

# Transition to the Systems Engineering Standards for Defense Programs

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# Agenda

- Why we do Systems Engineering (SE)
- Drivers for SE Standards
- Objectives and Focus with Respect to Proposals and Programs
- Partnering with Industry (Process, Collaboration, Teamwork)
- Overview of the standards & supporting guidance
- Alignment with other SE resources
- Adoption, Access, and Use on Contract (with Example Implementation)



# Drivers for Systems Engineering and Standardization

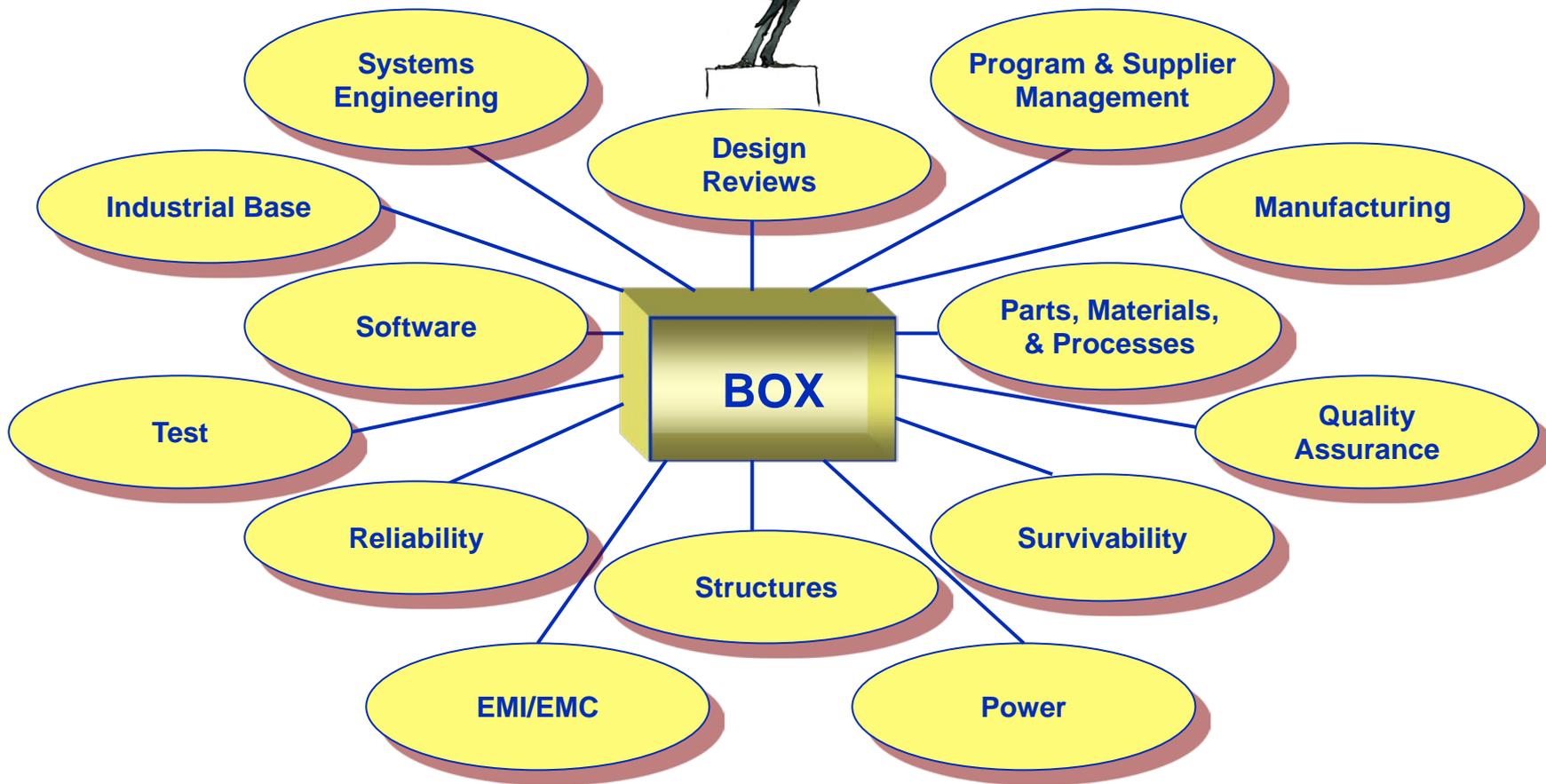


# Why We do Systems Engineering

- **Build Effective Systems: Product versus Process**
  - We engineer and build products, and they need to work... bottom line
  - We use process to do that, but process-only approaches didn't work well
  - We need to ensure that the process used leads to the right product
  - Some industry focusses "exclusively" on DOORS – requirement management
- **Implementing Standards**
  - Must know what is in the standards
  - Must implement the intent of the standards
  - Must manage product development using the standards
- **Balanced Systems Engineering Engagement**
  - Systems and product engineers – Doing the job right
  - Program and contract management – Keeping the program on budget and schedule
  - Acquirer – balancing both to ensure the product meets the need



