



Weapon Systems & Technology Directorate  
US ARMY ARDEC- BENÉT LABS

**TITLE: PLASMA ENHANCED  
MAGNETRON TECHNOLOGY FOR  
DURABLE POLLUTION-FREE COATINGS**



***TECHNOLOGY DRIVEN. WARFIGHTER FOCUSED.***

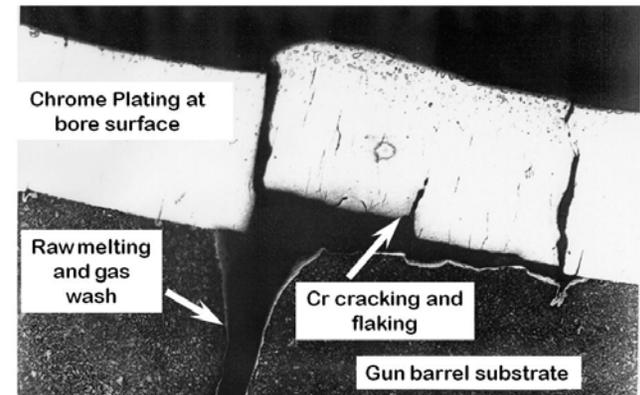
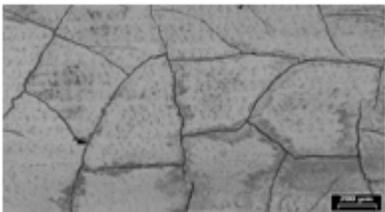
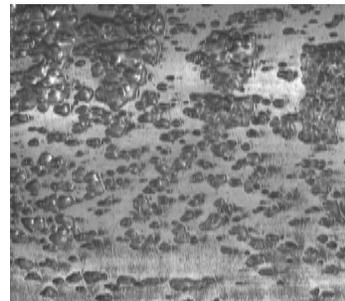
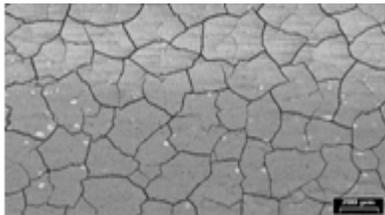
**Dr. Sabrina Lee and Dr. Rong Wei  
NDIA Gun and Missile Conference, New Orleans, LA  
April 21-24, 2008**

- ❑ Problems with HC Cr electroplating process.
- ❑ Alternative pollution-free coatings against high temperature wear and erosion.
- ❑ Plasma enhanced magnetron surface cleaning.
- ❑ Plasma enhanced magnetron deposition.
- ❑ Analytic characterization and adhesion testing.
- ❑ Conclusion

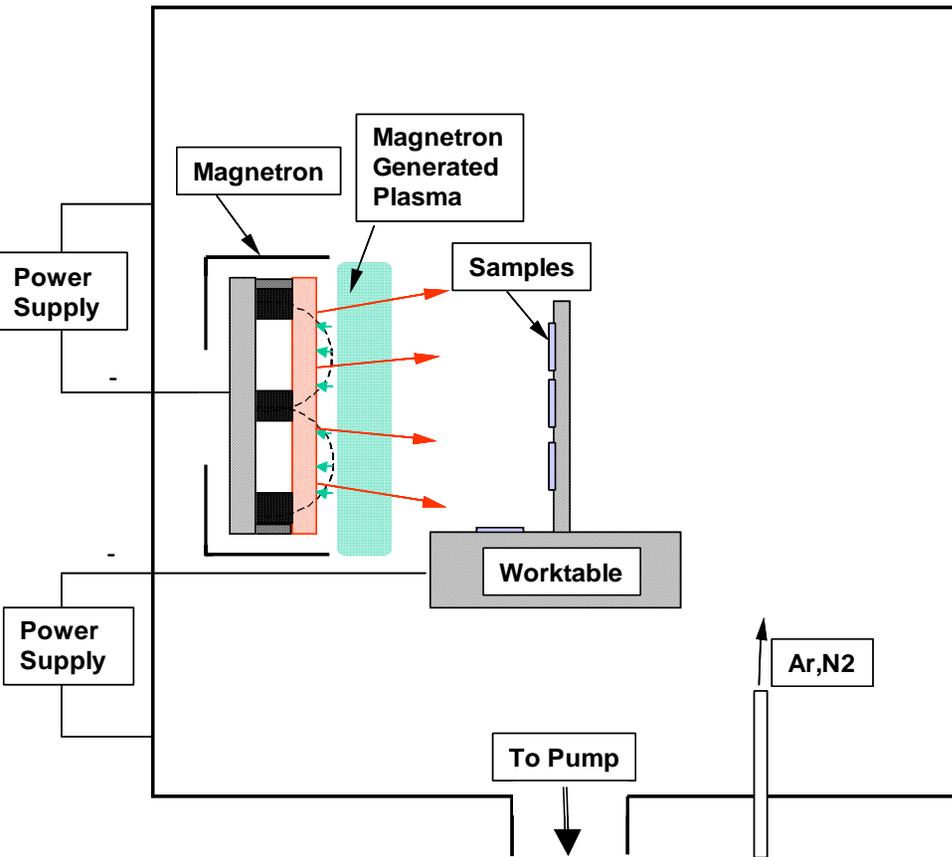
## Environment Problem: Aqueous toxic Cr VI from Cr Electroplating Process



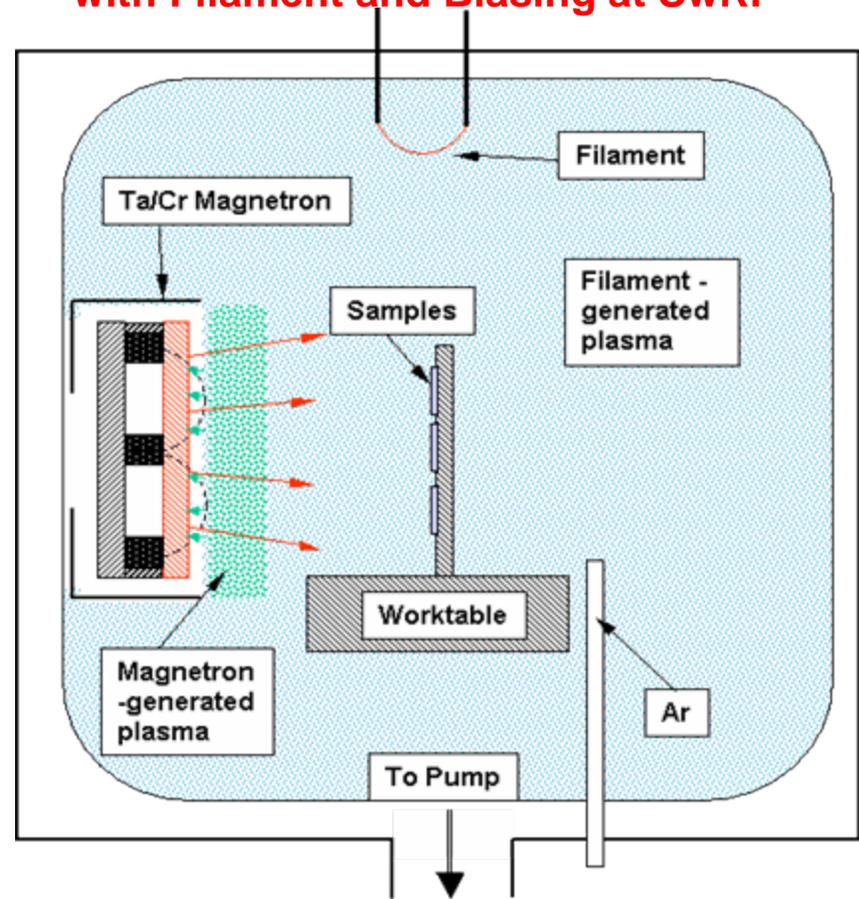
Performance Problem: Inadequate substrate protection, reduced service life for high temp wear & erosion applications



## Conventional Physical Vapor Deposition via DC Magnetron Sputtering



## Plasma Enhanced Magnetron Sputtering with Filament and Biasing at SwRI



Filament Plasma + Magnetron Plasma ➡ Current Density ~ 4.9 mA/cm<sup>2</sup>

Magnetron Plasma without Filament Plasma ➡ Current Density ~ 0.2 mA/cm<sup>2</sup>

