

# Breakthroughs, the Product of Innovators

By Burt Rutan



# Breakthroughs: Why

- Technical accomplishment
  - Defines our species - separates us from other animals
  - Satisfies desire for continuous improvement
  - Provides for 'well being'
- Without breakthroughs
  - Boredom and mediocrity
  - Low expectation of future
  - Degradation of national security

# Breakthroughs: When

- When do breakthroughs occur?
  - During or shortly after:
    - Crisis, chaos, “bad” times
  - Not:
    - During tranquil, stable, “good” times
    - When highest priority is equal status of populous
- We are creative when scared

# Breakthroughs: How

- Breakthroughs cannot be specified by massive funding
  - Example: Low cost space access was the **goal** of the Space Shuttle Program
- Breakthroughs occur due to the working environment
  - Kelly Johnson ‘Skunk Works’

# Breakthrough Observations

R & D experience has **inverse** relationships

- Value of product....Self-perceived sophistication of customer
- Content of new technologies....Program timeline
- Product's worth....Risk averse role of managers

# The management of innovators

Manager's only tasks: Set goal and get funding

- Set goal high (50% should say impossible)
- Reward achievement of goal (power of a prize)
- Let the innovator decide what risks to take
- Leave them alone and keep others out
- Applaud courage and expect multiple failures
- Allow *fun*

# Focus for the management of innovators

*“If you want to build a ship, don't drum up people to collect wood and don't assign them tasks and work, but rather teach them to long for the endless immensity of the sea.”*

**-Antoine de Saint-Exupery**

# Exposure During Childhood Leads to Adult Technical Innovation

- Inspiration begins early – Kids ages 3 to 14

# Our Responsibility Now - Create Progress to Inspire our Kids

- Our Technology leaders had their inspiration in exciting times
- Periods of extreme technical progress: I will discuss three.
  - Aviation's Renaissance, 1908 to 1912
  - My inspiration, 1946 to 1957, post WWII
  - Gagarin to Skylab, 1961 to 1973

# Aviation's Renaissance 1908 to 1912

- Early 1908, < 12 pilots
  - Then “I can do it”
- By 1912
  - Hundreds of aircraft types in 39 countries
  - Aircraft invented by ‘Natural Selection’
  - Airshows with 400,000 attendance

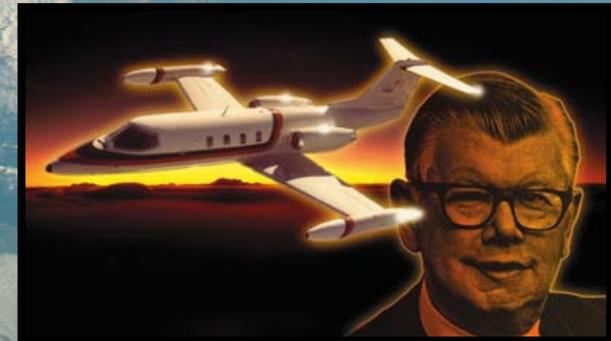


# Kids Were Inspired by Aviation's Renaissance



# Which Kids Were Inspired by Aviation's Renaissance?

- **Every one** of those that inspired me.
  - Wernher von Braun
  - Kelly Johnson
  - Charles Lindbergh
  - Jack Northrop
  - Ed Heinemann
  - Howard Hughes
  - Sergei Korolev
  - Alexander Lippisch
  - Bill Lear



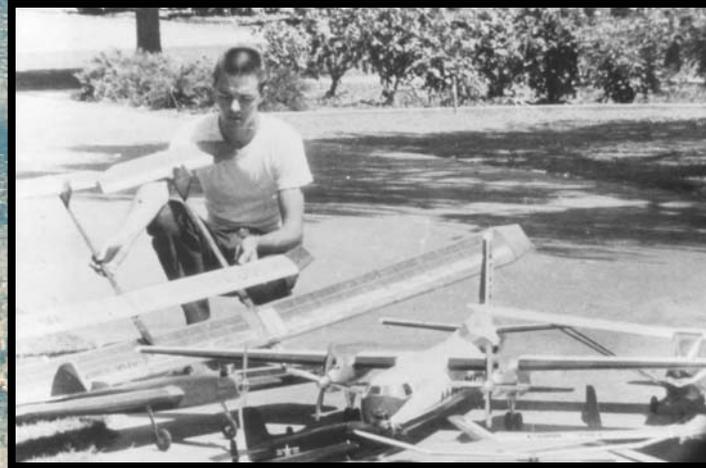
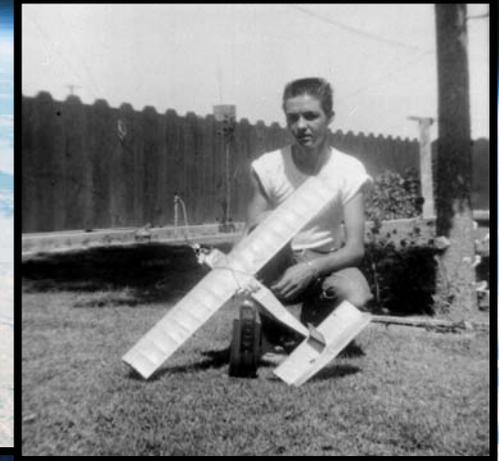
# Aerospace Activity 1946 to 1957

## During my Childhood (age 3 to 14)

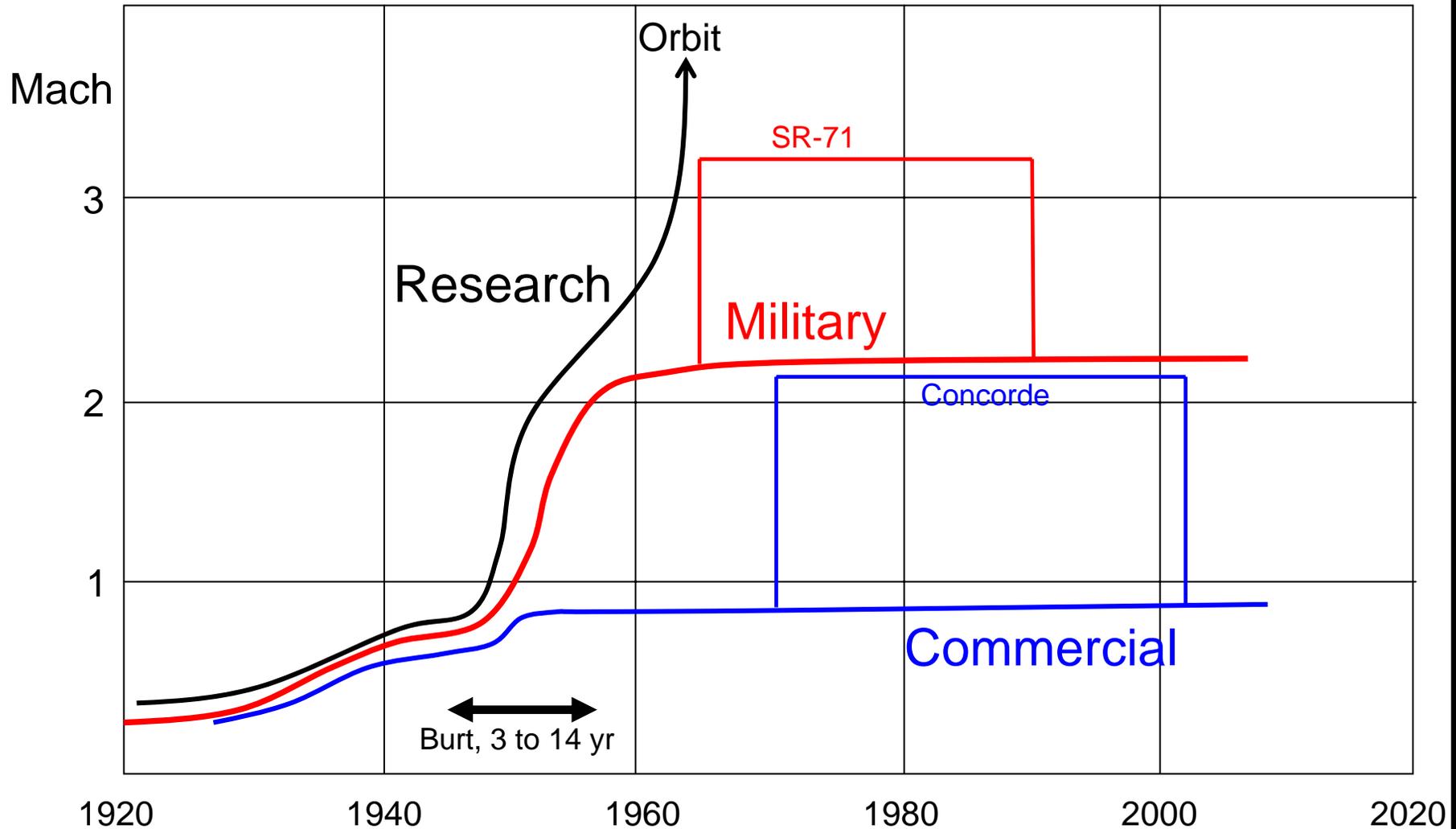


The Jet Age starts. The Missile Age starts.

