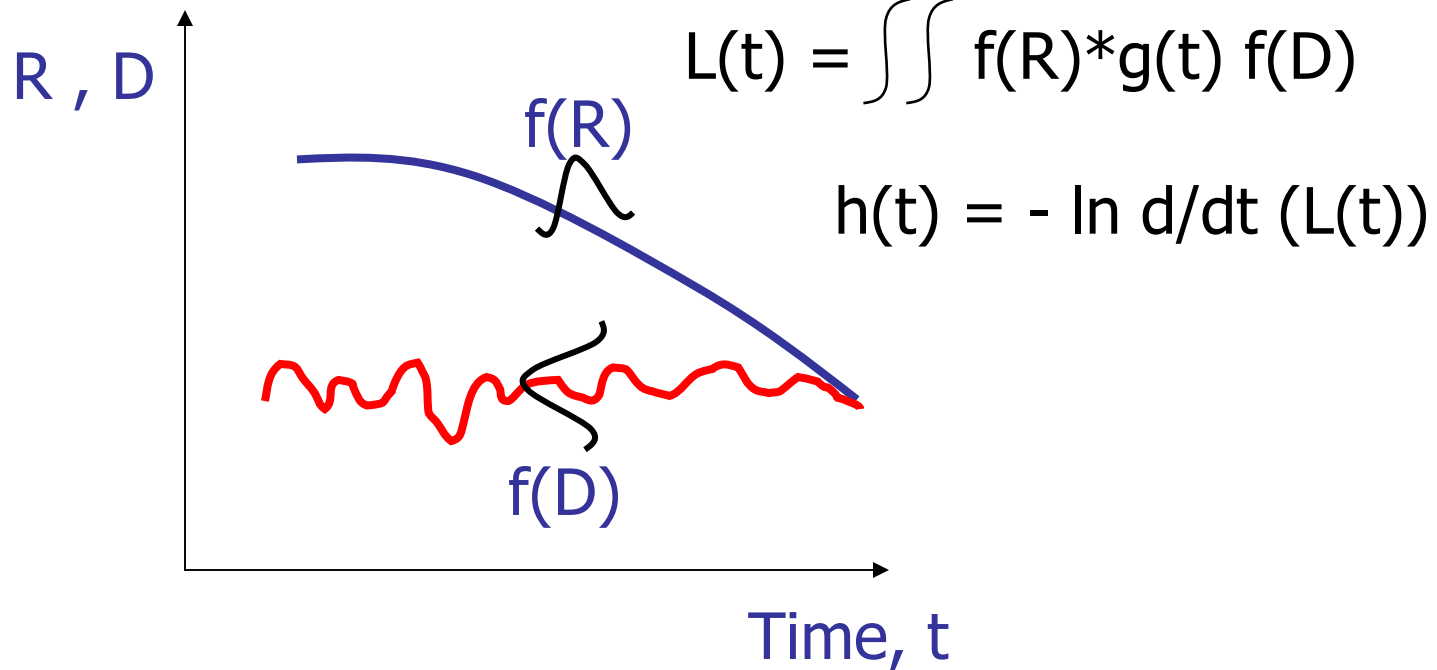
- Probability of unsatisfactory performance (PUP)
 - Limit state defined before failure occurs
 - Problem – snapshot in time
 - Not cumulative (does not account for previous loadings)
 - Must account for degradation of structures
 - Mechanisms
 - Corrosion
 - Fatigue
 - Freeze-Thaw
 - Wear
 - Abrasion/Erosion



Time-Dependent Reliability

- Geotechnical Aspects
 - Difficult task-at-hand
 - Foundations
 - Karst
 - Used Expert-Opinion Elicitation to define PUPs
 - Snapshot
 - Alluvial
 - Used Taylor Series
 - Snapshot
 - Degradation
 - Data/Rates
 - Models

Time-Dependent Reliability



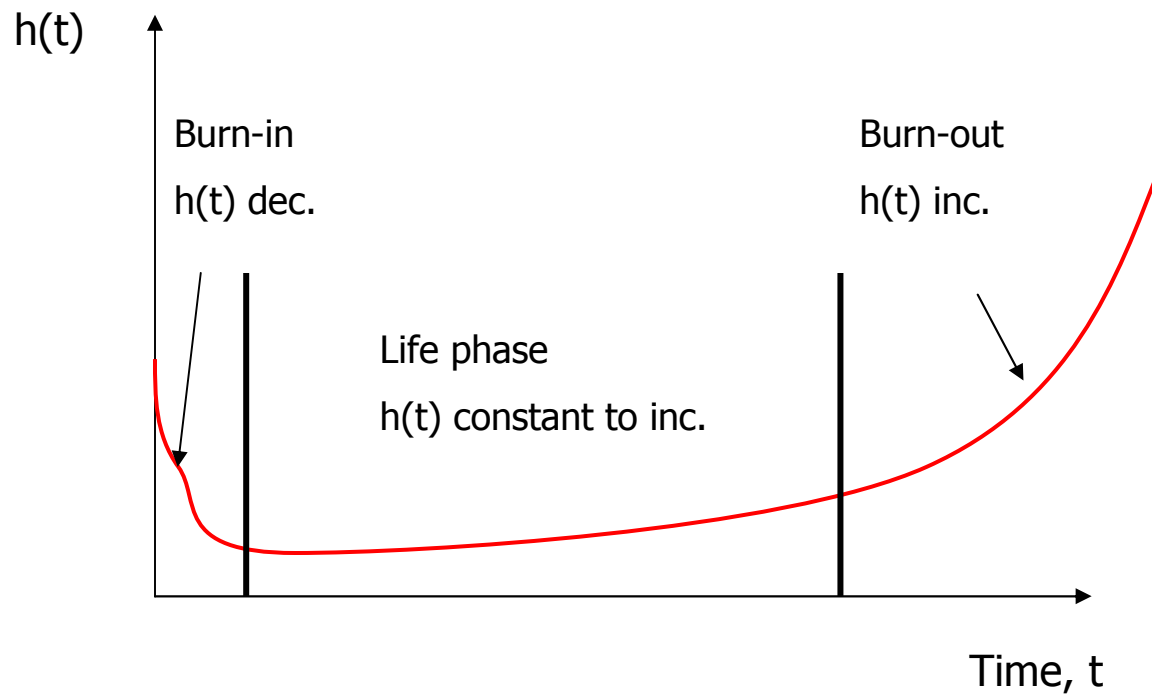


Time-Dependent Reliability

- Hazard Function
 - Developed by actuaries in 1880's
 - Used by aerospace industry in early 1950's
 - Conditional probability
 - $h(t) = \text{PUP} [t + dt, t]$
 - PUP in time, $t + dt$, given you have survived up to time, t
 - Based on efforts on Ohio River Mainstem Study

$$h(t) = \frac{\text{Number of unsatisfactory performances in time, } t + 1}{\text{Number of survived up to time, } t}$$

