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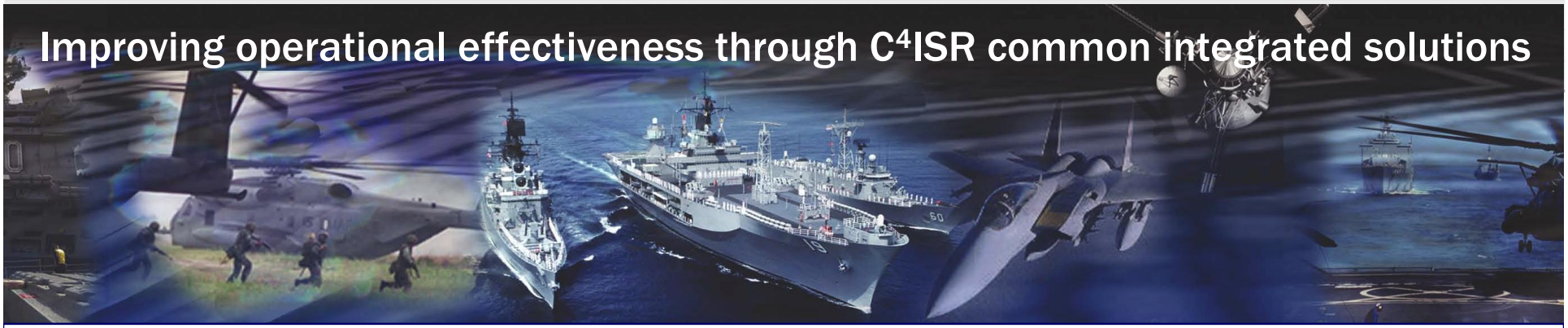
Tools and Resources to Enable Systems Engineering Improvement

Michael T. Kutch, Jr.
SPAWAR Systems Center Charleston (SSC-C)
Head, Intelligence & Information Warfare Systems Engineering Department
National Competency Lead for I/A 5.8
Deputy National Competency Lead for ISR/IO 5.6

Mike Knox
Technical Software Services, Inc.
Director, Implementation and Support
SEI Authorized Instructor

7th Annual CMMI Technology Conference and Users Group
November 12-15, 2007



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Where We Fit

SPAWAR
Space and Naval Warfare Systems Command

President

non-DoD

Secretary of Defense

Secretary of the Navy

Other DoD

CNO
Fleet Support

ASN (RDA)
Acquisition

NETWARCOM | MARCOR
ADDU for C4I
NAVSEA | NAVAIR

SPAWAR San Diego, CA
NAVSEA Washington, DC
NAVAIR Patuxent River, MD
NAVSUP Washington, DC
NAVFAC Washington, DC

SYSCEN San Diego, CA
SYSCEN New Orleans, LA
SYSCEN Norfolk, VA
SFA Chantilly, VA
SYSCEN Charleston, SC

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What We Do

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Connecting the Warfighter

Mission- We enable knowledge superiority to Naval and Joint Warfighters through the development, acquisition, and life-cycle support of effective, integrated C4ISR Information Technology, and Space capabilities.

Vision- Fully Netted in Three

We are the Principal C4I Acquisition Engineering & Integration Center on the East Coast & Principal C4ISR ISEA for the Navy

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Presentation Outline

- **Vision and Strategy**
  - Elements of Implementation
- **Process Asset Library**
- **Tools**
  - ePlan Builder and eWBS
  - Organizational Measurement Repository
- **Training**
  - Training Architecture
  - Courses
- **Results**
- **Going Forward**

Process Improvement and Systems Engineering Strategy - 2003

ÅVision

- ï Develop and maintain a World Class Systems Engineering Organization

ÅApproach

- ï Achieve Command-wide operational consistency
- ï Based on ISO 15288 ï systems engineering
- ï Based on ISO 12207 ï software engineering
- ï Measure using best practices of CMMI®

ÅGoals

- ï CMMI Maturity Level 2 by April, 2005
- ï CMMI Maturity Level 3 by April, 2007

Both Goals attained on schedule

1st SPAWAR Systems Center to Achieve ML2 and ML3

New Goal: Maturity Level 4 by 2010

Which one is World Class?

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When you want it done right,
Who do you want working on it ?

Cutting corners, undisciplined, untrained

Rigorous processes, Skilled resources

Permission to use Redneck Mechanic photo received from Dave Lilligren, 3/9/2007
Permission to use NASCAR Technical Institute photo received from Popular Mechanics, 3/16/2007

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Critical Success Factors

CRITICAL SUCCESS FACTORS FOR SE REVITALIZATION	
Command-wide Policy (Create vision that is urgent)	Assign Responsibilities (Strong Change Agents are essential)
Strategy and Plan (Include knowledge of why change is necessary and benefits)	Provide Training
Senior Management Support	Build Central Repository
Provide Resources and Funding (New Organizational Structure Usually Needed)	Measure and Communicate Progress

SSC-C SE Revitalization Plan Aligned with DoD SE Revitalization

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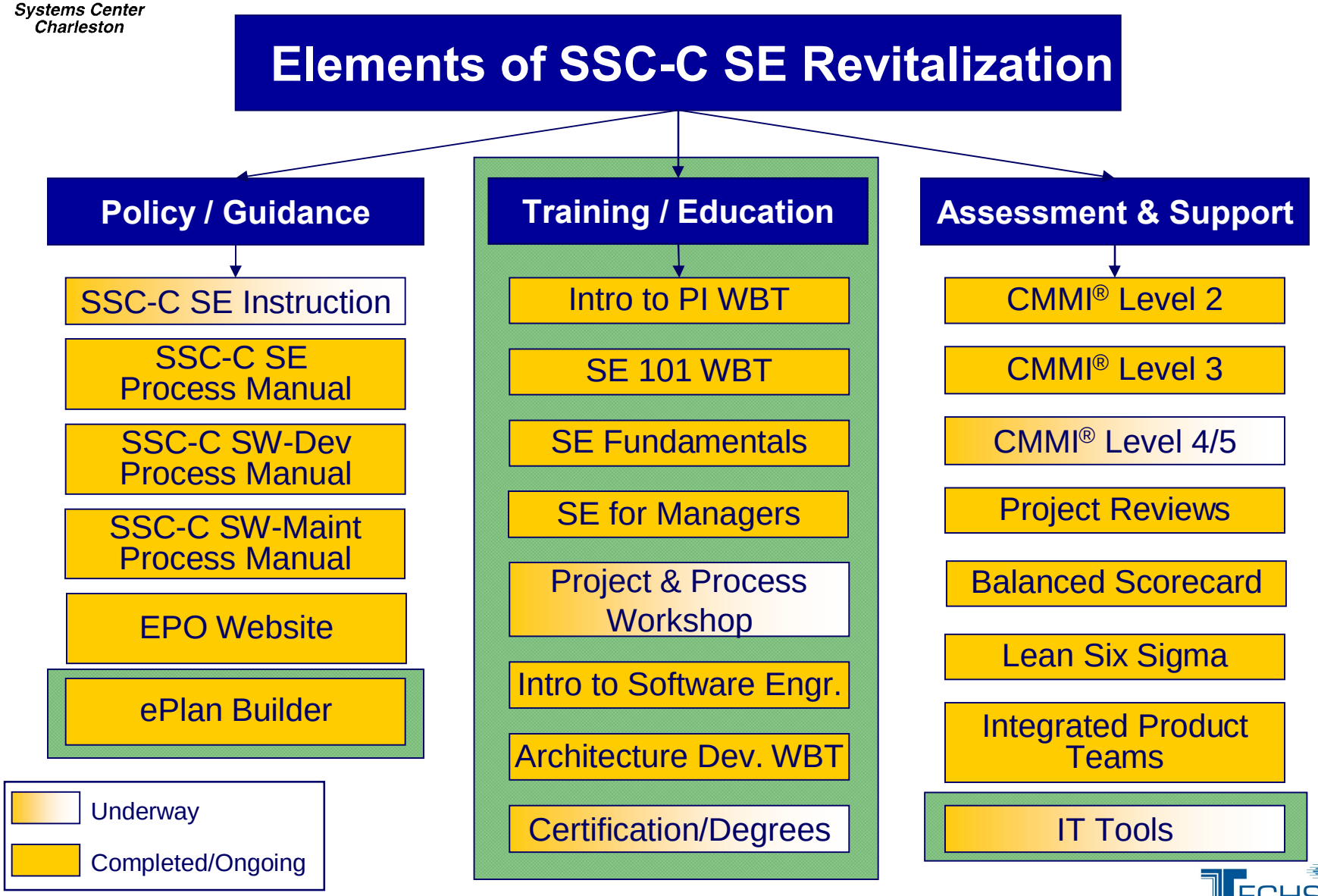

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Process Improvement Infrastructure: Organization