# Childhood Activities Were Driven by Aviation Progress



# A Jump in Performance Inspired me during childhood



# My Post-College Career Choice: Aviation (unusual for space-crazed 1965)

- Airplanes, not the moon
  - Realist?
  - Burt the conservative?
- General Aviation was the passion, but Air Force Flight Test, was the Compromise.

# Air Force Flight Test 1965 to 1972

The “whole-package” experience  
Best training for an aircraft designer



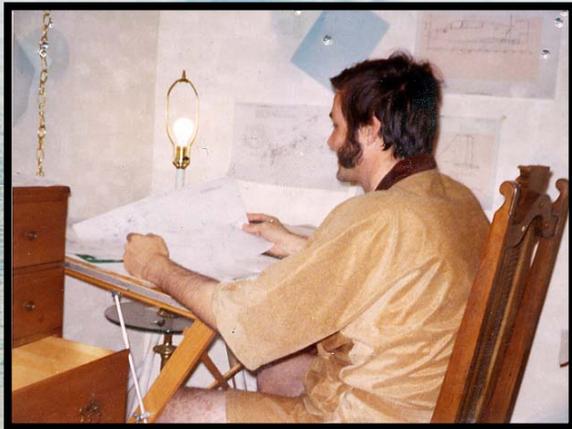
# Military Flight Test Not Fulfilling

- Great experience, but not creative
- Light aircraft – target rich for innovation
- Light aircraft were the ‘fun hobby’
- The dream of a job as fun as the hobby

# A Big Jump 'Down' 1972

## Rutan Aircraft Factory

The entrepreneur can control his destiny

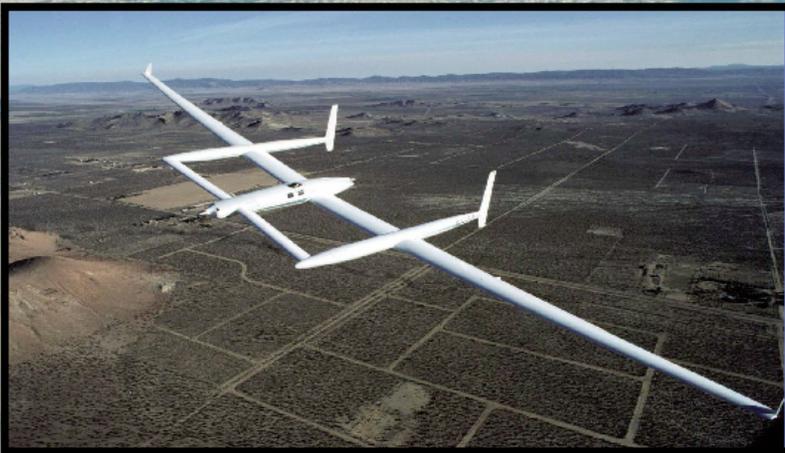


# The Projects of RAF 1972 to 1985



# The Public Interface

## The Thrill of the Milestone



# Scaled Composites Company 1982 - Present

- Composites Structural Technology
- Aggressive projects, big customers
- World-Class Staff – shop and engineering
  - More folk to have fun





# Scaled's Aircraft



**Predator**  
1984



**Pegasus**  
1990



**Raptor D-2**  
1994



**DC-X**  
1995



**Roton**  
1999



**SpaceShipOne**  
2003



**Scarab**  
1986



**ARES**  
1990



**Vantage**  
1993



**X-38**  
1997



**White Knight**  
2002



**Starship 1**  
1983



**Triumph**  
1988



**Raptor D-1**  
1993



**Proteus**  
1998



**X-47**  
2001



**ATTT**  
1987



**Lima II**  
1991



**VisionAir Vantage**  
1996



**Adam Model 309**  
2000



**Global Flyer**  
2004



**Microlight**  
1983



**CM-44**  
1987



**Pond Racer**  
1991



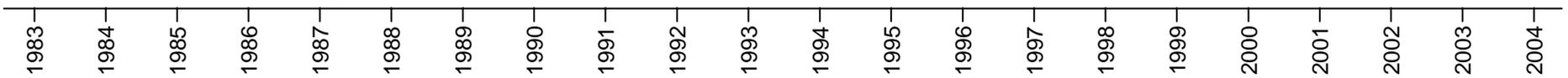
**Freewing**  
1994



**V-Jet II**  
1997



**TAA-1 POC**  
2002



# Why The Perfect Accident Record?



# The U.S. Manned Space Renaissance 1961 to 1973

- Progress accelerated by Sputnik/Gagarin 'losses' – The need to regain National prestige
- A wild ride to recover prestige
  - Mercury, Gemini, Apollo lunar, Skylab and planetary exploration
- Enormous courage applied to huge risks
  - Five launch systems in seven years
  - Apollo 8/Saturn 5 risk
  - Lunar-orbit-rendezvous decision

# American Manned Launch Systems

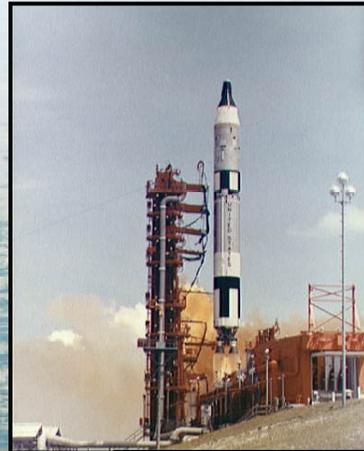
Redstone

Atlas

Titan

Saturn

Shuttle



Flights: 2

4

10

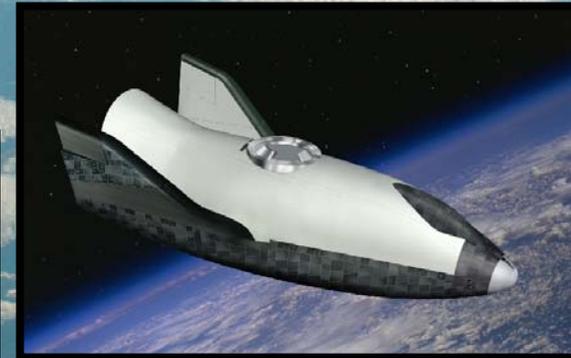
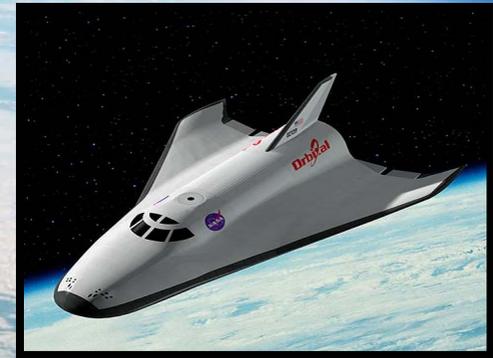
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Each was abandoned when more expensive one became available - not matured for affordability

# The Collapse that Followed 1973 to Present

- Abandoned genuine search for safe, efficient orbital manned capability.
- Abandoned lunar capability
- Risk-averse attitude: study it, do not try to fly.
- Lacked the courage to fly new research programs



# The Most Impressive Aircraft? Lockheed SR-71

Designed in 1959, only 14 years after first operational jet.

First flown in 1963.

Abandoned in 1998, retreated to 1956 U-2.