Hazard Function



Wolf Creek Dam Project



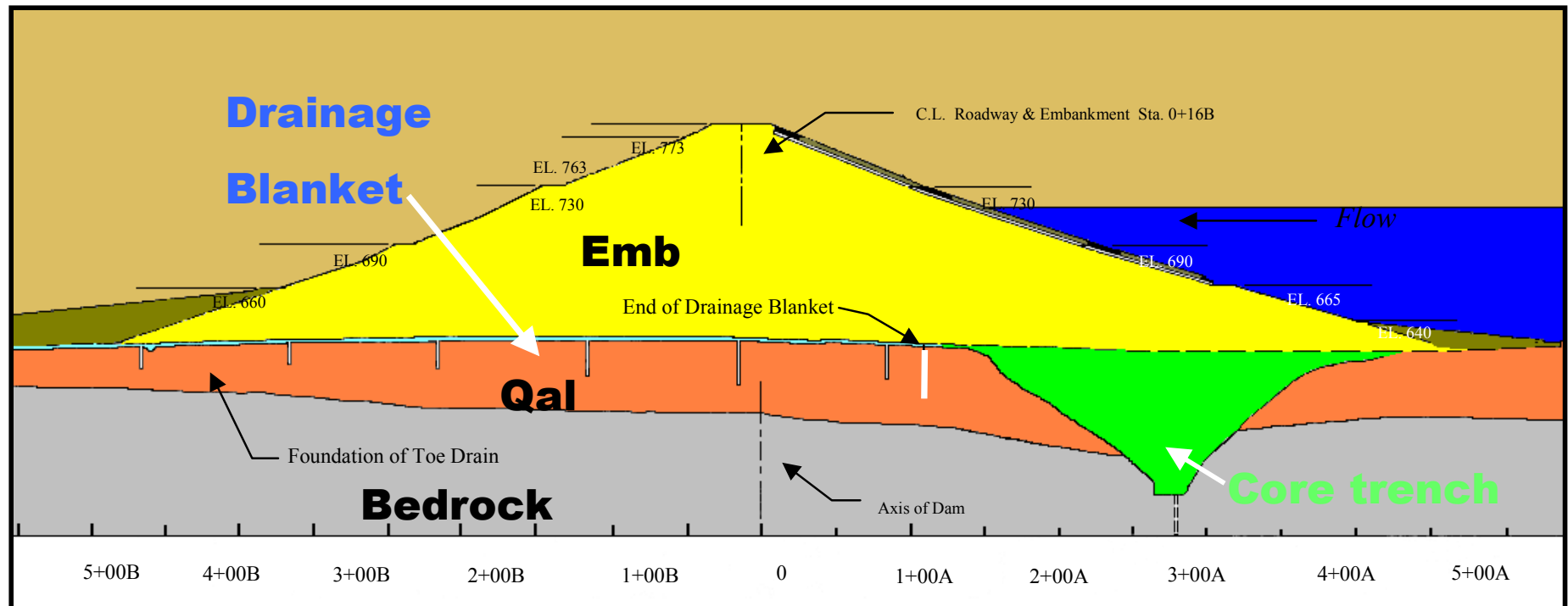
- Concrete 240' ht 1836' length
- Earth Emb 200' ht 3900' length



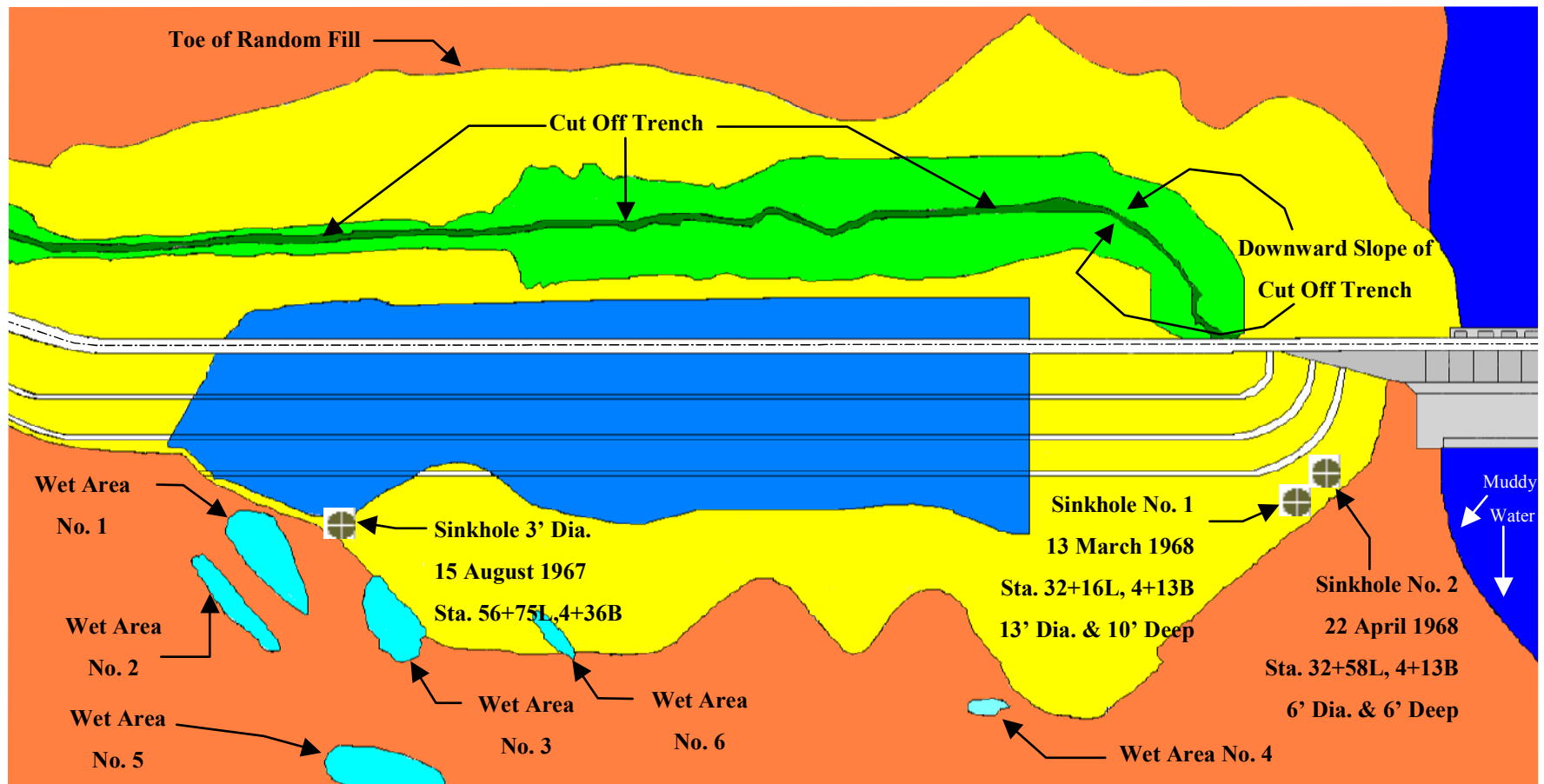
Wolf Creek Dam - History

- Designed in late 1930's
- Construction began 1941
- Completion delayed until 1951 due to WWII
- 1967-68 Sinkholes near Switchyard + right d/s abutment wet areas D/S of embankment, muddy flows
- 1968-72 Emergency exploration / grouting
- 1975-79 Diaphragm walls construction (ICOS)

