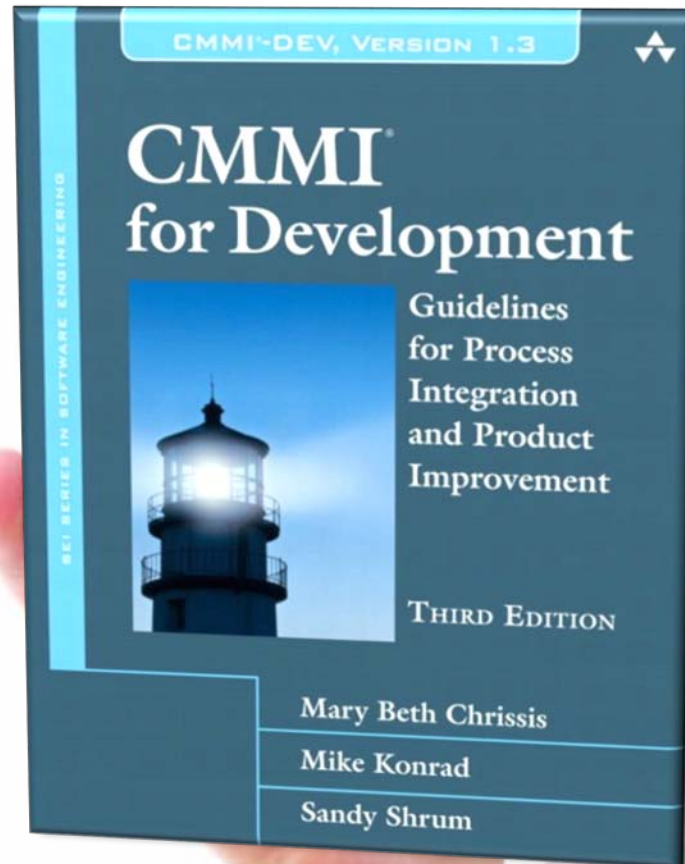


# Why Project Managers (Understandably) Hate the CMMI\*

**Bill Smith CEO**

Leading Edge Process Consultants LLC  
[www.CmmiTraining.com](http://www.CmmiTraining.com)



\*and What to Do About It

This presentation is being delivered at the **11<sup>th</sup> Annual NDIA CMMI Technology Conference and User Group** in Denver, Colorado, USA, on November 16, 2011. All slides contained herein are **Copyright 2011 by Leading Edge Process Consultants LLC**. Basically, you're not allowed to copy, modify, or otherwise use any of them without our written permission. Please respect the fact that I left our logo and copyright information off the individual slides to make them look a bit cleaner. (Cool, huh?) Plus, the Software Engineering Institute (SEI) would like you to know that **SCAMPI**, **SCAMPI Lead Appraiser**, and **IDEAL** are all service marks of Carnegie Mellon University. Wait, you're still reading this? Good for you! There's more. **CMMI** is registered in the US Patent and Trademark Office by Carnegie Mellon University. Sorry, but **my lawyer made me add all this stuff**, and he only speaks legalese. I promise the rest of your time with me will be a bit more interesting. Thank you for your patience. Bill.

**Let's roll!**

# Ground rules



2:15 -  
3:00



at the  
end \*



set to  
**stun**

\* better yet: **Garrity's** at 5:30

and yes  
I have...





ing.com



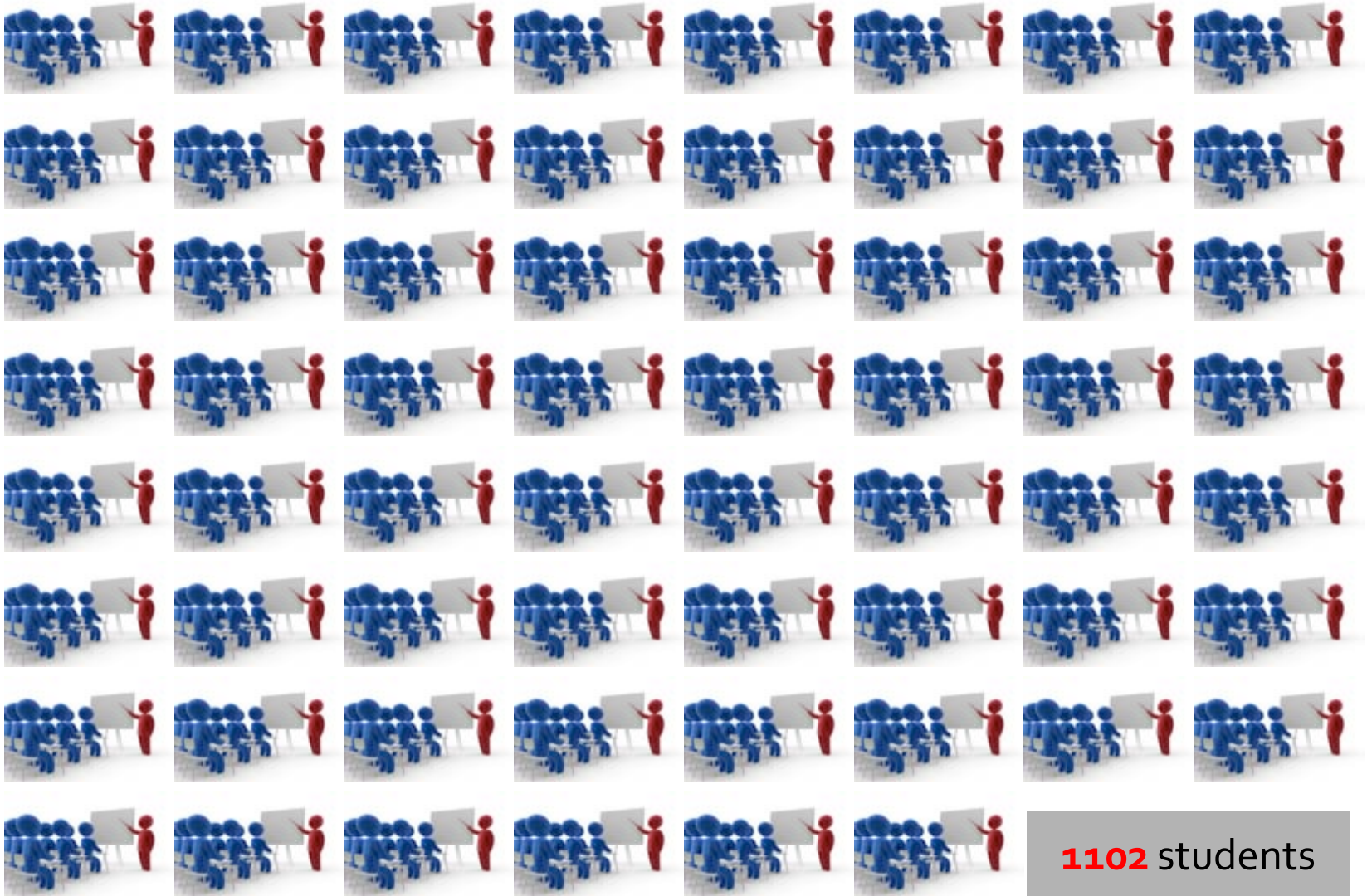
**So who am I?**



I'm familiar with  
the **CMMI®**...