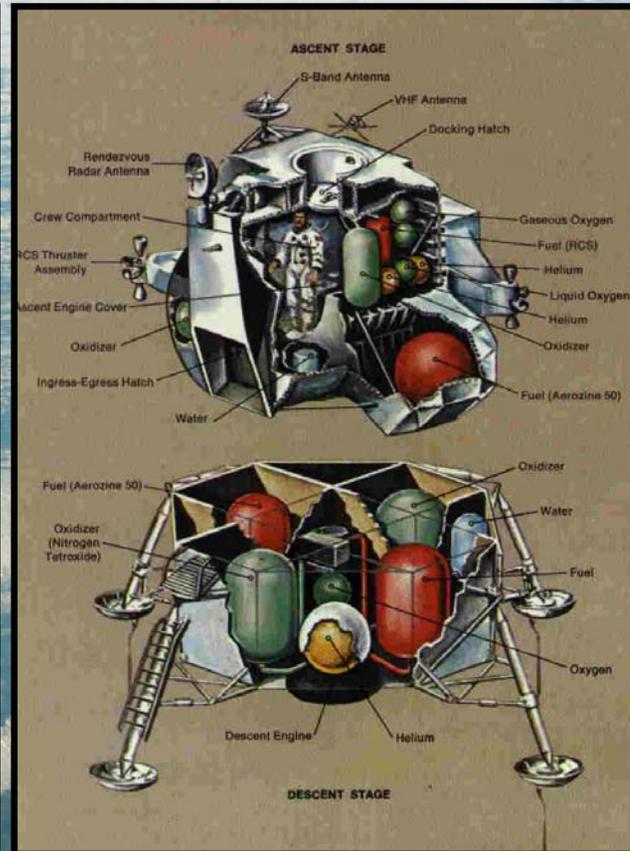
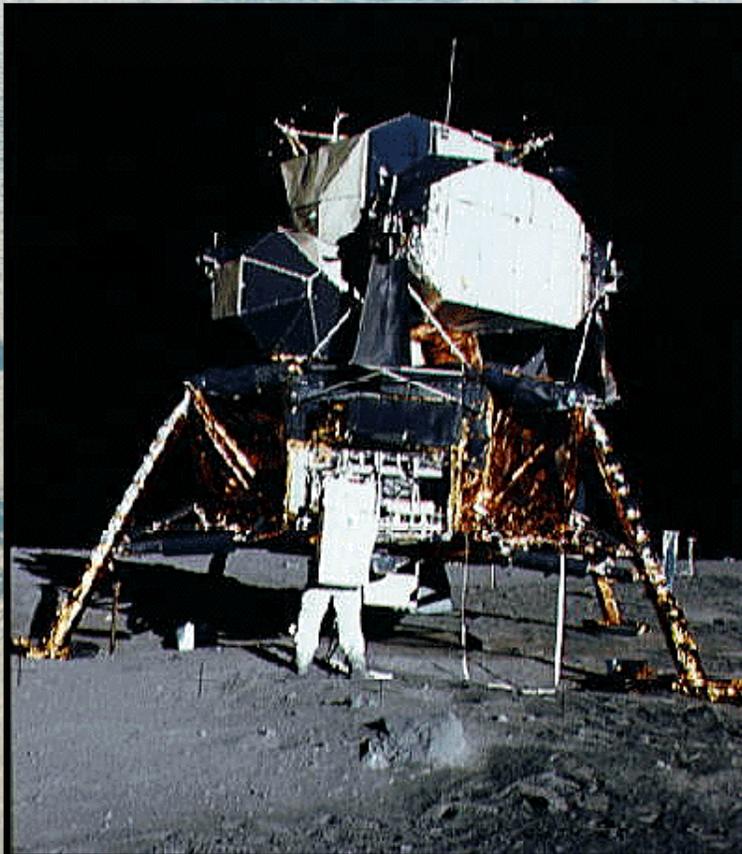


P-80  
1945



# The Most Impressive Spaceship?

Grumman Lunar Module  
Designed in 1964, three years after Gagarin  
First flight 1968  
Abandoned capability in 1972



# What is wrong with this picture?

1925



1965



2005



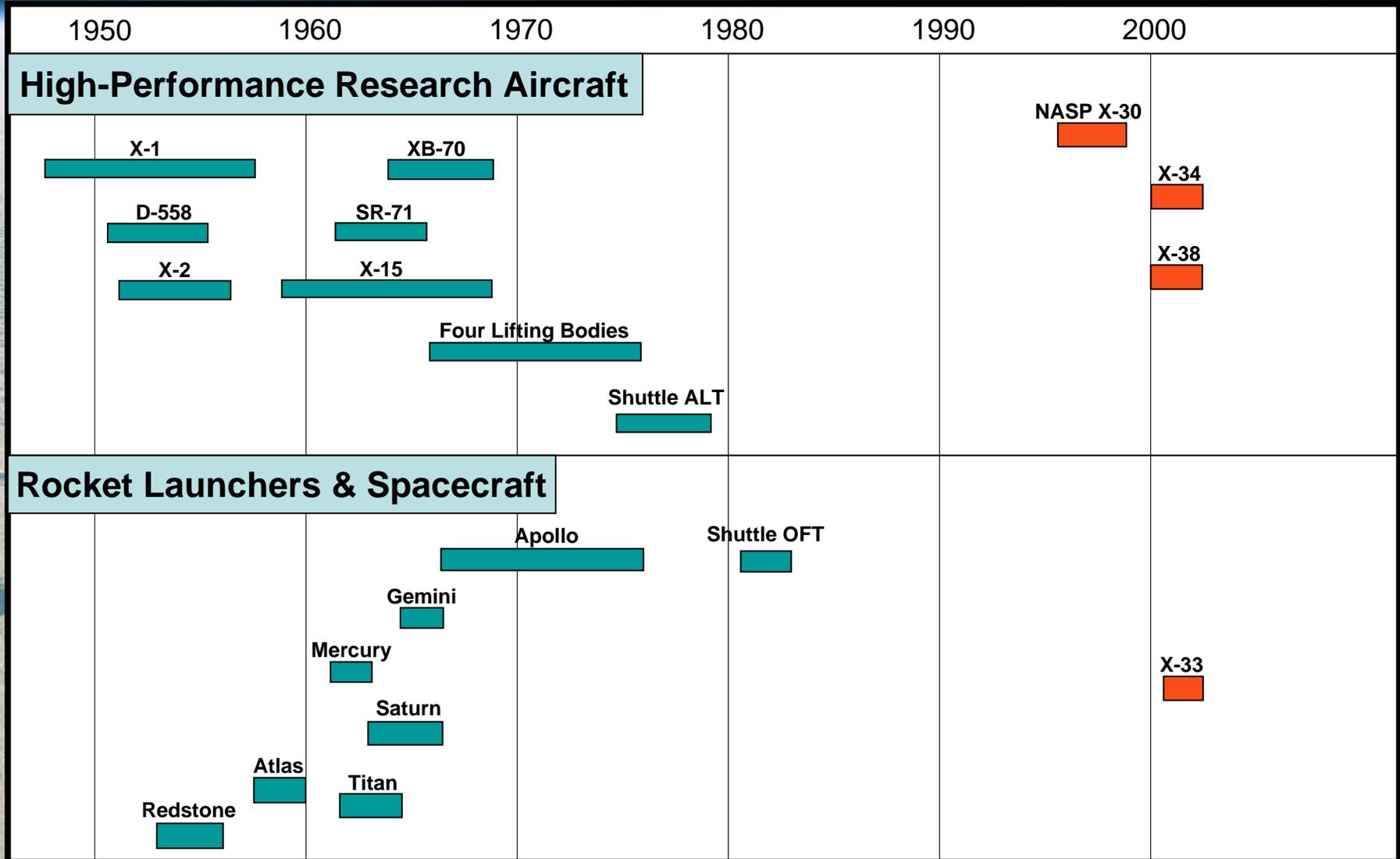
# F-22 Raptor & F-35 JSF

The only new USAF fighters for the next 40 years?

- Another 40 years with 1960's performance?
- Requirements based on perceived need, not a desire to find performance breakthroughs.
  - Air superiority in < 2 days, last two decades.
- Requirements direct Development Programs, not Research.
  - Industry employs a new generation of aerospace engineers who think development **is** research.
  - Risk averse requirements breeds risk averse technical progress.