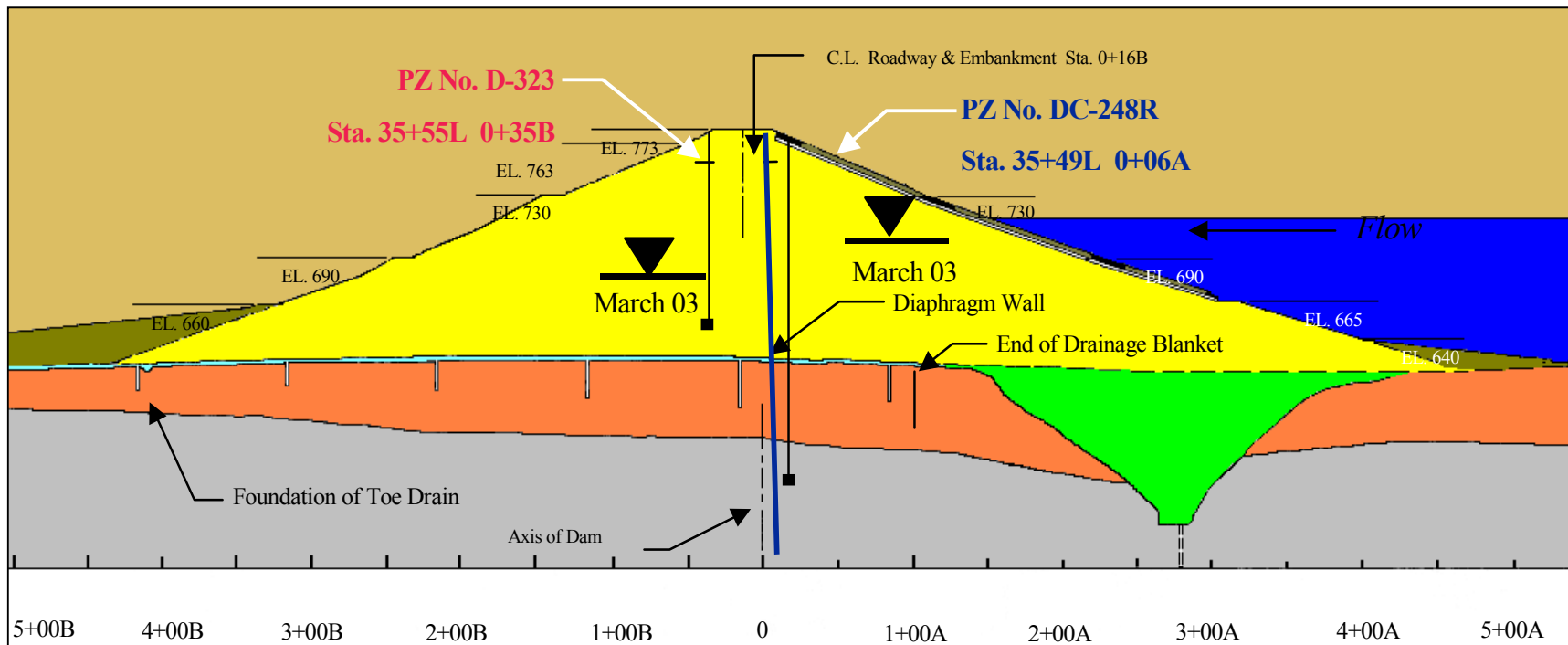
Wolf Creek Dam – Typical Section



Wolf Creek Dam – 1967/1968 Events



Wolf Creek Dam – Piezometers



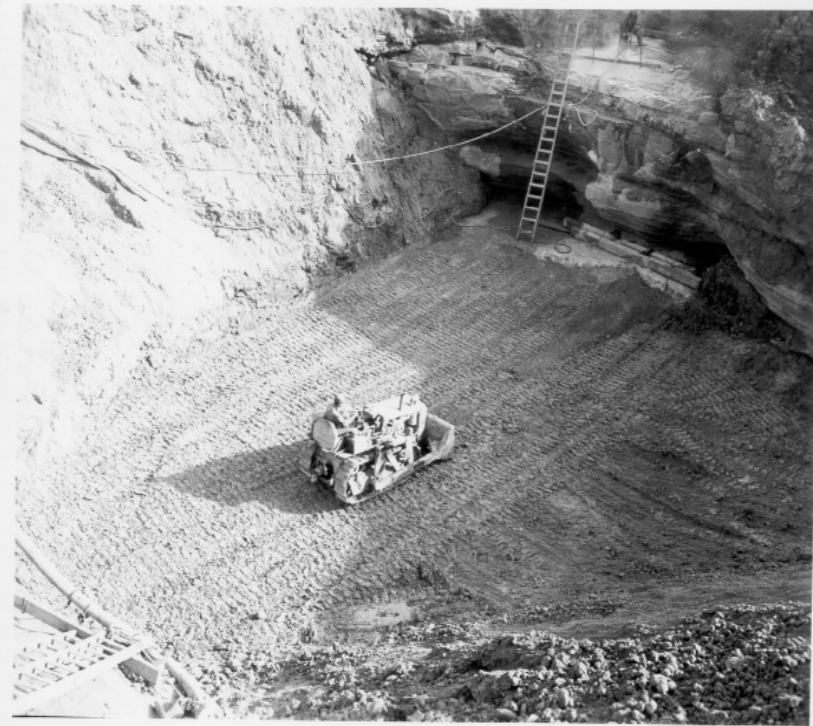
Wolf Creek Dam – Cutoff Trench



Wolf Creek Dam – Cutoff Trench

