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Army Evaluation Center Aviation Evaluation Directorate Aberdeen Proving Ground, MD 13 March 2007 The Four-Element Framework: An Integrated Test and Evaluation Strategy



Our Army . . . Our Soldiers . . . Our Equipment

ARMY TEST AND EVALUATION COMMAND



Agenda

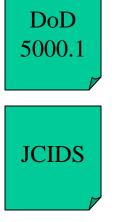


- Background
- Introduction
- Overview
- Element/Interface Development
- Application
- Weaknesses/Strengths
- Conclusions



Background





Materiel System

Performance Attributes

Key Performance Parameters

Capabilities Production Document

Capabilities Development Document DOD 5000.1 – "The primary objective of Defense acquisition is to acquire quality products that **satisfy user needs with measurable improvements to mission capability**..."

Joint Capabilities Integration and Development System

- War Fighting Capability Gaps
- Material/Non-material Solutions



Operational View – mission tasks, activities, operational elements and information required to accomplish warfighting mission.



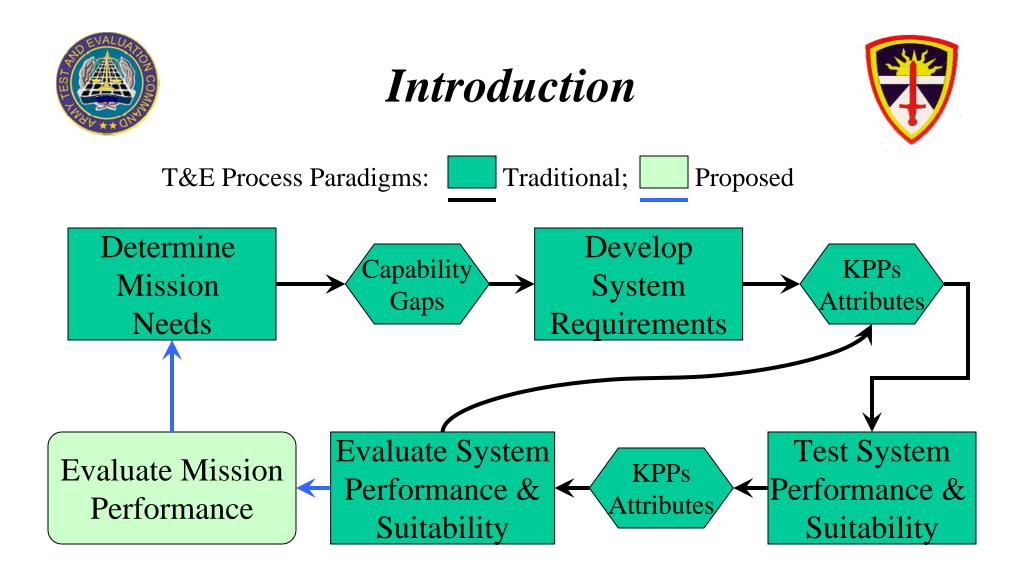
System View – system elements and capabilities necessary to support warfighting functions.



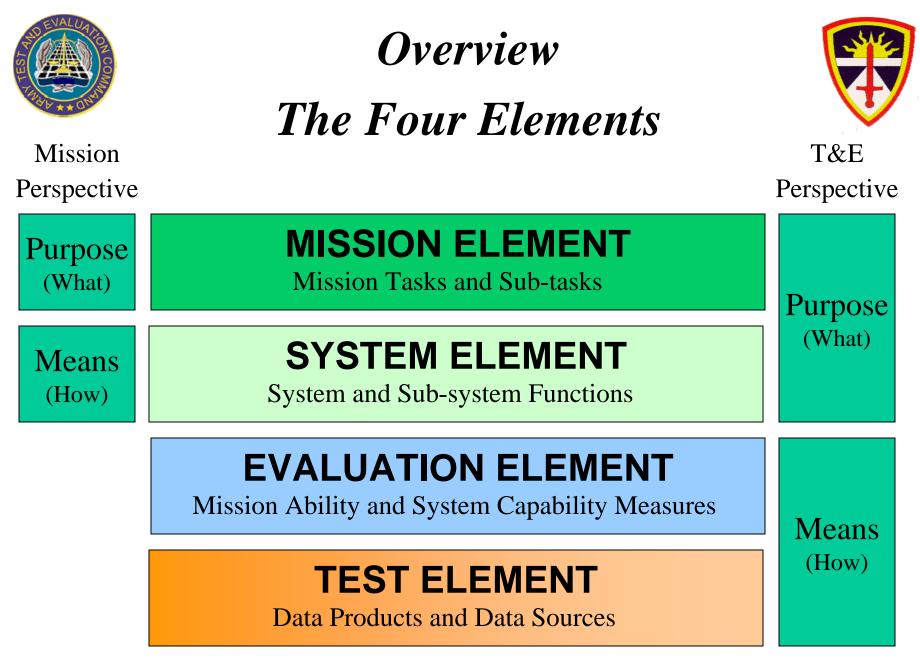
Technical View – set of rules and standards to ensure that a system satisfies a set of operational requirements.