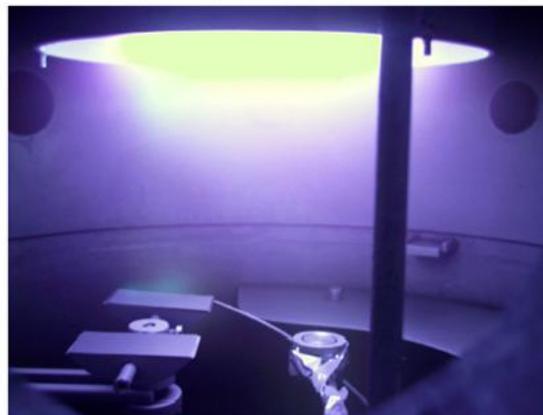
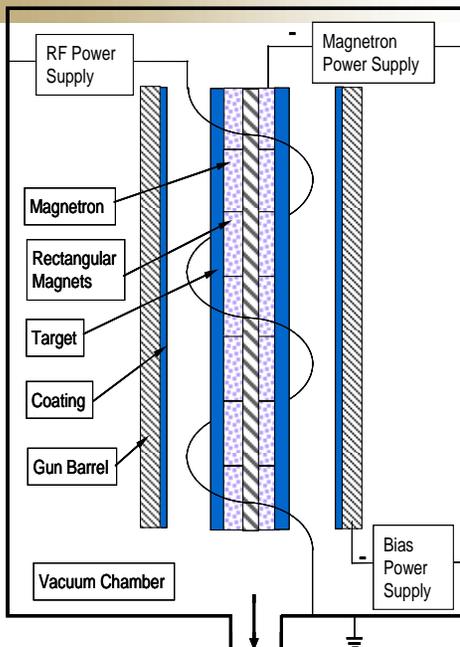
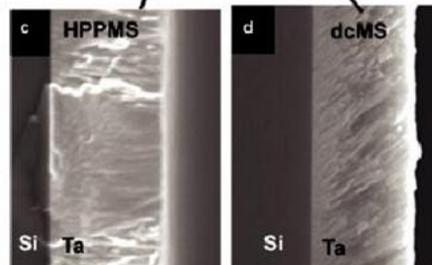
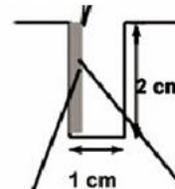
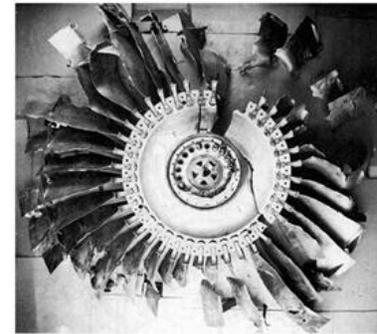
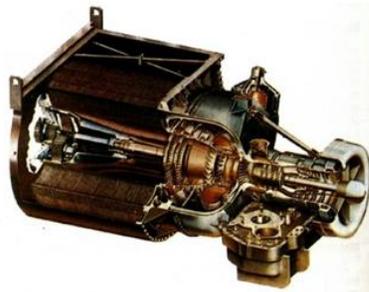


Figure 7.1. The HIPIMS...



**LEFT-** RF-plasma enhanced cylindrical magnetron sputtering experiments at SWRI.  
**MIDDLE-** HIPIMS (high power impulse magnetron sputtering) from- Dr. J. Bohlmark, Dr. J. Alami.  
**RIGHT-** ARDEC-Benet Labs CMS platforms for coating full-length 120mm large cal bore.



Properties	Steel	Cr	$\alpha$ -Ta
Melting Point Temperature [ $^{\circ}$ C]	1535	1857	2996
<i>Lattice Parameter* [Angstroms]</i>	2.8665	2.8847	3.298
<i>Lattice Mismatch with alpha Fe</i>		-0.6%	-15.1%
<i>Lattice Mismatch with alpha Ta</i>	13.1%	12.5%	
<i>Thermal expansion at RT (K-1)**</i>	1.35E-05	6.50E-06	6.50E-06
<i>Difference in thermal expansion with Fe</i>		51.9%	51.9%
<i>thermal conductivity, k [W/mK]***</i>	45.1	98.5	57.7
<i>Young's Modulus, E (GPa)****</i>	207	248	173

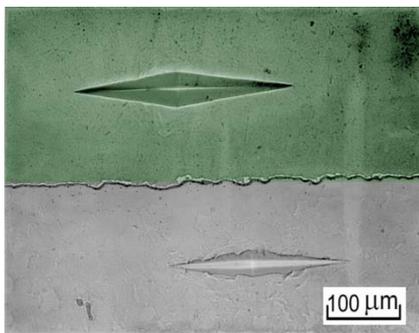
\*Cullity

\*\*Smithell's 6th Ed.

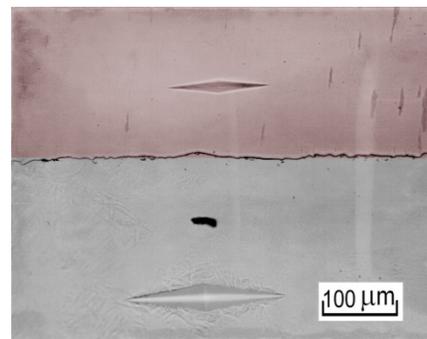
\*\*\*Underwood; Incorpera & DeWitt, Introduction to Heat Transfer

\*\*\*\*Thornton & Colangelo, Fundamentals of Engineering Materials

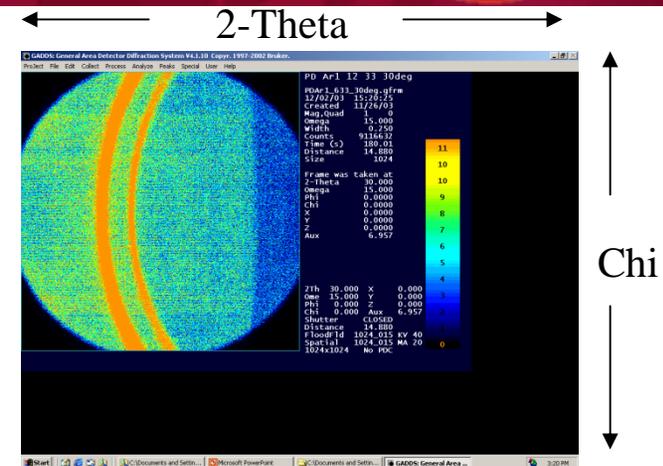
	$\alpha$ -Ta	$\beta$ -Ta
<b>Structure</b>	<b>BCC</b>	<b>Tetragonal</b>
<b>Lattice Parameters</b>	$a=b=c=0.33058$ nm	$a=b=1.0194$ nm $c=0.5313$ nm
<b>Hardness</b>	<b>100-200 KHN</b>	<b>1000-1200 KHN</b>
<b>Resistivity</b>	<b>15-60 <math>\mu\Omega</math>-cm</b>	<b>200 <math>\mu\Omega</math>-cm</b>
<b>Thermal Stability</b>	$T_m = 2996^\circ\text{C}$	$T_{\beta \rightarrow \alpha} \sim 750^\circ\text{C}$
<b>Ductility</b>	<b>Ductile</b>	<b>Brittle</b>