# Systems Engineering / Specialty Engineering



***DoD Systems are Complex***



# Balanced Technical Practices Proven <--> Standardized

## Specs & Standards

**Right Sized –**

**Not the “Gold Standard”**

*Tailored Application*

**Effective  
technical  
practices  
balanced  
with cost &  
schedule**

**“Optimization” of  
Technical practices  
based on data and  
proven experience**

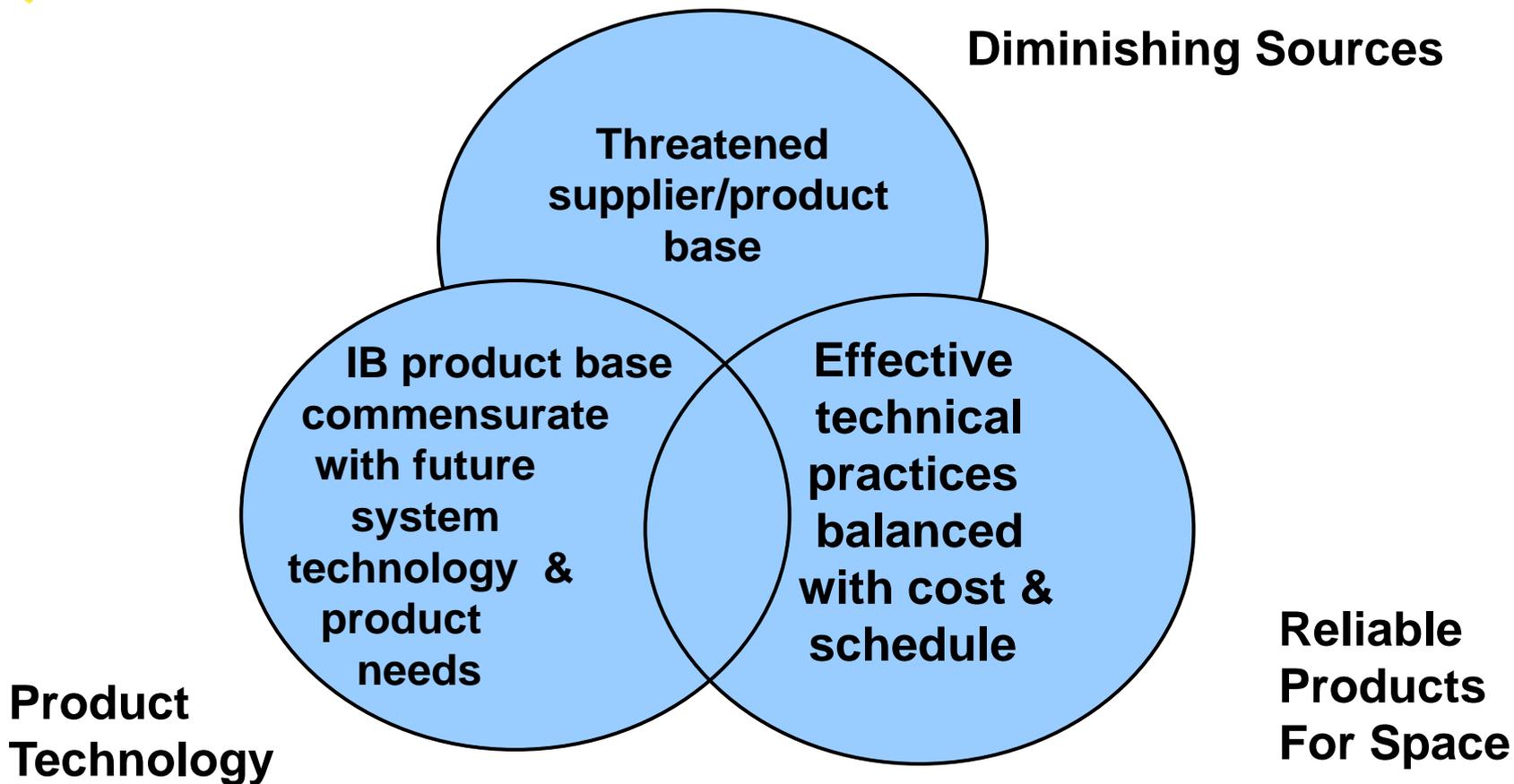
**Reliable Products & Supply Base**

**Decision Analysis/Risk Mgmt**

***Include commercial data/practices where available and applicable***



# Industrial Base Scope

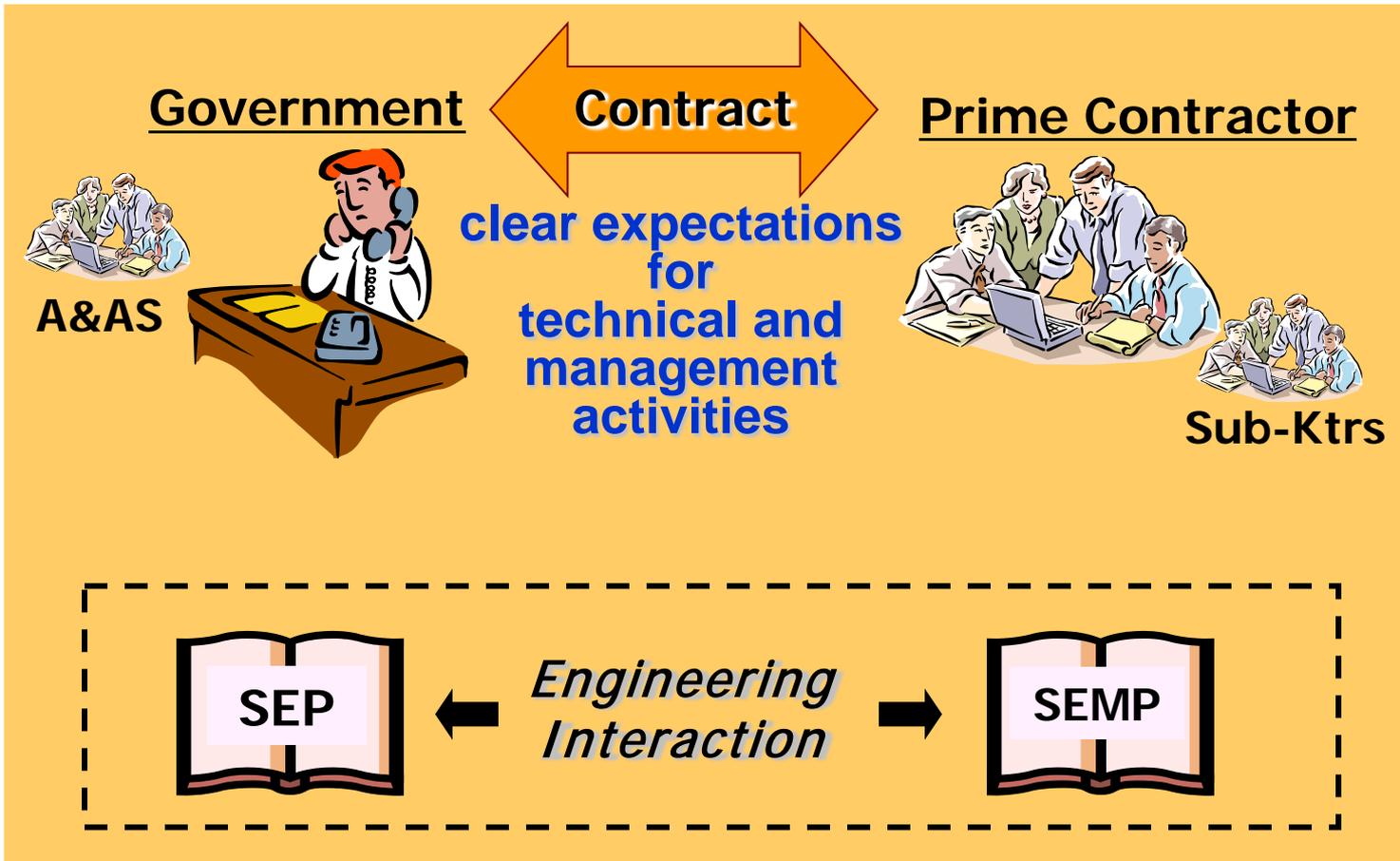


***Assure Critical Industrial Supply Capability (Technologies/Products) Necessary to Support Current and Future USG Space Programs***



# Implementation at USAF SMC

Our Standards **ARE** our Technical Practices



*Technical practices in standards represent fifty-plus years of lessons learned*





# Why We Need Standard Practices



- Launch is a “one-strike-and-you’re-out” business
- Spacecraft must work by remote control for 15 years
  - Hostile environment
  - “Small” failures can cripple or end mission



***No “Flight Testing” and No Service Calls in Space Mandates Unique, High-Confidence Mission Assurance Culture***

# Partnering with Industry

# Goal of DoD Standardization Initiative

- “Provide DoD and DoD contractors with a structured, uniform approach” in the areas identified as gaps
  - Improve communication
  - Ensure common expectations
  - Add realism to bids