# 62 Intro to CMMI® classes taught



1102 students

but more  
**importantly** I've...

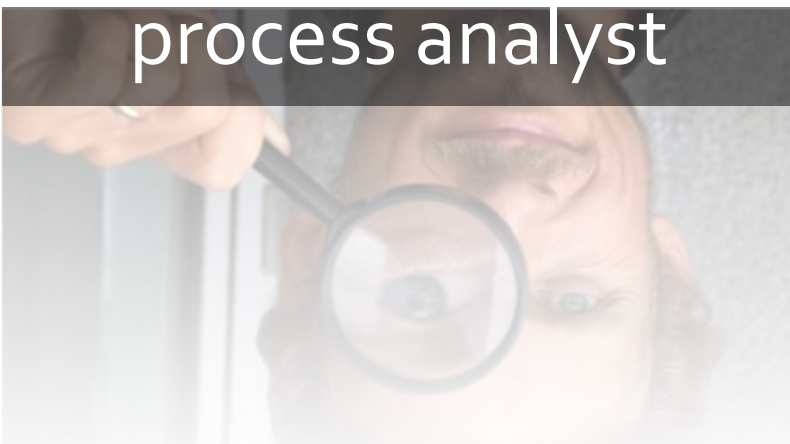
# Been there, done that



process analyst



project manager



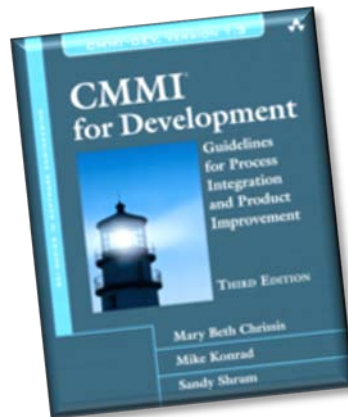
Today's **story**  
is all about...



# Changing this...



+



=

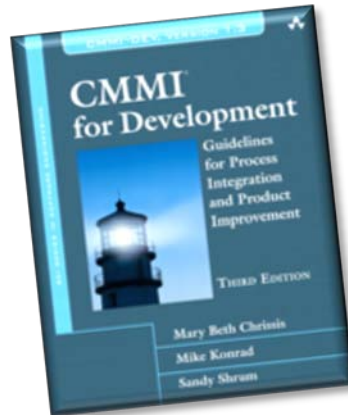


fail

# ...into this



+



=



**Meet Pam**





Pam leads a  
small **team** of...



**She works near...**







**and kicks butt at...**

[illegible]

but is clueless  
about...

CMMI-DEV, VERSION 1.3



SEI SERIES IN SOFTWARE ENGINEERING

# CMMI<sup>®</sup> for Development



Guidelines  
for Process  
Integration  
and Product  
Improvement

THIRD EDITION

Mary Beth Chrissis

Mike Konrad

Sandy Shrum

Sandy Shrum

Mike Konrad

Mary Beth Chrissis



except she's  
heard it **creates...**



# Pam's team has started coding

<input checked="" type="checkbox"/>	Requirements
<input checked="" type="checkbox"/>	Design
<input type="checkbox"/>	Coding
<input type="checkbox"/>	Testing
<input type="checkbox"/>	Installation

# Her project is rockin'!



on  
schedule!



on  
budget!



happy  
customer!

She even has a  
new boyfriend...



A black and white photograph of a person's midsection, focusing on the abdominal muscles. The person is lying down, and their arms are bent with hands near their head. The lighting highlights the contours of the muscles, particularly the rectus abdominis. A semi-transparent grey box with rounded corners is overlaid on the upper part of the image, containing the text "...with abs!".

**...with abs!**

**Life is good  
for Pam!**



One day Pam  
gets an **e-mail...**

Uh-oh

# Use our new *CMMI processes*\*

Blah blah blah **all projects must use our new CMMI processes** blah blah blah. Blah blah blah immediately blah blah blah. Blah blah blah under penalty of death blah blah blah.

Blah blah blah congratulate blah blah blah process group blah blah blah sweated blood blah blah blah.

Signed,

Blah

Mr. Blah Blah  
VP of Blah Blah



\*Of course we know there's no such thing



# Deployment

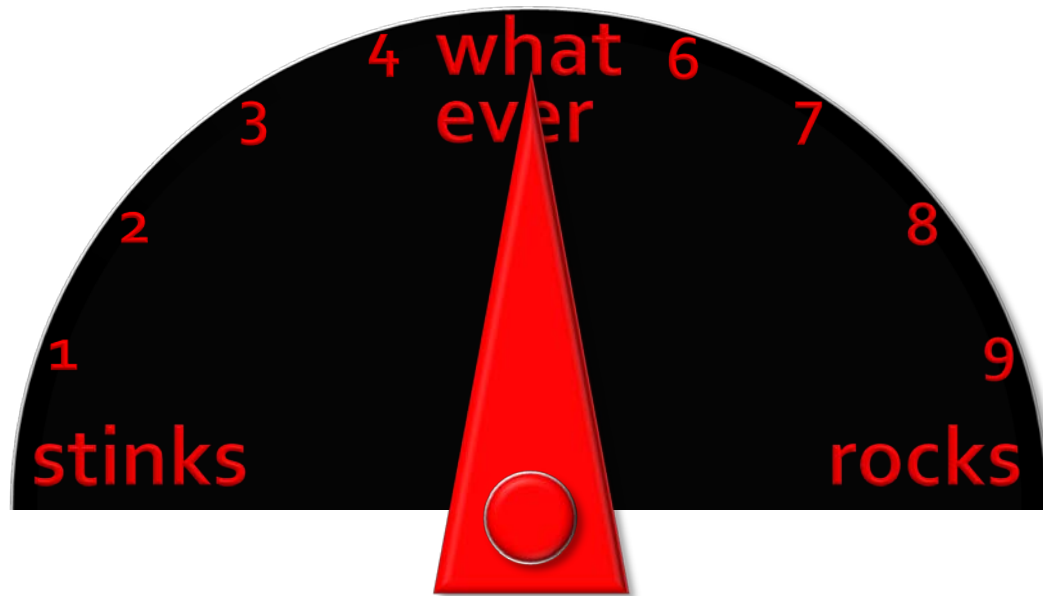
=

Do this **(now)**  
because  
we said so!