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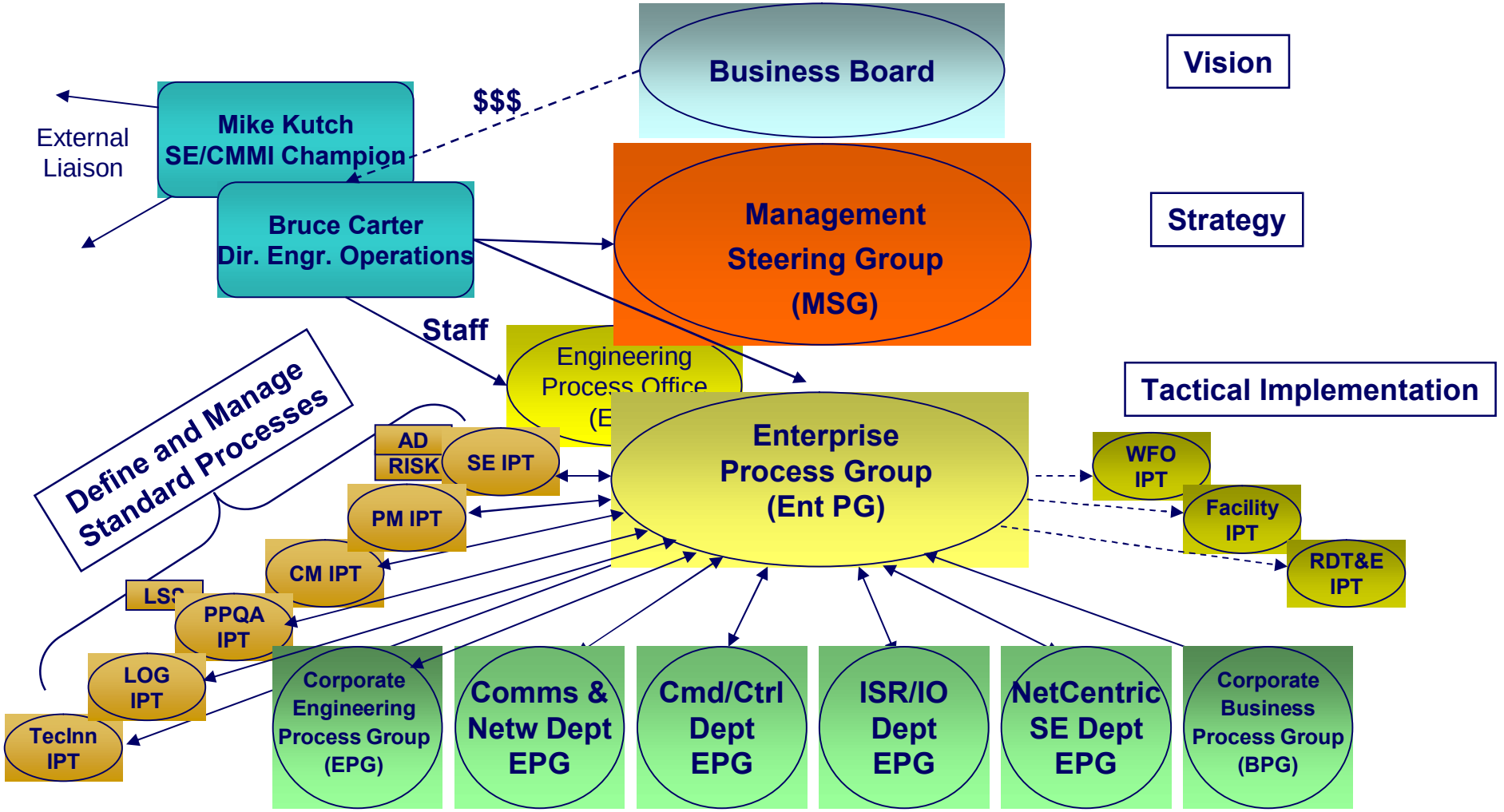
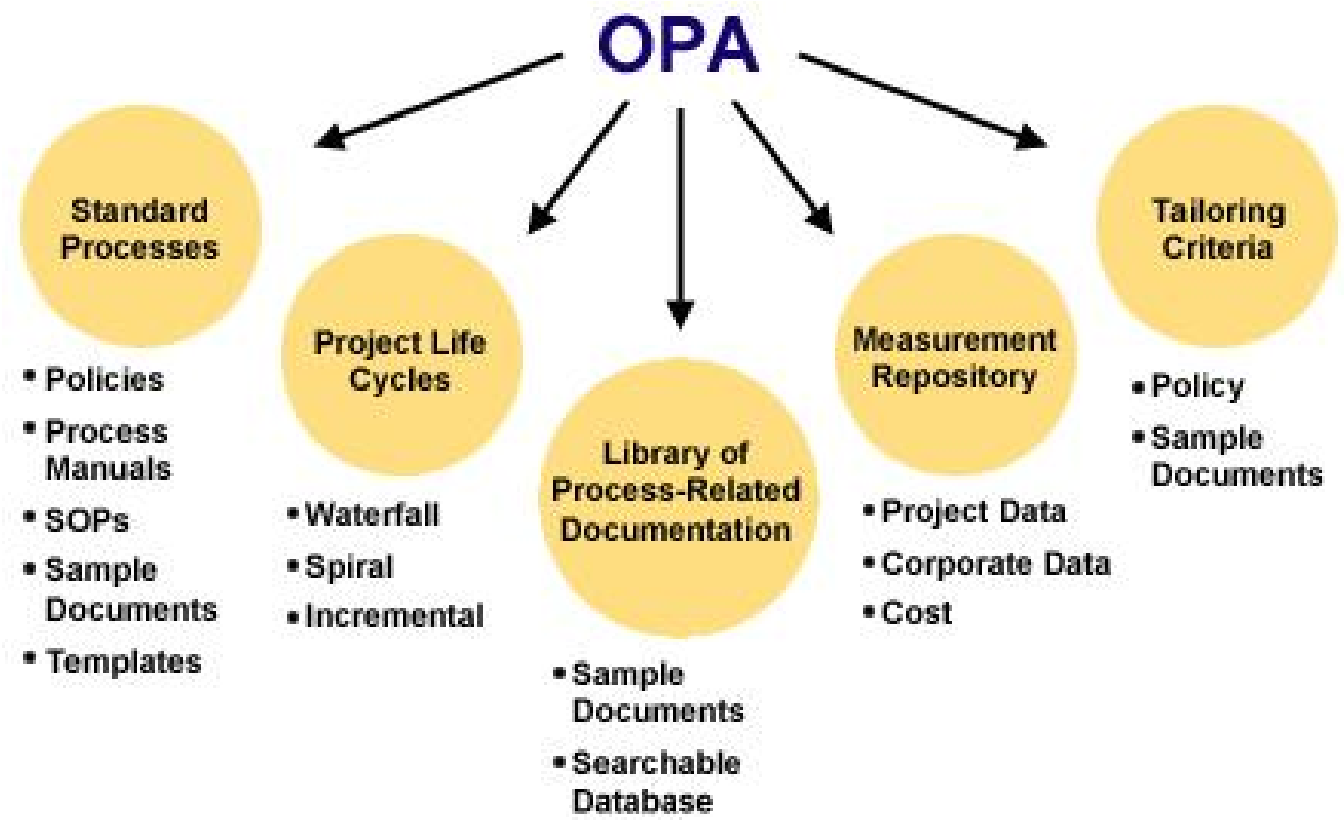


Engineering Process Office (EPO)

- **Supports the Director of Engineering Operations**
- **Developed Policies**
  - Policy for each CMMI Level 2, 3, 4, & 5 Process Area
- **Developed Standard Process Manuals**
  - Top Level
    - Systems Engineering
    - Software Development
    - Software Maintenance
  - Supporting Processes
    - Process Manual for each CMMI Level 2, 3, 4, & 5 Process Areas
    - Additional process documentation as needed – Reviews, Tailoring, etc
- **Develop plan templates**
- **Coach and mentor selected projects**
- **Build tools**
- **Develop and deliver training**
- **Perform interim assessments**

Process Asset Library

Recognized early need for central repository for Organizational Process Assets



EPO website provides access to all SC-C's organizational process assets

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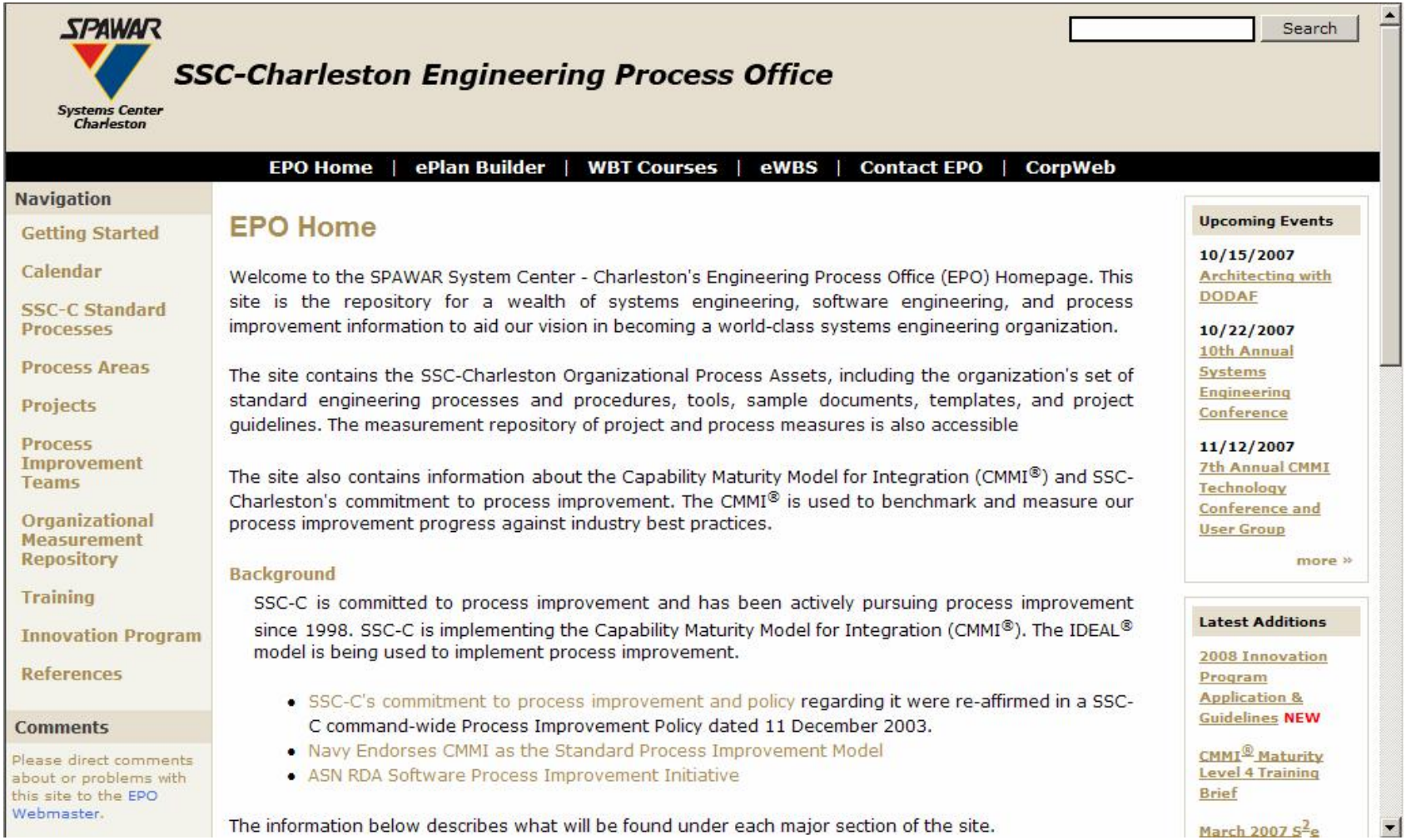
Approximately 100 pages of content; over 1000 documents available



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Process Area Pages

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Each CMMI process area has a standard page with links to policy, process manual, SOPs, Sample/Project documents, and other resources

