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# Stan Sims, Director Defense Security Service

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# Maintaining U.S. Advantage in National Security

## ***“Economic Security is National Security”***

LTG Robert Gard, PhD, USA (ret)

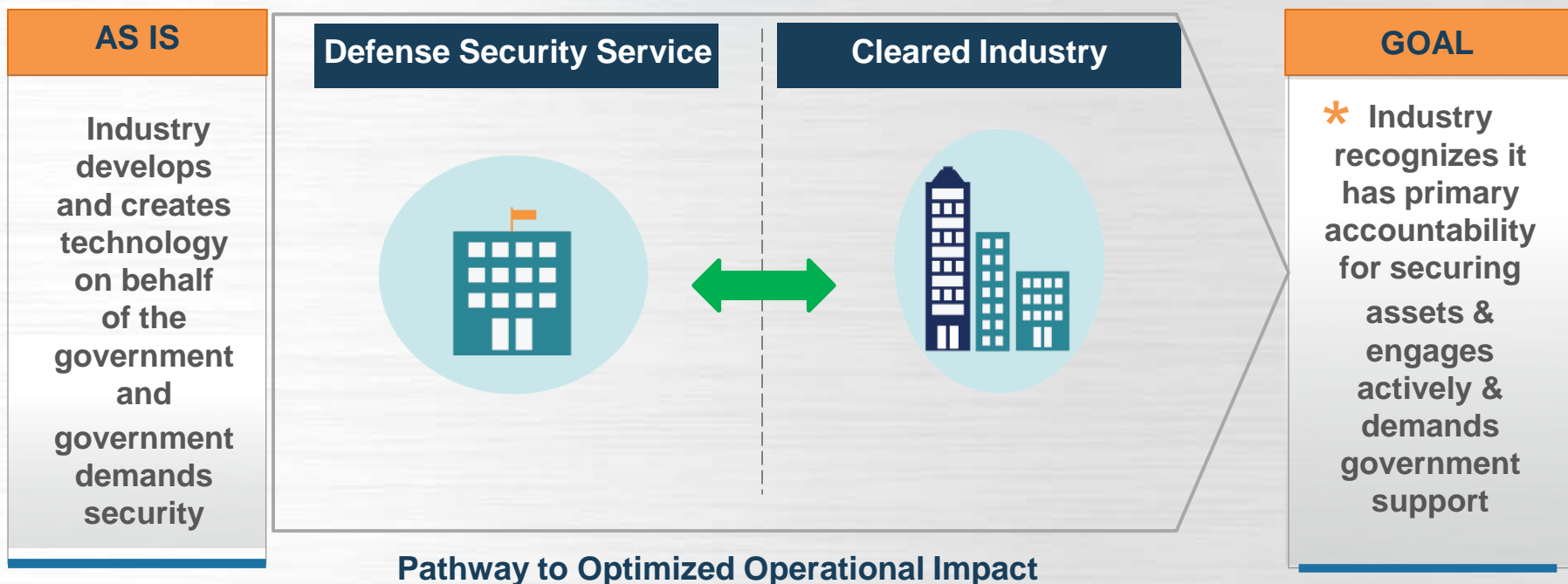
*The Government Pays For Decisive Advantage Against Our Adversaries*

- *Companies Thrive due to the Advantages of Their Defense and National Security Platforms and Systems*
- *Our Public - Private Partnership is Expected to Maintain U.S. Advantage in Defense and National Security*
- *Together We Need to be Proactive Against The Threat - Forward Leaning*