I'll look at that  
stuff **when I**  
**have time.**

**Whatever.**





Pam's  
**CMMI®**  
**Rock-O-Meter**

**5**

Then one day  
Pam has a...

...brainstorm!





# Maybe

(just maybe)

this “CMMI® stuff”  
can be **useful!**

**Pam wants a...**



# She consults the PAL\*



\*process asset library

...and finds templates for systems like  
**this**



...but her system is more like **this**

She's  
forced  
to  
develop  
a plan



**on  
her  
own**



Crap! I thought  
the CMMI® was  
supposed to  
**help** with stuff  
like this!





Pam's  
**CMMI®**  
**Rock-O-Meter**

**3**

QA pays  
a visit...



# Pam's project is audited

Y N

☐☒

Blah blah blah **data management plan.**

☐☒

Blah blah blah **stakeholder involvement plan.**

☐☒

Blah blah blah  
blah blah blah.







# She talks with QA



But the project is **half over**!

You must **follow the process**.

I have **no time** for this!

You must **follow the process**.

It **doesn't help** my project!

You must **follow the process**.



The  
**rules were changed**  
on Pam  
**mid-stream!**

She works  
day...

...and night



# Addressing “non-compliances”

instead of

-

But most “fixes” have no business value





So the CMMI®  
really is just about  
**paperwork...**

...and now  
I'm **behind  
schedule!**

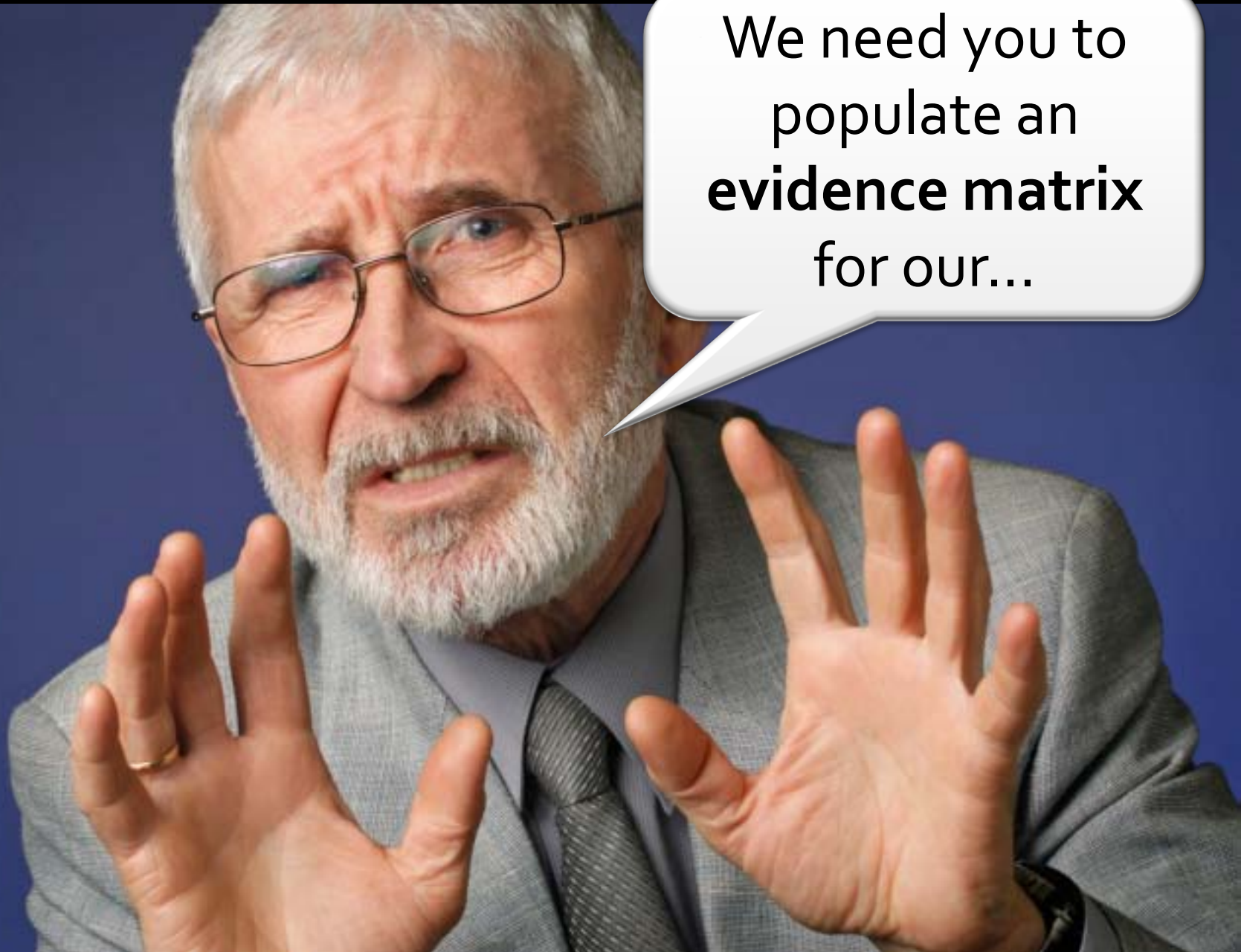




Pam's  
**CMMI®**  
**Rock-O-Meter**

**0**

Pam discovers  
**appraisals...**



We need you to  
populate an  
**evidence matrix**  
for our...