All View – overarching architecture that supports the OV, SV and TV.



Completes the Feedback Loop to Mission Needs



ARMY TEST AND EVALUATION COMMAND



Overview Elements, Interfaces and Traces



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Elements

• Mission, System, Evaluation, and Test

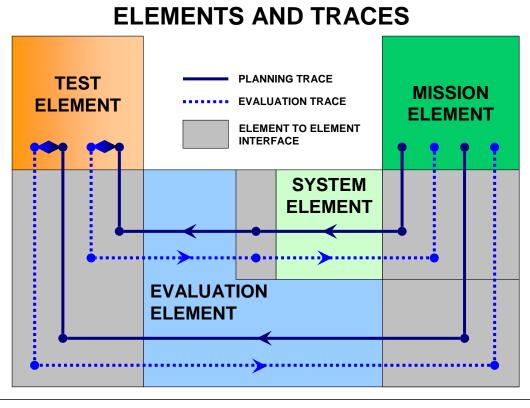
Interfaces

- Mission to System
- Mission to Evaluation
- System to Evaluation
- Evaluation to Test

<u>Traces</u>

Planning = Mission to Test Evaluation = Test to Mission Two Types:

- Type 1 links Mission, System, Evaluation and Test Elements.
 - Plans and evaluates mission task ability through system function capability.
- Type 2 links Mission, Evaluation and Test Elements.
 - Plans and evaluates mission task ability directly.





Element/Interface Development Mission Element



• To describe unit mission and tasks.

-- A task is defined as a discrete action that the unit (system and its operators) must perform in order to accomplish its mission.

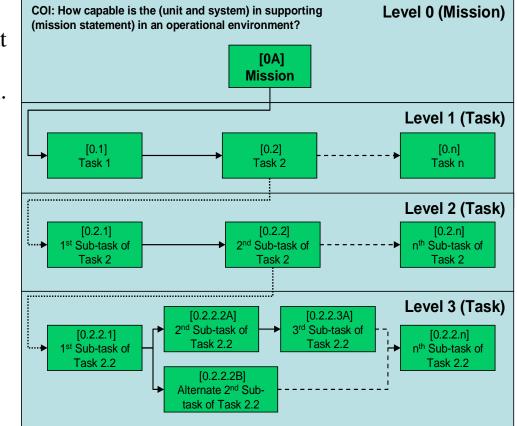
Components

• Critical Operational Objective: Mission based – "How capable is the (unit and system) in supporting (mission statement) in an operational environment."

• Task Levels: Orderly breakdown of the mission into tasks and sub-tasks.

• Alternate Mission Tasks: Optional mission tasks used to accomplish part(s)

of the mission. Alternate task options define different "mission threads."