# DSS - Industry Partnership Critical

## Partnership ... key to continued success

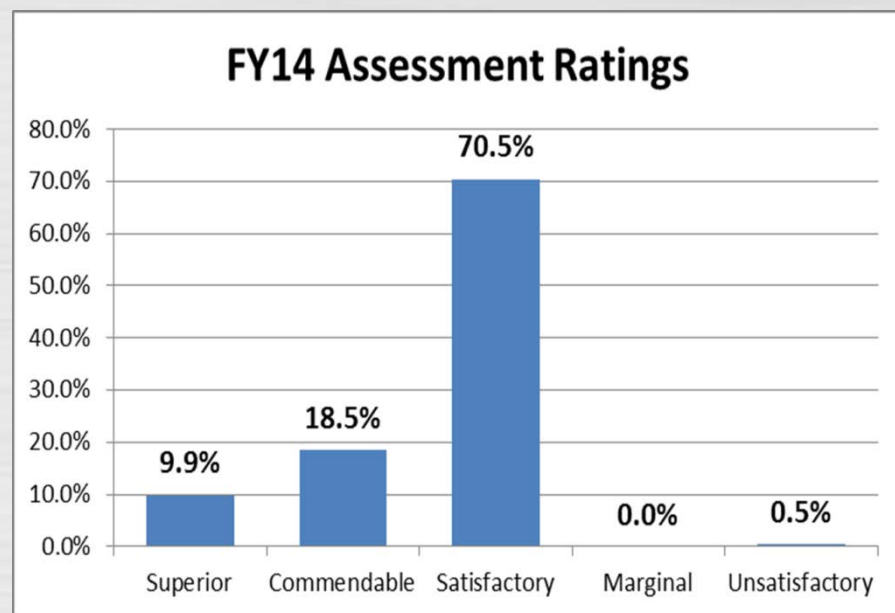
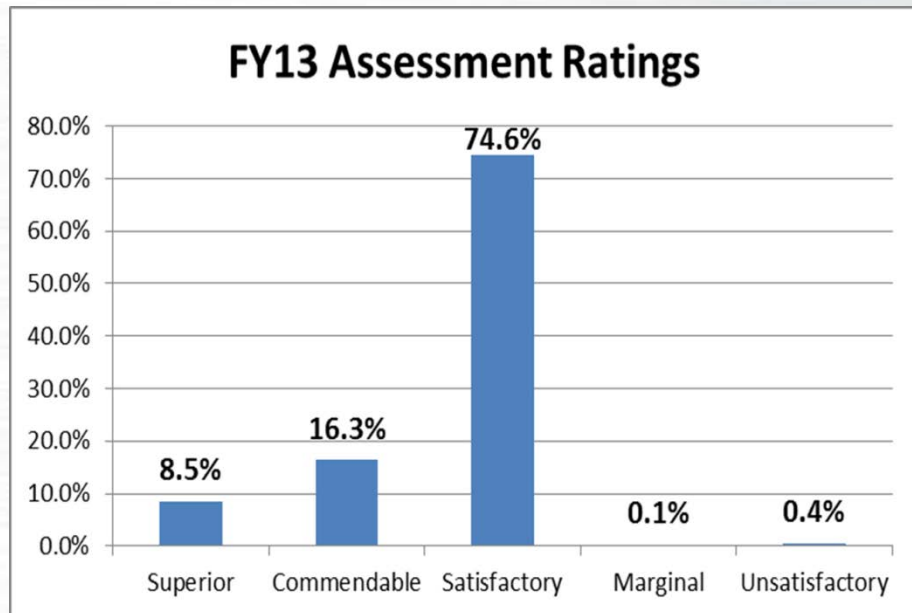


\* ASSUMPTION: Industry has primary accountability/responsibility



# National Assessment Ratings for FY13 & FY14

## *What we are finding*







# Rating Matrix Update

*Previous Category 7, “CI Integration”  
enhancement split into two, effective 01 Oct 14*

- **Category 7a, “Threat Identification and Management”**
  - Focuses on process
  - Rewards strong CI program
  - No penalty if SCR does not result in action by OGA
- **Category 7b, “Threat Mitigation”**
  - Focuses on performance
  - Must have strong CI program in place (Category 7a)
  - “Bonus Points” for SCRs leading to investigations

Updated Rating Matrix Products on DSS.mil

Core Document | Calculation Worksheet | FAQs



# FSO Effectiveness

- The Facility Security Officer (FSO) is integral for ensuring the **effective** implementation of a security program.
- National Industrial Security Program Operating Manual (NISPOM), 1-201
  - U.S. citizen cleared employee of the company, who is cleared as part of the facility clearance
  - FSO will **supervise** and **direct** security measures necessary for implementing applicable requirements of the NISPOM and related Federal requirements