Projects Section

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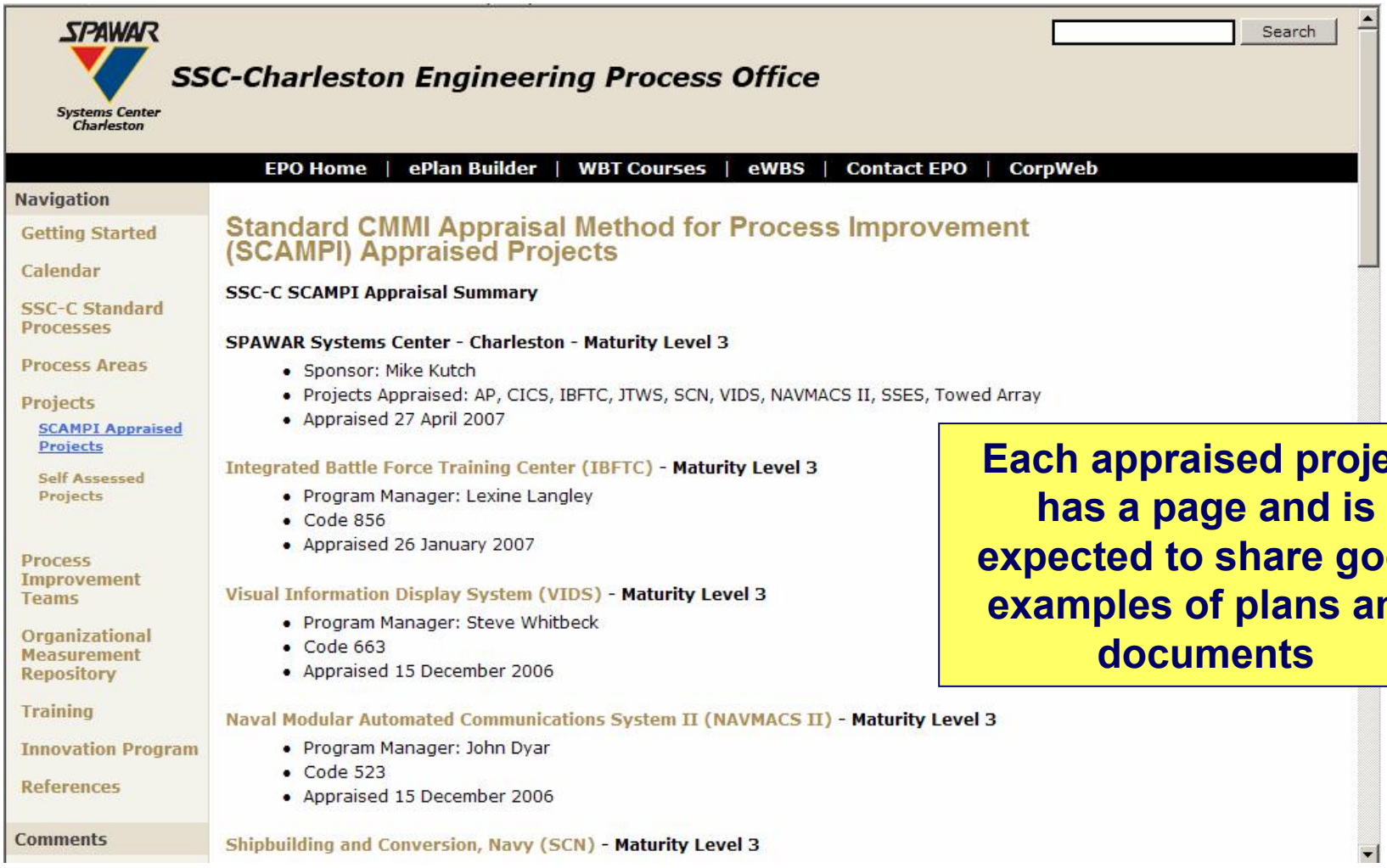

Each appraised project has a page and is expected to share good examples of plans and documents

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Tools

- ePlan Builder
- Organizational Measurement Repository
- Appraisal Wizard

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ePlan Builder Tool

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ePlan Builder tool

- An interactive, web-based application that leads the user through a structured interview process (like TurboTax®) to generate a CMMI®-compliant plan
- Includes standard, consistent text
- Generates an initial project-specific document
  - Project Management Plan (with Work Breakdown Structure)
  - Configuration Management Plan
  - Process and Product Quality Assurance Plan
  - Requirements Management Plan
  - Measurement and Analysis Plan
  - Supplier Agreement Management Plan (by end of 2007)
  - Systems Engineering Plan (DoD SEP Format)

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EPB – Select Tasks for each Role

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SPAWAR Space and Naval Warfare Systems Center Charleston

ePlan Builder
Electronic CMMI® Compliant Documentation Application

Save Quit Help

Sponsored by the Director of Engineering Operations (O9K) - Michael Kutch

Home → Project Setup → Document Setup → Build → Generate ✓

PMP

- ORGANIZATION
  - Organization
  - Organization Chart
  - Program Manager Tasks
  - Project Leader Tasks
  - Systems Engineering Tasks
  - Security Engineering Tasks
  - Software Engineering Tasks
  - Test Engineering Tasks
  - Configuration Manager Tasks
  - Quality

0 50 100

Project Leader Tasks

The Project Leader is responsible for establishing and maintaining the project plan.

Please identify the specific responsibilities of the Project Leader.

- ☑ Coordinates all activities of the prime contractor and subcontractors
- ☑ Assigns specific responsibilities to subcontractors [PP GP 2.4]
- ☑ Discusses technical issues from the Government with subcontractors
- ☑ Discusses technical issues from the subcontractors with the Government
- ☑ Manages the project cost and schedule [PMC 1.1]
- ☑ Resolves any inconsistencies in the requirements [PMC 2.2]
- ☑ Mitigates project risks [PMC 1.3]
- ☑ Manage and resolve corrective actions [PMC 2.2] [PMC 2.3]
- ☑ Provides prime contractor and subcontractor work products and deliverables to the Government

Please enter any additional specific responsibilities of the Project Leader.

Task

Tailor each role from pre-defined list of tasks and/or add custom tasks

Note mapping to CMMI® generic and specific practices

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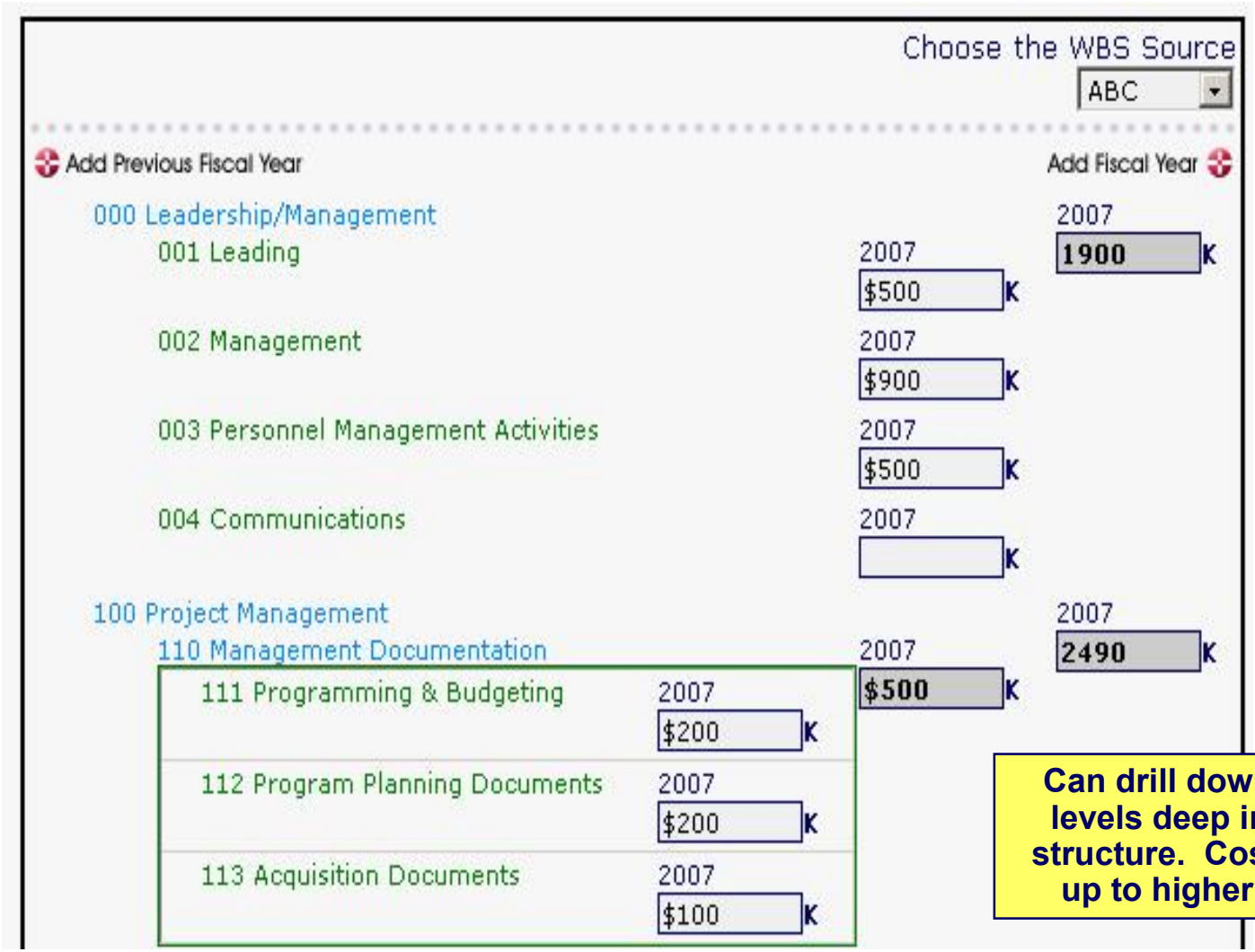
Work Breakdown Structure (WBS) in a Project Management Plan



Cost estimates entered using the SPAWAR global WBS or the SSC-C Activity Based Costing WBS

ePB accommodates multi-year projects

Can drill down three levels deep in WBS structure. Costs sum up to higher level.