# SCAMPI\*



\*Standard CMMI® Appraisal Method for Process Improvement

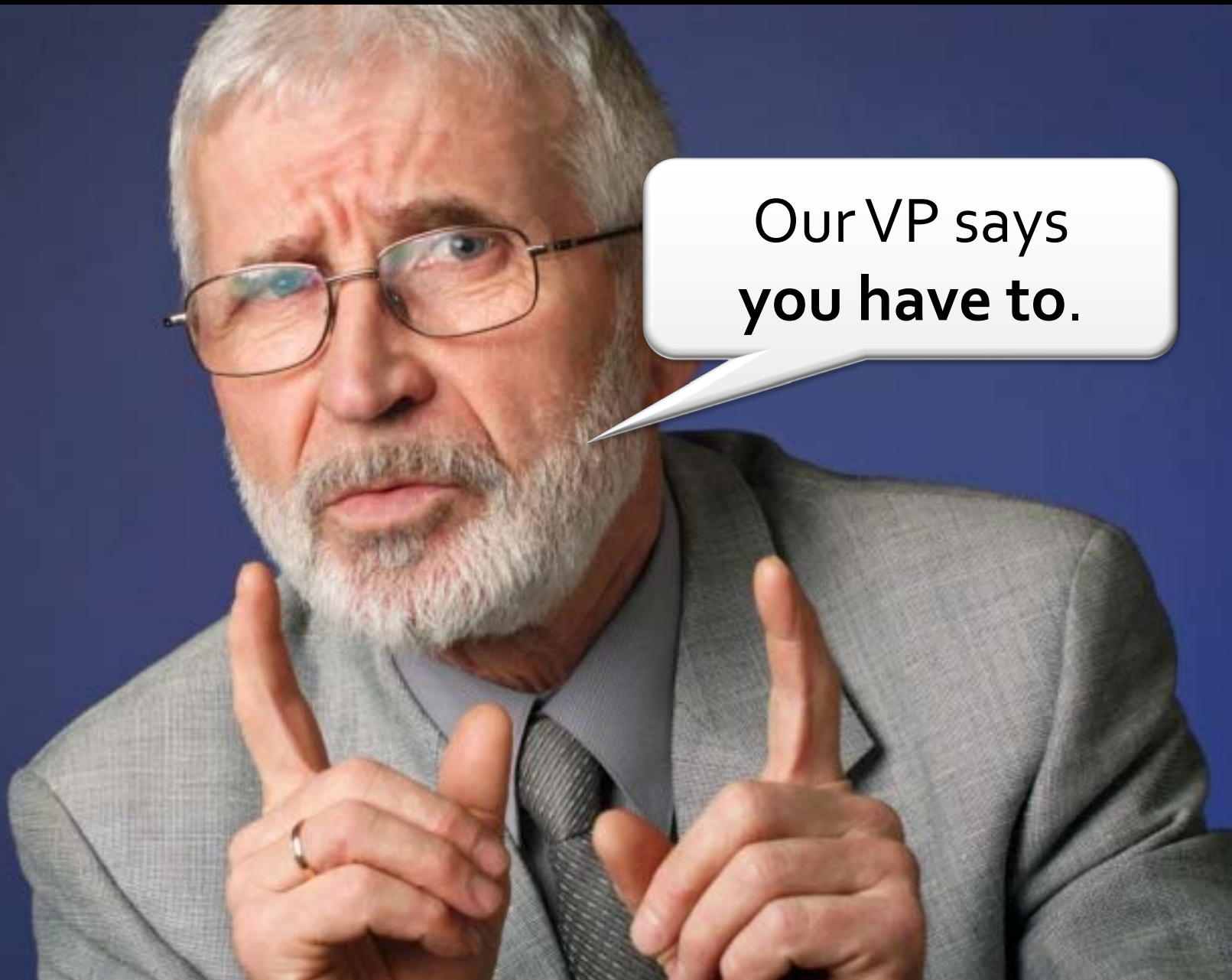






Hey, we have a  
**template!** It even  
lists example  
**artifacts!**





Our VP says  
**you have to.**







The project's new  
part-time job,

**“populate  
evidence  
matrix”...**

...takes forever



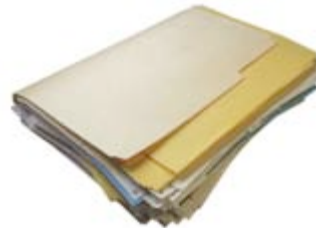


# Evidence matrix review loop



**Pam's team**

good enough?



try again!



**EPG\***

\*engineering process group

The project is now so  
**distracted**  
**by CMMI®**  
**“compliance”**  
that...



...it's hopelessly **behind schedule**

...the team is **burned out**





...the customer's **not smiling** anymore

A top-down view of a white ceramic toilet. In the center of the toilet bowl, a small white rectangular sign is placed, tilted at an angle. The sign has the word "LOVE" written on it in bold, black, sans-serif capital letters. The toilet is set against a light-colored, patterned tile floor.

LOVE

...and Pam's boyfriend  
**dumps** her!