Facility Security Officer

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# Oversight of Industry Clearances

- **Personnel Security Clearance (PCL) Management**
  - JPAS Management (Data Quality)
  - Periodic Reinvestigation Management
  - Interim PCL Changes







# 2014 Voice of Industry (VOI) Survey – Highlights

- Survey October 2014
  - ✓ Sent to all FSOs
  - ✓ 10k responses mirrors prior year response rates
  
- 94.6% Favorable rating of Overall Guidance and Support from DSS
  
- 90% feel they have a True Partnership with DSS
  - ✓ Highest ratings on record
  
- Next VOI Survey Scheduled for October 2015
  - ✓ Streamlined format
  - ✓ Less time to complete

*“What word best describes DSS?”*







# VOI - Key Take-Aways Identified by Industry

## What we do well

- Regular Contact / Information Flow
  - ✓ Face-to-Face, Email, Newsletters, Information Flow
- Support Industrial Security Professional Interaction
  - ✓ Foster Interaction, Participate in Meetings, Sponsor Activities
- Partnership
  - ✓ Partnership, Collaboration vs. Correction, Mentoring, Knowing your Sponsor
- Training & Education
  - ✓ Training New and Experienced FSOs/ISSMs, Training Materials and Resources

## What we can Improve

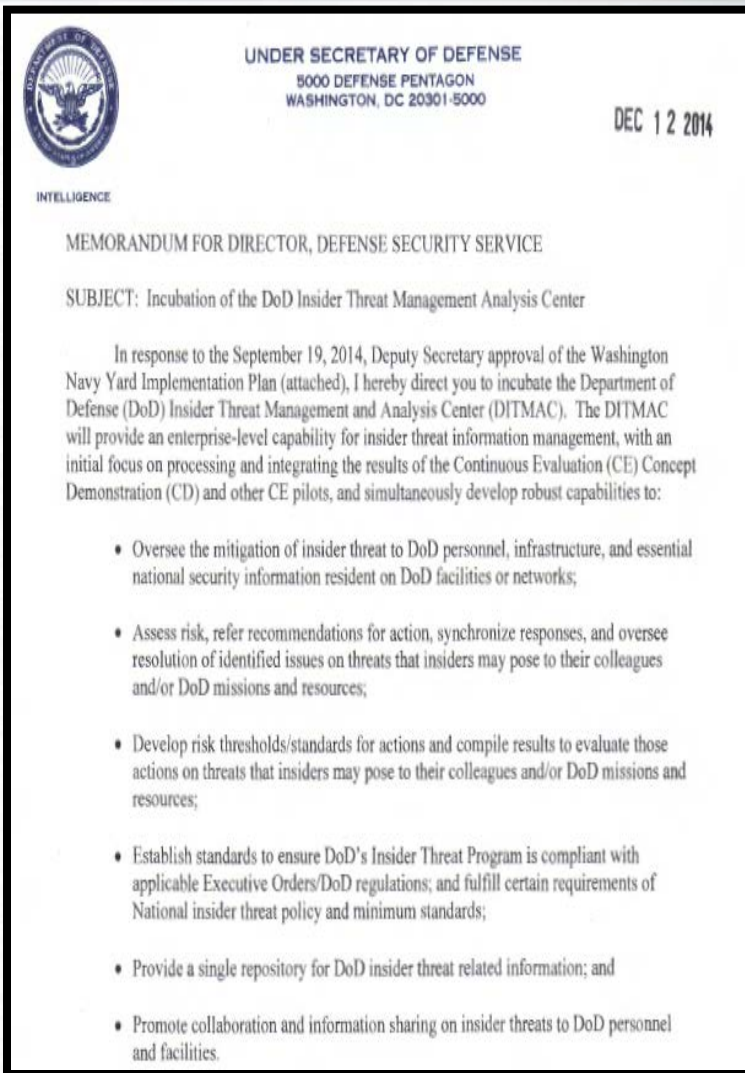
- Increase Policy communication
- Increase educational resources
- Act more as an advisor than a monitor
- Continue with increased communication and outreach