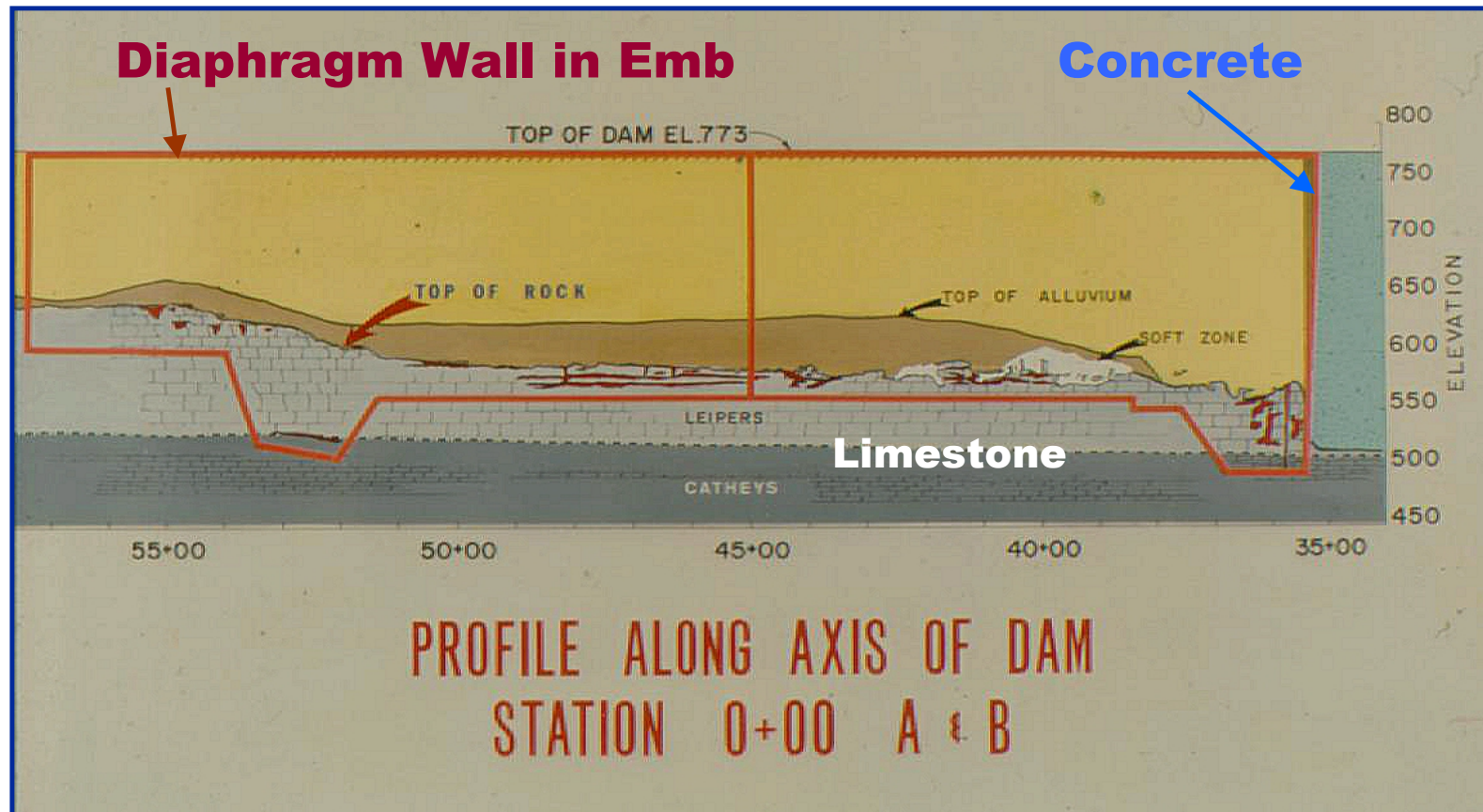


61/421 14 August 1947
Filling core trench, Mon. 37



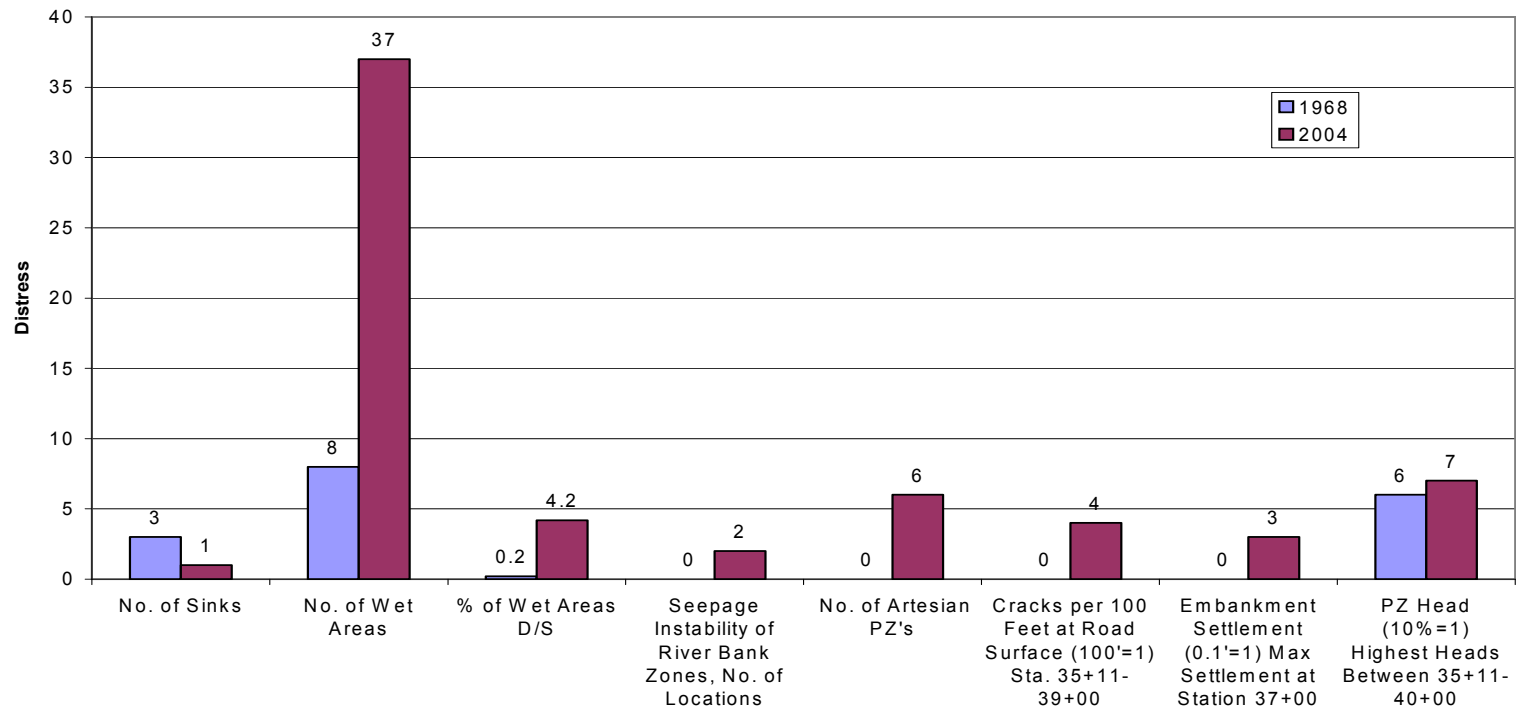
31,822 19 November 1942
View of backfilling operations in cavity at
Sta. 50+00 on cutoff trench