Meet the  
**new Pam...**







Pam's  
**CMMI®**  
**Rock-O-**  
**Meter**

**Why did Pam become a...**



**?**



# Why do project managers...



**often...**





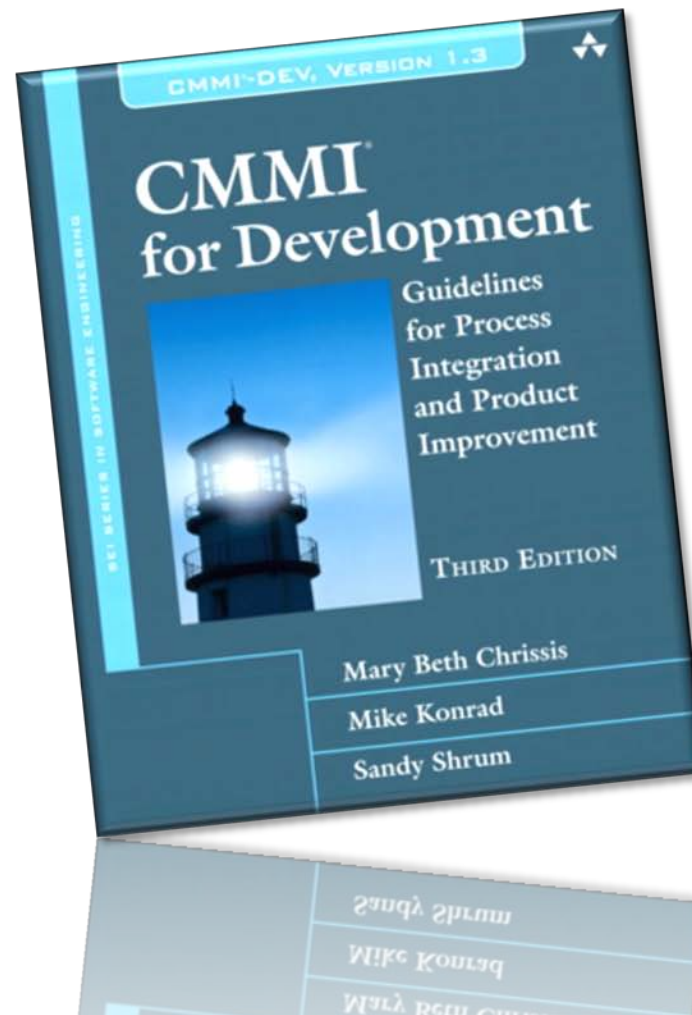
**hate...**



**...the CMMI®?**



# Not because of...



# But because of problems with...

## 1 Process development



# But because of problems with...

1



2

## Process deployment

3



# But because of problems with...



## 3 Appraisals

# But because of problems with...

- ① Process development
- ② Process deployment
- ③ Appraisals

We could go **on and on**  
and on  
and on  
and on

about each of these...

Instead  
let's focus  
on a few  
process  
improvement  
**best  
practices...**



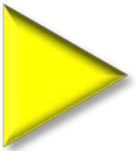
...that a  
**project  
manager**  
might  
value





# Process development

Ensure that your  
process developers  
**appreciate**  
the model





TARGET  
MARKET  
SHARE



GROWTH %

this is appreciating the model

**this is appreciating the model**





**this** is appreciating the model



this is not



Involve people  
that do  
**“real” work**





like her...



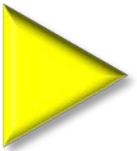
and him...





...but maybe not him

Use  
**project planning**  
to plan your  
PI\* project





Open the book

## Specific Goal and Practice Summary

### SG 1 Establish Estimates

- SP 1.1 Estimate the Scope of the Project
- SP 1.2 Establish Estimates of Work Product and Task Attributes
- SP 1.3 Define Project Lifecycle Phases
- SP 1.4 Estimate Effort and Cost

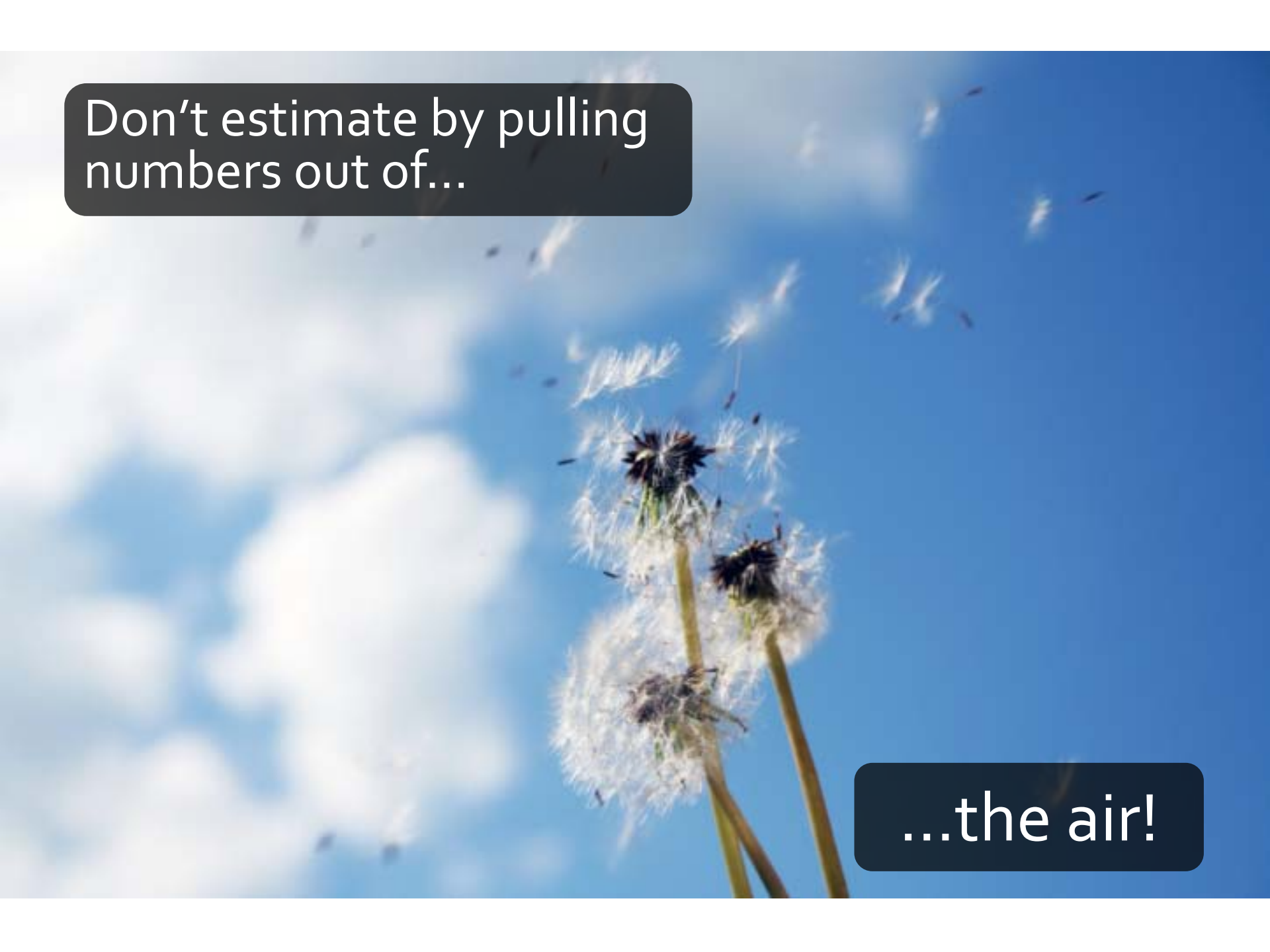
### SG 2 Develop a Project Plan

- SP 2.1 Establish the Budget and Schedule
- SP 2.2 Identify Project Risks
- SP 2.3 Plan Data Management
- SP 2.4 Plan the Project's Resources
- SP 2.5 Plan Needed Knowledge and Skills
- SP 2.6 Plan Stakeholder Involvement
- SP 2.7 Establish the Project Plan

### SG 3 Obtain Commitment to the Plan

- SP 3.1 Review Plans That Affect the Project
- SP 3.2 Reconcile Work and Resource Levels
- SP 3.3 Obtain Plan Commitment

ices by Goal

A low-angle shot of dandelion seed heads against a bright blue sky with soft white clouds. Numerous seeds are captured in mid-air, appearing as white, feathery streaks that have been blown away from the seed heads. The seed heads themselves are dark and spiky, with some still attached to thin green stems.