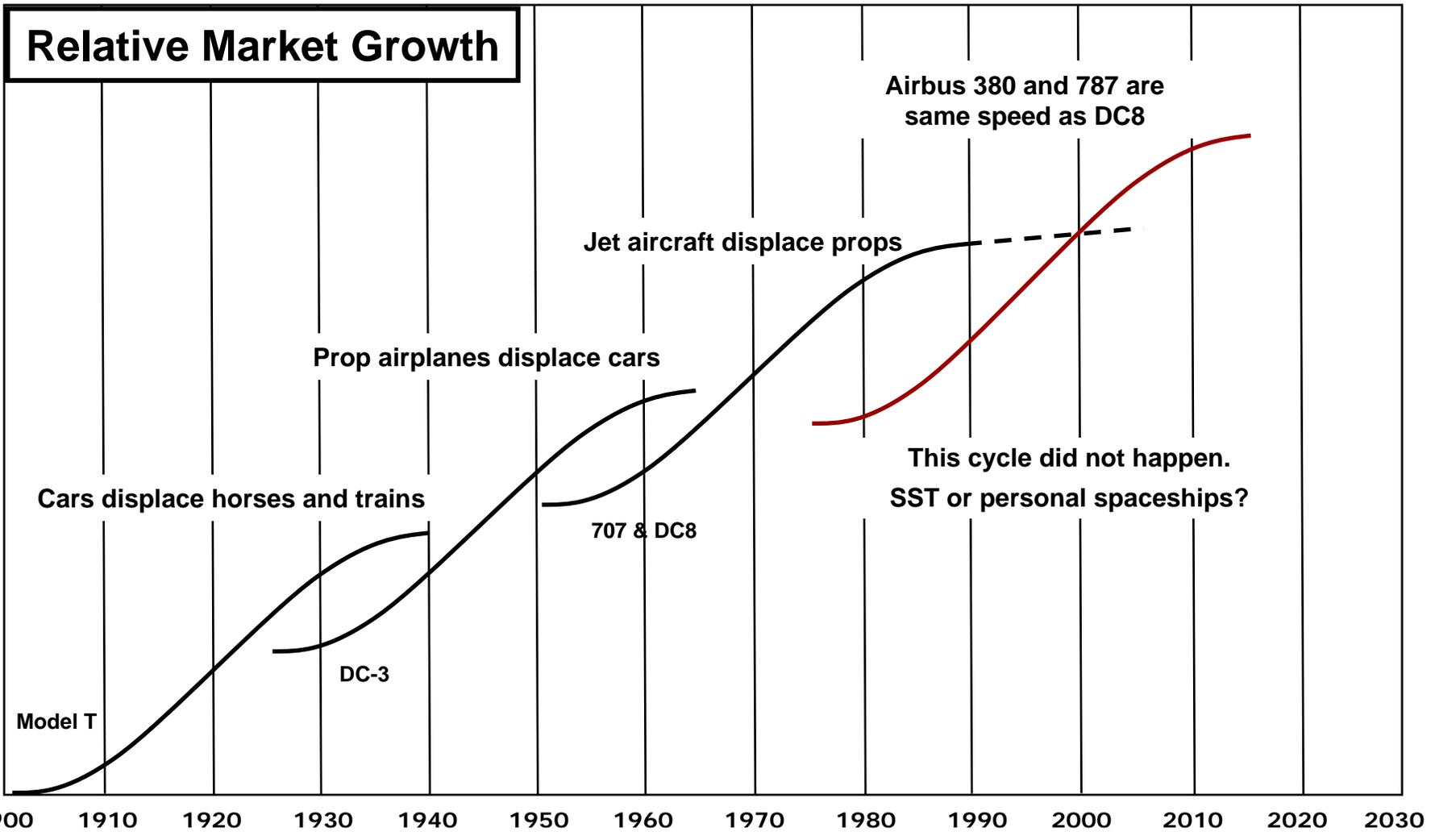
# Historical Perspective

## Manned Research Programs That Expanded the Envelope



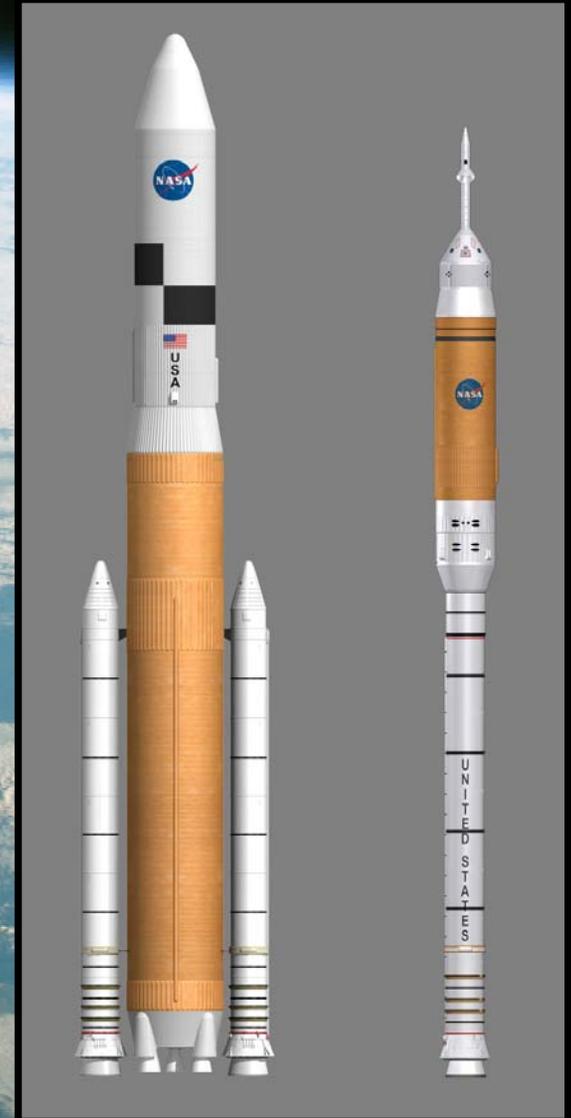
# Higher Speed Travel – Forty Year Cycles

## We are Overdue - Recent Cycle is Missing



# Orion/Ares, NASA's road ahead

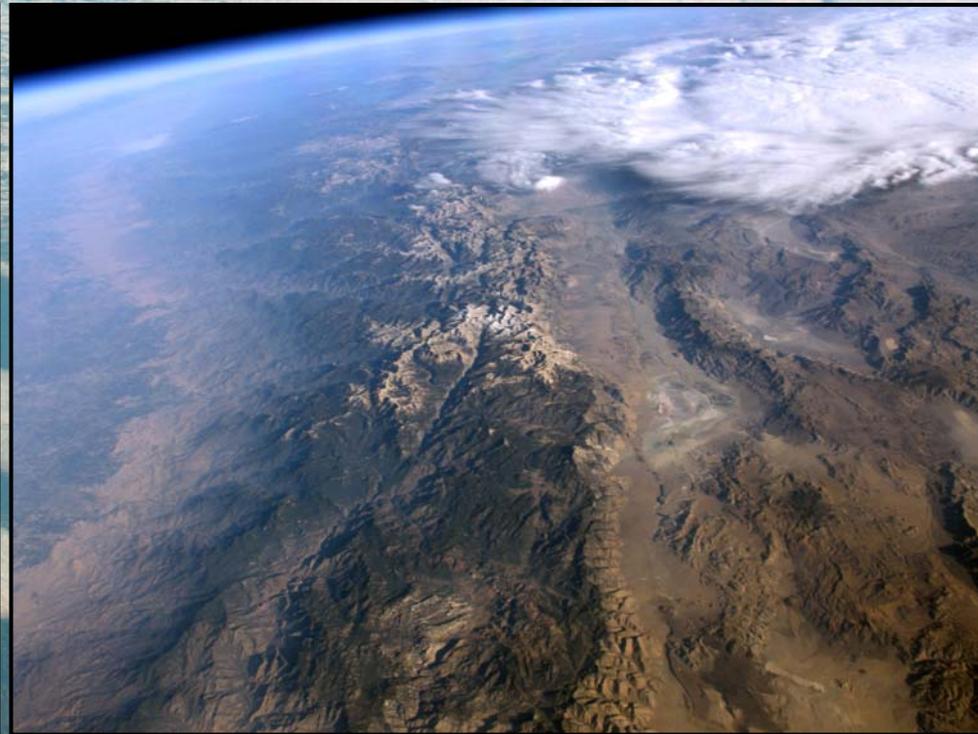
- Retreat to Apollo/Shuttle-era hardware for manned orbital and lunar operations
- No opportunity to discover breakthroughs
- Another 13 years without progress for Personal Spaceflight
- Lack of challenge for another full generation of spacecraft designers.



# Our Sub-Orbital Space Program

## The Goal is Fun, To Enjoy This View

To stimulate a Private Spaceflight industry,  
so others can enjoy this view



# Space, for us – Why Now?

- SpaceShipOne was a personal goal, not a customer request
- Inspiration from visionaries' courage
  - Required my exposure **as a child**, not a view of current aerospace practice
- The 'New Space' investors/developers – were, **as children** inspired by Sputnik to Apollo
  - Allen, Musk, Bezos, Branson, Bigelow, Page/Brin & Carmack

# Our Research Test Pilots



# Launch Aircraft - White Knight

- Identical systems components to Spaceship.
- Provides pilot training for boost, entry & landing.