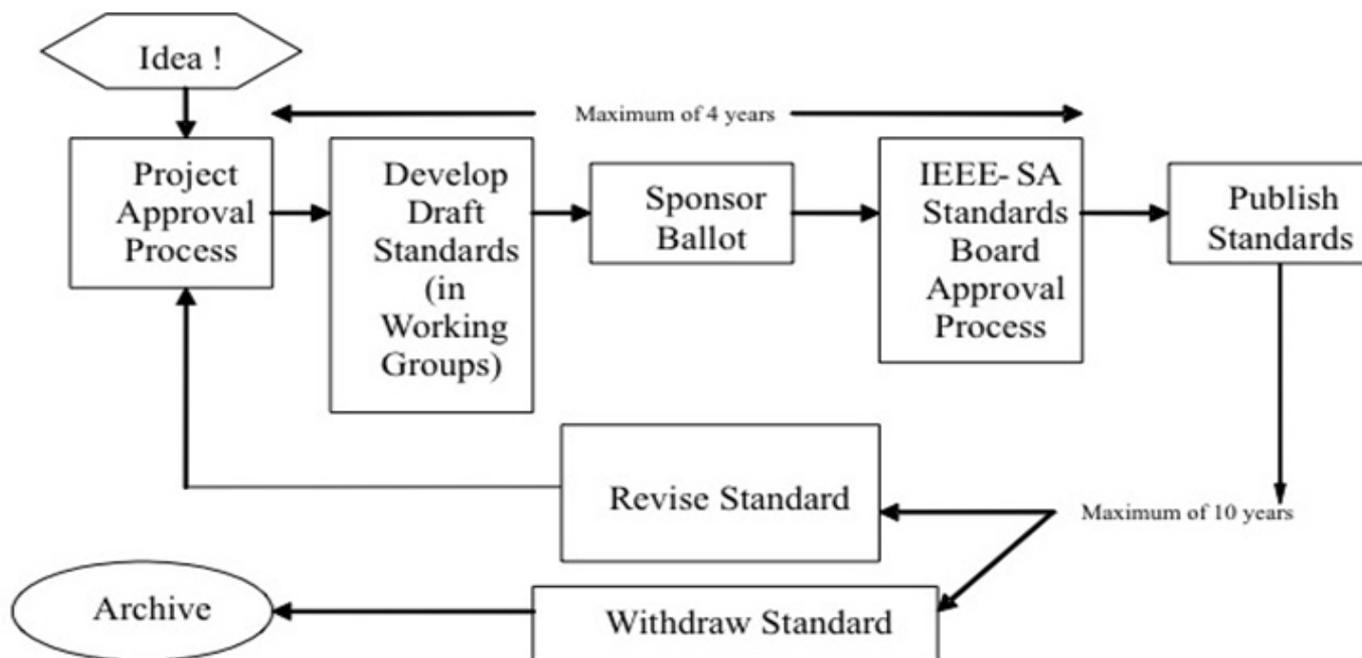
*Government-Industry Partnership Required to Meet the Goal*

# Standardization Objectives

- Mutual-benefit stipulations:
  - Must meet *both party's needs and objectives*
  - Potential teaming partners must have existing experience with subject matter of document and existing infrastructure for publishing standards
  - Content of documents must be consistent with government needs
- Ensure right kind of standard is developed
  - Clear statement of requirements that speaks "*common language*"
  - Specific enough to support realistic bidding and resourcing
  - Proper contractual language – SHALL and should
- Ensure right amount of the right standards are included
  - *Tailorable* for different domains and contracting environments
  - *Consistent* with DOD approach
  - *Conform* to established, over-arching industry process standards

# Process used to develop the standards

- Developed Joint IEEE/DoD Joint SE Working Group
  - Ensure broad span of representation from Industry, Defense Agencies, Academia and other Associations
  - Included industry chair and DoD vice chair
- Followed IEEE standards development process



# Broad Span of Working Group Membership

## Industry

- Aerospace Corp
- BAE Systems
- Ball Aerospace
- Boeing
- General Dynamics
- Harris Corp
- Ingalls Shipbuilding
- L-3 Com
- Leidos
- Lockheed Martin
- MITRE
- Northrop Grumman
- Parsons
- Raytheon
- SAIC
- TASC
- United Technologies

## Associations

- AIA
- IEEE-CS/SA
- INCOSE
- ISO/IEC
- NDIA
- SAE Intl

## Academia

- AF Institute of Tech
- Johns Hopkins University
- Naval Postgraduate School
- Stevens Institute of Technology
- University of Florida
- University of Southern California

## Defense

- Air Force (Multi-parts)
- Army
- Navy (Multi-parts)
- OSD – DASD (SE)
- DAU
- DCMA
- DSPO
- Australian DMO

## Leadership Team

- Chair, Garry Roedler, Lockheed Martin/IEEE
- Vice-chair, Dave Davis, USAF SMC
- Secretary, Brian Shaw, The Aerospace Corp.
- Technical Editor, Bill Bearden, INCOSE (SE)
- Technical Editor, Mark Henley, L-3 Com (TR&A)

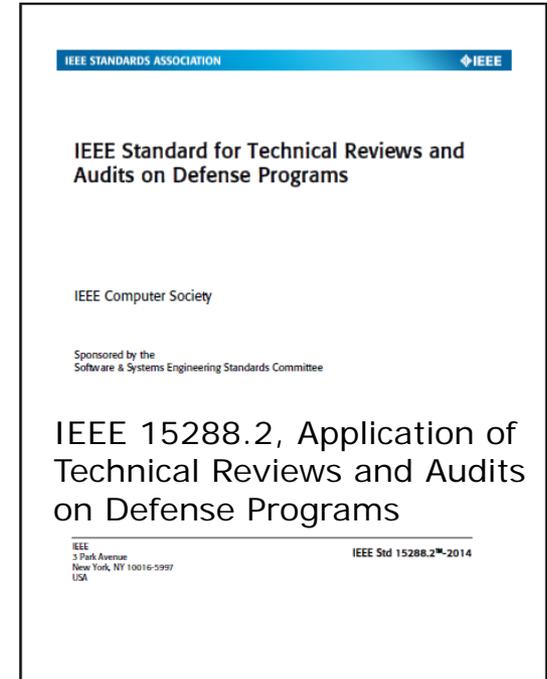
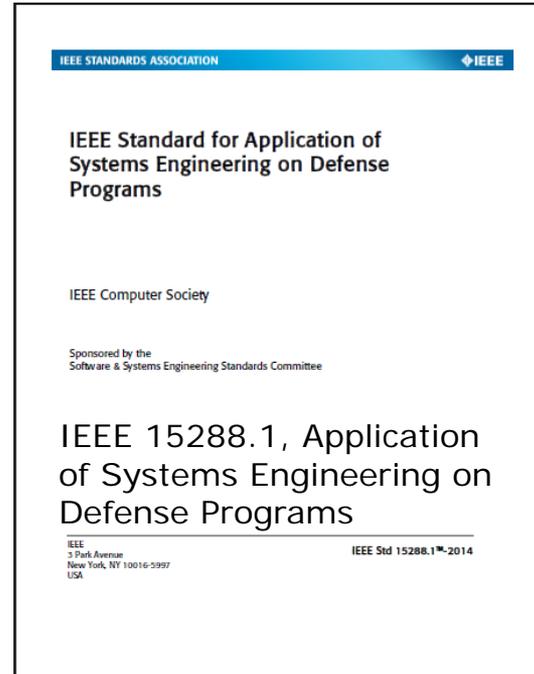
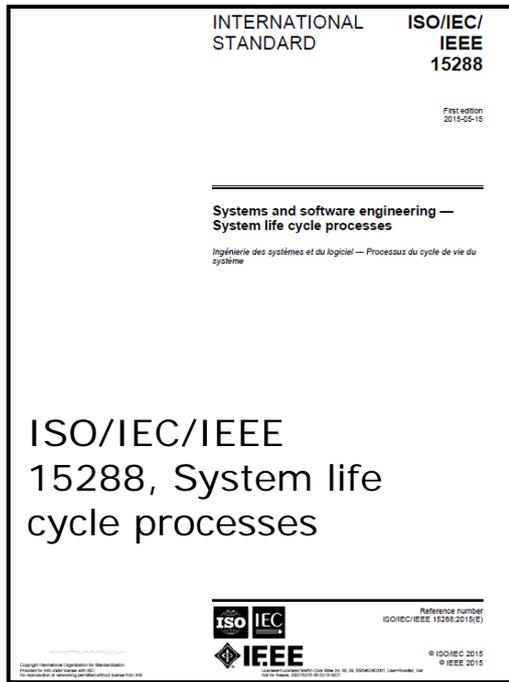
# Collaboration