Risk Identification in PMP

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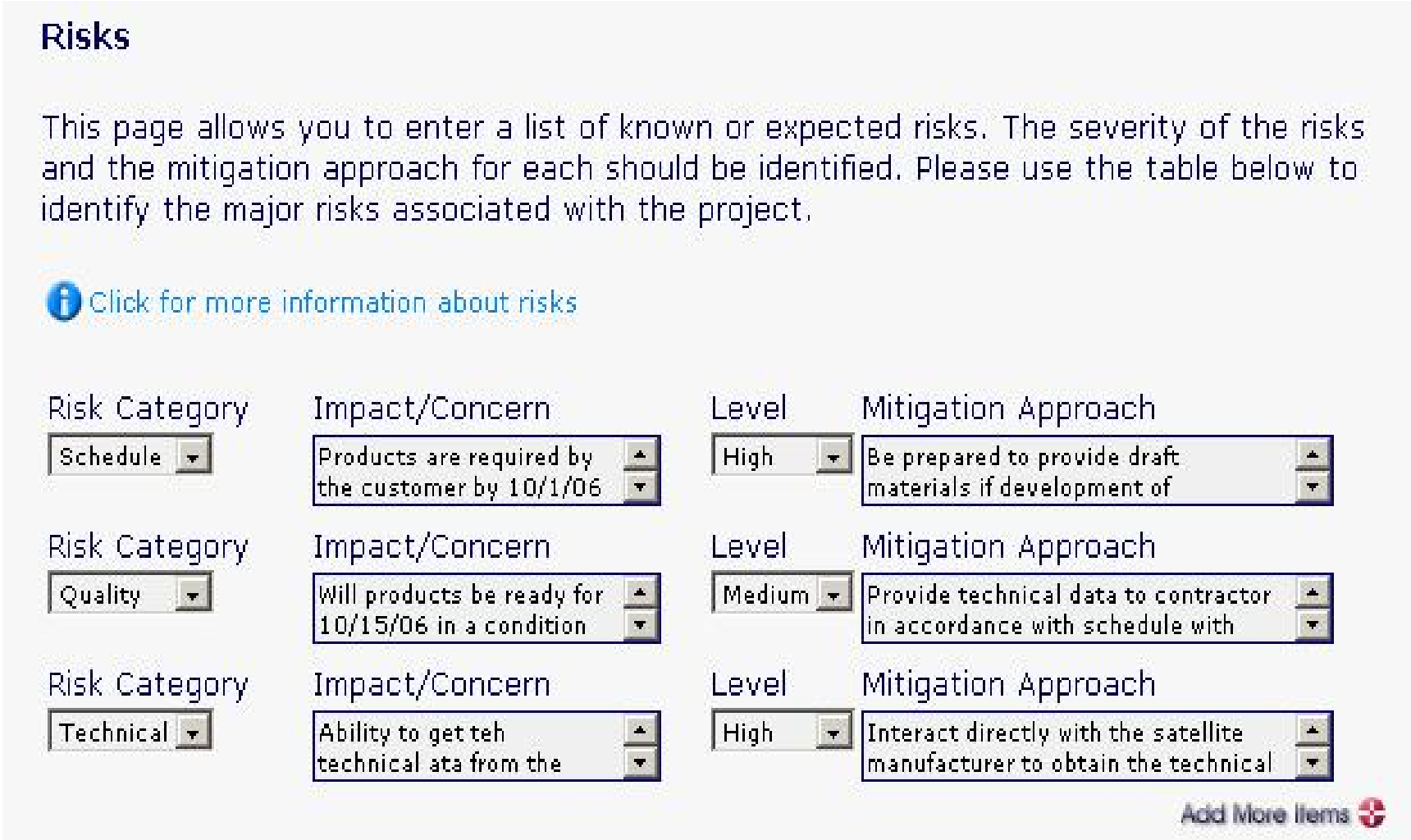

PMP may also reference a more comprehensive Risk Management Plan

Measurement & Analysis Plan

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Cost, Schedule, and Process Performance are standard categories of measures

Cost is a measure within the Financial Performance category that measures the cost for activities, events, and products. The measure provides an easy-to understand view of the budget. Comparison of planned and actual cost data provides insight into significant and repetitive cost changes at the activity level.

While more detailed cost information provides more insight into the project's total cost, until the project personnel have achieved a certain level of proficiency in estimating costs, it is recommended that the cost data should be captured at a level commensurate with this level of experience.

Collection and Storage

Collection, Storage, and Analysis is defined for each Project measure

Identify the level of detail for capturing cost data
Project Level

Please select how the Project Leader will report contract costs from the list below. If the Project Leader is not responsible for managing contracts, select "Project".
Project

Identify who will provide the actual cost data:
Project Leader

Identify the tool to be used to collect cost data:
BSA and PMACS

Identify how often the actual cost data will be collected:
Monthly

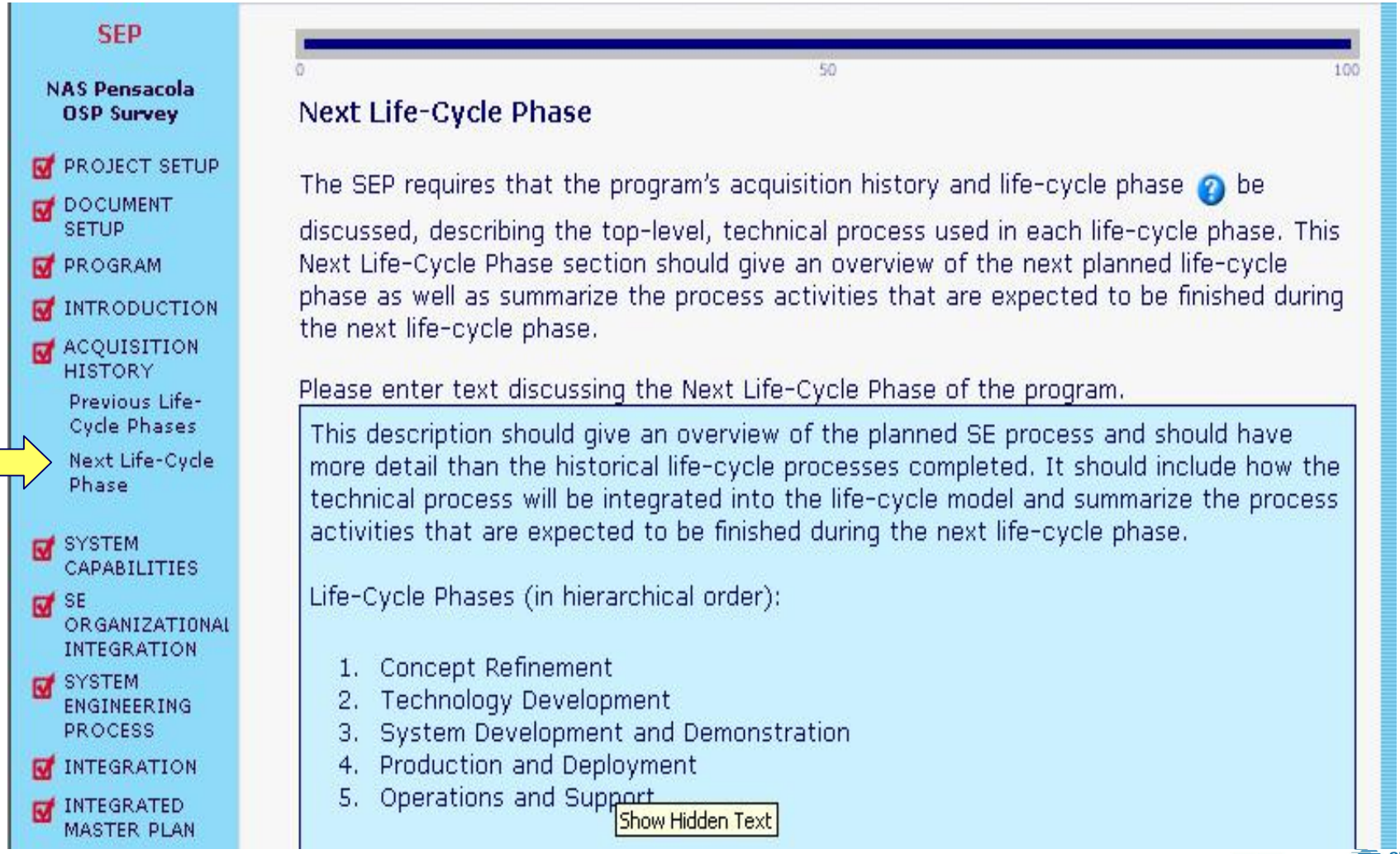
Analysis Procedures

Identify how often the cost data will be analyzed:
Monthly

Identify the cost alert threshold:
95%

Systems Engineering Plan (SEP)

SEP format follows the DoD SEP Preparation Guide



Systems Engineering Plan (SEP)

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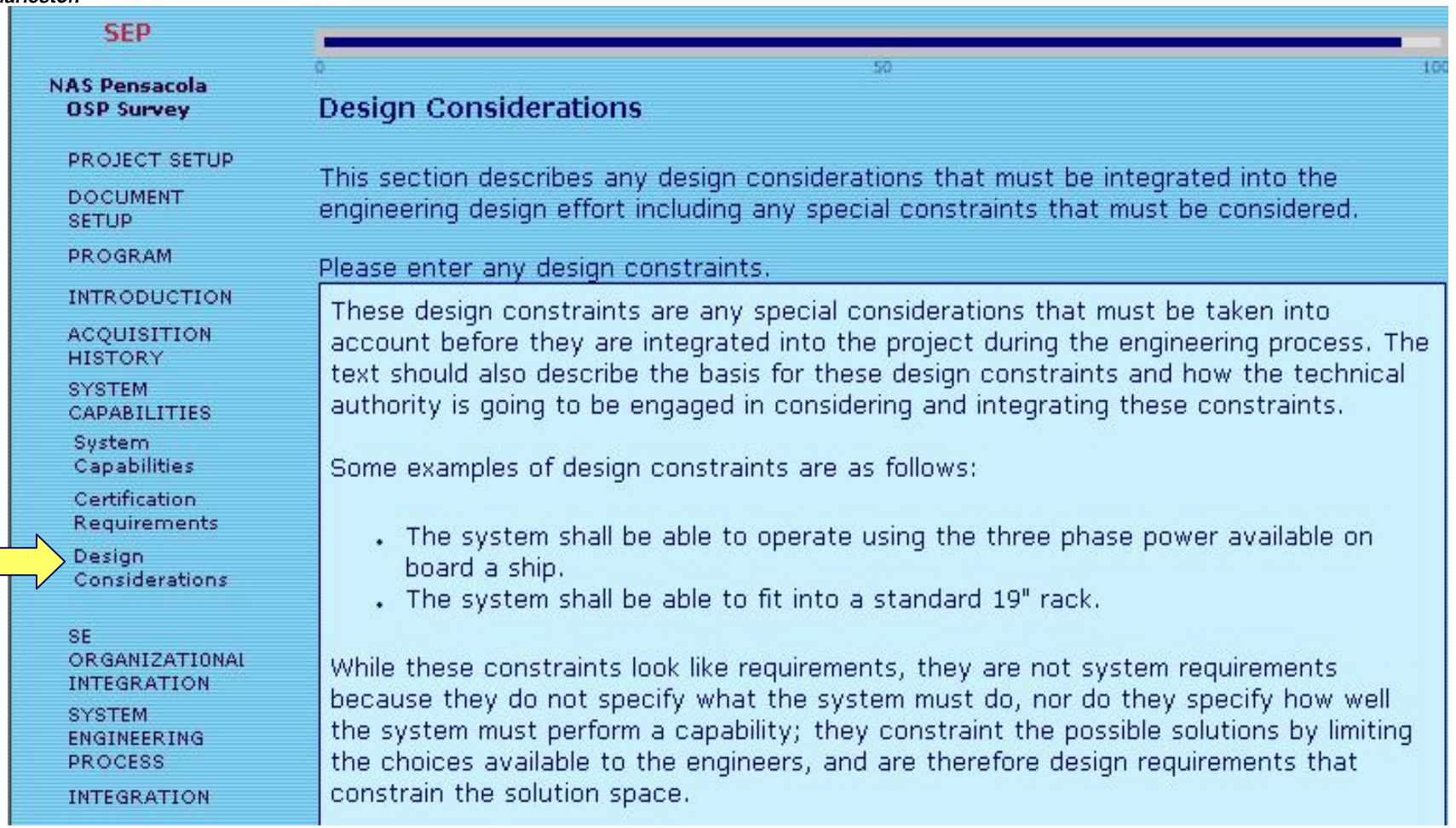