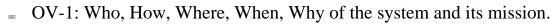


Element/Interface Development Mission Element – Example

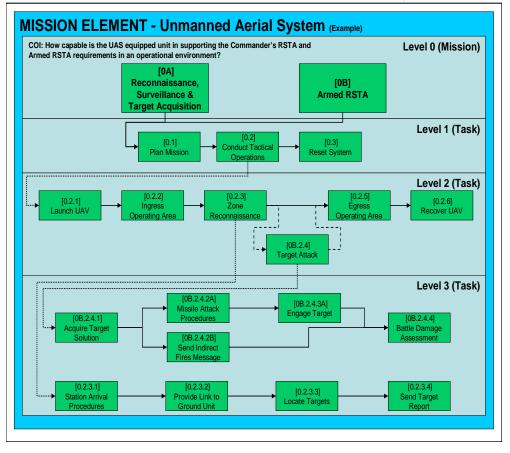


Development Keys

- Temporal Format.
 - Temporal format provides a block diagram of mission to mission tasks in order of their occurrence.
 - Supports development of mission threads.
- Lowest Level of Mission Tasks.
 - Lowest level mission tasks must be measurable.
 - Evaluated directly or indirectly via evaluation of system function capability.
- Support Documents.
 - Mission Need Statement, Initial Capabilities Document, Operational and Organizational Plan, Universal Task Lists, Capabilities Development/Production Documents (CDD/CPD).
 - Integrated architecture products in CDD/CPD uniquely support mission element.



- OV-5: Operational activities (mission tasks).
- OV-6c: Association of capabilities with sequences of operational activities (mission tasks).





Element/Interface Development System Element



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Purpose

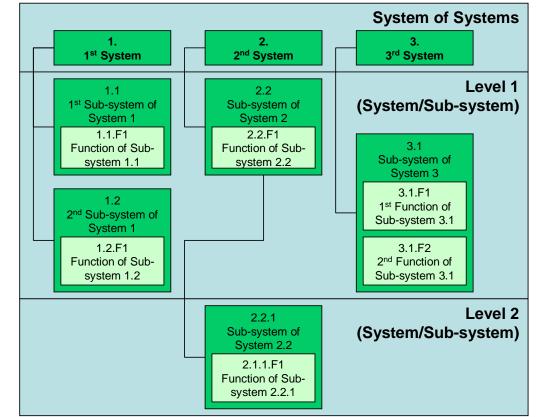
• To describe the system and the system functions.

Components

• System Items: Makeup of the system and sub-systems.

• System Functions: Description of the function an item must perform in support of the mission.

• System Level: Level of systems, subsystem, and components from the systemof-systems perspective.



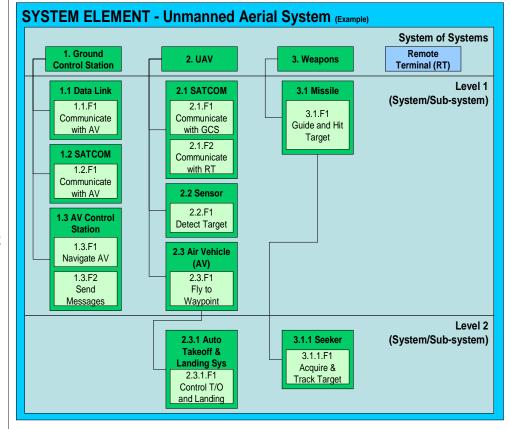


Element/Interface Development System Element – Example



Development Keys

- Item to Function Link.
 - Objective is to define the system functions.
 - System item is the sub-system responsible for providing the function.
- System-of-Systems.
 - Include systems that are not part of the system being developed and evaluated if they are required to support the mission.
- Lowest Level of System Function.
 - Should be associated with the accomplishment of a mission task.
 - Measurable by T&E.
- Risk Areas
 - Items and functions can be based on a specific area of developmental risk.
- Support Documents.
 - System Work Breakdown Structure
 - Integrated architecture products in CDD/CPD uniquely support mission element.
 - SV-1: Systems required to support the mission and the interfaces between them.
 - SV-4: System functions required to support the operational activities (mission tasks).





Element/Interface Development Mission to System Interface



[0.n]

Task n

11

[0.2.2.1]

1st Sub-task of

Task 2.2

Purpose

• To describe how the mission tasks relate to the system functions.

Components

• Mission Tasks: Taken from the mission element.

• System and System Functions: Taken from the system element.