- With other DoD Standardization projects
  - Collaboration to ensure consistency with:
    - Configuration Management (EIA 649-1)
    - Manufacturing Management (AS6500)
  - Included liaison members in working group
- With other Standards Organizations and Industry Associations
  - ISO/IEC
  - SAE International
  - International Council on Systems Engineering (INCOSE)
  - National Defense Industrial Association (NDIA) SE Division (SED)
  - Aerospace Industries Association (AIA)
- Included alignment with several key SE resources to provide a more complete and consistent SE landscape
  - Aligned content and revision cycles
  - Consistency achieved at publication

***Successful teamwork during entire project from Day 1***

# Overview of the Standards and Supporting Guidance

# IEEE 15288 Standards Set



- Publication of standards coordinated - published May 2015
- ISO/IEC/IEEE 15288:2015, Systems and software engineering – System life cycle processes
- ISO/IEC/IEEE 15288.1 -2014, Standard for the Application of Systems Engineering on Defense Programs (Addendum to ISO/IEC/IEEE 15288:2015)
- ISO/IEC/IEEE 15288.2 -2014, Standard for the Application of Technical Reviews and Audits on Defense Programs (Links to ISO/IEC/IEEE 15288:2015)
- Availability discussed on later chart

# Example: SE Addendum (15288.1)

Baseline: ISO/IEC/IEEE  
15288:2015 (FDIS)

Tailoring Needed for  
Defense Programs - Input

Resulting IEEE Standard - DoD Addendum:  
IEEE 15288.1 - Standard for Application of  
SE on Defense Programs

|   |  |
|---|--|
| <p><b>6.3.1 Project planning process</b></p>  | <p><b>6.3.1 Project Planning Process</b></p>   |
| <p><b>6.3.1.1 Purpose</b></p> <p>The purpose of the Project Planning Process is to produce and coordinate effective and workable plans. This process determines the scope of the project management and technical activities, identifies process outputs, tasks and deliverables, establishes schedules for task conduct, including achievement criteria, and required resources to accomplish tasks. This is an on-going process that continues throughout a project, with regular revisions to plans.</p>   | <p><b>6.3.1.1 Purpose</b></p> <p>ISO/IEC/IEEE 15288:201x 6.3.1.1 "Purpose" applies as stated.</p>  |
| <p><b>6.3.1.2 Outcomes</b></p> <p>An implementation of the Project Planning Process shall achieve the following outcomes:</p> <ol style="list-style-type: none"> <li>Objectives and plans are defined and recorded.</li> <li>Roles, responsibilities, accountabilities, authorities are defined.</li> <li>Resources and services necessary to achieve the objectives are formally requested and committed.</li> <li>Plans for the execution of the project are activated and maintained.</li> </ol>   | <p><b>6.3.1.2 Outcomes</b></p> <p>ISO/IEC/IEEE 15288:201x 6.3.1.2 "Outcomes" shall apply in accordance with the acquirer-supplier agreement:</p>   |
| <p><b>6.3.1.3 Activities and tasks</b></p> <p>The project shall implement the following activities and tasks in accordance with applicable organization policies and procedures with respect to the Project Planning Process.</p> <ol style="list-style-type: none"> <li><b>Define the project.</b> This activity consists of the following tasks:             <ol style="list-style-type: none"> <li>Identify the project objectives and constraints.</li> <li>Define the project scope as established in the agreement.</li> <li>Define and maintain a life cycle model that is comprised of stages Establish a work <b>breakdown</b> structure based on the evolving system architecture.</li> <li>Define and maintain the processes that will be applied on the project.</li> </ol> </li> </ol> | <p><b>6.3.1.3 Activities and Tasks</b></p> <p>ISO/IEC/IEEE 15288:201x 6.3.1.3 "Activities and Tasks" shall apply:</p> <p>Add: The supplier shall plan, execute, and control the engineering efforts. In addition, the supplier shall ensure appropriate flowdown of requirements and technical management of subcontractors and vendors.</p> |

|  |
|--|
| <p><b>6.3.1.4 Project Planning Process Outputs</b></p> <p>The following Technical Process outputs shall be provided in accordance with the acquirer-supplier agreement.</p>  |
| <p>a) <b>Systems Engineering Management Plan (SEMP)</b> with the following attributes:</p> <ol style="list-style-type: none"> <li>Identifies the technical assessment and control of the project, including required technical reviews and audits and their completion criteria, technical measurement, quality assurance, baseline management, and change control.</li> <li>Provides a description, or reference to, the life cycle model and systems engineering processes or process model description for the technical effort, including an overview of the methods, tools and techniques which are applicable across the project.</li> <li>Identifies any specific infrastructure needs to support the technical effort.</li> <li>Describes or points to the Work Breakdown Structure (WBS), project schedule, and project budget.</li> <li>Identifies any project constraints that may limit or restrict the project or system solution.</li> <li>Identifies supporting plans.</li> </ol> <p>b) <b>Contract Work Breakdown Structure (CWBS)</b></p> <ol style="list-style-type: none"> <li>Is consistent with the evolving physical hierarchy and is maintained and applied to plan and monitor all work carried out under the project.</li> </ol> <p>c) The systems engineering accomplishments, accomplishment criteria, and narrative in the integrated master plan (IMP); tasks in the integrated master schedule (IMS); and work packages in the earned value management system (EVM) and other specific plans (such as tradeoff plans) as</p> |

- Purpose
- Outcomes
- Activities and Tasks

- Identifies Applicable Information of 15288
- Defines any deltas
- Outputs (added)

\* Document structure is aligned with ISO/IEC/IEEE 15288 and INCOSE SE

**Tailorable**

# Example: Technical Reviews and Audits (15288.2)

**5.5 Preliminary design review (PDR)**

**5.5.1 PDR Purpose**

The PDR is a mandatory, multi-disciplined review that shall be conducted to ensure the system proceed into detailed design and can meet the stated performance requirements within program risk and other program and system constraints.

**5.5.2 PDR Description**

The PDR shall confirm that:

- All system-level functional and performance requirements baselined at SRR are decomposed or directly allocated to the lowest level of the specification tree for uniquely identified.
- The allocated baseline is complete.
- All external interfaces to the system, as defined at the SRR, have been documented.
- All system internal interfaces (system element to system element) have been documented.
- Verification requirements to demonstrate achievement of all specified characteristics have been documented.
- All design constraints have been captured and incorporated into the allocated design.
- All decomposed and allocated requirements down to the lowest level of the specification tree have directional traceability between the source requirement and the design element.
- All system hardware element architectures are complete.
- All system hardware element development specifications are complete.
- The software architecture is complete to the extent specified in the SDP for PDR, by life cycle model(s).
- The set of system elements comprising the preliminary system design, including all interfaces, forms a satisfactory basis for proceeding into detailed design with acceptable risk.