The nature of the SEP requires more open input text fields, but EPB helps by providing elaborations and examples for the user

SEP – Planned Trade Studies

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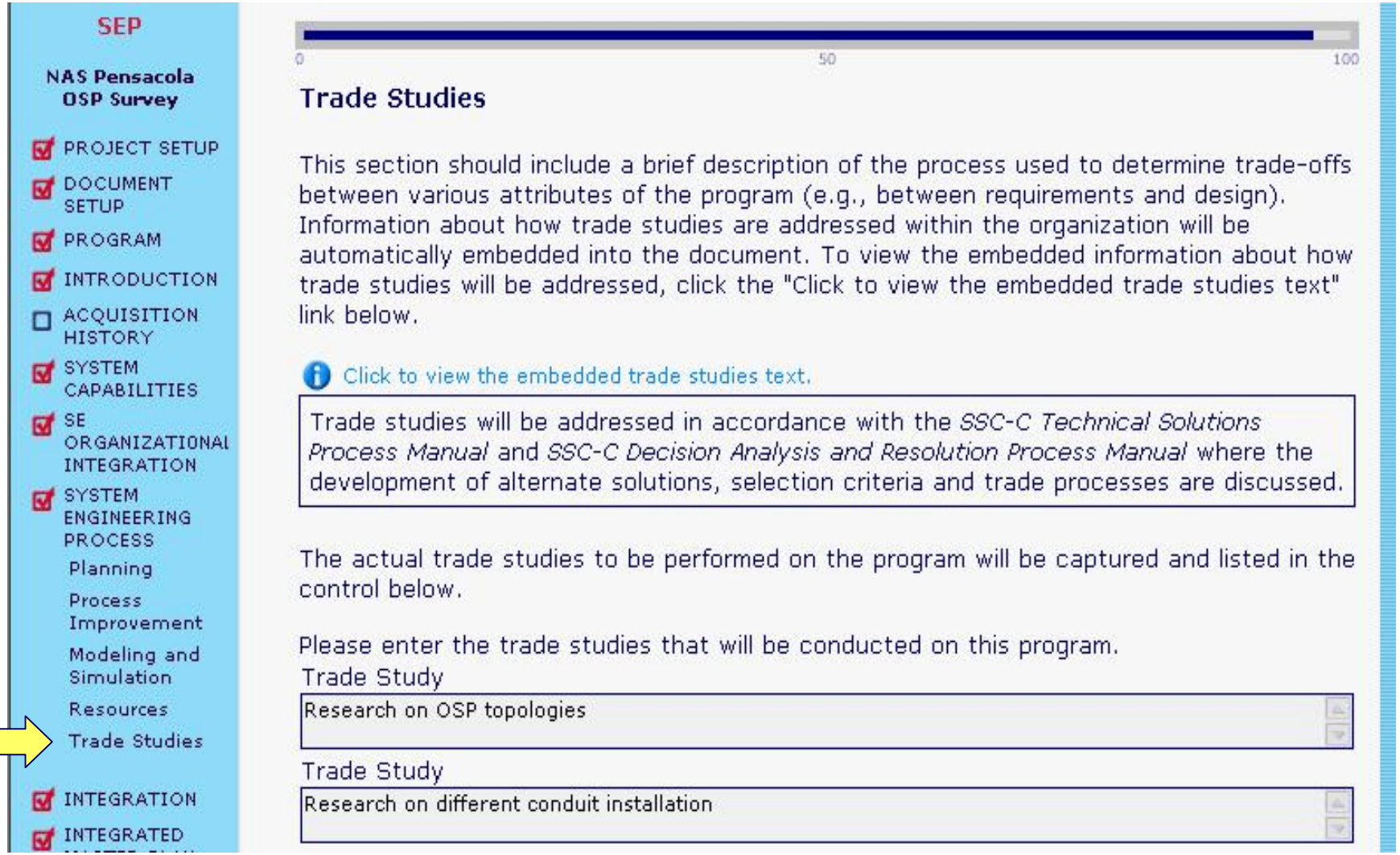

ePB Output
SEP Table of Contents

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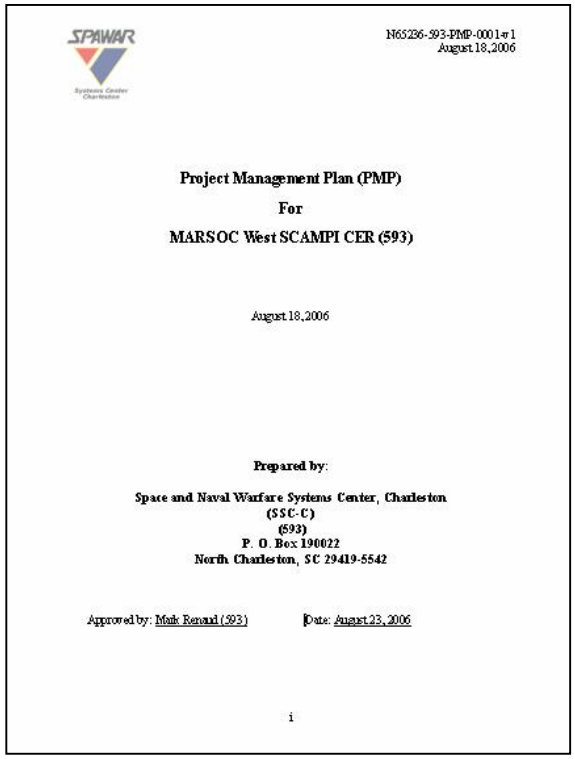

N65286-593-PMP-0001-r1
August 18, 2006

Project Management Plan (PMP)

For

MARSOC West SCAMPI CER (593)

August 18, 2006

Prepared by:

Space and Naval Warfare Systems Center, Charleston
(SSC-C)
(593)
P. O. Box 190022
North Charleston, SC 29419-5542

Approved by: Mark Renaud (593) Date: August 23, 2006

i

Table of Contents

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Appendix – CMMI® Compliance Matrix

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Compliance matrix cross references CMMI® practices with associated SSC-C Process Manual and Project-specific plan

(No matrix for SEP)

PROJECT PLANNING

CMMI®-SE/SW Goal/Practice Number	CMMI®-SE/SW Level 2 Process Area Project Planning (PP)	SSC-C PP Process Manual Paragraph	593 PMP Paragraph
1	Establish Estimates. Estimates of project planning parameters are established and maintained.	3.2	1.2.1
PP 1.1	Estimate the Scope of the Project. Establish and maintain a top-level work breakdown structure (WBS) to estimate the scope of the project.	3.2	1.2.1 3 Appendix A
PP 1.2	Establish Estimates of Project Attributes. Establish and document estimates of the attributes of the work products and tasks.	3.2	1.2.1 1.3
PP 1.3	Define Project Life Cycle. Define the project life cycle phases upon which to scope the planning effort.	3.2	1 1.2.1
PP 1.4	Determine estimates of Effort and Cost. Estimate the project effort and cost for the attributes of the work products and tasks based on estimation rationale.	3.2	1.3 1.2.1 Appendix A
PP 2	Develop a Project Plan. A project plan is established and maintained as the basis for managing the project.	3.3	1 1.2.1

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ational Measurement Repository (OMR)

- Organizational database for collecting standard project measures and providing analysis
- Currently, the OMR accepts the following standard project measures

Category	Core Measure
Schedule Performance	Estimated vs. Actual Milestone dates Estimated vs. Actual Monthly Task completions
Cost Performance	Estimated vs. Actual Milestone costs Estimated vs. Actual Monthly costs
Process Performance	Total # of noncompliance issues

OMR Structure

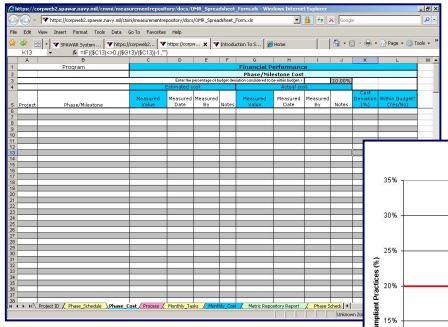
OMR Datastore

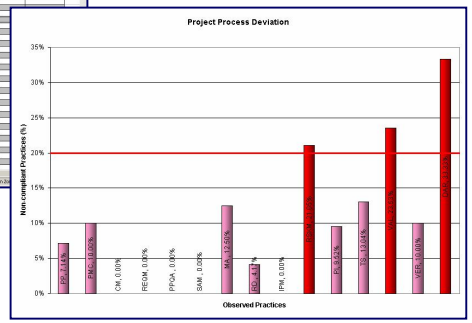
OMR Client Application

Metrics Inputs

Analysis

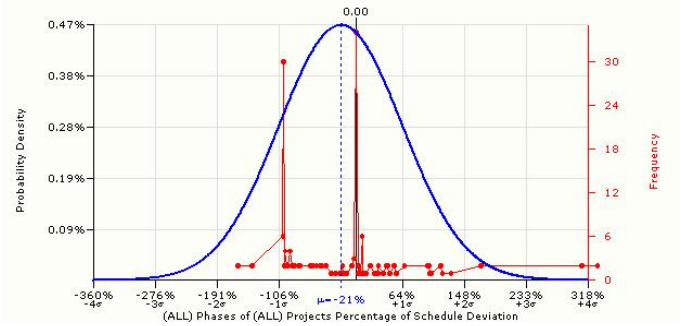
Project Performance





Organizational Performance & Analysis

Population Size: 172
Mean: -21.22%
Median: -6.22%
Mode: 0.00%
Min: -163.12%
Max: 330.77%
Variance: 71.85%
Standard Deviation: 84.76%
Probability of X < Min: 4.75%
Probability of X > Max: 0.00%
Probability of Min < X < Max: 95.25%