Wolf Creek Dam – Diaphragm Wall, 1975-1979



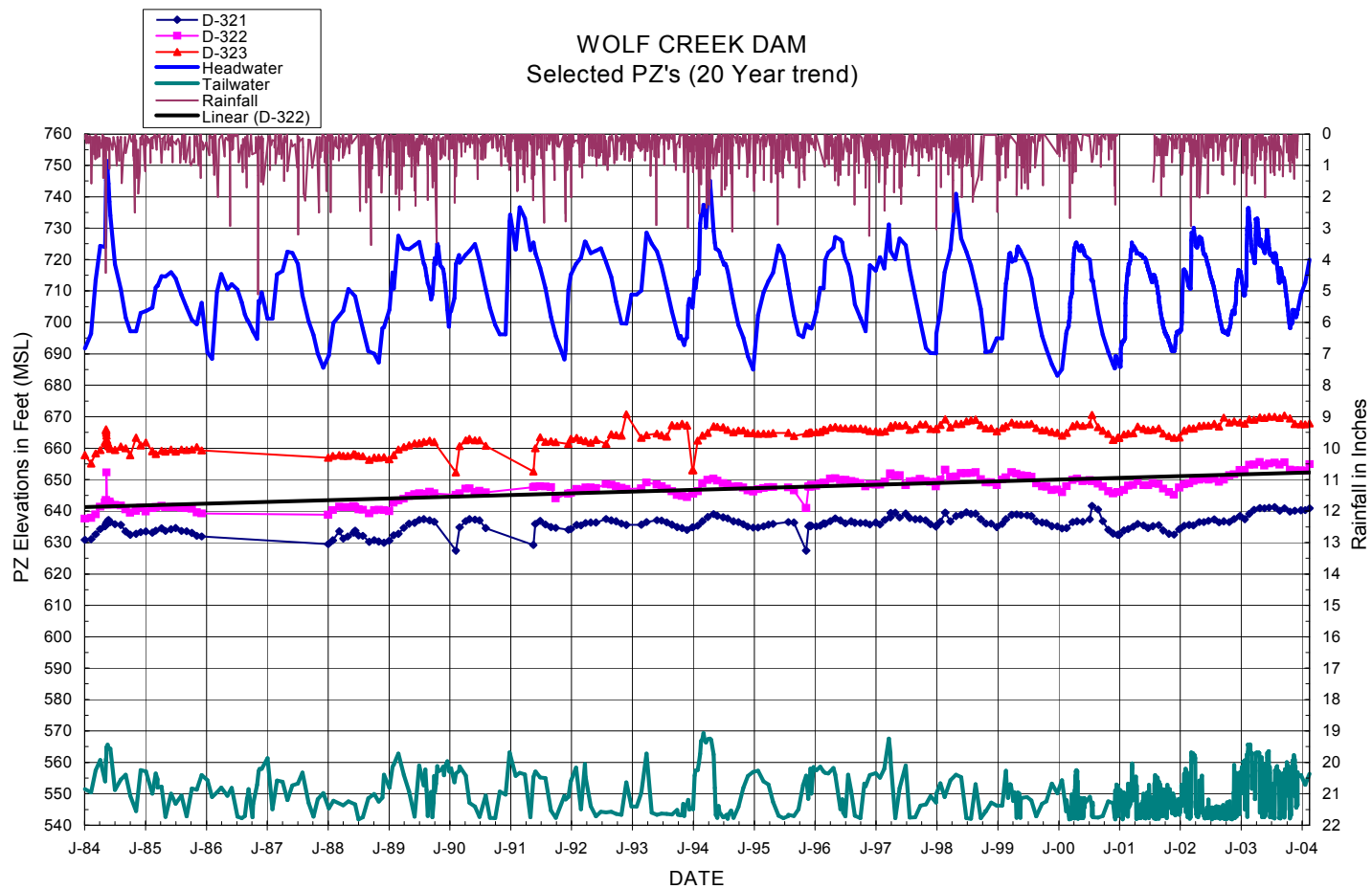
Wolf Creek Dam – Distress Indicators

Comparison of Distress Indicators 1968-2004 at Wolf Creek Project

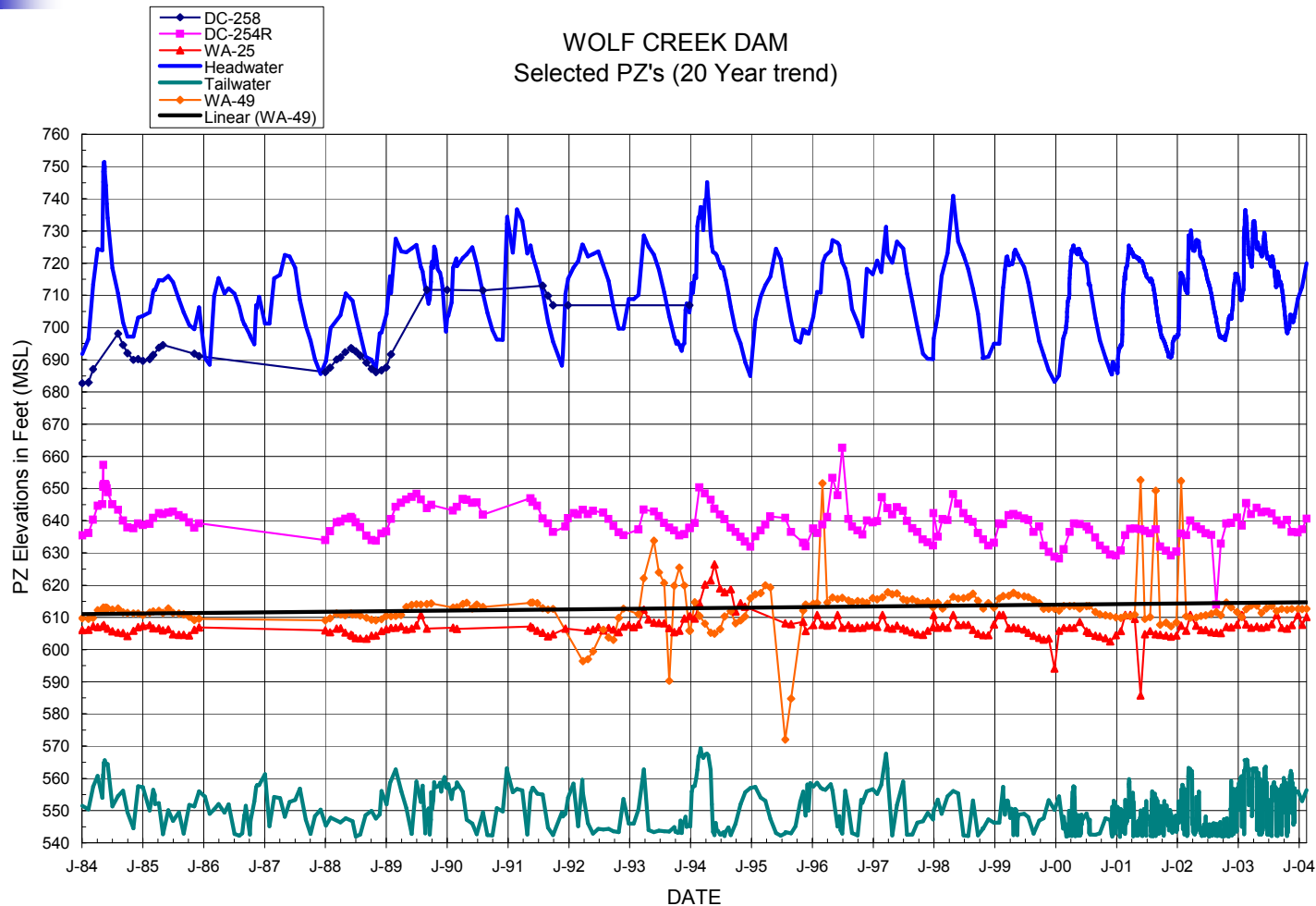


* Temperature measurements from PZs in 1968 and 2004 show anomalous cold areas downstream of dam axis.