**Table 13—PDR technical review products acceptability criteria**

| Product                                    | PDR acceptability criteria  |
|--|---|
| System baselined documentation (allocated) | a) Analysis of system performance is complete and is assessed to meet requirements.   |
|  | b) Trade studies related to the design of the system and its lowest level specified CIs are complete and documented, including the rationale for selection of the preferred alternative.            |
|  | c) Intentionality, functional performance requirements are allocated to all system, segment and subsystem preliminary designs.  |
|  | d) Preliminary design analysis design considerations and demonstrate consistency with a standard implementation framework and Department of Defense Architecture Framework (DDAFAF) 2.0 equivalent. |
|  | e) System operational functions and environments for the preliminary design are traceable to the supplier's CONOPS and the allocated baseline.  |
|  | f) Preliminary system-level design is producible and assessed to be within the production budget.   |
|  | g) Preliminary long lead production requirements are developed and documented.  |
|  | h) PM&P allocated requirements are incorporated into the preliminary design.  |
|  | i) Mass properties margins (average or complex) are established PDR and correlated with the preliminary design, including allowance growth allocations and margins.                                 |
|  | j) SSE, COMSEC, cryptology, and PP security requirements are allocated and incorporated into the preliminary design in accordance with DoD policies, directives, and system specifications.         |
|  | k) EMI control processes and procedures are developed for preliminary design, and EMI/EMC allocated requirements are incorporated into the preliminary design.                                      |
|  | l) User interface hardware and software allocated requirements, operations, users, maintainers, and sustainers are incorporated into preliminary design.  |
|  | m) Contamination control processes and procedures are developed for the preliminary design.   |
|  | n) Hazardous materials management and pollution prevention allocated requirements are incorporated into the preliminary design.   |
|  | o) Data storage analysis identifies reliability, maintainability, availability requirements for storage systems environments.   |
|  | p) The preliminary data storage physical architecture fully addresses elements, including communications and processing capacity.   |
|  | q) The data storage logical architecture defines a complete line of receivers to include both computer and human agents.  |
|  | r) The level of user integrity (e.g., access control lists) has been identified that enables the system requirements to be met.   |
|  | s) DTAE elements are correlated with the preliminary design.  |
|  | t) OTAE allocated requirements are incorporated into the preliminary design.  |

**7.5 Preliminary design review (PDR) application guidance**

- For complex systems, a PDR may be conducted incrementally for each subsystem or system element, depending on the scope and complexity of the system.
- If incremental PDRs are held, it is important that all conflicts or other issues arising from the results of the incremental PDRs be resolved before conducting the system-level PDR.
- The request for the PDR chair should occur at least 90 days prior to conduct of the technical review.
- The PDR technical review criteria should be tailored to best support the program's technical scope and risk.
- For software intensive systems, the SAR or SSR should be completed before the system-level PDR is held.
- In order to ensure a comprehensive and balanced assessment of all PDR work products, PDR participants from both the acquirer and supplier should include, as applicable:
  - Program management
  - Systems engineering
  - Software engineering
  - Hardware engineering
  - Logistics
  - Test and evaluation
  - All certification authorities
  - System users
  - Cost estimating team
  - Legal counsel, if required
  - Contracting officers
  - Recorder or secretary

**NOTE**—These roles do not dictate that a single individual is provided for each role. A single individual may perform more than one of these roles within the team. Depending on the complexity of the system, more than one individual may also be assigned to a specific role.

- Assessment of the allocated baseline should assure that technical budget allocations (e.g. weight, power, cooling, etc.) have been properly allocated to one or more system elements with acceptable design growth margins.
- Since multiple teams are usually performing detailed design in parallel for subsystems or elements of the total system, system-level coordination and problem resolution often become difficult. A robust and efficient cross-team communication system should be established, both within the supplier's organization and between the supplier team leads and their acquirer counterparts, to minimize the chances of re-work and the associated cost and schedule impact from conflicting interpretations of the interface requirements by the various design groups.

Some design decisions made at the PDR may precipitate discussions with the operational

- Requirements
  - Purpose
  - Description
  - Timing
  - Entry Criteria
  - Content
    - Product
    - Conduct
    - Outputs
    - Exit Criteria

- Detailed Criteria
  - Products Acceptability Criteria
  - Preparation
  - Conduct
  - Closure

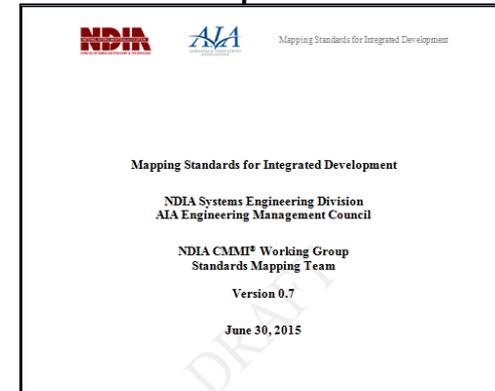
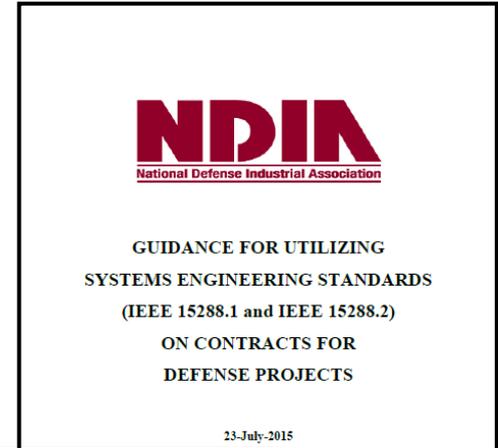
## 7. Application Guidance



**Normative Reviews/Audits (10): ASR; SRR; SFR; PDR; CDR; TRR; FCA; SVR; PRR; PCA**  
**Example domain-specific reviews in annexes that “may find useful” (4): SAR; SSR; IRR; FRR**

# 15288.X Transition Guidance

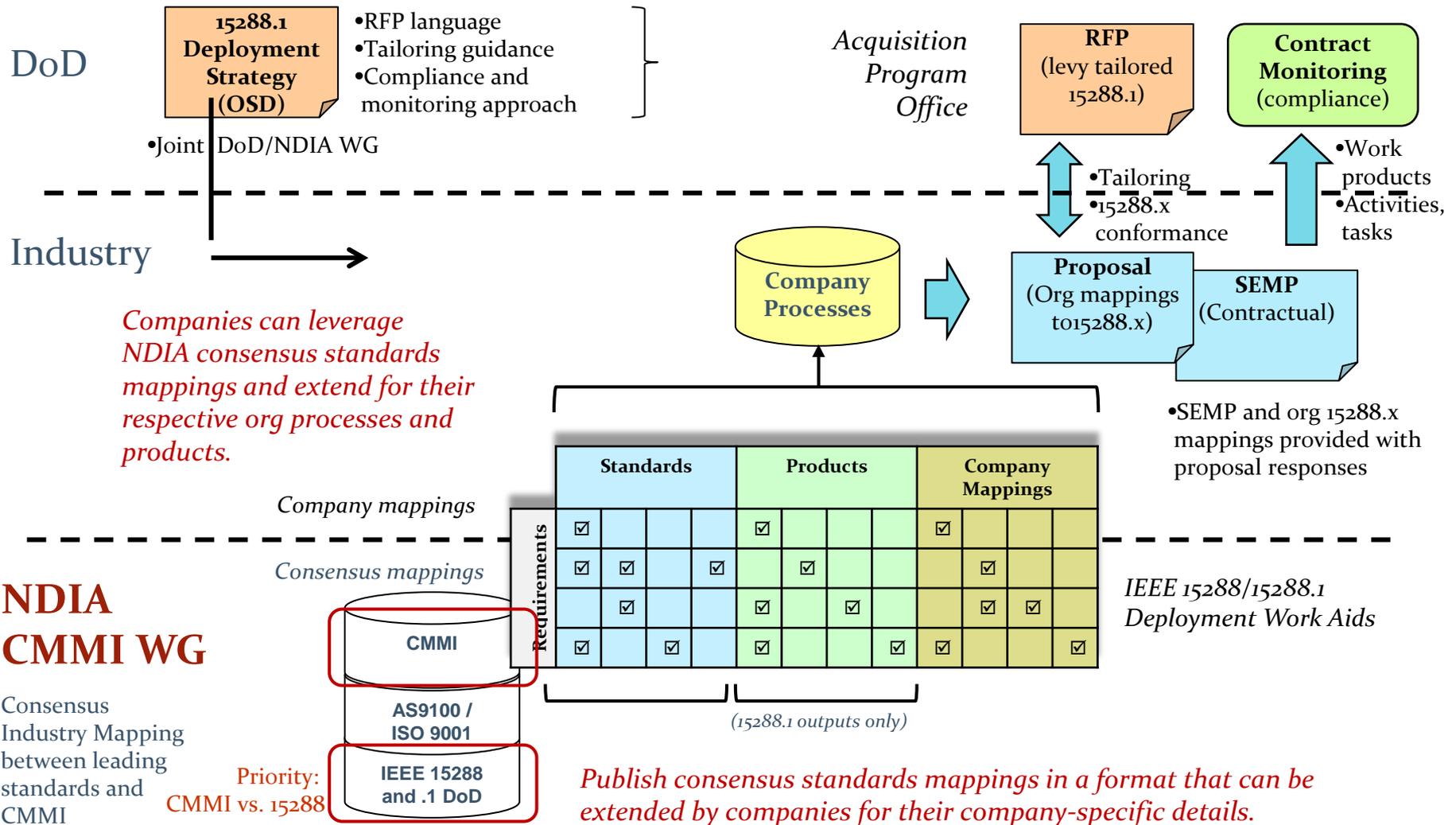
- Deployment strategy from DoD/NDIA IEEE 15288 working group
  - Provides key transition insight and guidance
  - Recommended RFP language
  - Tailoring guidance
  - Insight for interpreting compliance
- NDIA/AIA consensus mappings
  - Will help facilitate company migration to IEEE 15288.x
  - Translates currently implemented company standards and process mappings to 15288
  - NDIA mappings developed by consensus of subject matter experts