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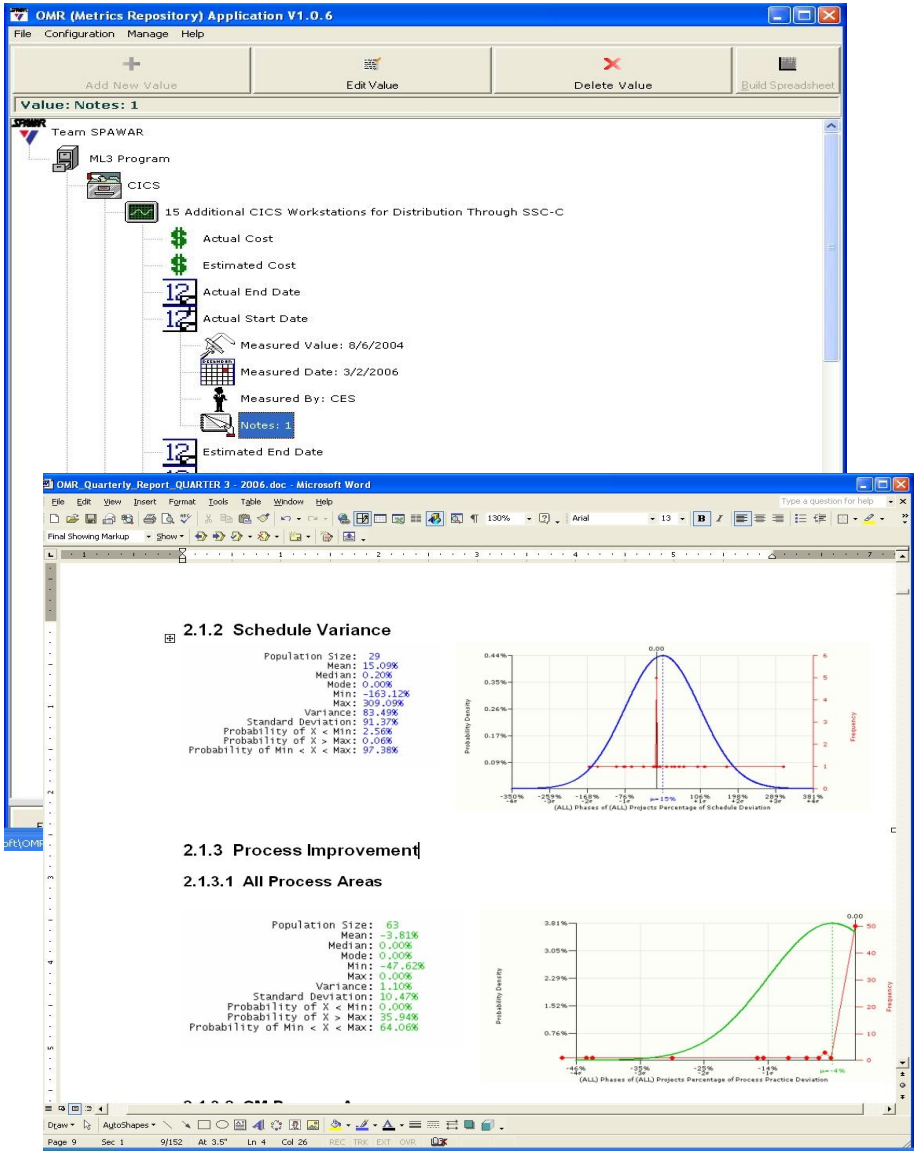
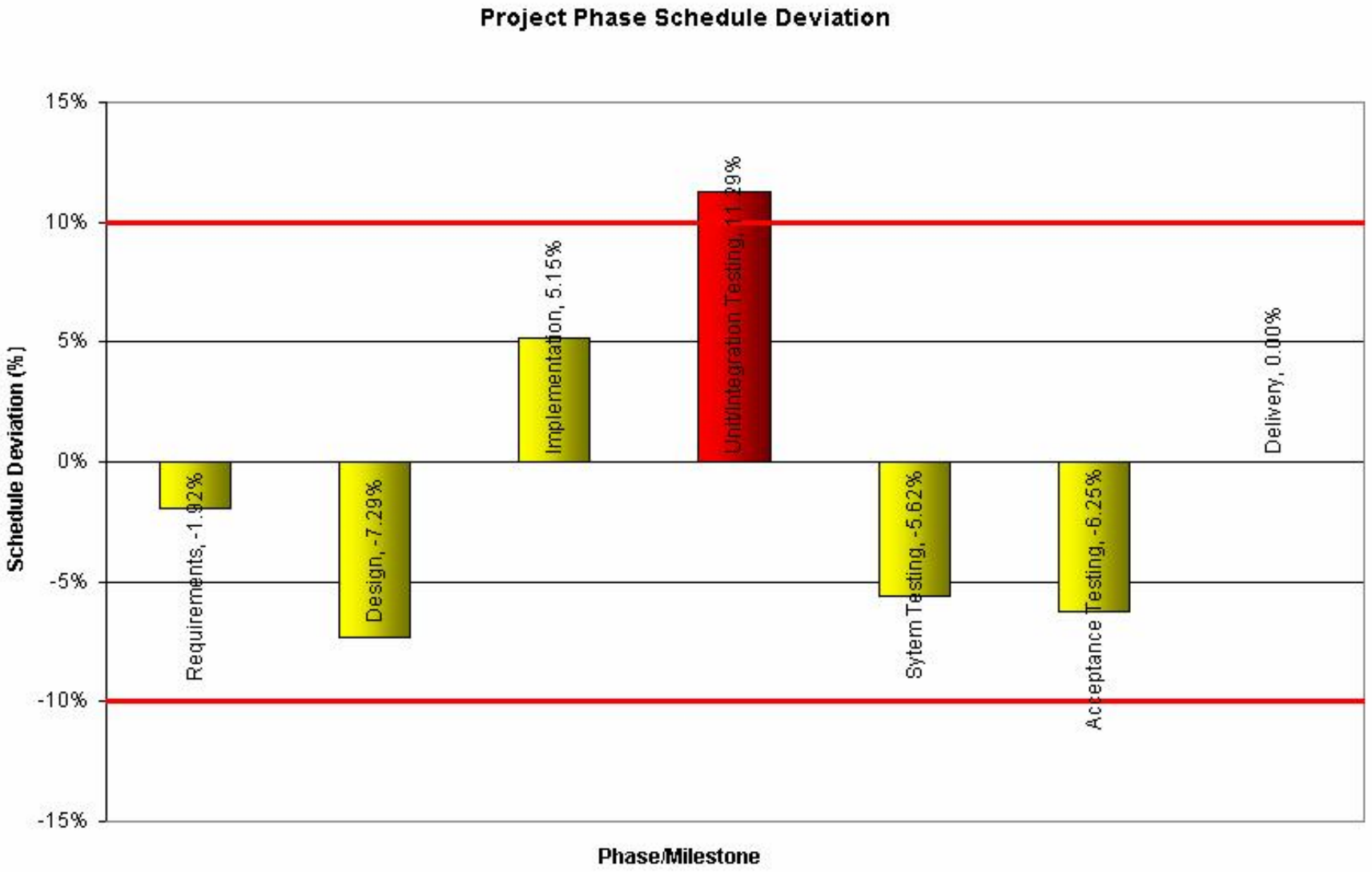
OMR Application

- Provides interface for input and query functions
- Generates quarterly organizational report
- Projects can use to manage own projects
  - Capture standardized cost, schedule, and process performance
- OMR implementation included hands-on training
- Laying the groundwork for higher maturity



OMR Reports
Project-Level Schedule Deviation



Additional/Modified Measures To Be Implemented in OMR

Category	Core Measure
Cost Performance (More granularity)	**Å Government vs Contractor budget** ï ODC ï Travel ï Training ï Materials
Quality	**Å Peer Reviews** ï Effectiveness ï ROI (hours expended vs hours saved) **Å Pre-Deployment Defect Detection/Prevention** ï Defect decrease for successive phases ï PITCO vs SOVT defects **Å Post-Deployment Defects**

Need improved project and organizational measures to address Maturity Level 4/5 requirements

Appraisal Wizard Tool Used for SCAMPI Appraisals

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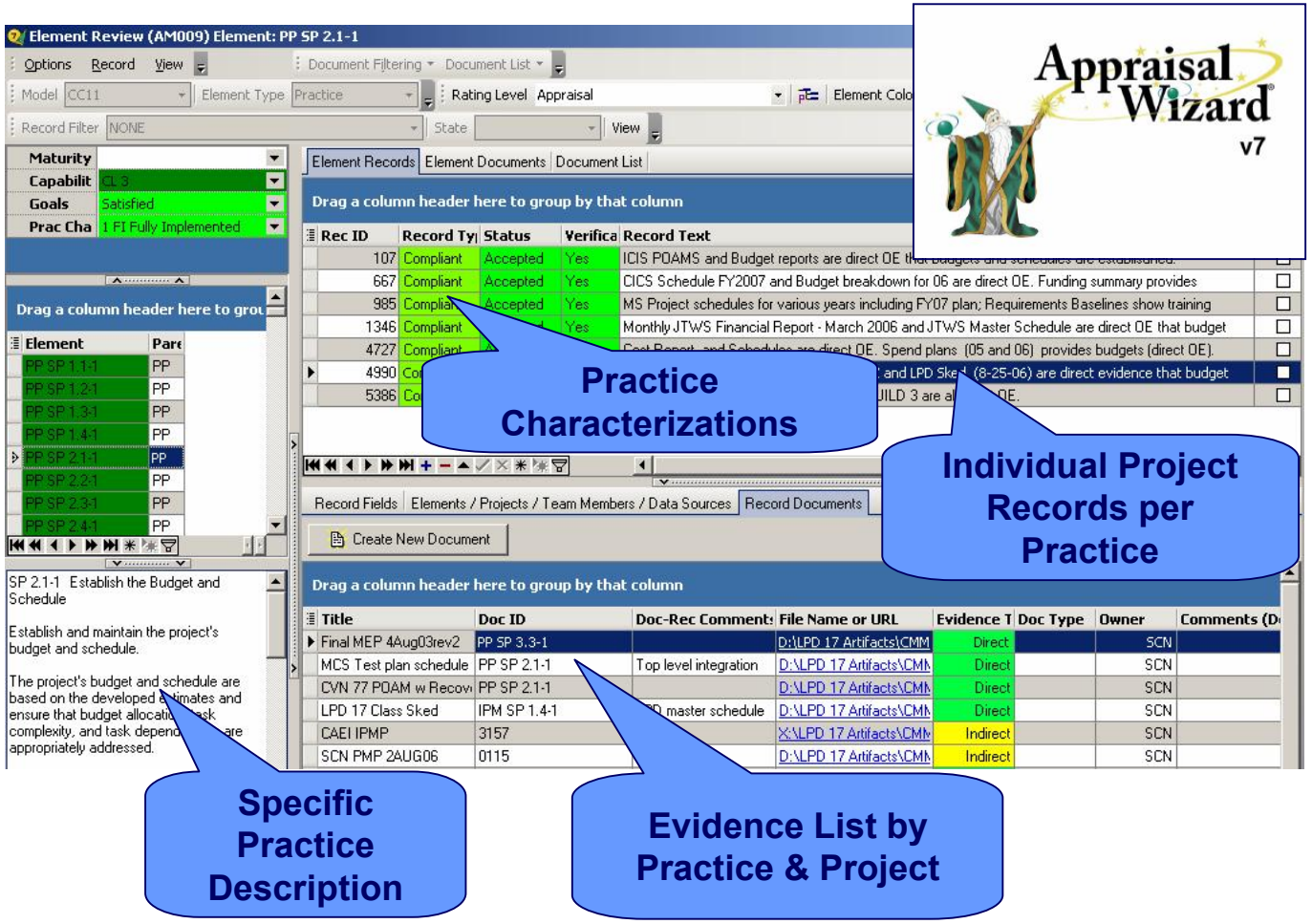
- Designed for CMMI appraisals
- Link to project documents
- Easy to configure
- Captures team comments
- Improves efficiency of appraisal team