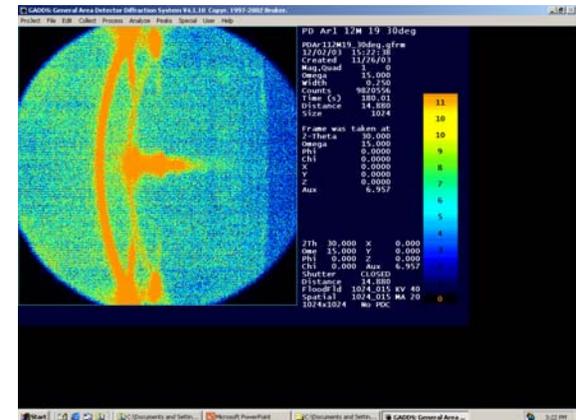
“softer” bcc Ta on Steel



“harder” tetragonal Ta on steel

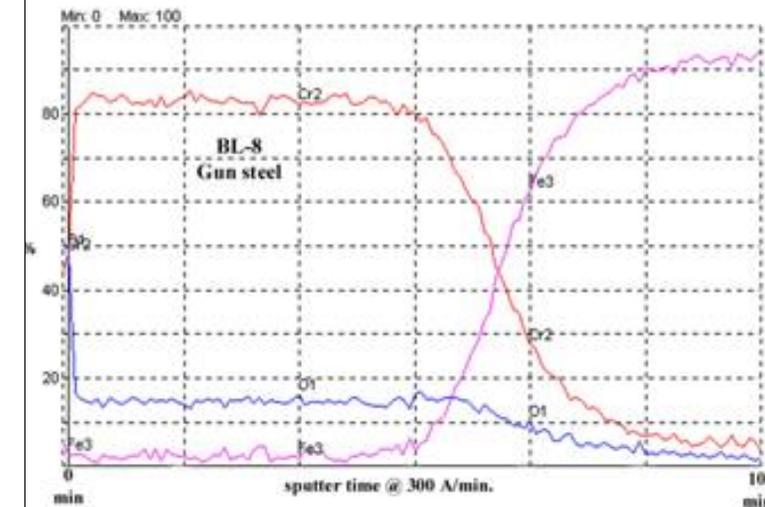
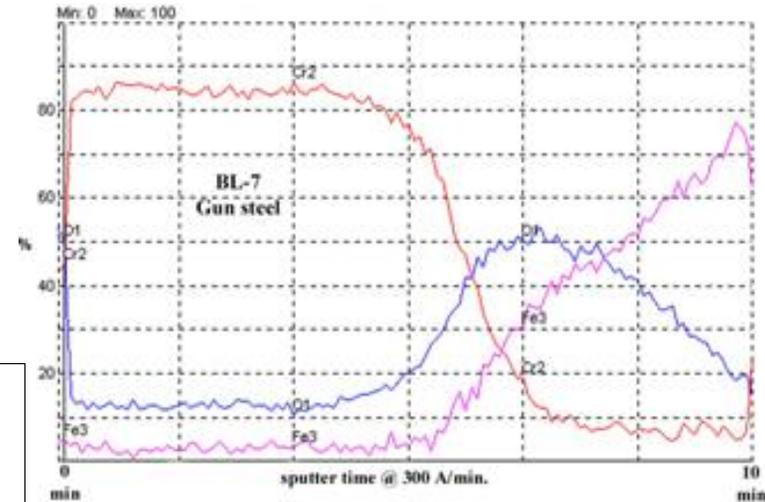
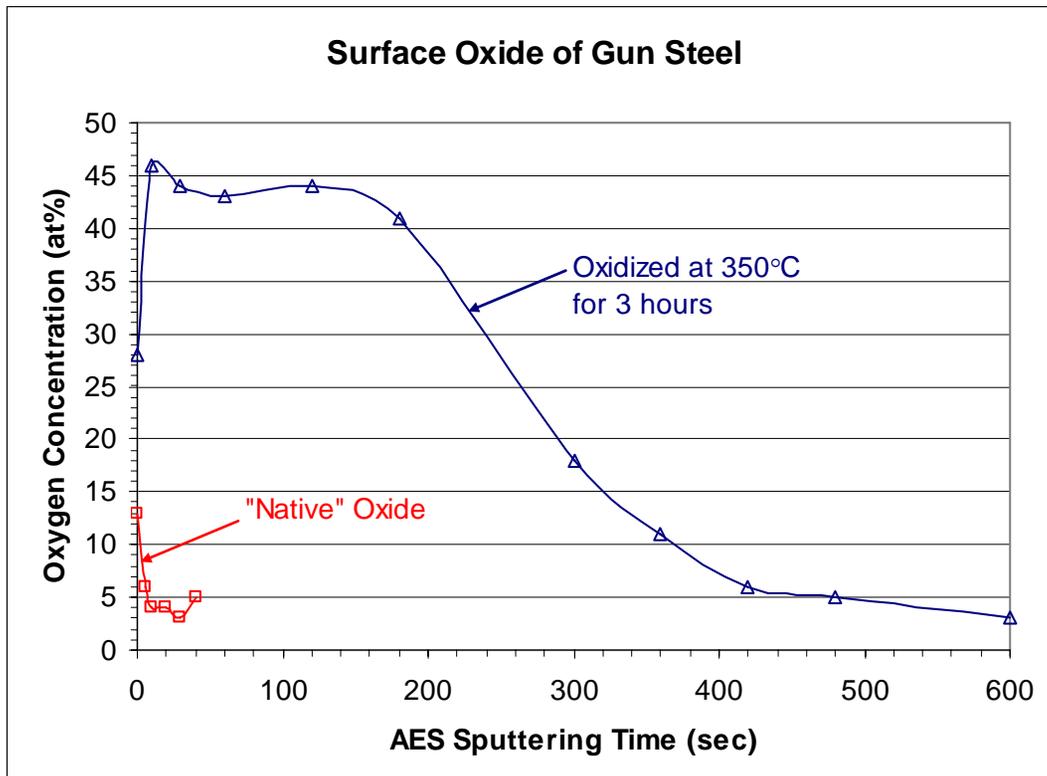


Random  $\alpha$  Ta (110) (left ring)  
 Random  $\beta$  Ta (002) (right ring)

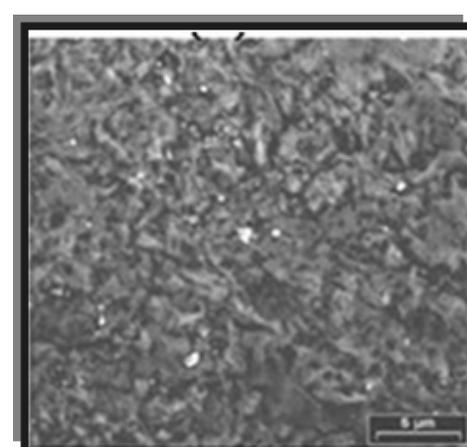
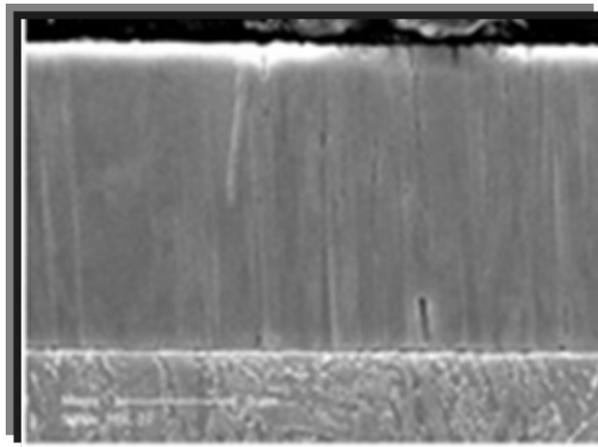
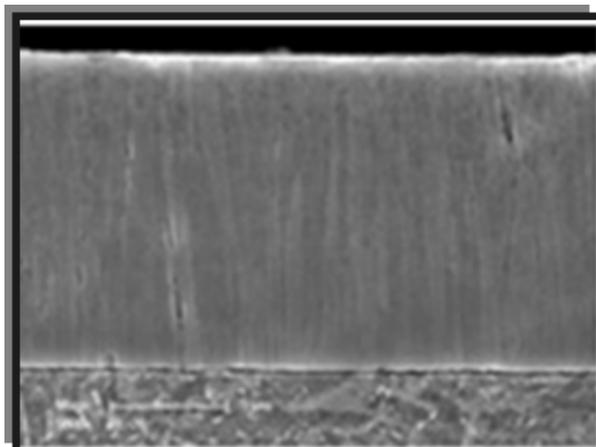


Random  $\alpha$  Ta (110) (left ring)  
 Textured  $\beta$  Ta (002) (right ring)

- Native oxide on gun steel surface is ~10-20 nm
- Heat-Generated (350°C for 3 hrs in air) oxide is ~300-350 nm.
- AES showed ion sputter cleaning for 60 min removed all native and heat-induced oxides.

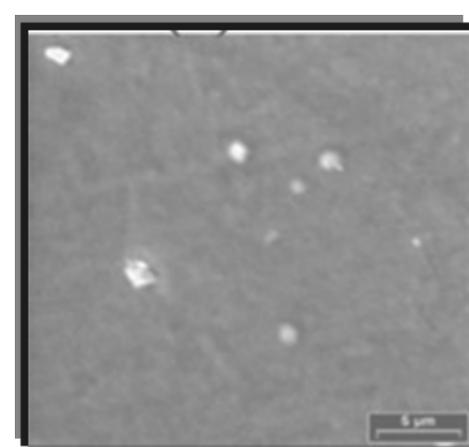
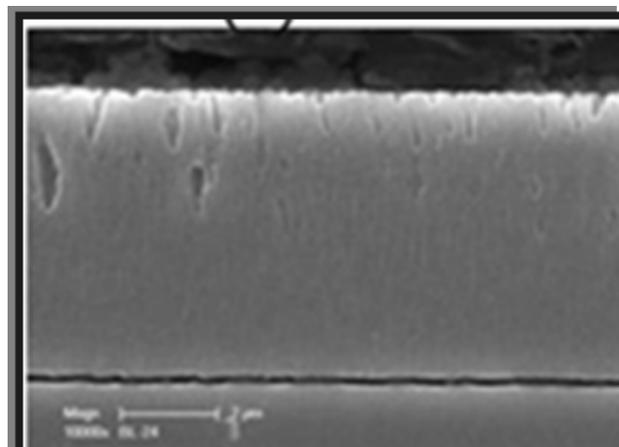
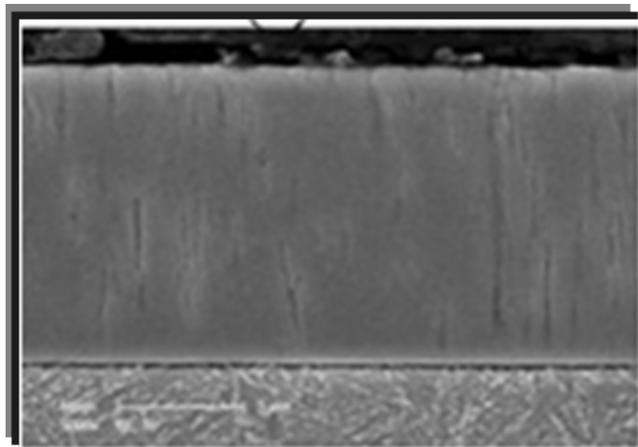