| IEEE 15288 Section | IEEE 15288 Keyword                | CMMI-CM Practices                    | Mapping Confidence (Practices) | Appropriate Confidence | Gap Comment (for appropriate < Strong)               |
|--------------------|-----------------------------------|--------------------------------------|--------------------------------|------------------------|--|
| 8.3.7              | Measurement Process               |                                      |                                |                        |  |
| 8.3.7.3            | Activities and Tests              |                                      |                                |                        |  |
| 8.3.7.3a           | Prepare for Measurement           |                                      |                                |                        |  |
| 8.3.7.3a.1         | Define strategy                   | MA-SP.1.1<br>MA-SP.2.2               | Strong<br>Strong               | Strong                 |  |
| 8.3.7.3a.2         | Describe relevant characteristics | MA-SP.1.1<br>MA-SP.1.1               | Strong<br>Strong               | Strong                 |  |
| 8.3.7.3a.3         | Identify information needs        | MA-SP.1.1                            | Strong                         | Strong                 |  |
| 8.3.7.3a.4         | Select resources                  | MA-SP.1.2                            | Strong                         | Strong                 |  |
| 8.3.7.3a.5         | Define procedures                 | MA-SP.1.3                            | Strong                         | Strong                 |  |
| 8.3.7.3a.6         | Define evaluation criteria        | MA-SP.1.4<br>MA-SP.1.4               | Strong<br>Strong               | Strong                 |  |
| 8.3.7.3a.7         | Plan for systems or services      | MA-SP.2.2<br>MA-SP.2.3<br>MA-SP.1.3  | Medium<br>Medium<br>Strong     | Strong                 |  |
| 8.3.7.3b           | Perform measurement               |                                      |                                |                        |  |
| 8.3.7.3b.1         | Integrate processes               | MA-SP.1.1<br>MA-SP.1.4<br>SPO-SP.1.4 | Medium<br>Weak<br>Weak         | Strong                 |  |
| 8.3.7.3b.2         | Collect data                      | MA-SP.1.1<br>MA-SP.2.3               | Strong<br>Strong               | Strong                 |  |
|                    |                                   |                                      |                                |                        | Disposal is not significantly addressed in CMMI-DEV. |
|                    |                                   |                                      |                                | Weak                   | Disposal is not significantly addressed in CMMI-DEV. |
|                    |                                   |                                      |                                | Weak                   | Disposal is not significantly addressed in CMMI-DEV. |
|                    |                                   |                                      |                                | Weak                   | Disposal is not significantly addressed in CMMI-DEV. |

**Both documents are in NDIA approval cycle**

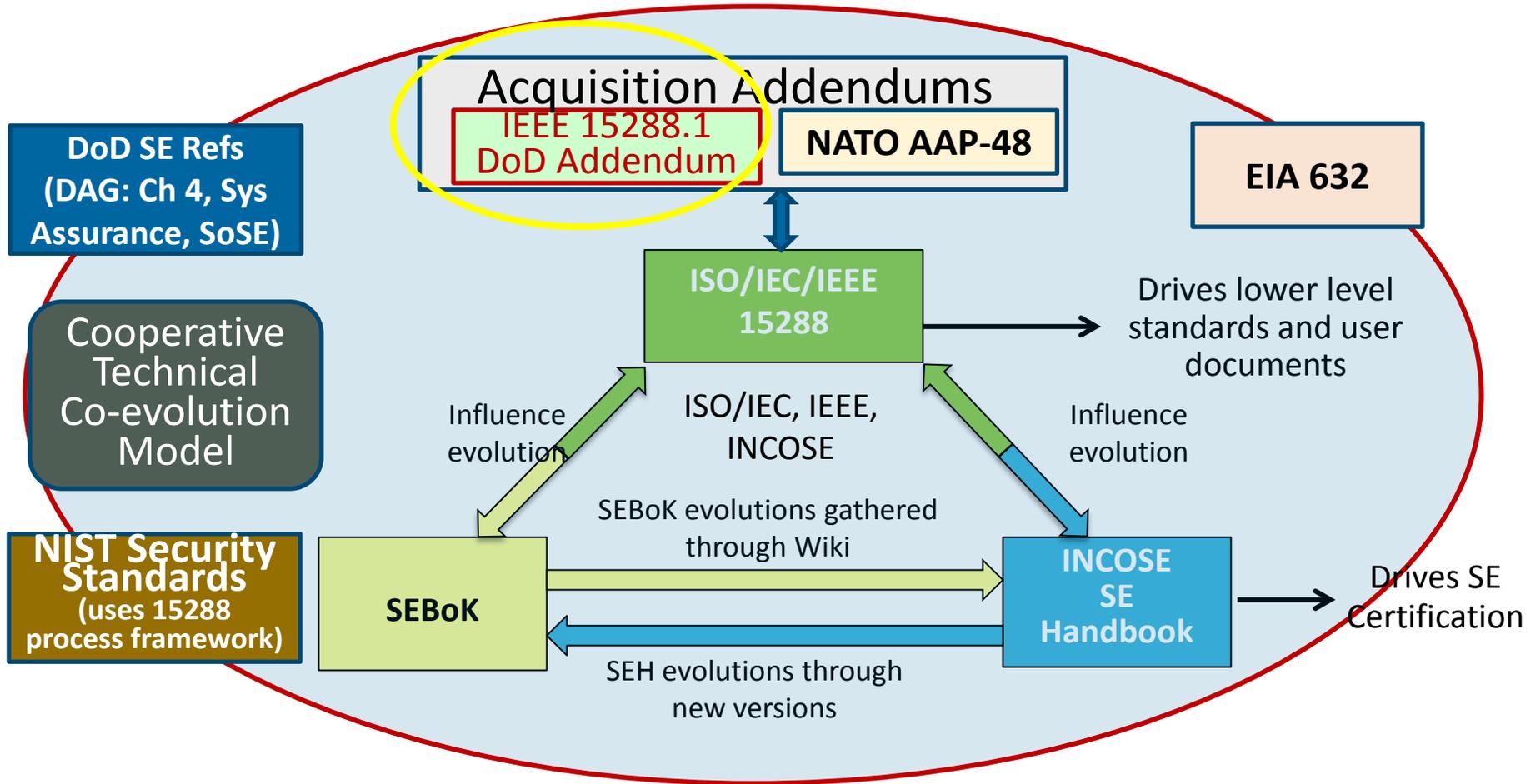
# Conops – IEEE 15288.1 Deployment Strategy and Integrated Standards Mappings in Acquisition