• Input Rule: Description of how the system and its functions relate to the mission task. Uses logical input

Input Rule Input Rule Input Rule System 1 (AND/OR) (AND/OR) (AND/OR) Input Rule **Input Rule** 1.1.F1 (AND/OR) (AND/OR) Function of Subsystem 1.1 Conditions Conditions Input Rule 1.2.F1 (AND/OR) Function of Subsystem 1.2 Conditions Input Rule Input Rule System 2 (AND/OR) (AND/OR) Input Rule 2.2.F1 (AND/OR) Function of Subsystem 2.2 Conditions Input Rule 2.1.1.F1 (AND/OR) Function of Subsystem 2.2.1 Conditions Input Rule System 3 (AND/OR) **Input Rule** 3.1.F1 (AND/OR) 1st Function of Sub-system 3.1 Conditions

1st Sub-task of

Task 2

[0.1]

Task 1

rules, such as AND and OR to describe links to more than one system or function.

• Conditions: Description of the physical, military, and civil variations that effect performance of a task. For example; weather conditions, countermeasures, urban environment, etc.



Element/Interface Development Mission to System – Example



Development Keys

- Input Rule.
 - Link every function required to support the mission task.
 - Link alternate system functions that support the mission task.
 - Top row for every system defines if the system supports the mission task with a function. (Used later to link system suitability to the task.)
 - Linkages are important since they will be used to evaluate mission tasks based on the evaluation of system functions/suitability.
- Conditions.
 - Consider the conditions based on the ability to support the mission task, but...
 - The specific function may drive the choice of applicable conditions. For example; terrain may effect the communication functions of line-of-sight systems but not effect satellite systems.

MISSION TO SYSTEM LINKS - Unmanned Aerial System (Example)										
SYSTEM and LINKS		MISSION TASK LINKS CONDITIONS	0.2 Conduct Tactical Operations	0.2.1 Launch UAV	0.2.2 Ingress OA		0.2.5 Egress OA	0.2.6 Recover UAV		0B.2.4.3A Engage Target
		All Functions	All Functions	All Functions		All Func.	All Func.		All Functions	
	1.1 Data Link	1.1.F1 Communicate	OR 1.2.F1 1. Terrain	OR 1.2.F1 AND 2.3.1.F1	OR 1.2.F1 AND 1.3.F1, 2.3.F1		0.2.2	0.2.1		OR 1.2.F1 AND 3.1.F1
	Data Link	with AV	2. AV Altitude 3. EW Jamming	0.2	0.2		0.2	0.2		0.2
1.0 Ground Control	1.2 SATCOM	1.2.F1 Communicate	OR 1.1.F1	OR 1.1.F1 AND 2.3.1.F1	OR 1.1.F1 AND 1.3.F1, 2.3.F1		0.2.2	0.2.1		OR 1.1.F1 AND 3.1.F1
Station	0,1100	with AV	I. EW Jamining	0.2	0.2		0.2	0.2		0.2
	1.3 AV Control Station	1.3.F1 Navigate AV			AND 2.3, (1.1 OR 1.2) 1. Flight Profile 2. Weather (Icing) 3. EW Jamming 4. Terrain		0.2.2			
:		:			1			1	:	
2.0 UAV	2.3 Air Vehicle	2.3.F1 Fly to Waypoint		All Functions	All Functions AND 1.3, (1.1 OR 1.2) 1. Winds 2. Flight Profile 3. Day/Night 4. Weather (Icing)		All Func. 0.2.2 0.2.2	All Func.		
	2.3.1 ATLS	2.3.1.F1 Control Takeoff and Landing		AND (1.1 OR 1.2) 1. Winds 2. Runway Length 3. Density Altitude				0.2.1 0.2.1		
			:	:	:	1	:	:	1	
3.0 Weapon	3.1 Missile	3.1.F1 Guide and Hit Target								All Functions AND(1.1OR1.2) 1. Target Type 2. Weather 3. Slant Range

- Support Documents.
 - Initial Capabilities Document and System Threat Assessment Report to determine conditions.
 - Factors of METT-TC to determine conditions.
 - Integrated architecture products in CDD/CPD uniquely support mission element.
 - SV-5: Maps operational activities (mission tasks) from the OV-5 to the system functions from the SV-4. 12



Element/Interface Development Evaluation Element



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Purpose

• To describe the evaluation measures and how they relate to mission tasks, system functions, and system suitability.