Higher discharge current increases hardness, density, improves microstructure



**0A**, 14  $\mu\text{m}$ , 85 min,  $\text{HK}_{10}$  399

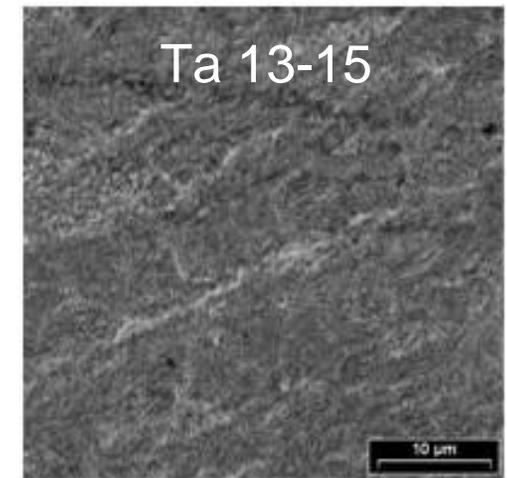
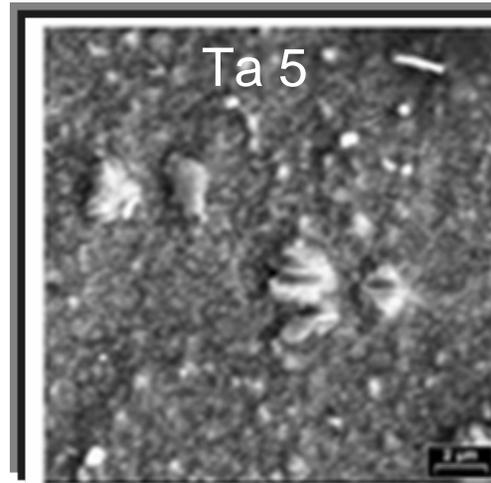
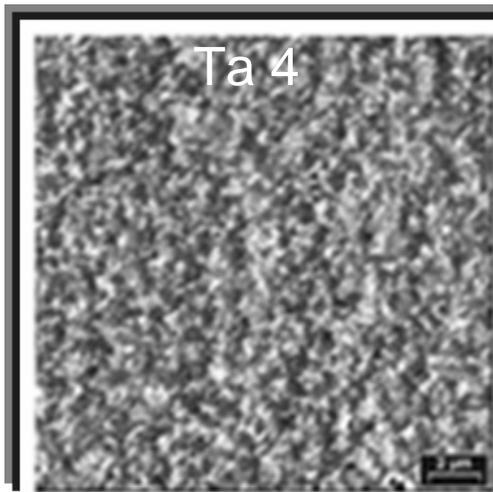
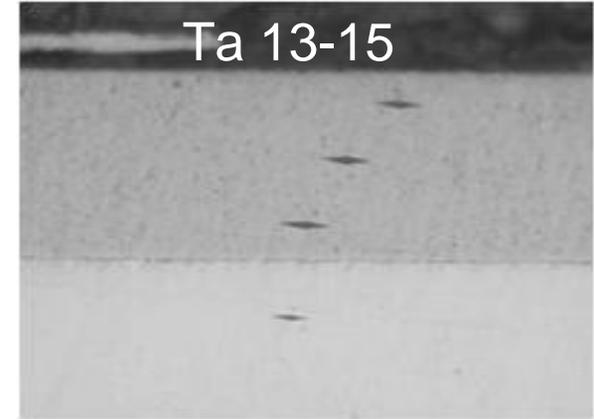
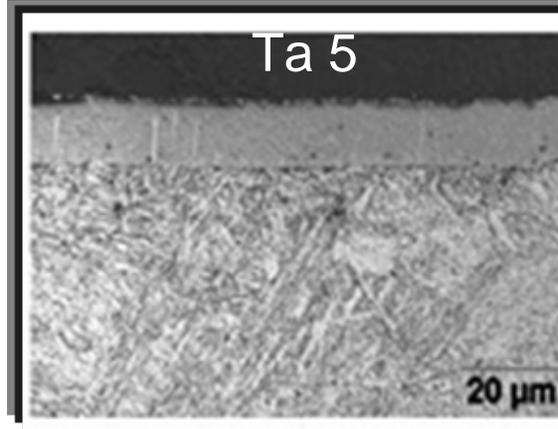
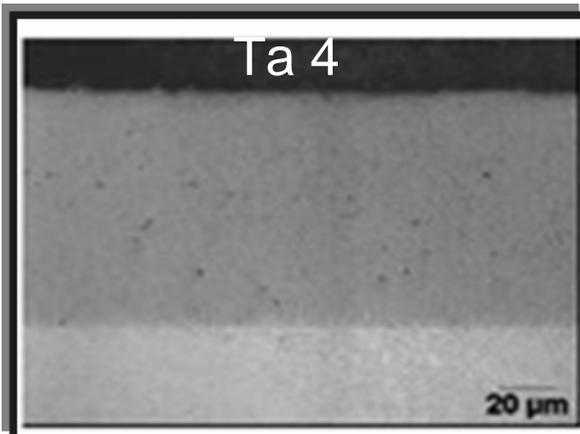
**5A**, 13  $\mu\text{m}$ , 85 min,  $\text{HK}_{10}$  633



**10A**, 13  $\mu\text{m}$ , 85 min  $\text{HK}_{10}$  1226

**20A**, 6  $\mu\text{m}$ , 90 min,  $\text{HK}_{10}$  2144

Higher discharge current increases hardness, density, residual stresses,  $\alpha$ -Ta formation



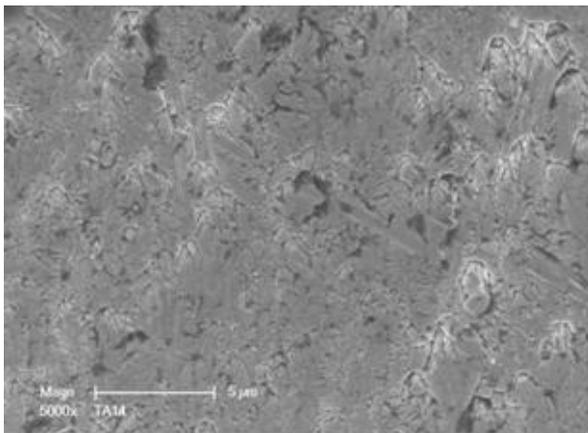
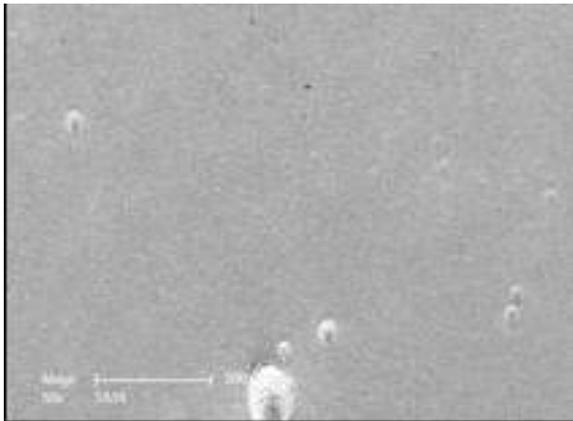
**10A, 90 $\mu$ m, 600 min  
HK<sub>10</sub> 602,  $\alpha$ -Ta**