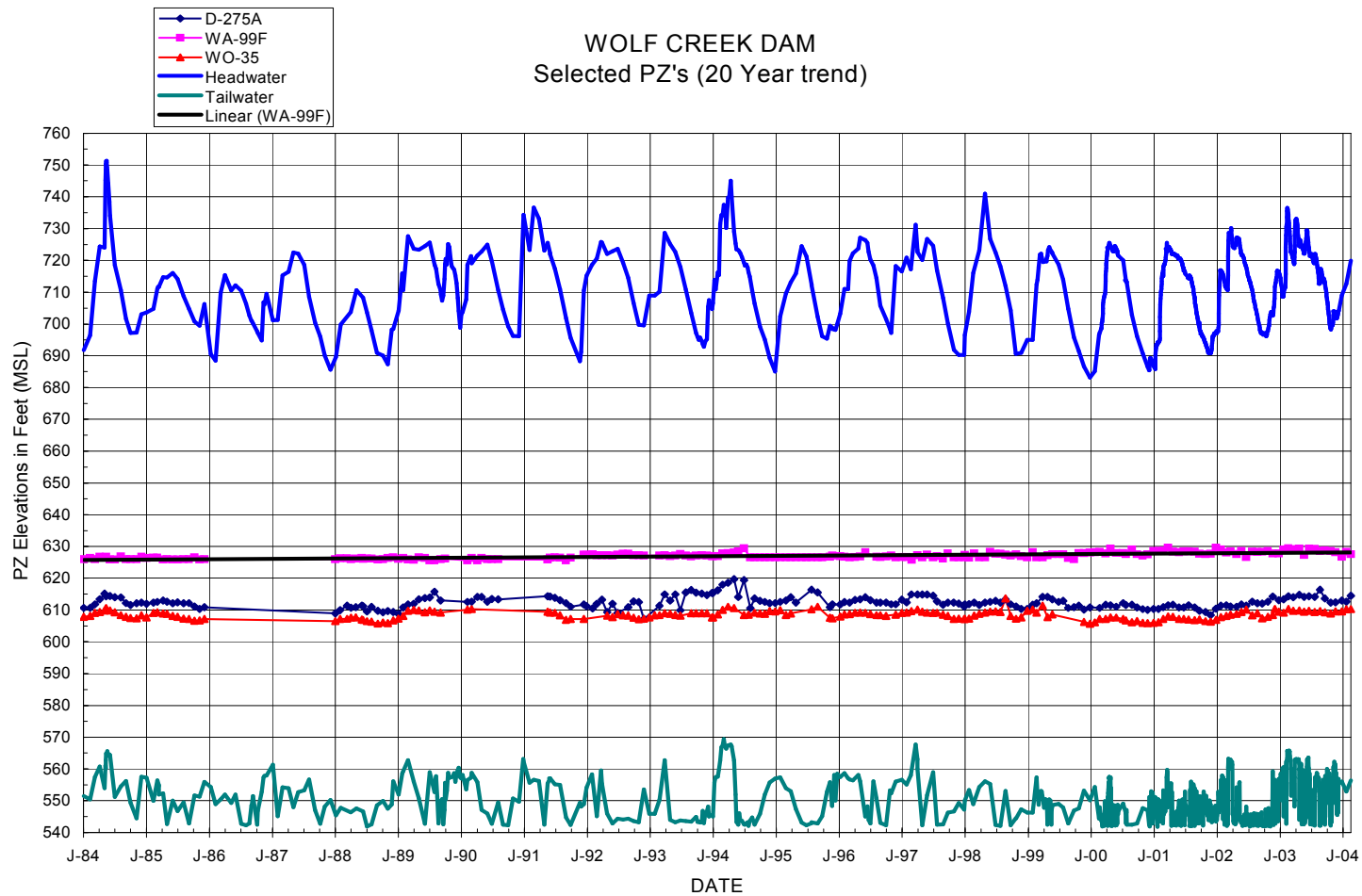
Wolf Creek Dam – Piezometric Data - Section 1



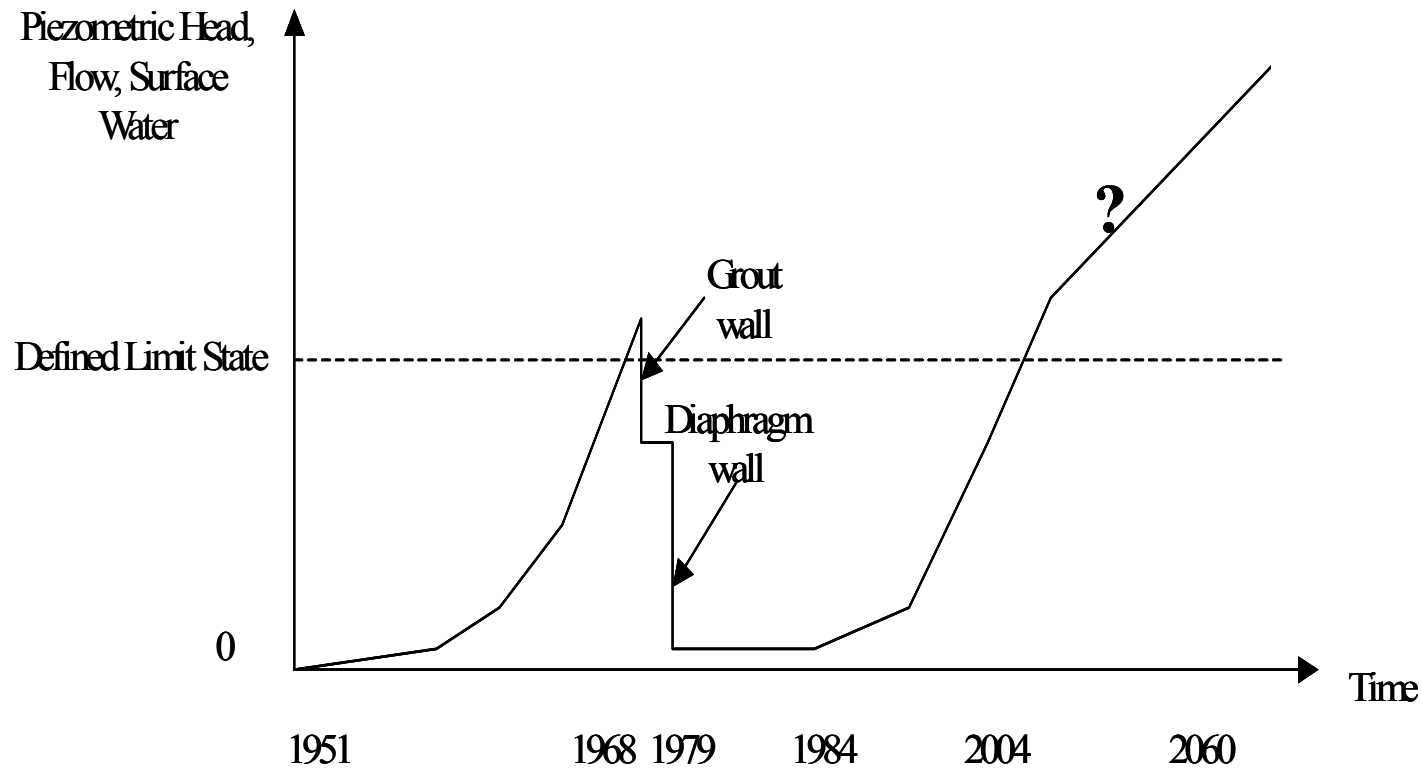
Wolf Creek Dam – Piezometric Data -Section 2



Wolf Creek Dam – Piezometric Data - Section 3



Wolf Creek Dam – Time-Dependent Reliability Concepts



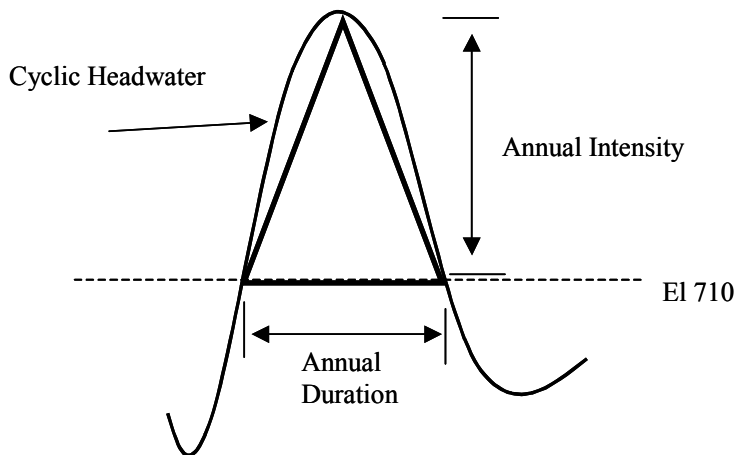


Wolf Creek Dam MRR

- Time-Dependent Reliability Model
 - Utilize permanent rise in piezometric pressures in the foundations
 - Reliable and most consistent data
 - Model three different embankment sections
 - Assume that selected limit state occurs before any decrease or fall in piezometric pressure which would indicate a more critical situation