Appraisal Wizard is a product from Integrated Systems Diagnostics, Inc.
http://www.isd-inc.com

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Training

- Training Architecture
- Courses

SE & PI Training Architecture

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Foundation of PI and CMMI®

- PI WBT
- SEI Intro to CMMI® 3-day

Core SSC-C project and engineering processes (Level 2 and 3)

- Engineering Project & Process Mgmt Workshop
- SE for Managers
- SEMP Workshop
- SE Fundamentals
- Intro to Software Engineering
- SE 101 WBT
- Architecture Dev. WBT
- Risk Management WBT

Subject Matter Experts - Use commercially available on-site classes

- Quality Engineering
- Risk Management
- Requirements Analysis

Prepare Projects for BSC or SCAMPI

- Appraisal & Assessment Workshop

Existing
Existing - revise
New - develop
New - buy

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Intro to Process Improvement WBT

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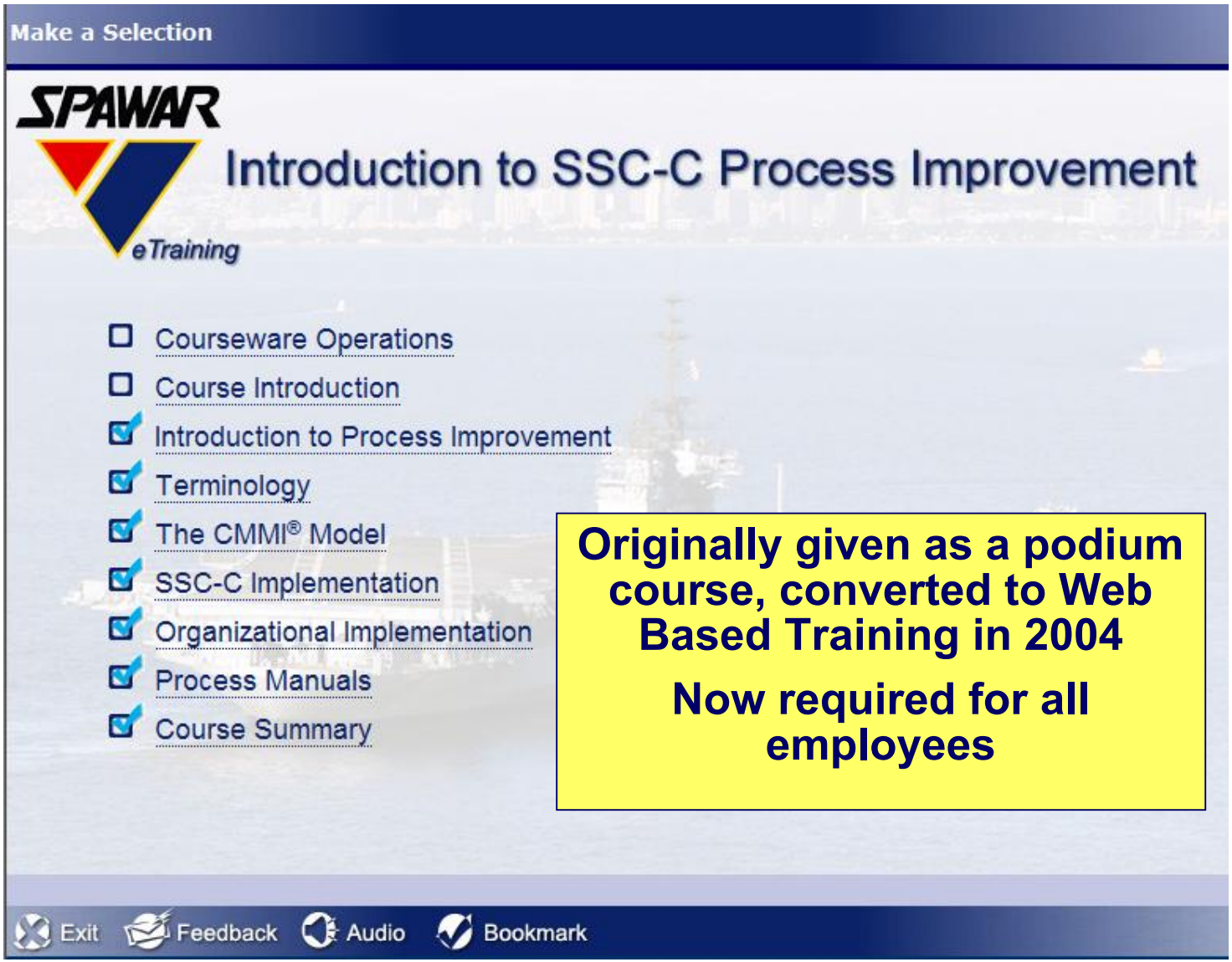

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SEI Intro to CMMI® for SSC-C

Taught on-site since Apr. 2004

- **3-day *Introduction to CMMI®* course teaches the full CMMI® model**
  - Students learn how the best practices build and relate across process areas
  - Learn the terminology
- **SEI-Authorized instructors are well-versed in our implementation to augment material with SSC-C specific content**
  - Highlight SSC-C tools and resources
  - Actively involved in projects, teams, and infrastructure
- **Over 350 employees trained**
  - Want to build a cultural foundation within the engineering departments

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Systems Engineering Training

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3-day on-site, classroom course

- Based on SMU SE Masters course
- Customized to incorporate SSC-C SE process
- Over 340 SSC-C engineers trained

1-day SE for Managers course added

- Over 60 SSC-C managers trained

Began in Dec. 2004

"It was extremely beneficial to have a professor with extensive knowledge of the subject matter and one who could apply it to the SPAWAR methods."

"The most positive aspects I took from the class was the visual correlation with what was asked for and what was produced."

"I would recommend it to all the program leads/engineers."

Student Feedback

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New On-Site Courses

- **Risk Management**
  - Piloted in September, 2007
    - 4-day course
  - Designed for Risk Managers or Project Managers
- **Engineering Project & Process Mgmt Workshop (aka SE Process Improvement)**
  - Focus on how to use the SSC-C processes on your project
    - Using ePlan Builder to develop plans
    - How to establish your CM and PPQA procedures
  - Round 2 of curriculum review completed in September
- **Quality Assurance (FY2008)**
  - Initial discussions held with ASQ certified instructor to tailor course for Quality Managers at the project level

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b Based Training (WBT) Modules

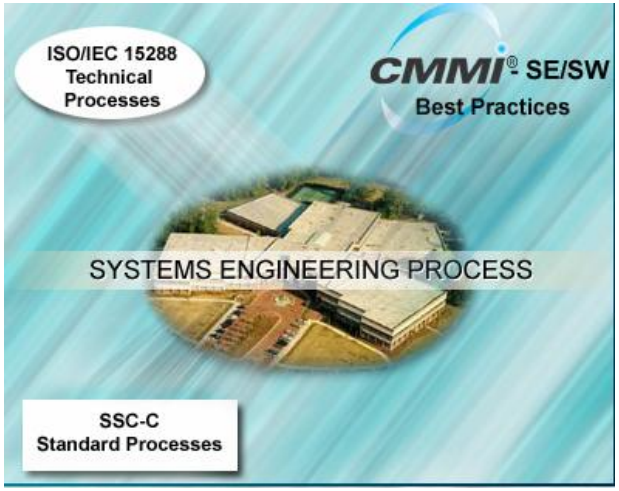
- **Developed to directly meet SSC-C's needs**
  - Embedded links directly to SSC-C documents and SOPs
  - DAU too ACAT-level/large program oriented
- **WBTs feature extensive branching and rollovers**
  - Better course flow and maintains interest
  - Provides more detail for those interested
- **Audio summary on many pages**
- **Bookmark progress – come back later**
- **Courses developed to be NMCI and 508 compliant**
  - Utilize HTML, JavaScript, and ASP pages with SQL Server database
  - Designed for Internet Explorer (5.5 +), Flash (5.0 +), Windows Media Player (9.0 +)