**0A, 10 $\mu$ m, 60 min  
HK<sub>10</sub> 552, ( $\alpha$ + $\beta$ )-Ta**

**10A, 148 $\mu$ m, 900 min,  
HK<sub>10</sub> 337,  $\alpha$ -Ta**

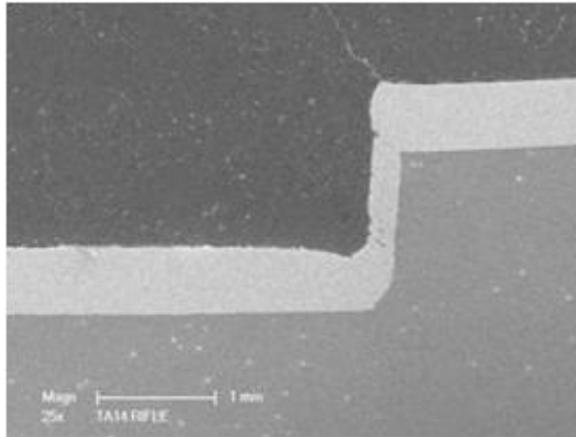
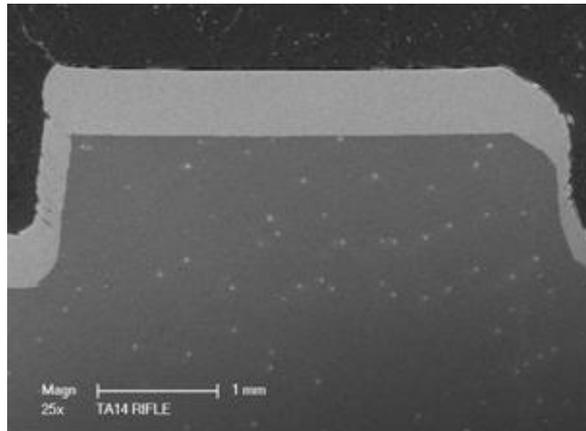
## Plasma Enhanced DC Magnetron Coating Topography

Land-Groove, 450 micron Ta Walls- 250 micron Ta



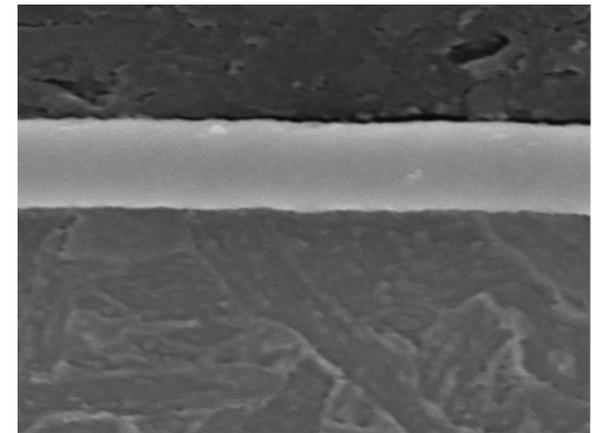
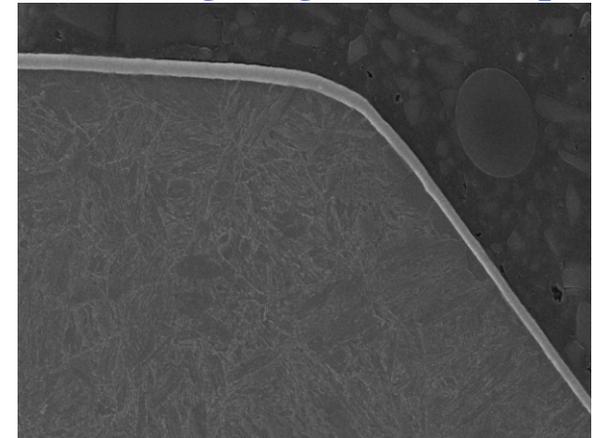
## Plasma Enhanced DC Magnetron Coating Microstructure

Land-Groove, 450 micron Ta Walls- 250 micron Ta

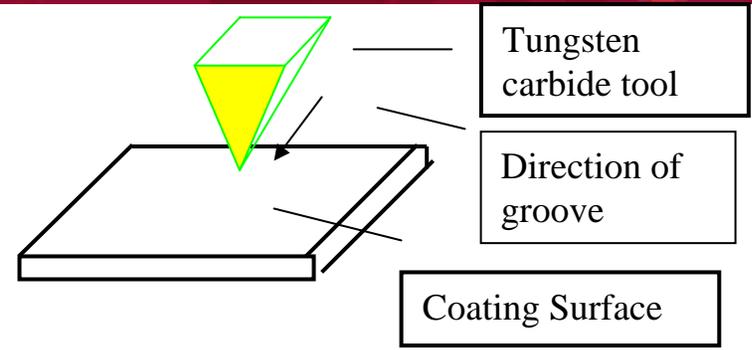


## HIPIMS (High Intensity Impulse Magnetron Sputtering) (Helmerson/Rhode/Lee)

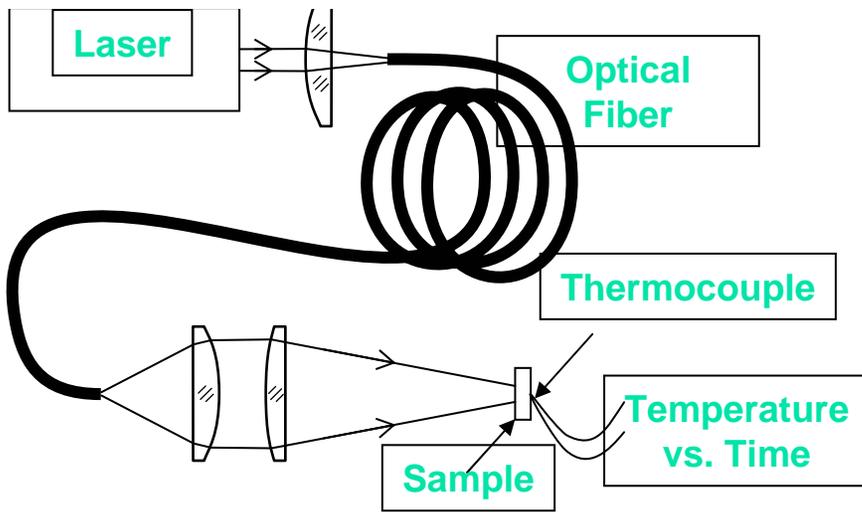
Uniform 2 micron bcc Ta on slanted edge of gun steel sample



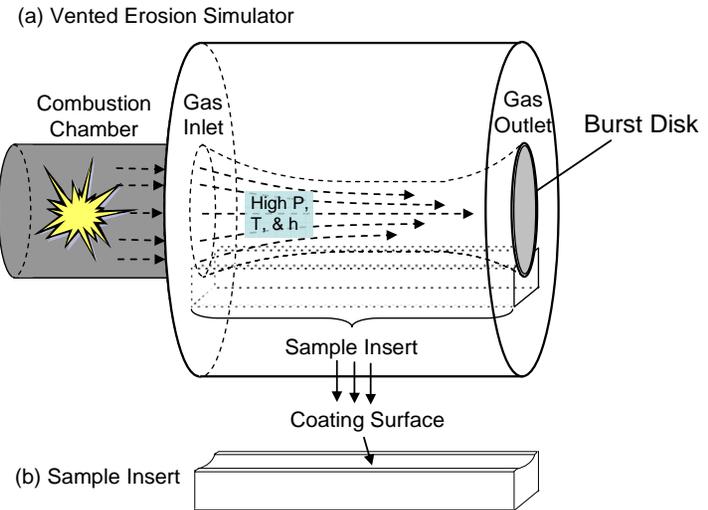
- Microscratch Test of Thin Coatings
- Groove Test (ASTM B571-91)
- Pulsed Laser Heat Test
- Vented Erosion Simulator Test (In-Door Firing Range)



## Groove Test for Coatings Adhesion Strength

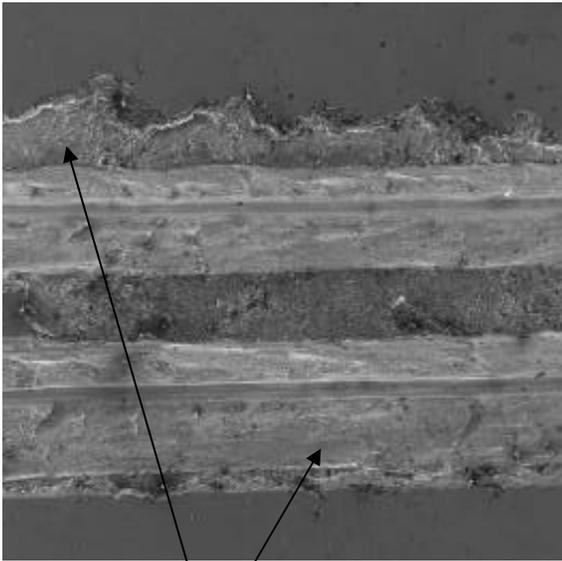


PLH (Pulsed Laser Heating) Test of Thermal Properties of Coatings



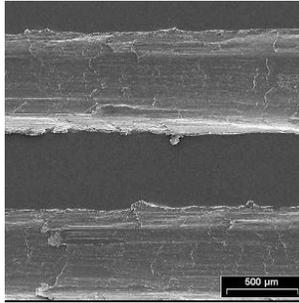
VES (Vented Erosion Simulator) Test of Thermal-Mechanical-Chemical Properties of Coatings