# SpaceShipOne

Air-launched  
Feathered entry  
Runway landing



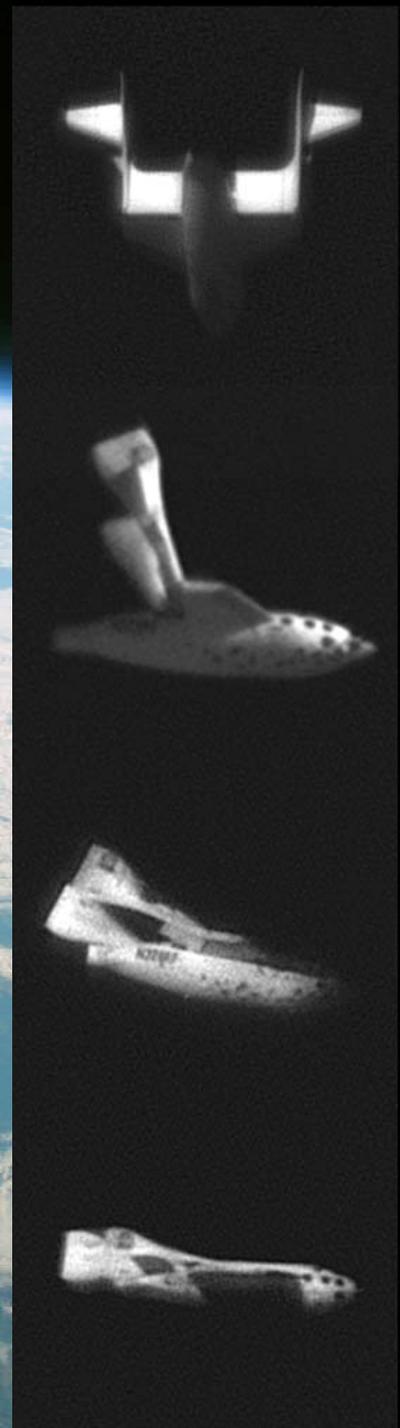
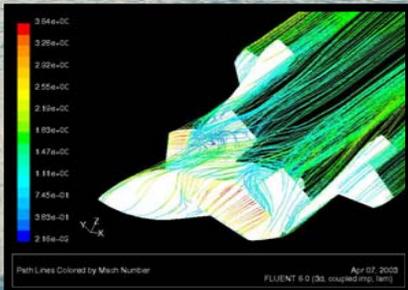
# The Re-entry Feather

## Immune to accidents caused by entry flight controls

Forces Ship to a Stable High Alpha Condition

Active controls not needed

- High Drag = Lower loads & Lower Heat
- Result: 'Care-Free' atmospheric entry



# An Aggressive Flight Test Program



- White Knight , Pre-Spaceship
  - Performance, Stability & Space Systems Development
  - 56 flights, 10 Months
- Rocket Hot-Fire Ground Tests
  - R & D - nine months, eleven firings
  - Flight qualification - Three Firings
- SpaceShipOne Flight Tests
  - Two captive carry (one manned)
  - Glide tests - 7 glides, 4 months
  - Rocket Powered Envelope Expansion – 4 flights, last one >100km
  - X-Prize – 2 full-performance flights in 5 days



# Space flight really **is** too dangerous

## Airline experience as a model

### Risk statistics, fatal risk per flight

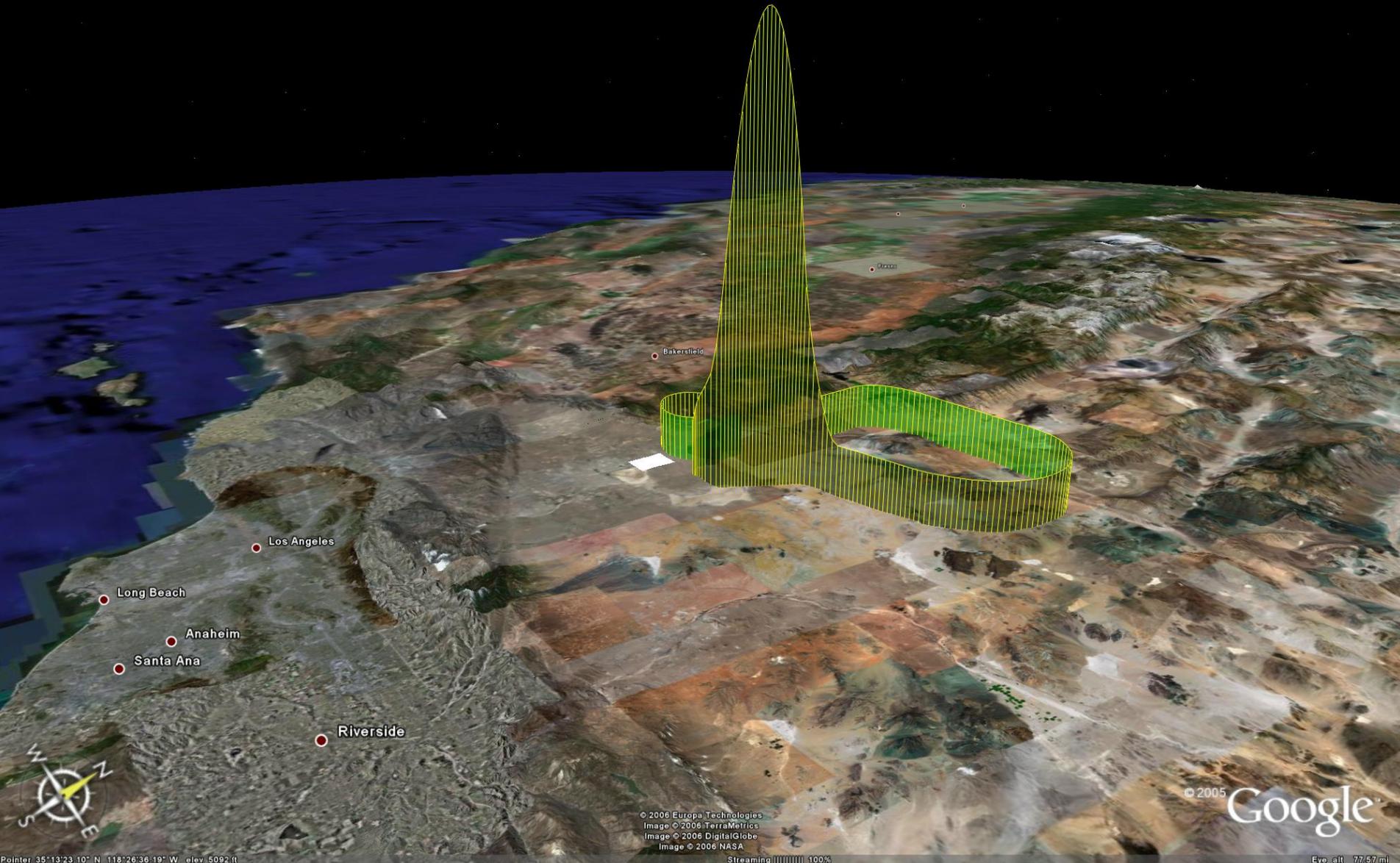
- All manned space flight = 1 per 66 flights
- First airliners (1927 & 1928) = 1 per 5500.  
Same aircraft, but add some maturity (1933 to 1935) = 1 per 31,000
- Modern airlines = 1 per several million
- Logical Public Spaceflight goal:
  - Better than the first airliners
  - < 1% of the historic government space risk
  - Achievable only for sub-orbital

# Is a New Space Renaissance Possible?

## What Is Needed?

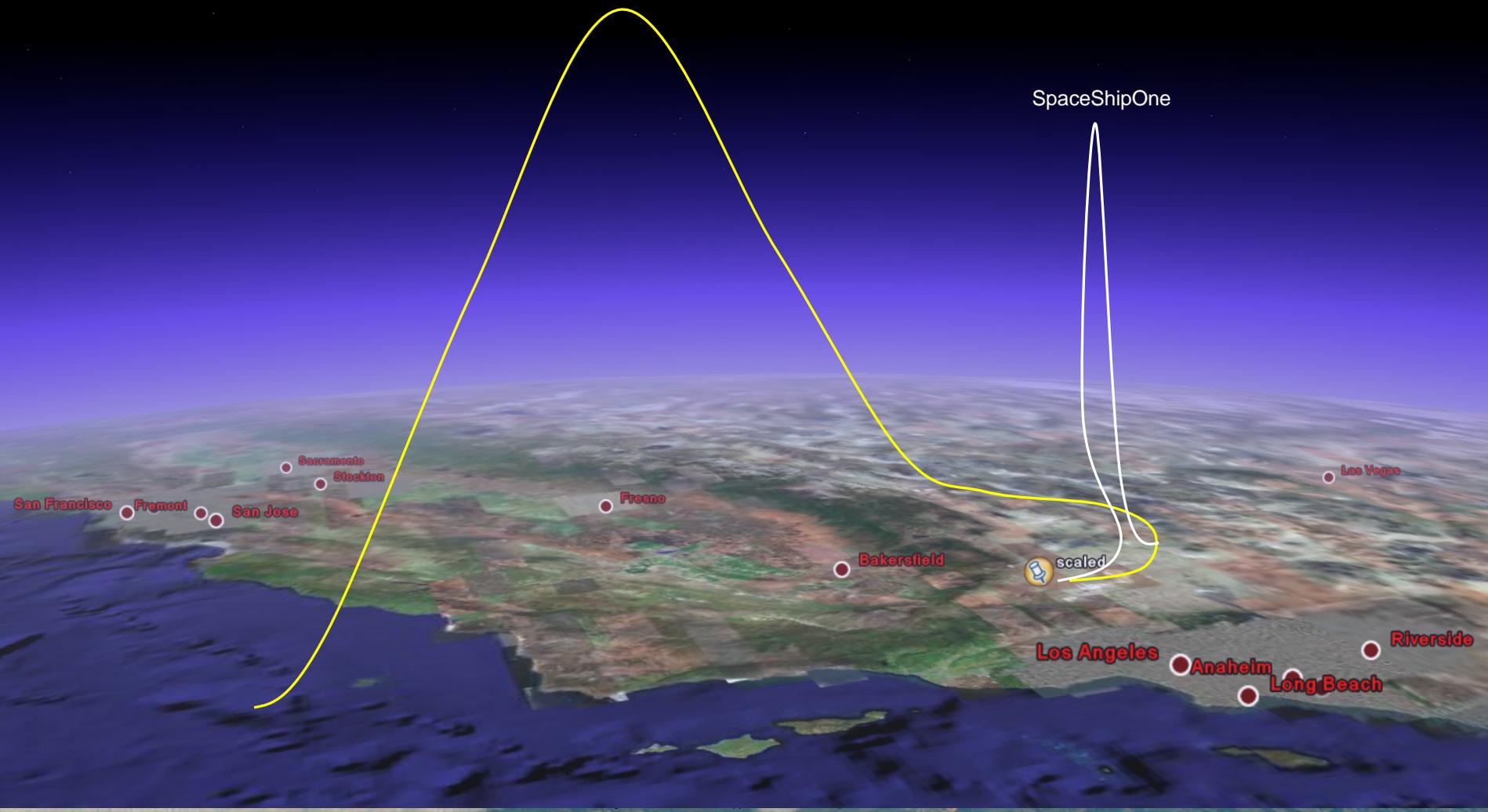
- **Environment that existed for aircraft in 1909**
  - Entrepreneurs in competition for market share
  - Belief that “I can do that”
- **Courage to try risky concepts**
  - Breakthroughs needed for safety
  - Robust solutions needed
- **Research justified by exploration and fun**
  - Not just politics and ‘science’