**Deployment Assets Developed to Support Transition and Implementation**

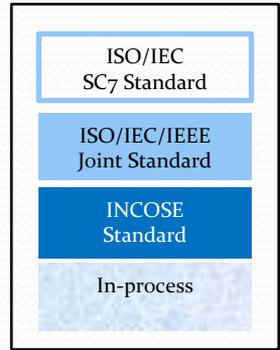
# Alignment with Other Key SE Resources

# Alignment of Key SE&A Resources



*Significant Collaboration in this Co-evolution*

# Current Alignment/Integration Status



**Legend**

|                                   |  |   |  |   |
|-----------------------------------|--|---|--|---|
| <b>Foundation</b>                 | ISO/IEC/IEEE 24765<br>Vocabulary<br>(also PMI)<br><b>Terminology</b> | ISO/IEC/IEEE 24748-1<br>Guide to Life Cycle<br>Management<br><b>Overarching<br/>Framework</b> | ISO/IEC/IEEE 19759<br>SW Body of<br>Knowledge<br><b>Body of Knowledge</b>              | INCOSE<br>SE Body of<br>Knowledge                                     |
| <b>Life Cycle<br/>Processes</b>   | ISO/IEC/IEEE 15288<br>System Life Cycle<br>Processes                 | ISO/IEC/IEEE 12207<br>Software Life Cycle<br>Processes  | ISO/IEC 18018<br>CM Tools<br>Requirement   | ISO/IEC 2655X<br>Product Line Tools &<br>Methods                      |
| <b>Assessment/<br/>Governance</b> | ISO/IEC 15504<br>Process<br>Assessment                               | ISO 9000 Series<br>Quality<br>Management  | ISO/IEC 24766 RE<br>Tools Requirements   | ISO/IEC/IEEE 24748-4<br>SE Planning<br>formerly ISO 26702 & IEEE 1220 |
| <b>Process<br/>Elaborations</b>   | ISO/IEC/IEEE 29148<br>Rqmts Eng                                      | ISO/IEC/IEEE 15939<br>Measurement   | ISO/IEC/IEEE 16085<br>Risk Mgmt  | ISO/IEC/IEEE 29119<br>SW Test   |
|                                   | ISO/IEC/IEEE 16326<br>Project Mgmt                                   | ISO/IEC/IEEE 14764<br>SW Maint.   | ISO/IEC 250xx<br>SW Quality  | ISO/IEC/IEEE 15026<br>Sys/SW Assur.                                   |
|                                   | ISO/IEC/IEEE 42020<br>Architecture Process                           | ISO/IEC/IEEE 42030<br>Architecture Eval   | EIA 649B - IEEE 828<br>Config Mgmt   | IEEE 1012<br>V&V  |
|                                   |  |   |  | 270xx<br>System & SW Security   |
| <b>Application<br/>Guides</b>     | ISO/IEC/IEEE TR<br>24748-2 Guide<br>to 15288                         | ISO/IEC/IEEE TR<br>24748-3 Guide<br>to 12207  | INCOSE<br>SE Handbook<br>Guide for Sys LC Processes &<br>Activities (aligned, not ISO) | ISO/IEC 90005<br>Appl ISO 9000<br>to Systems                          |
|                                   |  |   |  | ISO/IEC 90005<br>Appl ISO 9000<br>to Systems                          |
|                                   |  |   |  | ISO/IEC/IEEE<br>90003 Appl ISO<br>9000 to SW                          |
| <b>Artifact<br/>Descriptions</b>  | ISO/IEC/IEEE 42010<br>Architecture<br>Description                    | ISO/IEC/IEEE 15289<br>Documentation   | <b>Supplemental<br/>Guidance</b>   | ISO/IEC 24774<br>Process Definition                                   |

# Adoption, Access, and Use On Contract

# Adoption and Access

- The standards have been adopted by DoD
- Access
  - DoD – can access through ASSIST with CAC login
  - URL = <http://quicksearch.dla.mil/>
  - Working on access by DoD SETA support
  - Industry – need to purchase through IEEE or access through subscription services, such as:
    - IEEE xPlore
    - IHS

IEEE 15288.1

TIER 1 ADOPTION NOTICE

IEEE 15288.1, "IEEE Standard for Application of Systems Engineering on Defense Programs", was adopted on 05 JUN 2015 for use by the Department of Defense (DoD). Proposed changes by DoD activities must be submitted to the DoD Adopting Activity at SMC/EN, Air Force Space and Missile Systems Center, 483 N. Aviation Blvd., El Segundo, CA 90245-2808. Copies of this document may be purchased from the Institute of Electrical and Electronics Engineers at the IEEE Customer Service Center, 445 Hoes Lane, P.O. Box 1331, Piscataway, NJ 08855-1331, U.S.A. or via the web at <http://www.ieee.org>. DOD employees with a CAC may access this document through ASSIST without charge.

This standard provides requirements for the application of ISO/IEC/IEEE 15288, "System Life Cycle Processes", for defense systems engineering needs. This standard implements ISO/IEC/IEEE 15288 for use by DoD organizations and other defense agencies in acquiring systems or systems engineering support. This standard provides the basis for selection, negotiation, agreement, and performance of necessary systems engineering activities and delivery of products, while allowing flexibility for both innovative implementation and tailoring of the specific systems engineering process(es) to be used by system suppliers, either contractors or government system developers, integrators, maintainers, or sustainers. It is intended to be used together with ISO/IEC/IEEE 15288 and IEEE 15288.2, "Standard for Technical Reviews and Audits on Defense Programs" on contract, tailored as required, to express the government requirements for systems engineering. Implementation guidance for this standard has been developed; to obtain a copy, contact Code SE for non-space systems or Code 19 for space systems.

IEEE 15288.1 was prepared by the IEEE Computer Society Joint Systems Engineering Working Group. The IEEE Computer Society collaborated with ISO/IEC JTC1, Subcommittee 7, Systems and software engineering, and SAE International G-23 Manufacturing Management and G-33 Configuration Management committees.

**Custodians:**  
 OSD – SE  
 Army – AM  
 Navy – AS  
 Air Force – 19

**Adopting Activity:**  
 Air Force – 19  
 (Project SESS-2015-069)

**Review Activities:**  
 Army – AC, AR, AV, CR, MI, PT, TE, TM, SY  
 Navy – CG, CH, MC, OS, SA, SH  
 Air Force – 01, 08, 10, 11, 16, 24, 33, 94  
 DLA – CC, DH  
 OSD – DMS, HS, MA, SO  
 DISA – DC1, DC5  
 Other – MDA, NS, USTC

NOTE: The activities listed above were interested in this document as of the date of this document. Since contact information can change, you may want to verify the currency of this information using the ASSIST Online database at <https://assist.dla.mil>.