## Electroplated Cr

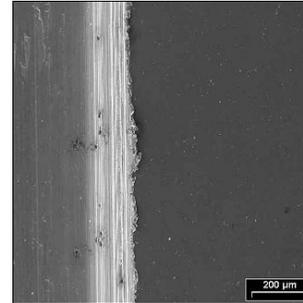


## Cohesive failures

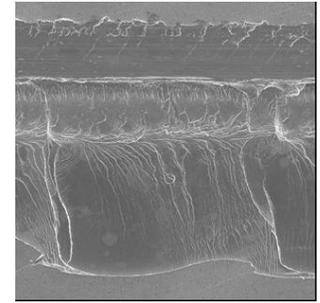
Cr16



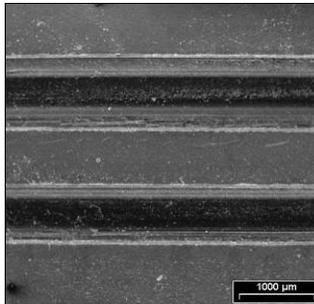
Cr24



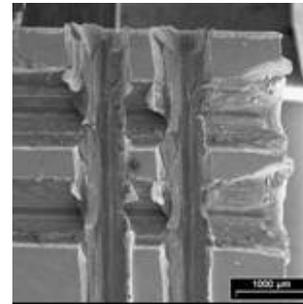
Cr 15



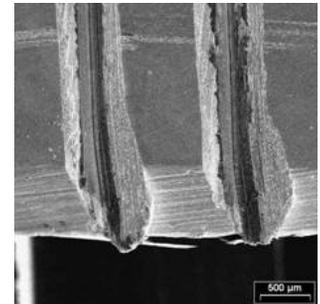
Ta3



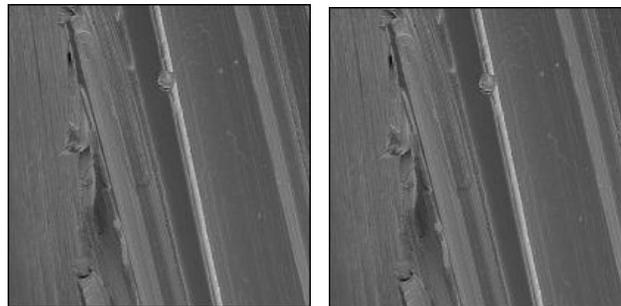
Ta12-2



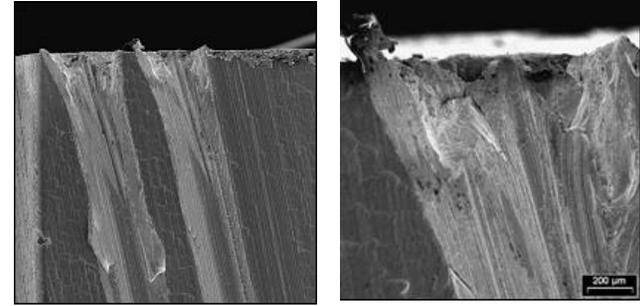
Ta13-15

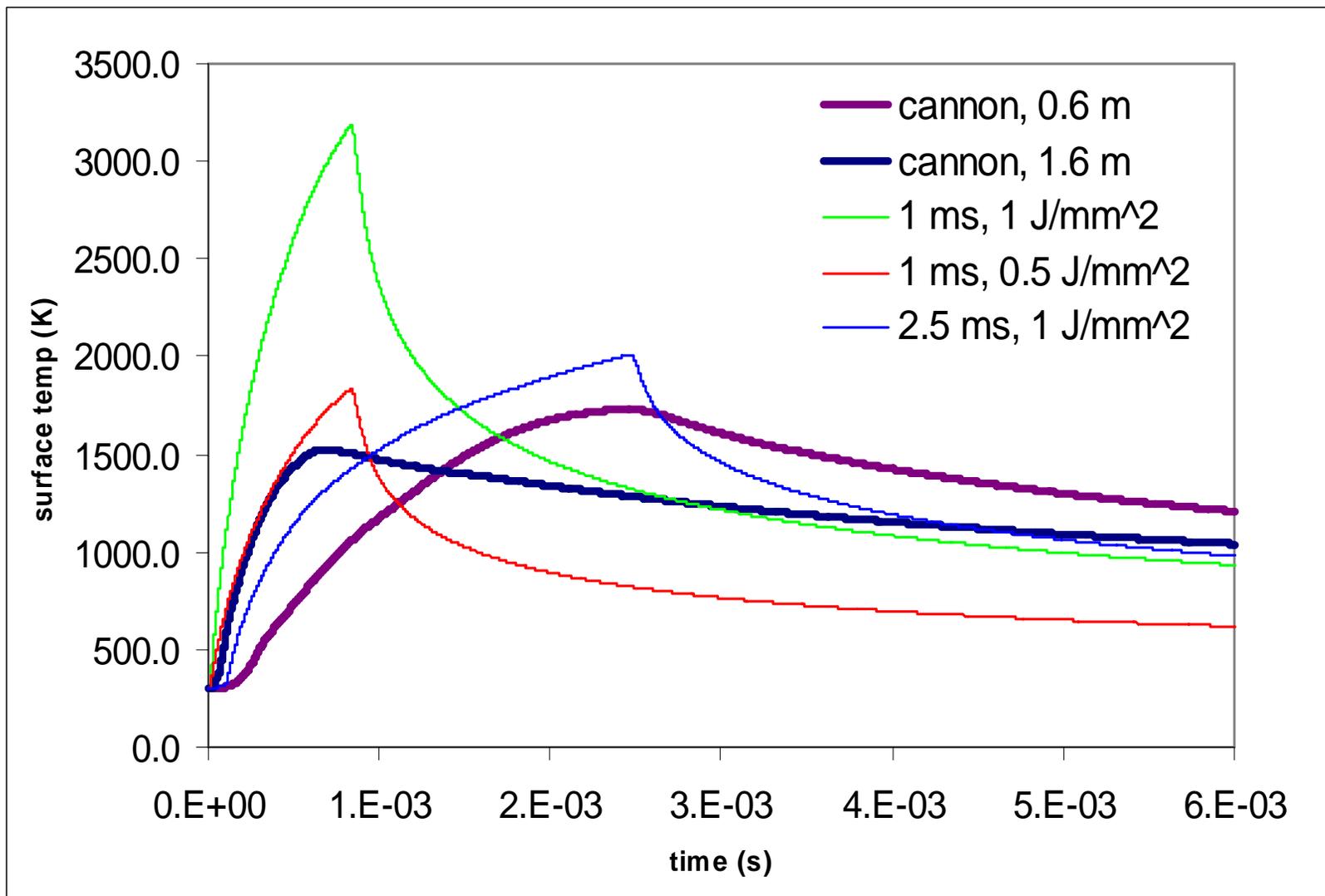


155mm Land



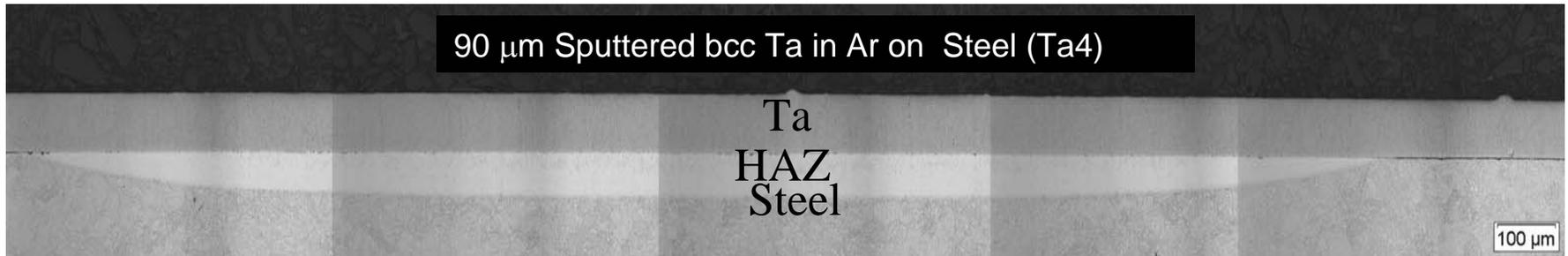
155mm Groove





PLH parameters (2.5 msec, 1.0 J/mm<sup>2</sup>, 20 cycles, simulating ~1480°C temperature)