# Your View from 130 Km altitude – Mojave Desert

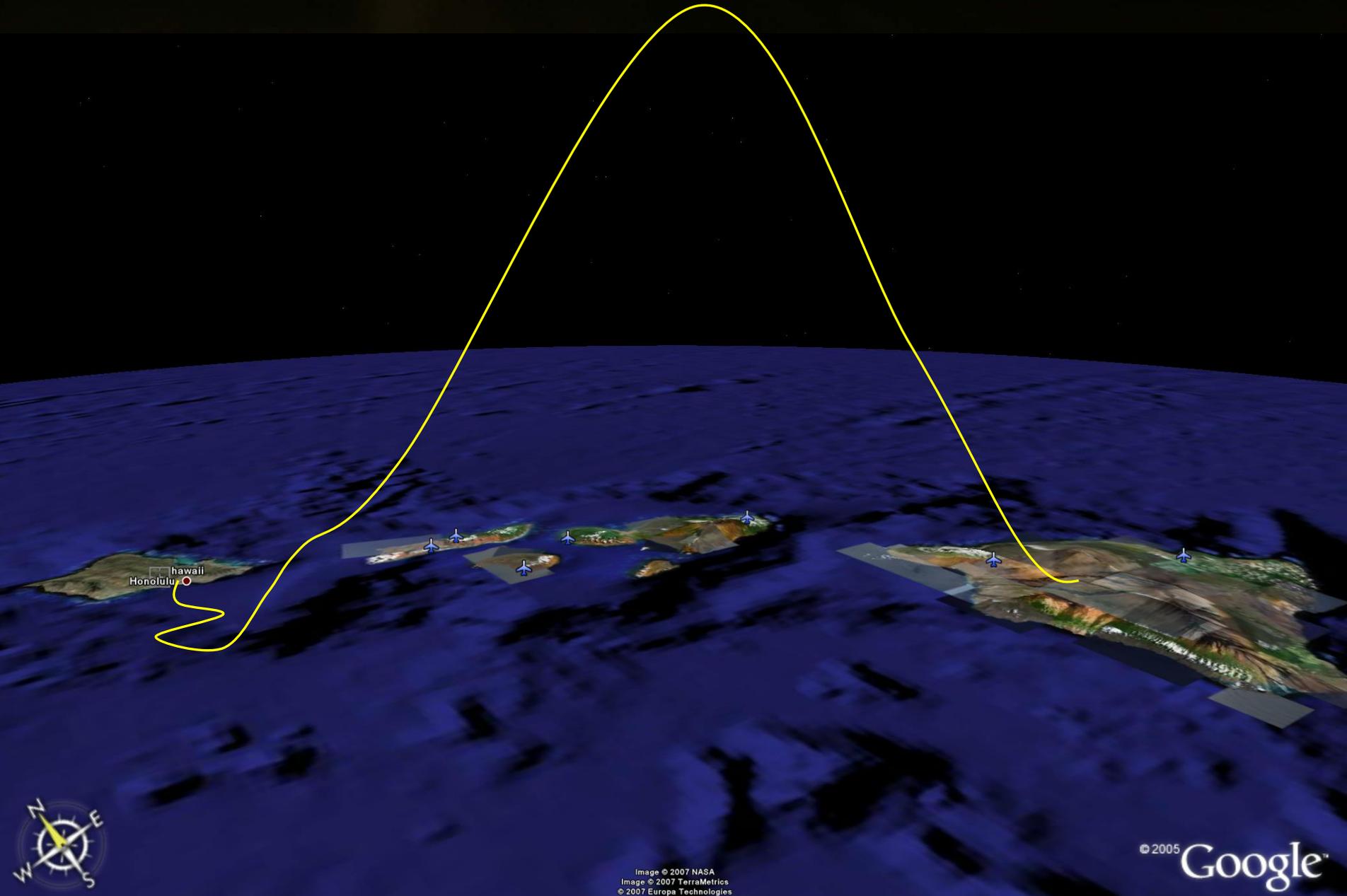


# Trajectories

## Commercial SubOrbital Private Spaceflight



See the Islands from a different perspective....



hawaii  
Honolulu



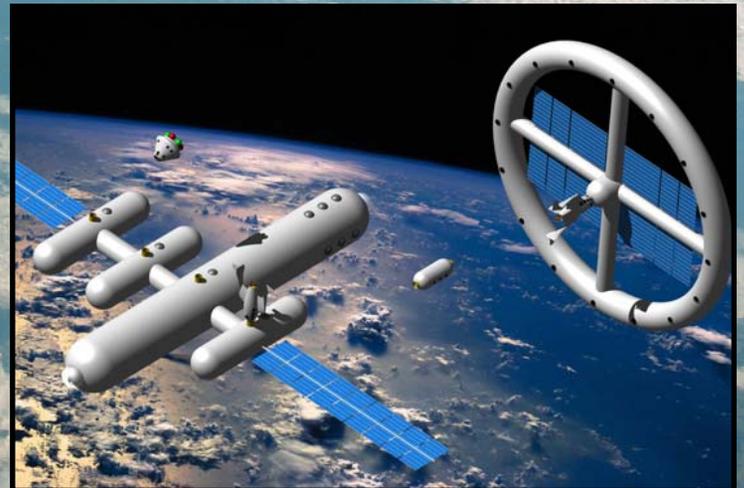
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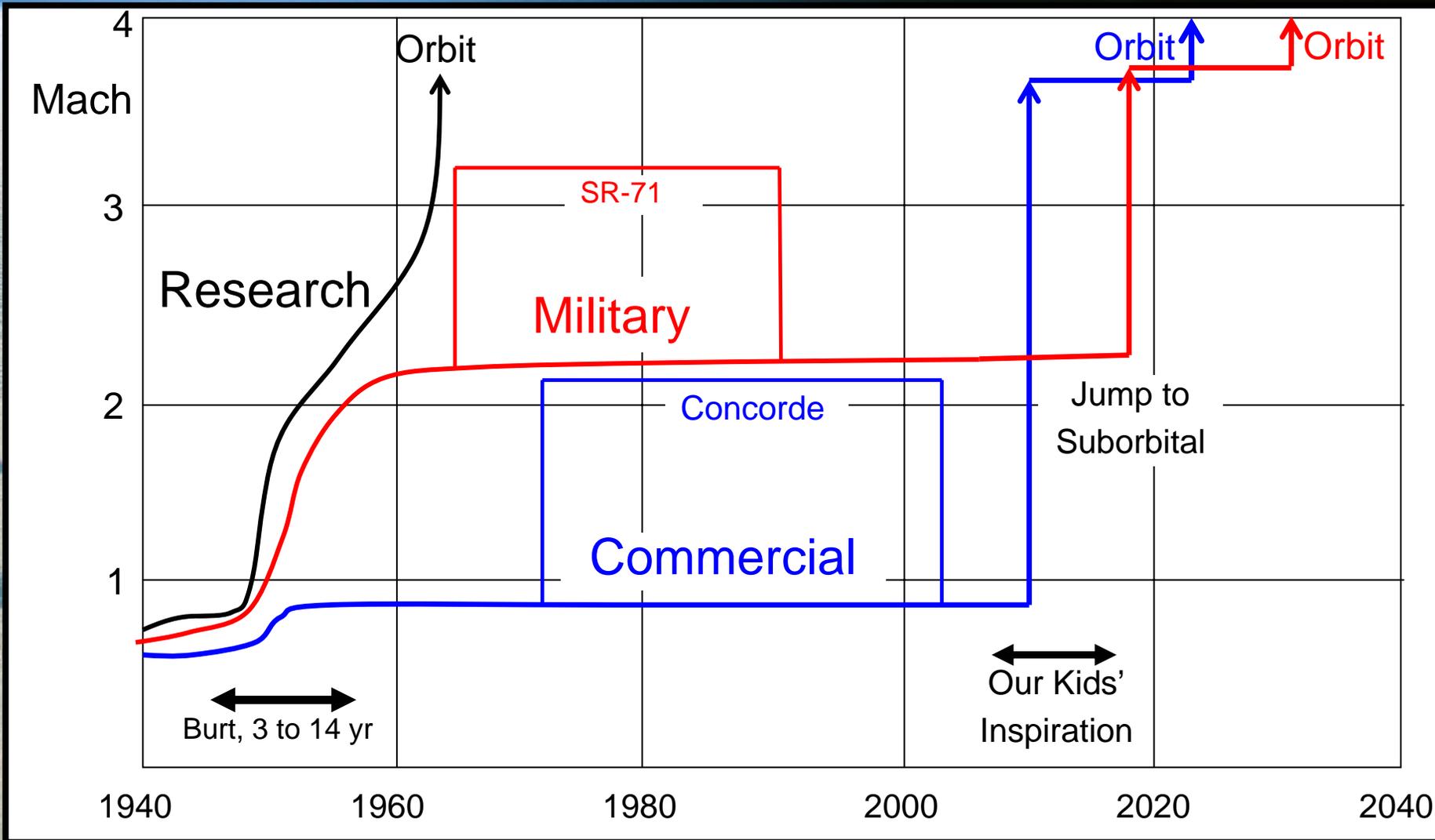
# The Next Steps for Private Spaceflight