Don't estimate by pulling  
numbers out of...

...the air!

**Develop useful  
tailoring  
guidance**





This seems **heavyweight**  
for my little project.

I'll create a plan  
from scratch.\*

**Project  
Plan  
Template**

\*and spend my time **figuring out** how to manage  
my project instead of **actually managing** it!



Perfect!

Large  
Project  
Plan  
Template

> \$100K

Small  
Project  
Plan  
Template

< \$100K



1

2

3

# Process deployment

Don't just  
announce  
the existence  
of a **book**




Unless **this** is the  
reaction you want

I'll look at that  
stuff **when I**  
**have time.**

**Whatever.**





Do This  
Because  
We Said So

...is **not** a deployment plan

**Try these things instead**

Communicate

**Train**

Ensure Access

Learn

Schedule

**Monitor**

Guide

**Pilot**



# Top 3 success factors in an improvement initiative

1



senior leadership  
support

2



communication

3



training  
(of those impacted by  
the initiative)

**Caring**  
is better than  
**enforcing**



# This is not caring



But the project is **half over!**

**You must follow the process.**



# This is caring



But the project is **half over!**

**Okay, good point.** Let's think about what would make sense here.

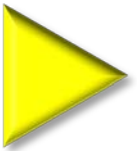




“Complying for compliance’s sake **ravages** the operation and firmly entrenches **self-defeating** cycles of continued **mediocrity**.”

Hillel Glazer,  
*High Performance Operations*

**Don't  
change the rules  
on a project that's  
already been  
planned**





...unless you're willing to toss



cost

OR



schedule

OR



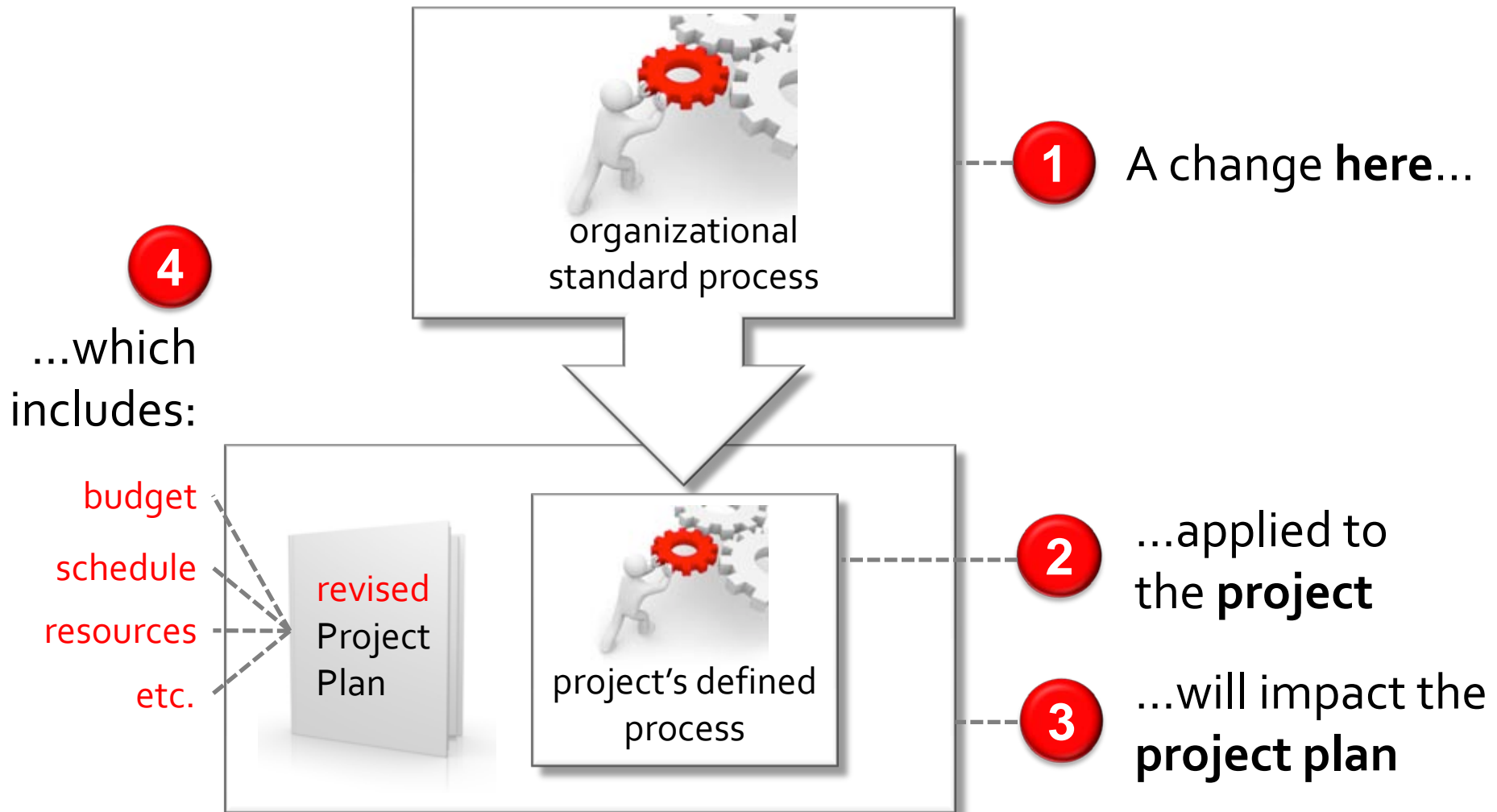
quality

out the...