Components

• Conditions: Conditions are assigned to tasks that are linked directly to a MOE in the evaluation element.

• Measure of Effectiveness (MOE): Parameter used to evaluate the system function or mission task.

• Measure of Suitability (MOS): Parameter used to evaluate the suitability of a system.

• Standard: Acceptable performance of the system function or mission task in terms of the MOE or MOS.

• System-focused COI: COI focused on system or sub-system performance. Typically stated, "Does the (system) perform (a specific required capability)?"

• Link to System-focused COI: Column in the evaluation element that identifies which MOE/Ss are used to evaluate the system-focused COI.

• Measure of Performance (MOP): Quantitative or qualitative measure of system performance under specified conditions.

COI: Does the (system) perform (system capability)?							[0.2.1] Sub-task of Task 2	[0.n] Task n
S1.S1.P1 MOP for MOS S2.S1	X	Standard for MOS S1.S1	S1.S1 MOS for System 1		System 1.0	Input Rule (AND/OR)	Input Rule (AND/OR)	
1.1.F1.E1.P1 MOP for MOE 1.1.F1.E1		Standard for MOE 1.1.F1.E1	1.1.F1.E1 1 st MOE for Function 1.1.F1		1.1.F1 Function of	Input Rule (AND/OR)		
1.1.F1.E2.P1 MOP for MOE 1.1.F1.E2		Standard for MOE 1.1.F1.E2	1.1.F1.E2 2 nd MOE for Function 1.1.F1		Sub-system 1.1	Conditions		
1.2.F1.E1.P1 MOP for MOE 1.1.F1.E1		Standard for MOE 1.2.F1.E1	1.2.F1.E1 MOE for Function 1.2.F1		1.2.F1 Function of Sub-system 1.2		Input Rule (AND/OR)	
S2.S1.P1 MOP for MOS S2.S1		Standard for MOS S2.S1	S2.S1 MOS for System 2		System 2.0		Input Rule (AND/OR)	
2.2.F1.E1.P1 1 st MOP for MOE 2.2.F1.E1		Standard for MOE	2.2.F1.E1 MOE for		2.2.F1 Function of		Input Rule (AND/OR)	
2.2.F1.E1.P2 2 nd MOP for MOE 2.2.F1.E1		2.2.F1.E1 Function 2.2.F1 2.2.F1 2.2		Sub-system 2.2		Conditions		
0.2.1.E1.P1 MOP for MOE 0.2.1.E1		Standard for MOE 0.2.1.E1	0.2.1.E1 MOE for Task 01			Conditions		
0.n.E1.P1 MOP for MOE 0.n.E1		Standard for MOE 0.n.E1	0.n.E1 MOE for Task 1.2.F1				Conditions	



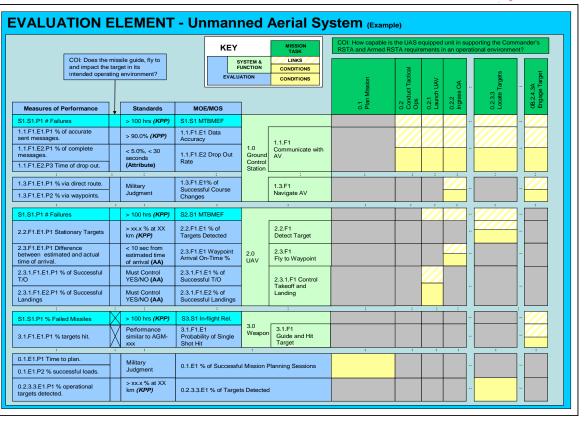
Element/Interface Development Evaluation Element – Example



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Development Keys

- Mission and System Elements.
 - All system functions must have at least one MOE.
 - Mission tasks linked directly to a MOE usually indicate a need for evaluation during OT&E.
- MOEs, MOSs and MOPs.
 - System functions and mission tasks may have more than one MOE.
 - MOEs may have more than one MOP.
 - Both systems and sub-systems may have one or more MOSs.
 - "Dry run" evaluation from MOP to mission task to ensure evaluation is sound.
- Standards.
 - Assign a standard to each MOE to assist in resolution of the MOE. Typically four types of standards:
 - Direct Measurement: Compare demonstrated performance to standard. For example; maximum range.
 - Pass/Fail: Demonstration of a particular feature. For example; required number of hard points.
 - E Comparison: Compare performance of two systems. For example; "performance equal to or greater than..."
 - Military Judgment: No specific standard. Military utility will be determined after the evaluation.