- First industry - sub-orbital flights
  - Experience – optimized
    - Large cabins, large windows and body weightless float.
- First industry - high-volume
  - Competing spacelines, flights priced to fly 100,000+ people (first 12 years of operations)

Success will accelerate solutions for safe, affordable flights to orbital resort hotels



# A Prediction Commercial Jumps Ahead of Military



# What Good is a Private Sub-orbital Space Industry? Just for Fun?

- The home computer – Internet example
  - ‘Fun’ really **is** defensible
- Inspiration for kids
  - Today’s technology products are enablers, not goals
  - Kids need to be inspired by a far-out dream/goal

# Why we stopped flying SpaceShipOne



# Your request to a non-expert....

## Rutan's Comments on S & T Focus for Defense?

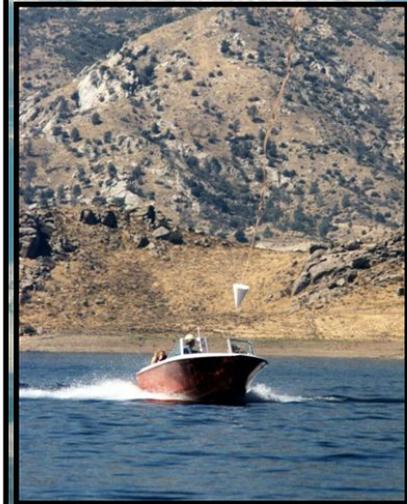
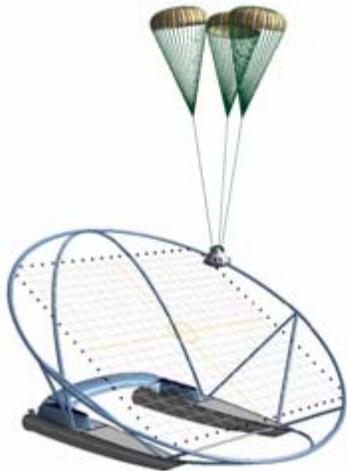
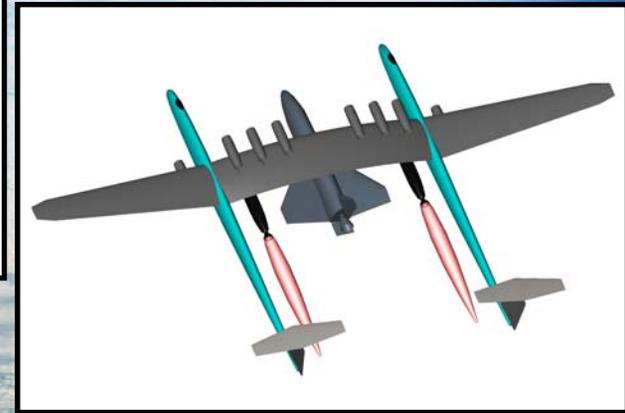
- U. S. competitive position in Science and Engineering.
- True responsive space presence.
- Heavy transport.
- The “all UAV Air Force”.
- Human contribution to global warming.
- Humanity's future in a connected world.

# U. S. competitive position in Science and Engineering

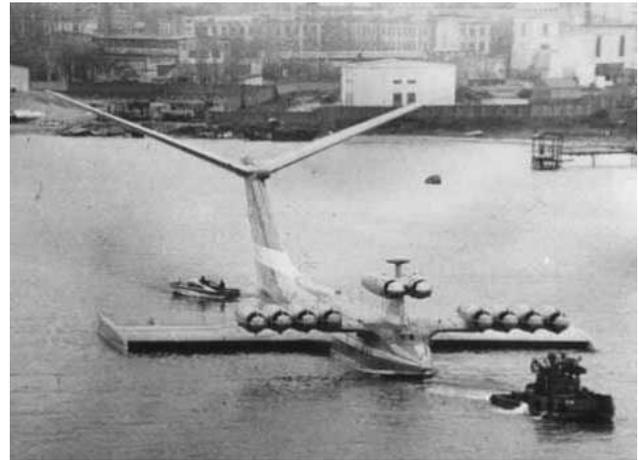
- The education statistics are bleak
  - Science vs. lawyers/media/politicians/actors\*
- \* And other criminals
- The real reason – we are boring our youth
  - Development vs. research
- The solution – take real risks
  - Exploration
  - Adventure
  - Breakthroughs
- Strive to be great, not to be ‘equal’

# True Responsive Space Access

- Air launch
- Routine, high-volume operations
- Sea recovery

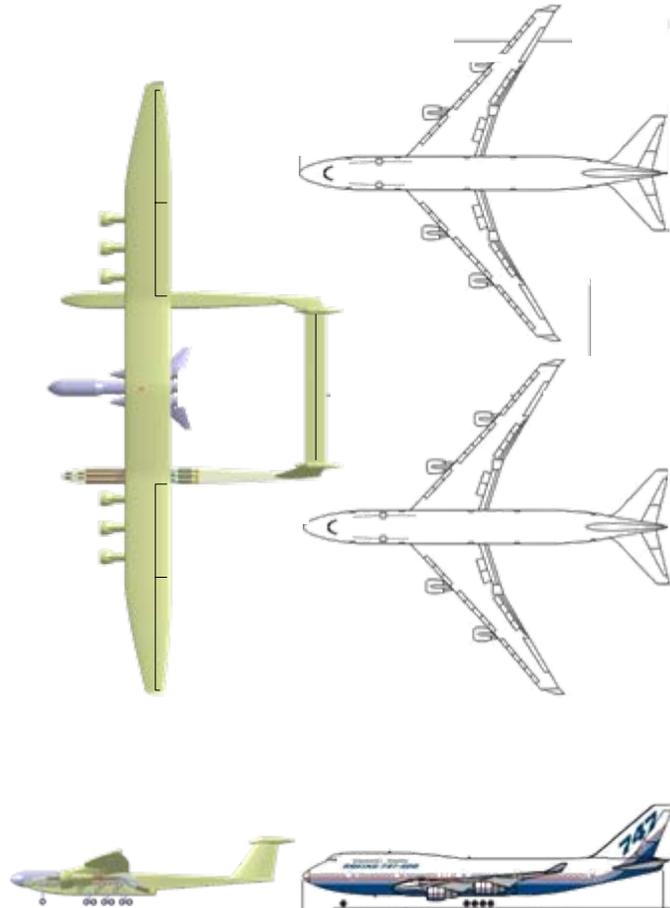


# Russian Ekranoplans (wing-ships)



# Heavy lift via use of a large space launcher

Payloads up to 450klb



# UAV vs. Manned Aircraft Systems

- The fighter pilot's proficiency
  - Our leadership maintained?
  - Proliferation
- The fighter pilot's courage
  - Eliminate Capt Scott O'Grady?
- Cost savings?
  - Development and Ops