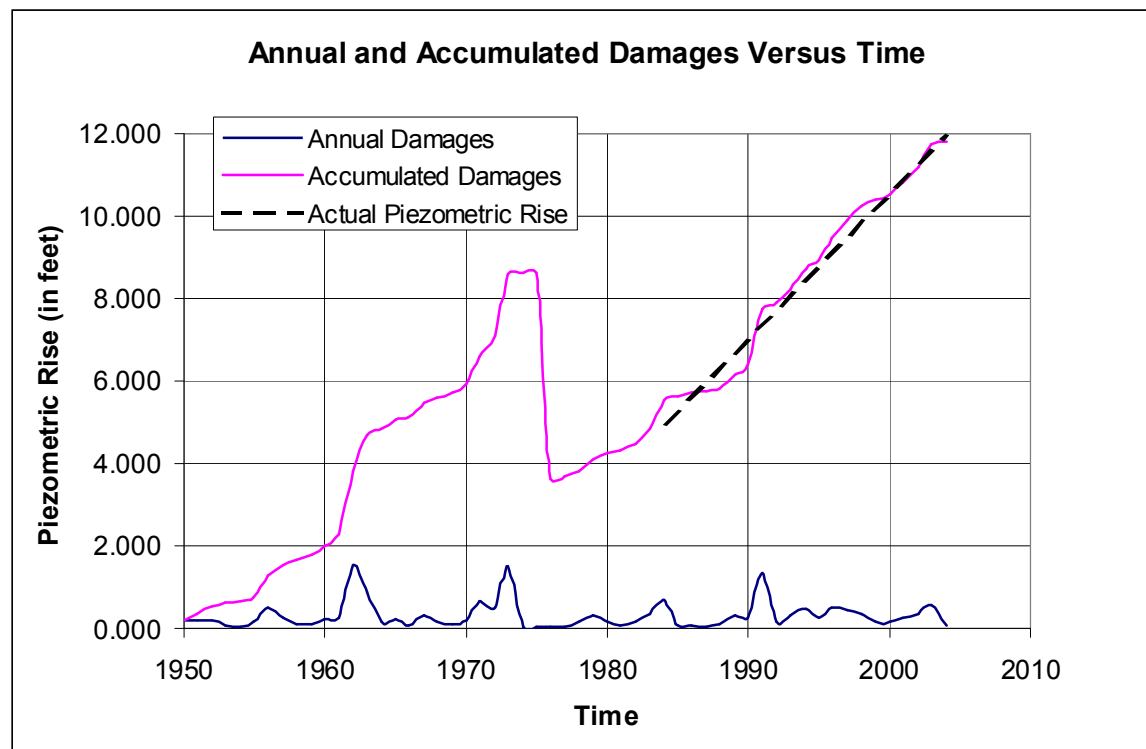
Wolf Creek Dam MRR

- Time-Dependent Reliability Model
 - Damage Accumulation Model (DAM)
 - Used in fatigue and wear rate analyses
 - Model cyclic variations of headwater above EL 710



Wolf Creek Dam MRR

- Time-Dependent Reliability Model
 - DAM Calibration





Wolf Creek Dam MRR

- Time-Dependent Reliability Model
 - Developed Monte Carlo Simulation Model
 - Accounted for entire life cycle including past remedial repairs
 - Performed 50,000 iterations for reliability calculations
 - Random Variables
 - Annual Intensity –
 - Based on historical records from 1950 to 2004
 - Truncated lognormal distribution
 - Annual Duration –
 - Based on historical records from 1950 to 2004
 - Truncated lognormal distribution



Wolf Creek Dam MRR

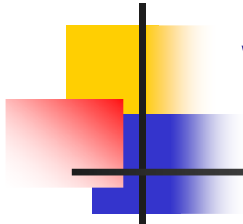
- Time-Dependent Reliability
 - Random Variables (cont')
 - Spatial Variability Factor-
 - Accounts for variation in piezometric pressures in the foundation
 - Based on a wide range of piezometer data for each section
 - Incorporated as quadratic modifier to annual damage accumulation
 - Uniform distribution
 - More uncertainty in Section 3 than Sections 1 or 2 due to continued wet spots downstream and lack of diaphragm wall



Wolf Creek Dam MRR

- Time-Dependent Reliability Model
 - Limit state
 - Defined limit state for unsatisfactory performance on piezometric rise in 3 sections
 - Used Expert-Opinion Elicitation to quantify those values

Section	Average PZ Value in 2004 (in feet)	Rise of PZ from EOE (in feet)	Unsatisfactory Performance Limit State (in feet)
1	9.3	5	14.3
2	4.1	5	9.1
3	1.2	3	4.2