## Important Qualities of DSS Employees

- |             |               |
|-------------|---------------|
| Engaging    | Responsive    |
| Reasonable  | Available     |
| Supportive  | Communicative |
| Cooperative | Approachable  |
| Listens     | Knowledgeable |



# DoD Insider Threat Management Analysis Center (DITMAC)



- Provide a single repository for DoD insider threat related information
- Promotion of collaboration and Information sharing and, information sharing on insider threats to DoD personnel and facilities

## VALUE

- Enterprise Threat Analysis
- Strategic Trend Analysis
- Standardization of Risk Thresholds and Reporting Criteria
- Enable Improved Insider Threat Policies
- Promote Efficiencies



# Insider Threat in Cleared Industry

- NISPOM Conforming Change 2 will outline minimum standards that include:
  - Establish and maintain an insider threat program
  - Designate insider threat senior official
  - Gather, integrate, and report
  - Conduct of self-assessments of insider threat program
  - Insider threat training
  - Monitoring network activity
  - User acknowledgements
  - Classified Banners







# DSS Support to Cleared Industry (Insider Threat)

- DSS will assist cleared industry in implementing their Insider threat programs:
  - Additional clarification in an Industrial Security Letter (ISL)
  - Briefing insider threat program requirements at assessments entry & exit briefs
  - Updating the ODAA process manual to clarify IT related requirements in coordination with the NISPPAC Certification and Accreditation Working Group
  - Revising the industry self-assessment guide
  - CI and insider threat training part of new FSO Getting Started Course
  - New insider threat courses--meets requirements Conforming Change 2



Awareness & Training





# The Insidious Threat

**Foreign Intelligence Entities use many methods to compromise sensitive & classified U.S. technologies**

- FY 2015: 14% Exclusive Cyber--64% w/Cyber component
- FY 2014: 14% Exclusive Cyber-- 70% w/Cyber component



*A Human is behind every cyber incident*

**Cyber is an essential part of an FIE's campaign**



# National Interest Determinations (NIDS)

- Directive Type Memorandum (DTM) 15-002, policy guidance for the processing of NIDS in connection with Foreign Ownership, Control, or Influence (FOCI) was published on February 11, 2015
- Greatly enhances our visibility, transparency and efficiency in approving NIDS for government and Industry
  - Central to the decision process
  - Consistency is the goal
  - Continuity will serve national security







# Executive Order 13691

- Promoting private sector cybersecurity information sharing
- Changes the NISPOM
- DHS as the 5<sup>th</sup> Cognizant Security Agency
- Cybersecurity information sharing in support of critical infrastructure protection
- Does not change any provision governing FOCI companies in the NISP
- DSS & DHS working together to strengthen national security





# Automation Emphasis

- ODA Business Management System (OBMS)
- National Industrial Security Program Contract Classification System (NCCS) (DD-254)
- National Industrial Security System (NISS)





# Center for Development of Security Excellence

## Security Training

- Counterintelligence
- Cybersecurity
- General Security
- Industrial Security
- Information Security
- Insider Threat
- International Security
- Operations Security
- Personnel Security
- Physical Security
- Special Access Programs

## SPeD Certification Program

- ❖ Security Fundamentals Professional Certification
- ❖ Security Asset Professional Certification
- ❖ Security Program Integration Professional Certification
- ❖ Adjudicator Professional Certification
- ❖ Due Process Adjudicator Professional Credential
- ❖ Industrial Security Oversight Certification
- ❖ Physical Security Certification
- ❖ Special Program Security Certification