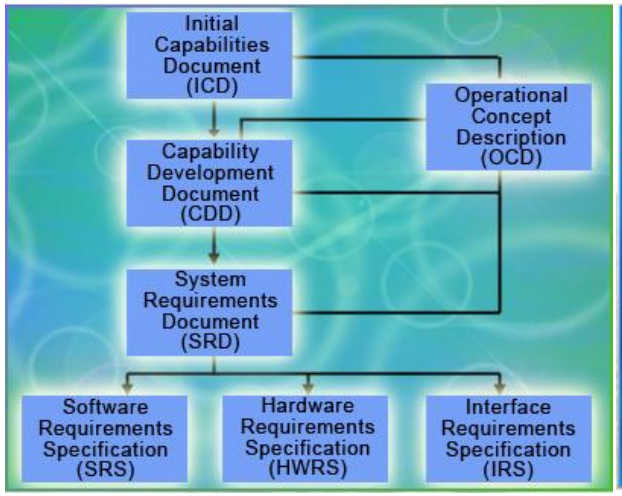
SE 101 Web-Based Training

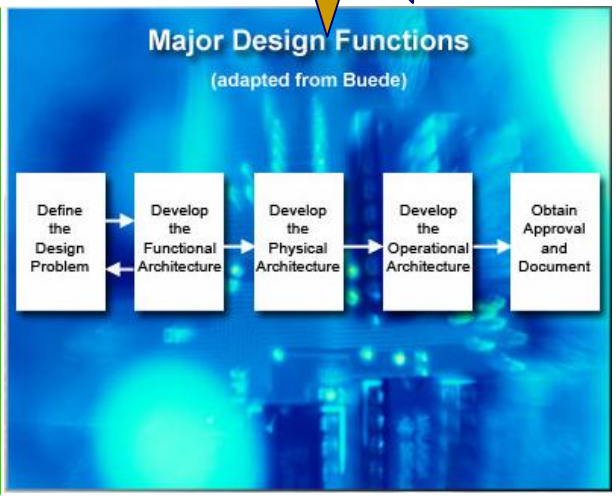
Introduction to Systems Engineering

- 10-module web-based training (~16 hours)
- Closely aligned to SSC-C SE Process, SE Fundamentals Course, ISO/IEC 15288 and IEEE standards
- Includes hotlinks to referenced documentation
  - Process manuals, policies, standards
  - Great for Topic-specific refresher training

Released in Jan. 2006

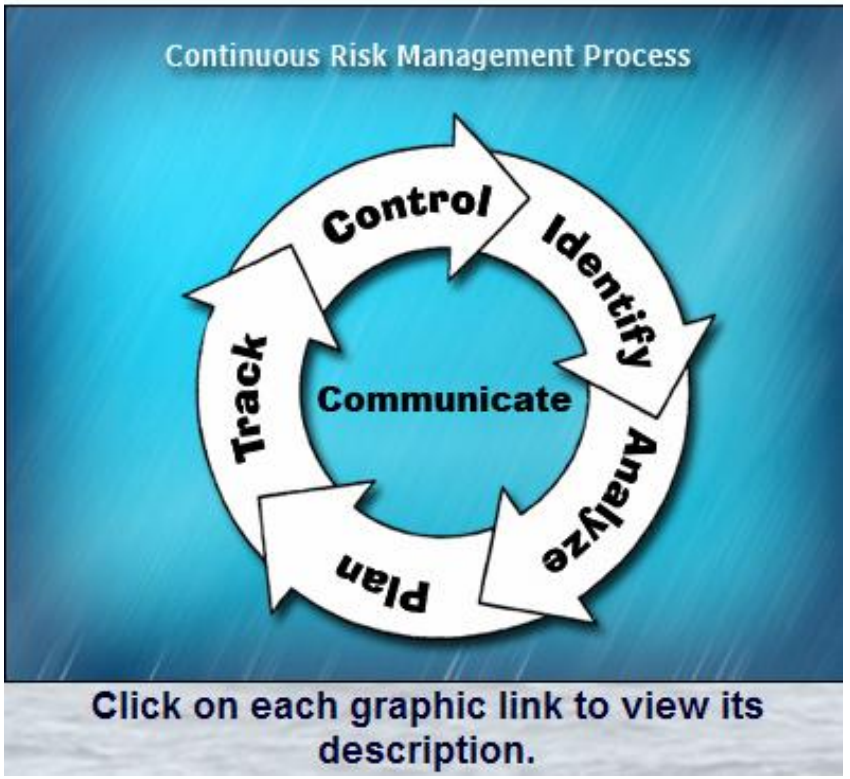






Risk Management WBT

- **Topics**
  - Risk identification
  - Analysis tools and techniques
  - Mitigation planning
  - Risk monitoring
- **Section Test Questions**
- **Hot Links to Examples**
  - SSC-C Formats
  - Project Risk Reports
  - Tools
  - DAU / External resources

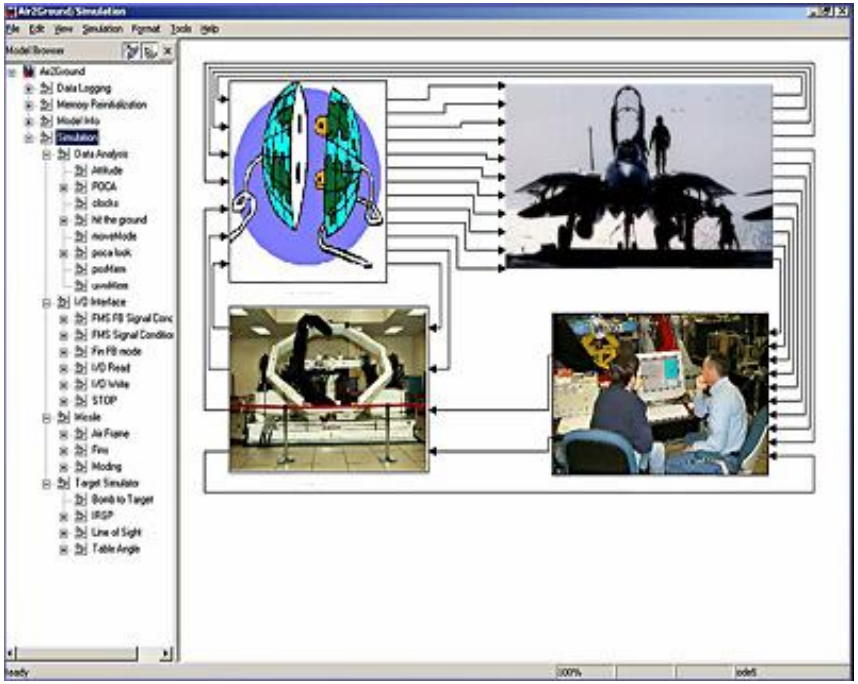


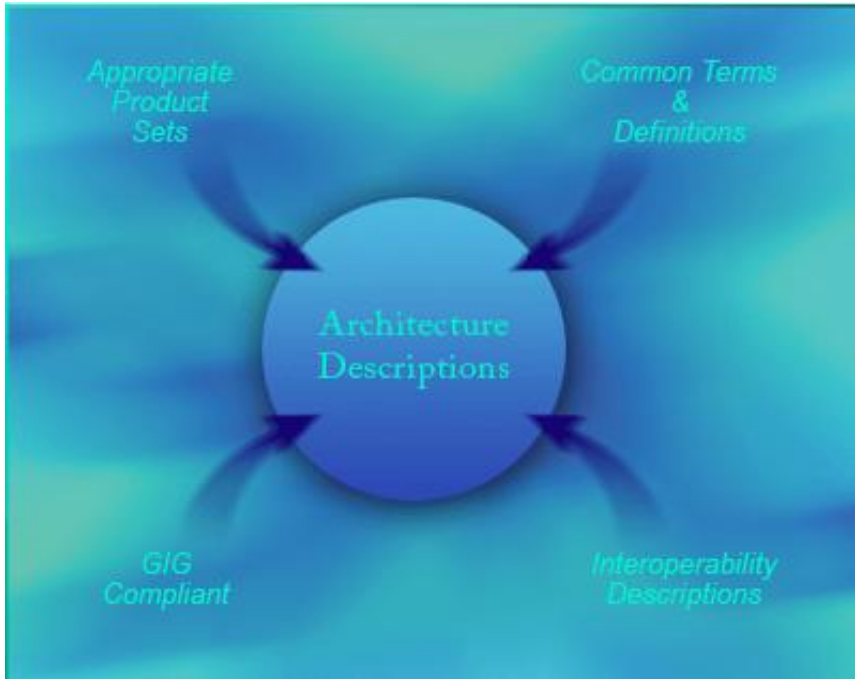
More relevant and understandable for SSC-C than the DAU module

Architecture Development WBT

Introduction to Architecture Development and DoDAF

- Designed to educate and promote value of system architecture to non-architects and new engineers
- Tests for understanding after each section





Summary and Results

What We Have Accomplished

- **Process Focus**
  - Defined Policies and Processes
  - Aligned with DoD and SPAWAR guidance
  - Aligned with industry standards and CMMI® model
  - Built organization structured around processes and process improvement
- **Training is Critical**
  - Providing Fundamentals of Engineering for new and old professionals
  - Developed web-based training for ñself-pacedò and refresher training
  - Defining a structured technical career development path for engineers
- **Tools for the Engineers**
  - Developed *ePlan Builder* application to generate planning documents
  - Developed templates, checklists, and web-based document repositories to link standards and DoD guidance to day-to-day tasks and processes

Early and persistent Systems and Software Engineering applied to programs and projects

Lessons Learned

- **Senior Management support is critical to success**
- **Training**
  - Everyone needs to be engaged ï ñtrain the massesò
  - Specific training for process owners/subject matter experts
- **Utilize Teams (IPTs) as champions of specific processes**
  - Multi-department representation
  - Change agent mentality
  - Process-focused charters
- **Resource Properly**
  - Implement with projects that want to improve, can benefit from efforts, and that recognize own weaknesses
  - EPO staff provided skilled coaching, resources, support, and tools
  - Project members learned by doing and maintaining
- **Goals and Publicity**
  - Keep goals to sizable bites (projects)
  - Publicize successes; Share best practices

Is the SE Revitalization Working ?

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- **Recognition of SE and CMMI effort**
  - 1st SPAWAR Systems Center to achieve Maturity Level 2 (2005)
  - 1st SPAWAR Systems Center to achieve Maturity Level 3 (2007)
  - Multiple presenter at NDIA SE and CMMI conferences
    - High interest in Tools, Training, and Implementation

Is the SE Revitalization Working ?

Business Results

- SCN: "They see us as a model and want to increase our efforts."
- Automation Program: "We had hundreds of sites and there was a need for a structured organization to put a "wrapper" around that and control it. CMMI became the wrapper."
- CICS: "CMMI was key to achieving the project goal."
- VIDS: "The VIDS failure (2000) motivated implementing CMMI because the team needed to change course or the customer would have no confidence in system development. It was a tremendous success" "

Others Asking for Help

- PMS 408 - CREW program
- SESG / NAVAIR / NAVSEA
- Marine Corp - Quantico
- Air Armament Center, Eglin AFB

Going Forward

- Increase usage of tools across departments/projects
- Add additional plans to ePlan Builder as needed
- Continue internal CMMI Level 3 mini assessments
- Enhance/Expand OMR
- Command and Department Project Reviews process
  - Look at quality of plans and implementation of best practices
  - Reviews of project status by management driven by project metrics
  - More Peer Reviews to measure ñsavesò
- Better tailoring guidance for smaller projects

Begin Maturity Level 4/5 implementation

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Any Questions?

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