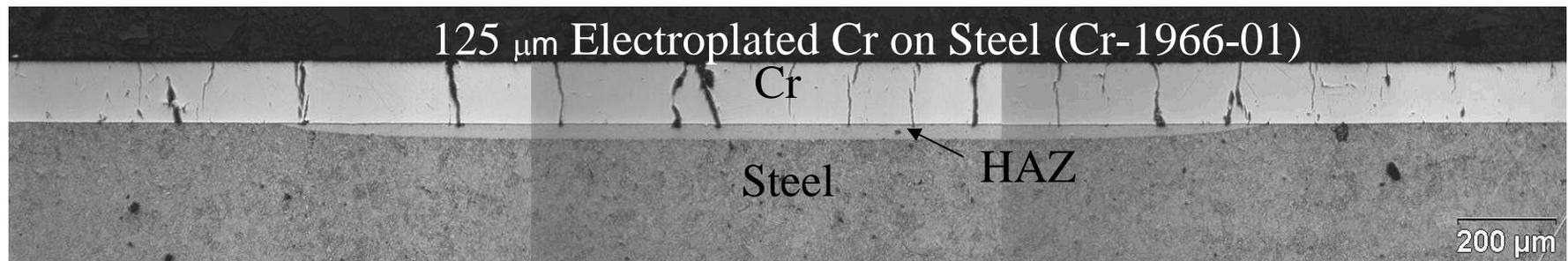
90 μm Sputtered bcc Ta in Ar on Steel (Ta4)



80 μm Sputtered bcc Ta in Kr on Steel (Ta 12-2)

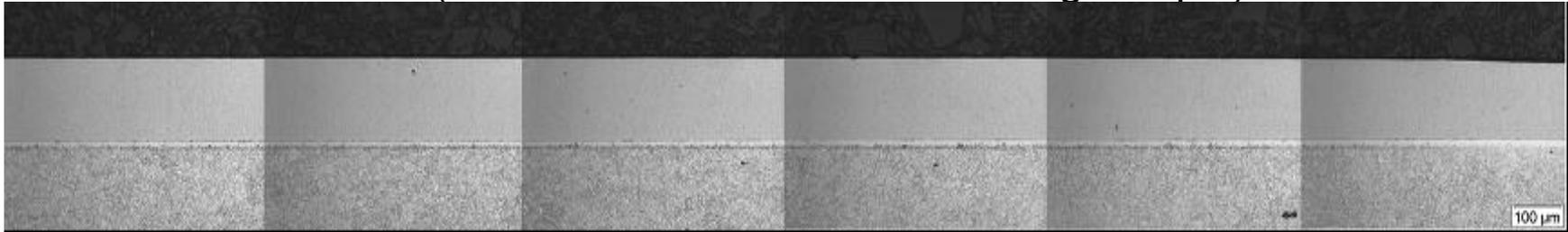


125 μm Electroplated Cr on Steel (Cr-1966-01)



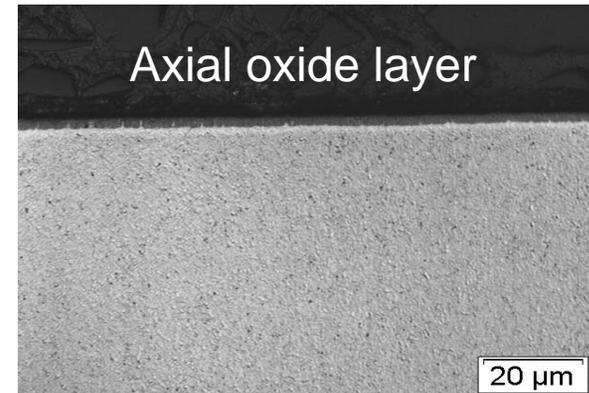
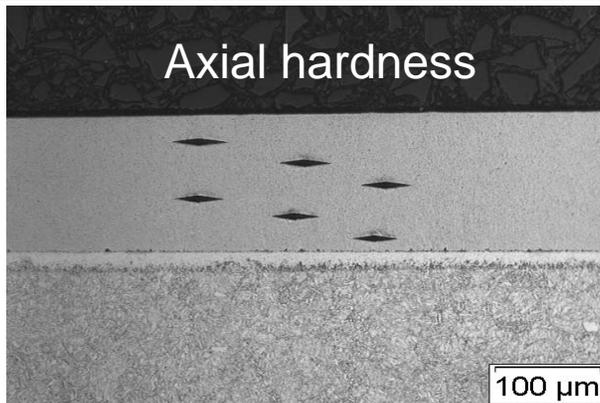
- HAZ (Heat affected zone) in steel is due to tempered to untempered martensite transformation.
- During heating-cooling cycle, martensite transforms to austenite, then back to martensite

Axial (1.0 inch from end of 1.6 inch long sample)



After 25 rounds

DACP-Ta-15hr	
Indents (Top Down)	
Knoop (Hk50)	Depth of Diamond ( $\mu$ )
216	28.87
254	52.99
267	77.02
278	91.91
298	110.90
322	135.60
422	steel

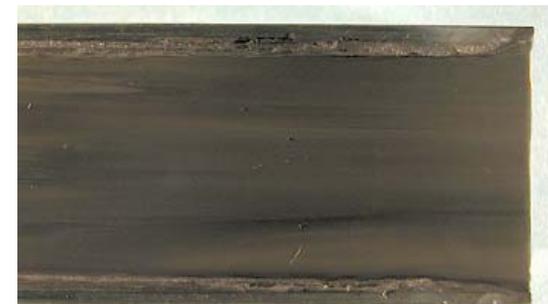
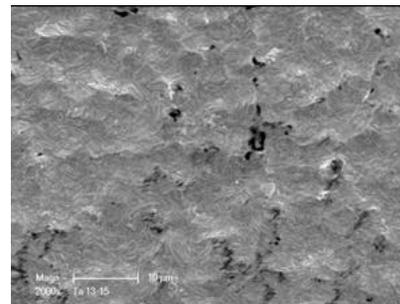
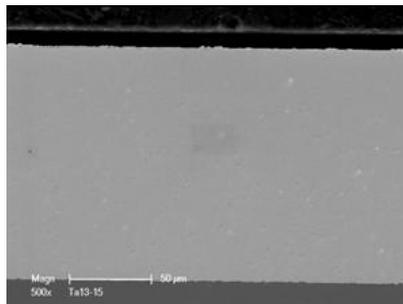


As-deposited topography - microstructure

After fired surface

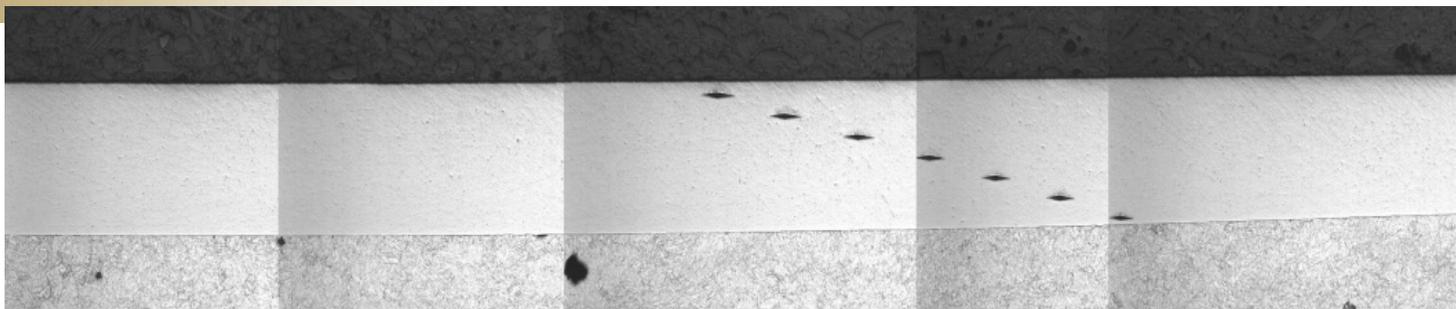
As deposited

Ta-13 15	
Indents (Top Down)	
Knoop (Hk50)	Depth of Diamond ( $\mu$ )
323	24.62
340	73.14
349	128.90
523	208.90

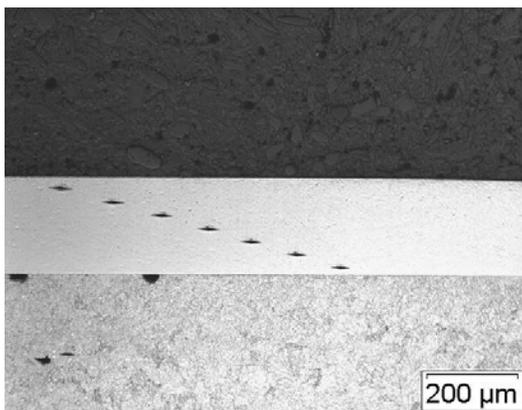


Minimal softening, good adhesion, no cracking after 25 VES rds!