**why?**

# A process change = a plan change



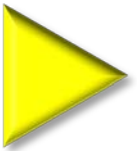
1

2

3

# Appraisals

Hire an appraiser  
with a  
**business value**  
mindset



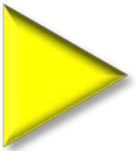


Again...



maybe not him

Don't  
under-estimate  
the cost of  
**evidence collection**

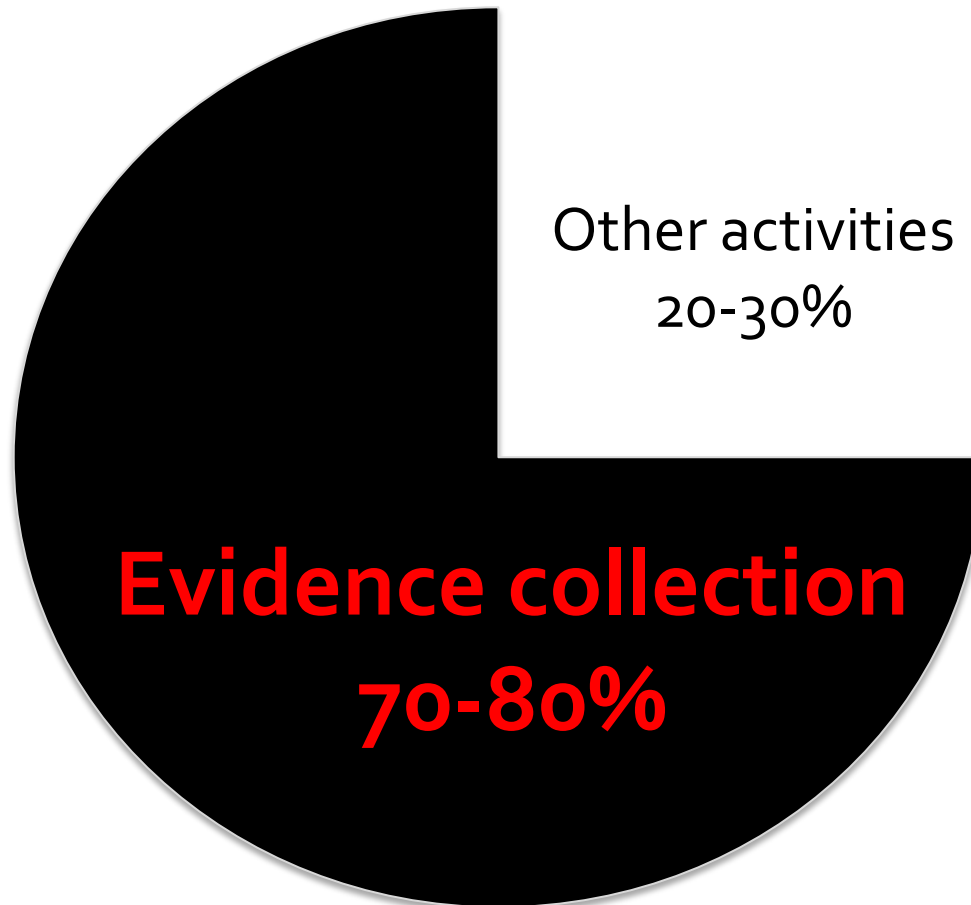


Average **total\*** effort  
**2500-3000**  
**hours**  
for **small\*\*** ML 3 appraisals

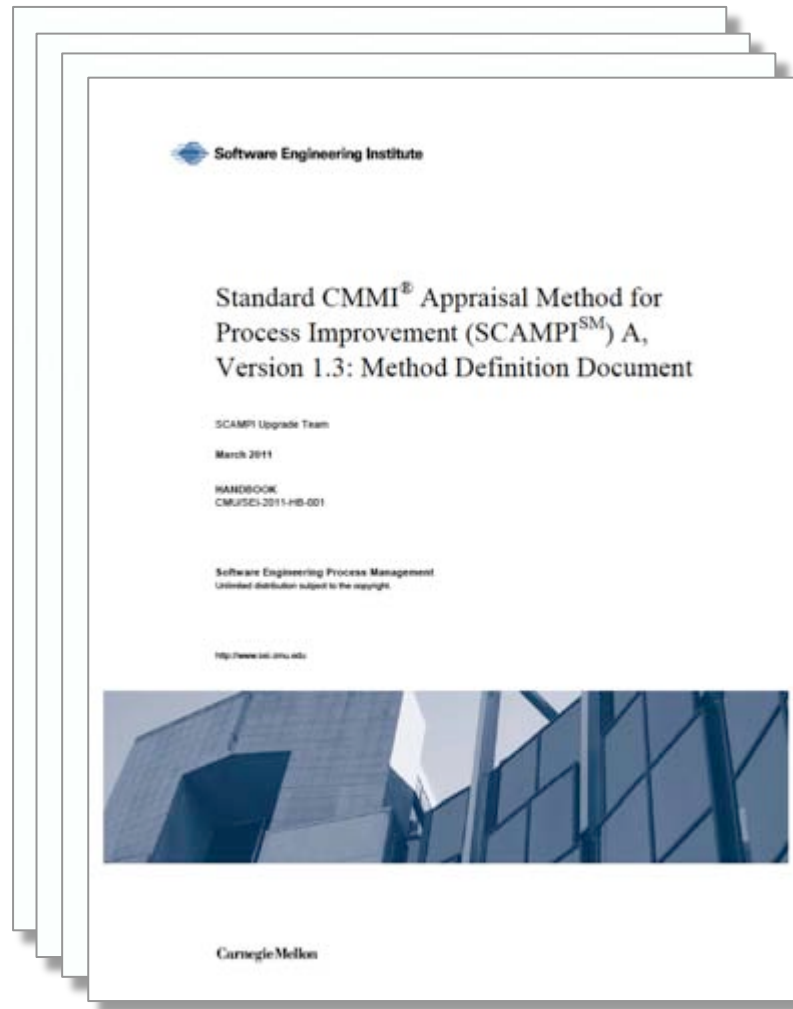
\*all appraisal activities, including but not limited to evidence collection

\*\*2 projects, 6 team members + Lead, first appraisal at ML 3, CMMI SE/SW v1.1

# Total appraisal effort



**SCAMPI**  
**v1.3** may  
help with  
this a  
bit...



But you  
still need  
to clearly  
identify...