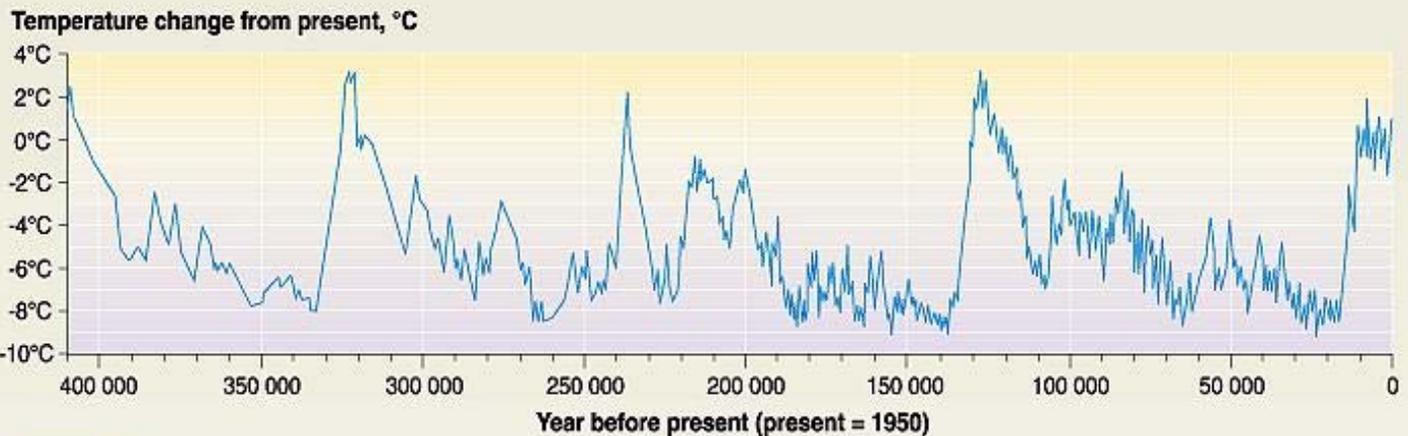
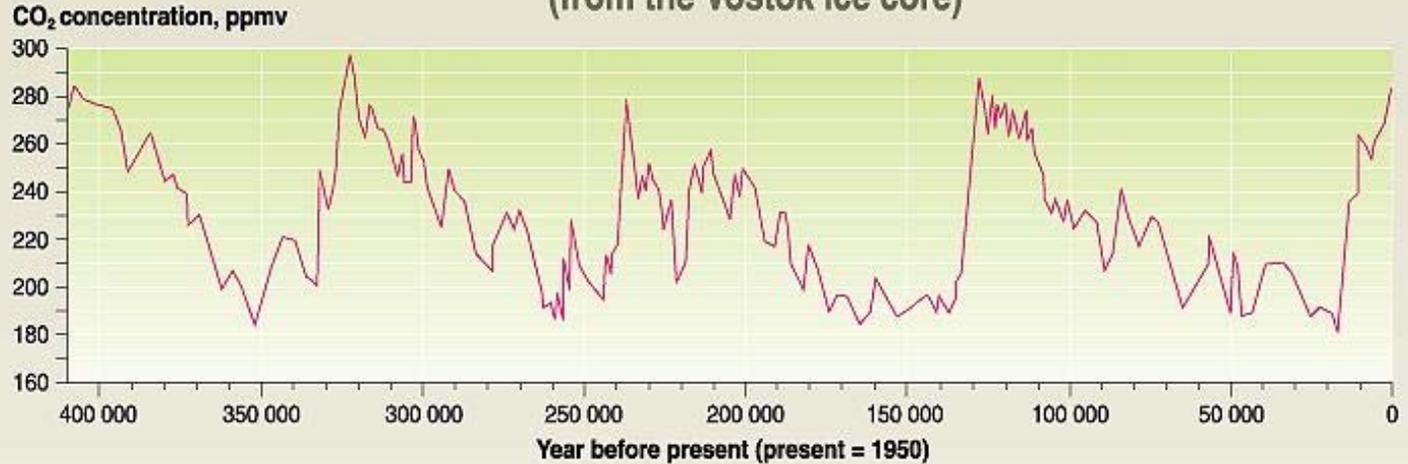


# Are we destroying the planet?

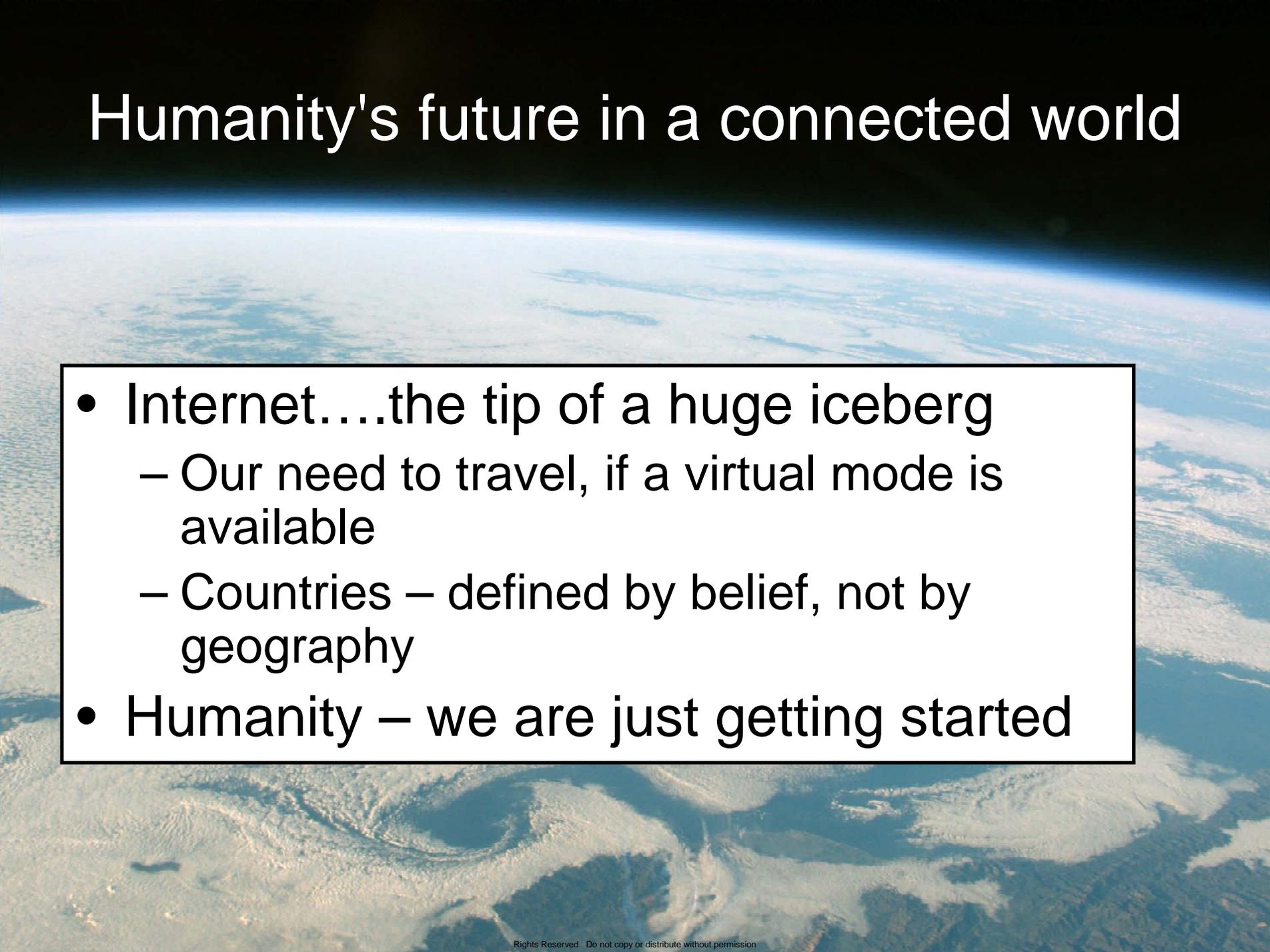
Consensus vs. Science

Technical solutions follow technical measurement

Temperature and CO<sub>2</sub> concentration in the atmosphere over the past 400 000 years  
(from the Vostok ice core)



# Humanity's future in a connected world



- Internet....the tip of a huge iceberg
  - Our need to travel, if a virtual mode is available
  - Countries – defined by belief, not by geography
- Humanity – we are just getting started