Element/Interface Development Test Element



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<u>Purpose</u>

• To describe the data products, the sources of the data products, and how they relate to the evaluation element's MOPs.

Components

- Link to MOPs: Description of which data products support which MOPs.
- Data Products: Specific data packet obtained though a data source satisfying a MOP data requirement.
- Modeling DATA Operational Operational Developmental Contractor and Test Event #2 Test Event #1 Test SOURCE Test Simulation Product #3 Data Product #2 Product #2 Data Product #1 Data Product #3 Product #1 Product #2 Data Product #1 Product #2 Product #1 Product **MOPs** Data Data Data | Data | Data I Data | Data MOP S1.S1.P1 MOP 1.1.F1.E1.P1 MOP 1.2.F1.E1.P1 MOP S2.S1.P1 MOP 2.2.F1.E1.P2 MOP 0.2.1.E1.P1 MOP 0.n.E1.P1
- Data Sources: The specific source of a data product.

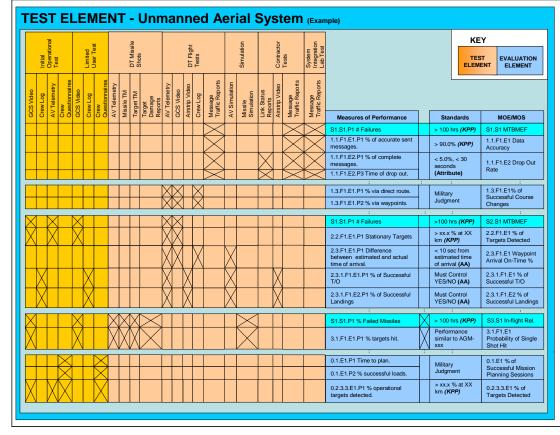


Element/Interface Development Test Element – Example



Development Keys

- Data Products.
 - Data requirements for each MOP are translated into the data products.
 - Requirements should be of sufficient detail to provide the scope of the effort that will generate the data product.
 - Each MOP must have at least one data product.
 - More than one MOP can be supported by a data product.
- Data Sources.
 - Data sources can include: contractor tests, developmental test, operational tests, field exercises, and modeling and simulations.
- Evaluation Strategy.
 - The test element describes an integrated test program.
 - The test element also provides a method to view the acceptability of the entire evaluation strategy.
 - Are the data products sufficient to evaluate the MOE/MOS standard?
 - Which functions/tasks are demonstrated solely in DT?
 - Are there any functions/tasks that are not demonstrated prior to OT? Is this acceptable?





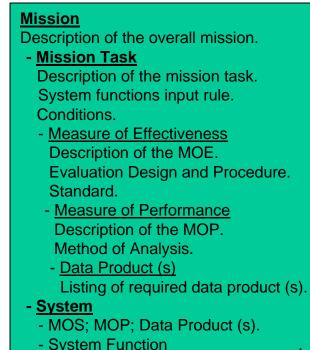
Element/Interface Development Mission Test & Evaluation Plan



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- Documents the four elements and the interfaces between them.
- Two main body chapters: mission evaluation and data sources.

MISSION EVALUATION CHAPTER



- MOE; MOP; Data Product (s).

DATA SOURCES CHAPTER

<u>Data Sources</u> Summary description of all data sources. Summary data product schedule for all data sources. <u>Data Source</u> Purpose and description of the data source. Scope and schedule of the data source. <u>Data Products</u> Description of the data product. Listing of the MOPs requiring the data product.