## 1 Process development

Ensure that your process developers **appreciate** the model

Involve people that do **“real” work**

Use **project planning** to plan your PI project

Develop **useful** tailoring guidance



## 2 Process deployment

Don't just announce the existence of a **book**

**Caring** is better than **enforcing**

Don't **change the rules** on a project that's already been **planned**



## 3 Appraisals

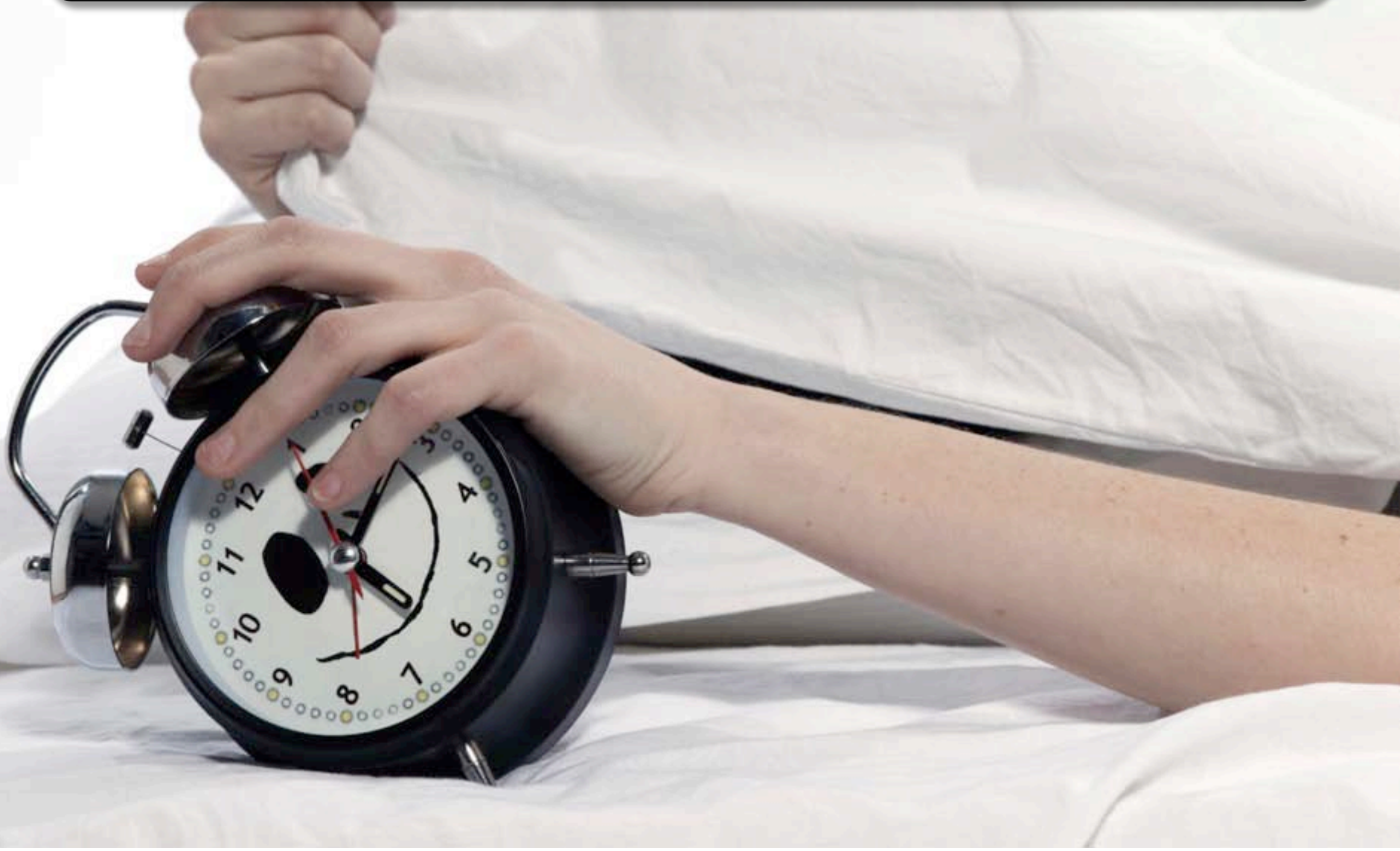
Hire an appraiser with a **business value** mindset

Don't under-estimate the cost of **evidence collection**

**Super.**

**But whatever  
happened to  
Pam?**

**She wakes up one morning and...**



realizes her CMMI®  
experience was all...

**Just a bad dream!!**



so she grabs  
some...







and heads  
off to...



where...

CMMI-DEV, VERSION 1.3



SEI SERIES IN SOFTWARE ENGINEERING

# CMMI<sup>®</sup> for Development



Guidelines  
for Process  
Integration  
and Product  
Improvement

THIRD EDITION

Mary Beth Chrissis

Mike Konrad

Sandy Shrum

Sandy Shrum

Mike Konrad

Mary Beth Chrissis


is used, but...

1

A man in a dark suit and tie is shown from the chest up, struggling to break through a large, dark, metallic chain. He is pushing against the chain with both hands, his face showing strain and determination. The chain is thick and coiled around him. The background is a plain, light color.

Compliance  
doesn't  
**get in the way**  
of developing processes that  
**improve**  
**operations.**





Processes are  
**deployed**  
in a way that  
**helps,**  
**not hinders,**  
the projects they impact.



3

Appraisal  
preparation

doesn't

**negatively impact**

project

progress.



and much to  
Pam's delight...

Her boyfriend still has **abs!**





**Pam's  
happy!**

and all I ask  
of you is to...



Please stop the  
**mindless  
bureaucracy**  
and instead use the CMMI® to  
**legitimately  
improve**  
your operations.



# References

- ***The 2010 CMMI Success Factor Survey.*** Vienna VA: ACME Process Group, November 2010.
- Caldwell, Laura, Sam Fogle, and Gene Jorgensen. ***Value-Based CMMI Appraisal Techniques.*** Version 01.00. Herndon VA: Systems and Software Consortium, 2006.
- Chrissis, Mary Beth, Mike Konrad, and Sandy Shrum. ***CMMI for Development: Guidelines for Process Integration and Product Improvement.*** 3<sup>rd</sup> ed. Boston: Addison Wesley, 2011.
- Glazer, Hillel. ***High Performance Operations: Leverage Compliance to Lower Costs, Increase Profits, and Gain Competitive Advantage.*** 1<sup>st</sup> ed. Upper Saddle River NJ: FT Press, 2012:31.
- ***Standard CMMI Appraisal Method for Process Improvement (SCAMPI) A, Version 1.3: Method Description Document.*** Pittsburgh: Software Engineering Institute, March 2011.



# Rock'n CMMI Training ...and Appraisals!



Bill Smith



Mary Segnit

More info?

[bill@cmmitraining.com](mailto:bill@cmmitraining.com)  
[www.CmmiTraining.com](http://www.CmmiTraining.com)