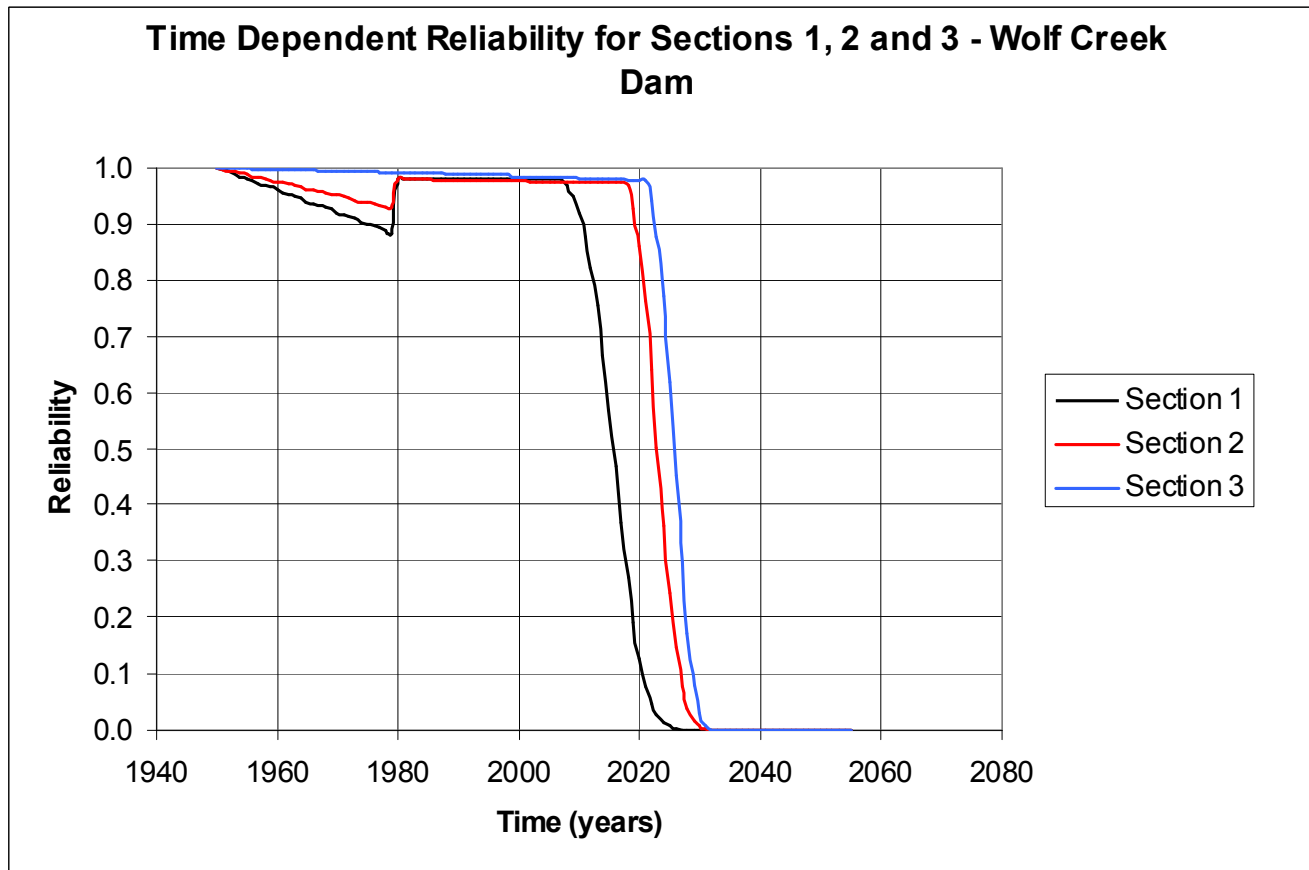


Wolf Creek Dam MRR

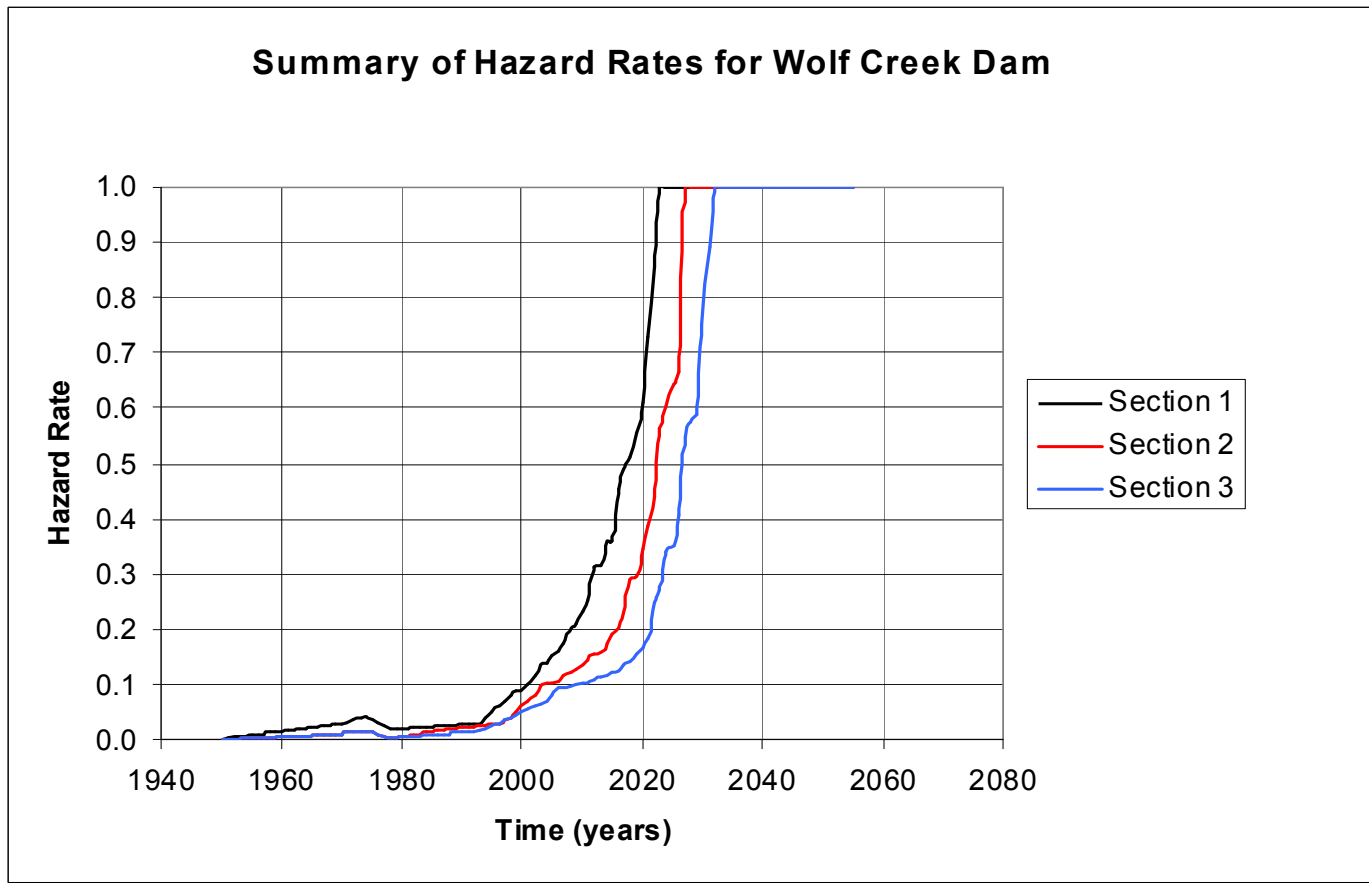
Wolf Creek Expert Elicitation

Event Name	Full Description of Issue	Expert-opinion elicitation			Summary Table
		First Response	Second Response		
Unsatisfactory performance occurs in Section 1	At what future change in piezometric pressure in the foundation would you expect unsatisfactory performance in Section 1?	Median = 5	Median = 5		Minimum = 1 25 Percentile = 3 Median = 5 75 Percentile = 5 90 Percentile = 6 High = 7
			<u>Confidence</u>		
		Expert #1 0.5 Expert #2 5 Expert #3 10 Expert #4 3 Expert #5 5 Expert #6 5 Minimum = 0.5 Median = 5 Maximum = 10	1 med 5 high 7 med 2 med 5 med 4 high		

Wolf Creek Dam MRR



Wolf Creek Dam MRR





Wolf Creek Dam MRR

Wolf Creek Dam Event Tree

Baseline Condition - Section 1

<u>Limit State - PUP</u>	<u>Annual Hazard Rate</u> (from Reliability Model)	<u>Event</u>	<u>Event Probability</u> (from EOE)	<u>Repair Scenarios/Costs</u>	<u>Effect on Hazard Rate</u>
Time, T 5 ft unacceptable rise in piezometric pressure	AHR X	Full UP (Dam breach)	0.15	Rebuild New Dam 5 Years Design 5 Years Construction	AHR changed to 0 for remainder of lif
		Partial UP (Settlement, sinkholes, piping, wet spots)	0.6	Drain Reservoir to El 680 Grout of Dam 1 Year Construction	AHR adjusted back to 0.1 Degrades using AHR
		Increased Surveillance and Monitoring (Reach limit state but no observable damages)	0.25	O&M Costs, Instrumentation and increased monitoring	Time T + 1 (AHR changes to next year)
		1-X 1-AHR		O&M Costs	Time T + 1 (AHR changes to next year)



Time-Dependent Reliability

- Conclusions

- Time-dependent geotechnical models are difficult
 - Need to think well outside the box (deductive versus inductive thinking)
 - Incorporate and define distress indicators
 - My require some data processing
- Understand - models are for major rehabilitation purpose to gain funding to rehab structure
 - Don't get lost in the fine details
 - Not the true probability of failure
 - Within an order of magnitude
 - Not for dam safety