Application Test and Evaluation Elements



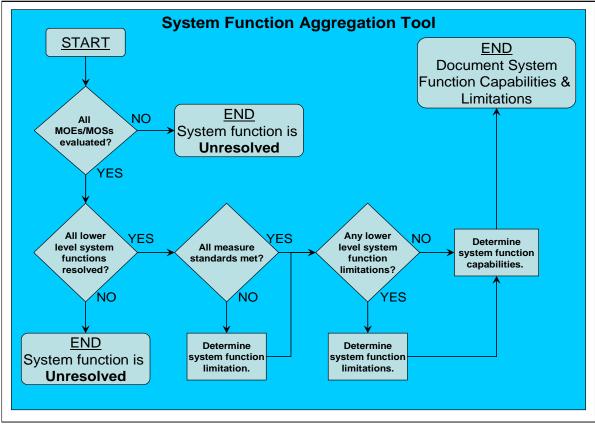
- Test Element:
 - Data is collected from the data sources.
 - Data is then authenticated in terms of quantity, quality and applicability.
 - Authentication body (Data Authentication Group) includes representatives from the test events, other data sources, the evaluator and materiel developer.
- Evaluation Element:
 - Data is then organized and analyzed.
 - Each MOE/S is rated as <u>met</u> or <u>not met</u> based on the standard.



Application System Element



- System function capabilities and limitations are determined at the System Element.
 - Capability: "The (system) has the capability to (function capability with reference to standard)."
 - Limitation: "The (system) is limited to (function capability) which is (shortcoming with reference to the standard)."
- MOE/MOS ratings are applied to the system functions to determine the system capabilities and limitations.
- Capabilities and limitations of lower level system functions are also used to evaluate higher system functions.
- Tool developed to resolve the system functions.

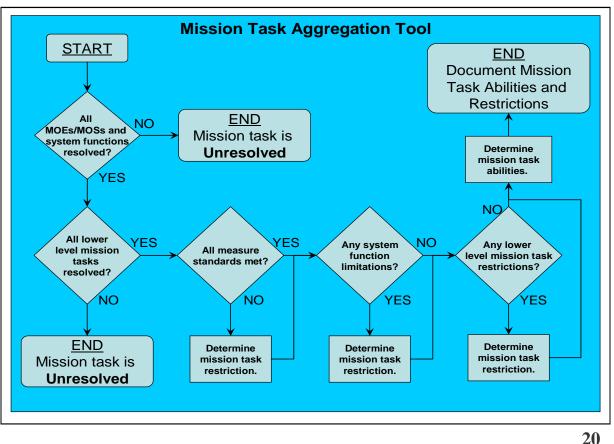




Application Mission Element



- Mission task **abilities and restrictions** are determined at the Mission Element.
 - Ability: "The (unit) has the ability to (task ability) while (task)."
 - Restriction: "The (unit) is restricted to (task ability) while (task) which is (shortcoming to mission task requirement if available)."
- MOE/MOS ratings are applied to the mission tasks to determine the mission abilities and restrictions.
- System function capabilities and limitations are used to determine mission abilities and restrictions.
- Abilities and restrictions of lower level mission tasks are also used to evaluate higher mission tasks.
- Tool developed to resolve the mission tasks.





Application Mission Evaluation Report



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- MER provides the documented results of the evaluation.
 - Mission Evaluation Results.
 - Mission Performance in terms of Mission Threads.
 - Overall Mission Abilities and Restrictions.
 - Individual Mission Task Abilities an Restrictions.
 - System Evaluation Results.
 - System Performance in terms of Attributes and KPPs.
 - System Suitability
 - Overall System Capabilities and Limitations.

Provides the decision maker with...

a clear picture of the system capabilities and limitations allowing acquisition decisions based on the military utility gained.

Provides the warfighter with...

a clear picture of the unit's abilities and restrictions within the context of the mission.



Weaknesses



- Process is time consuming to plan and execute.
 - Requires extensive planning effort across functional boundaries (user, materiel developer, T&E).
 - "Sharing the burden" of developing the different elements with user, materiel developer and tester/evaluator can mitigate the impact. This also develops a consensus of the T&E strategy.
 - Database application software can be used as a tool to facilitate organizing elements and interfaces.
 - May require interpretation of results to determine capabilities/limitations and abilities/restrictions.
 - " "Sharing the burden" again can be used. This develops a consensus of the results.
- Not all information required to develop the elements is available at early system development milestones.
 - Systems in development prior to Milestones B may still be in competition.
 - Defining system items and functions in a generic sense can be used. System design specifics would be added after contractor selection. Also, generic system functions supports evaluation of technological risks.