# 286 $\mu$ m Ta on Steel After 129 VES Firing High Erosive RDS



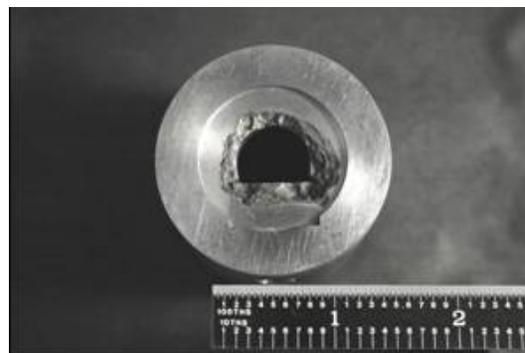
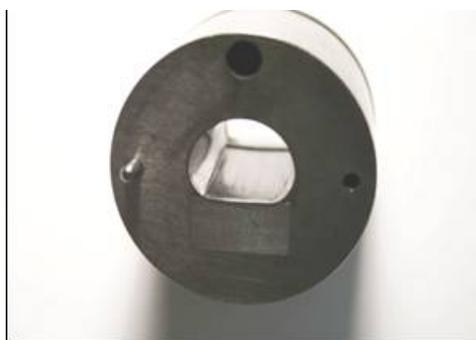
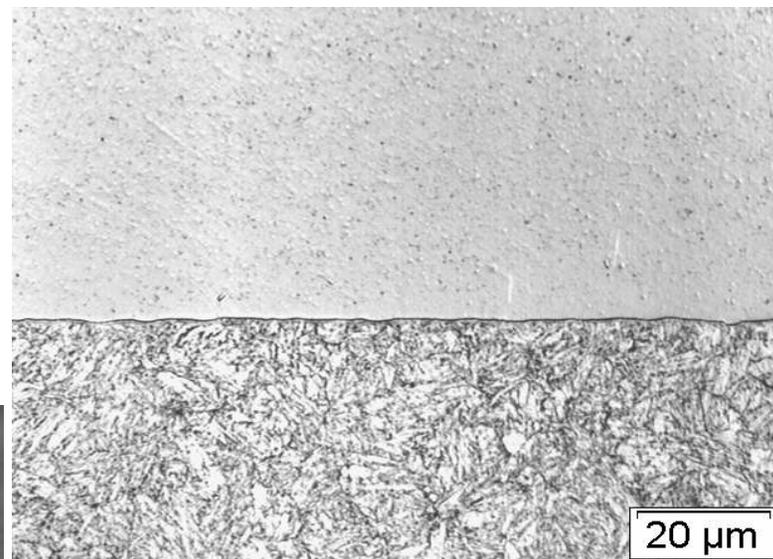
DACP-Ta-13-20hr	
Indents (Top Down)	
noop (Hk50)	Depth of Diamond ( $\mu$ )
216	19.32
247	49.35
249	80.25
273	108.00
303	137.00
325	165.70
420	195.30



DACP-Ta-13-20hr	
Indents (Top Down)	
Knoop (Hk50)	Depth of Diamond ( $\mu$ )
240	21.64
267	50.84
289	78.45
299	108.30
328	135.60
344	162.30
371	190.10

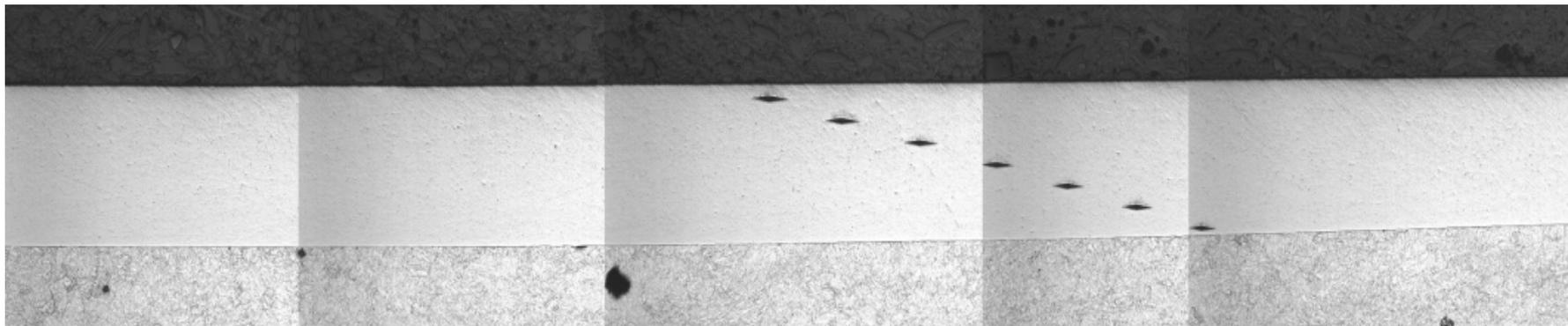
**Longitudinal Ta Thickness = 204  $\mu$ m**

**Transverse Ta Thickness = 203  $\mu$ m**

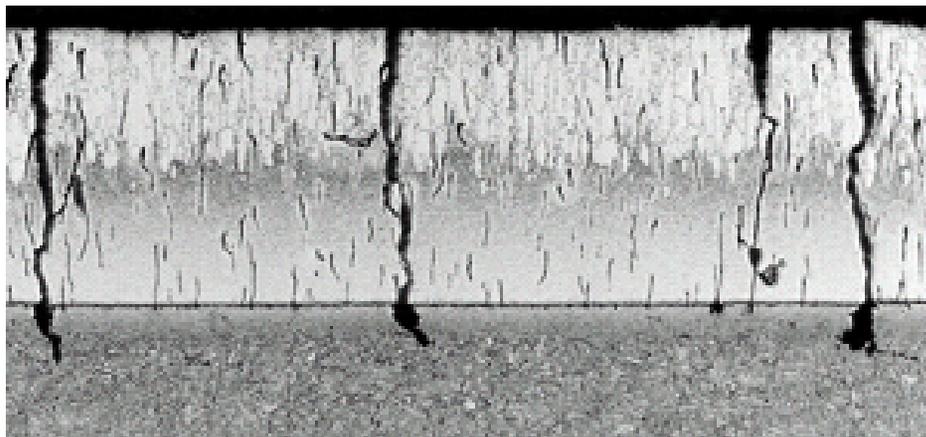


**Minimal softening, good adhesion,  
no cracking after 129 VES rds!**

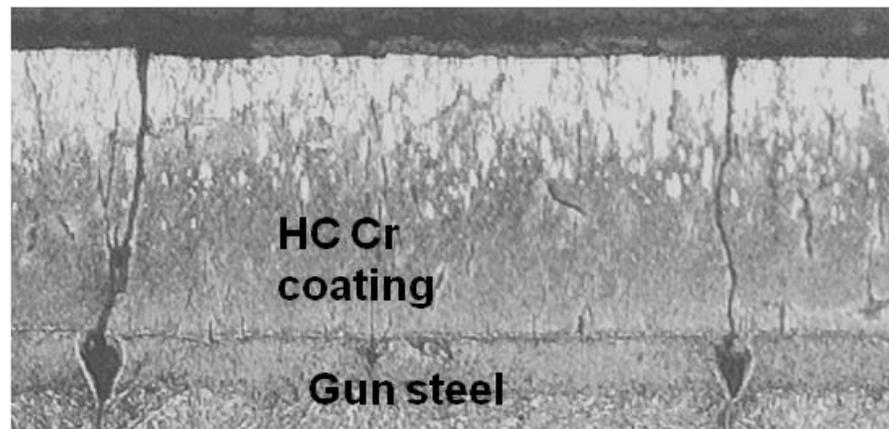
**Plasma Enhanced bcc Ta (286 $\mu$ m) on steel after 129 cycles VES high erosive rds  
Showing excellent structure, adhesion, and crack-resistance properties**



**Electroplated HC Cr (120 $\mu$ m) on Steel  
~ 100 cycles of VES under same conditions**



**Electroplated HC Cr (120 $\mu$ m) on Steel  
~100 cycles of actual firing of high erosive rds**



- 1) Plasma enhanced magnetron technology using higher ion bombardment can deposit hard, dense, adhesive, pollution-free coatings on steel with improved microstructure.
- 2) Plasma enhanced sputtered Ta on 120mm and 155mm test samples demonstrated excellent structure, ductility, adhesion, and resistance against thermal shock cracking and high temperature erosion.
- 3) Plasma enhanced sputtered Ta has potential to coat 120mm and 155mm barrels and other armament components, with expected improved cycle life due to the high melting point temperature and absence of cracks.