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## Delivery Methods



Instructor Led



eLearning



Virtual  
Instructor Led



Toolkits

FSO



Job Aids



Shorts



Webinars





# Discussion





# Back-up Slides

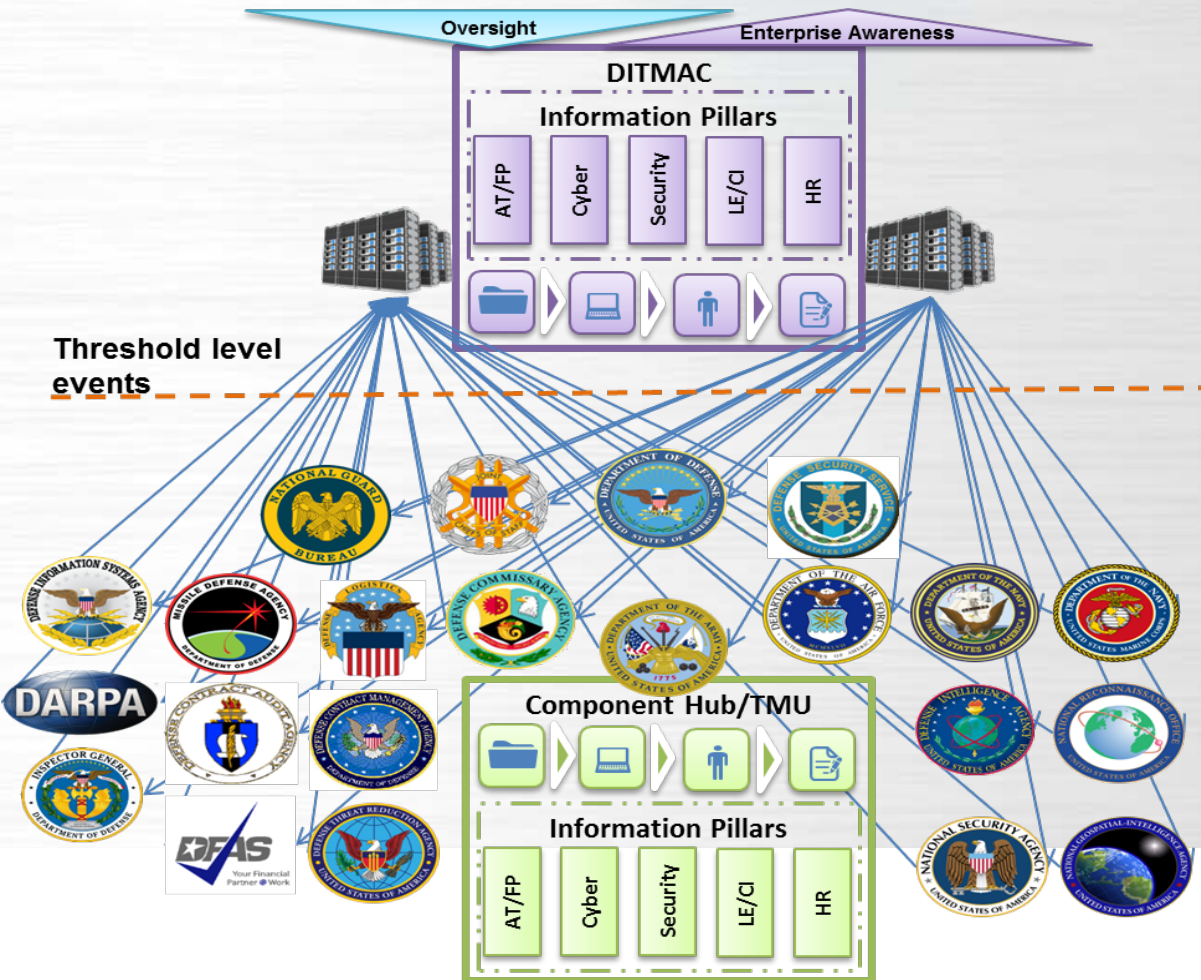




# VISION - DITMAC Operations

## Enterprise Level Management Capability

USD(I) - Principal Staff Assistant



Each Component is required to:

- Designate a Senior Official
- Obtain Visible Support from the Agency Head
- Form a Working Group/Periodic Feedback to the Community
- Review Current Requirements and Guidance
- Seek Legal Input
- Protect Privacy and Civil Liberties by Applying Appropriate Safeguards
- Identify Classified and Other Critical Assets
- Write Agency Policy and Implementation Plan
- Obtain Approval, Establish Program Office, Implement Plan
- Conduct Scheduled Self-assessments