Strengths



- Provides a mission-based form of evaluation.
 - Military utility of the system immediately apparent to the user.
 - System suitability directly linked to mission capability
- Outlines a fully integrated test and evaluation program.
 - Promotes synergistic use of data gathered from all sources: contractor test, developmental test, operational test, and modeling and simulation.
 - Promotes early identification of T&E strategy risks.
- Provides continuous evaluation of the mission throughout all system development phases.
 - Impact of development risks on the mission visible in early development.
 - Monitors progress of system development and demonstration within the context of mission abilities provided.
 - Incremental development strategies are supported by evaluating each increment's abilities in the context of the overall mission.



Summary



- Mission-based evaluation process has been developed to support T&E planning and execution. Process is comprised of:
 - Four elements.
 - Mission Element: Comprised of the mission tasks and sub-tasks.
 - System Element: Comprised of system items and functions.
 - Evaluation Element: Comprised of the evaluation MOEs and MOPs.
 - Test Element: Comprised of the data sources and products.
 - Interfaces.
 - Links between each element have been developed to facilitate T&E planning and execution.
- Execution of the T&E effort provides:
 - the decision maker with a clear picture of the system capabilities and limitations allowing acquisition decisions based on the military utility gained.
 - the warfighter with a clear picture of the unit's abilities and restrictions within the context of the mission.

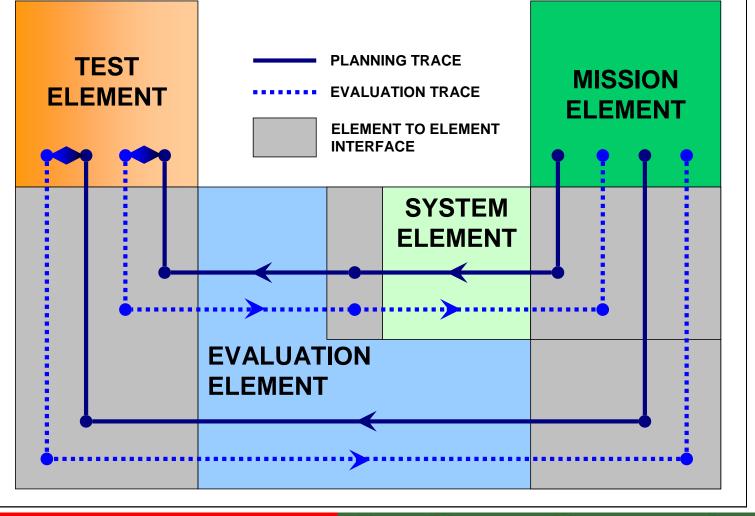






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ELEMENTS AND TRACES



ARMY TEST AND EVALUATION COMMAND



Acronym Chart



AA	Additional Attribute	MOS	Measure of Suitability
AV	All View (slide 4)	OA	Operational Area
AV	Air Vehicle (slides 11, 13, and 15)	OT	Operational Test
CDD	Capabilities Development Document	OT&E	Operational Test and Evaluation
COI	Critical Operational Issue	OV	Operational View
CPD	Capabilities Production Document	RSTA	Reconnaissance, Surveillance & Target Acquisition
DAG	Data Authentication Group	RT	Remote Terminal
DoD	Department of Defense	SATCOM	Satellite Communications
DT	Developmental Test	SV	Systems View
GCS	Ground Control Station	T&E	Test and Evaluation
JCIDS	Joint Capabilities Integration and Development System	T/O	Takeoff
KPP	Key Performance Parameter	TM	Telemetry
MER	Mission Evaluation Report	TV	Technical View
METT-TC	Mission, Enemy, Terrain, Troops, Time and Civil	UAS	Unmanned Aerial System
MOE	Measure of Effectiveness	UAV	Unmanned Aerial Vehicle
MOP	Measure of Performance		