Area SESS

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IEEE 15288.2

Defense Programs", was adopted and changes by DoD activities Space and Missile Systems Center, 483 N. Aviation Blvd., El Segundo, CA 90245-2808. Copies of this document may be purchased from the Institute of Electrical and Electronics Engineers at the IEEE Customer Service Center, 445 Hoes Lane, P.O. Box 1331, Piscataway, NJ 08855-1331, U.S.A. or via the web at <http://www.ieee.org>. DOD employees with a CAC may access this document through ASSIST without charge.

This standard provides requirements for the application of ISO/IEC/IEEE 15288, "System Life Cycle Processes", for defense systems engineering needs. This standard implements ISO/IEC/IEEE 15288 for use by DoD organizations and other defense agencies in acquiring systems or systems engineering support. This standard provides the basis for selection, negotiation, agreement, and performance of necessary systems engineering activities and delivery of products, while allowing flexibility for both innovative implementation and tailoring of the specific systems engineering process(es) to be used by system suppliers, either contractors or government system developers, integrators, maintainers, or sustainers. It is intended to be used together with ISO/IEC/IEEE 15288 and IEEE 15288.1, "Standard for Technical Reviews and Audits on Defense Programs" on contract, tailored as required, to express the government requirements for systems engineering. Implementation guidance for this standard has been developed; to obtain a copy, contact Code SE for non-space systems or Code 19 for space systems.

IEEE 15288.2 was prepared by the IEEE Computer Society Joint Systems Engineering Working Group. The IEEE Computer Society collaborated with ISO/IEC JTC1, Subcommittee 7, Systems and software engineering, and SAE International G-23 Manufacturing Management and G-33 Configuration Management committees.

**Custodians:**  
 OSD – SE  
 Army – AM  
 Navy – AS  
 Air Force – 19

**Adopting Activity:**  
 Air Force – 19  
 (Project SESS-2015-070)

NOTE: The activities listed above were interested in this document as of the date of this document. Since contact information can change, you may want to verify the currency of this information using the ASSIST Online database at <https://assist.dla.mil>.

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IEEE 15288

System Life Cycle Processes", Proposed changes by DoD Deputy Director, SE Policy 01-3030.

The lifecycle of man-made systems, including conception, development, production, and support, is a continuous process. This standard provides the definition, and the methodology, for the lifecycle of man-made systems, including conception, development, production, and support. This standard is to be applied concurrently with the lifecycle of man-made systems, including conception, development, production, and support. This standard is to be applied concurrently with the lifecycle of man-made systems, including conception, development, production, and support. This standard is to be applied concurrently with the lifecycle of man-made systems, including conception, development, production, and support.

IEEE 15288.1 was prepared by the IEEE Computer Society Joint Systems Engineering Working Group. The IEEE Computer Society collaborated with ISO/IEC JTC1, Subcommittee 7, Systems and software engineering, and SAE International G-23 Manufacturing Management and G-33 Configuration Management committees.

**Custodians:**  
 OSD – SE  
 Army – AM  
 Navy – AS  
 Air Force – 19

**Adopting Activity:**  
 Air Force – 19  
 (Project SESS-2015-069)

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**Overview**

**Title:** Systems Engineering on Defense Programs, Application of  
**Status:** Active **Adoption Date:** 05-JUN-2015  
**Next Review Due:** 03-JUN-2020  
**FSC/Area:** SESS **Doc Category:** Institute of Electrical and Electronic Engineers (IEEE) **Dist Stmt:** See below

**Responsibilities**

**Lead Standardization Activity:** SE ODASD(SE)  
**Adopting Activity:** 19 Space and Missile Systems Center  
**Coordination:** Full  
**Army Custodian:** AM HQ US Army Materiel Command, (AMC), DepSO  
**Navy Custodian:** AS Naval Air Systems Command  
**Air Force Custodian:** 19 Space and Missile Systems Center  
**Other Custodian:** SE ODASD(SE)

**Projects**

**Project Number:** SESS-2015-069

**Revision History** Click on column headings for a description of column content.

| Media | Document Part Description | Dist Stmt | Document Date | Pages | Size    |
|-------|---------------------------|-----------|---------------|-------|---------|
|       | Notice - Adoption, Tier 1 | A         | 05-JUN-2015   | 1     | 17.7 KB |

ASSIST Online database at <https://assist.dla.mil>



# Implementation at USAF SMC

- Institutionalized in SMC Compliance Standards list
  - Replaces related SMC/MIL standards in place since 2003
- Being applied to new contracts as part of the acquirer-supplier agreement
  - Tailored by SPOs as part of acquisition strategy and RFP development
  - Facilitated by SMC/PI Acquisition Center of Excellence and SMC/EN

|   |     |  |  |  |      |   |
|---|-----|--|--|--|------|---|
| 7 | 1.2 | Program Execution; Systems Engineering | SMC Standard SMC-S-001   | Systems Engineering  | 2010 |   |
|   | 1.2 | Program Execution; Systems Engineering | SMC Standard SMC-S-001 include SMC tailoring; SMC-T-005 (2014) | Systems Engineering  | 2013 | SMC-S-001 revised IAW periodic update and stakeholder review process. SMC-T-005 added per direction of SMC/EN and SMC risk management consultant. Previously announced as interim updates to Feb 2013 SMC compliance standards list.                          |
|   | 1.2 | Program Execution; Systems Engineering | ISO EIA 15288 and  | Systems and software engineering — System life cycle processes | 2015 | Updated IAW nongovernmental standards developer process with industry participation. DOD adopted. Required for use with IEEE 15288.1 standard for defense systems engineering. Released as interim update to SMC 2013 list.                                   |
|   | 1.2 | Program Execution; Systems Engineering | IEEE 15288.1 and SMC tailoring                                 | Application of Systems Engineering on Defense Programs         | 2014 | Developed within a nongovernmental standards development process by joint government-industry working group at the direction of the Defense Standardization Council to reinstitute selected cancelled standards. Released as interim update to SMC 2013 list. |
|   | 1.2 | Program Execution; Systems Engineering | SMC-T-006  | Specialty Engineering Supplement to IEEE 15288.1               | 2015 | Added to transfer specialty engineering planning requirements from SMC-S-001 to IEEE 15288.1 to maintain the baseline of effective practices for high-reliability space. Released as interim update to SMC 2013 list.   |
|   | 1.2 | Program Execution; Systems Engineering | SMC-T-005  | SMC Risk Management Supplement to IEEE 15288.1                 | 2015 | Added to transfer risk management requirements from SMC-T-005 in SMC-S-001 to IEEE 15288.1 to maintain the baseline of effective practices for risk management at SMC. Released as interim update to SMC 2013 list.   |

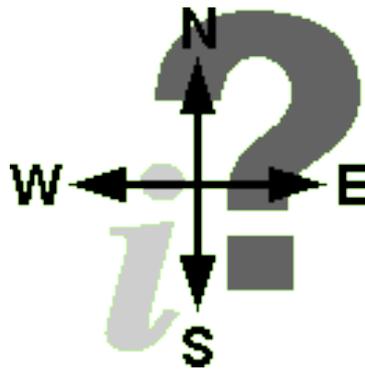
|   |     |                                       |                                |   |      |   |
|---|-----|---------------------------------------|--------------------------------|---|------|---|
| 2 | 1.1 | Program Execution; Program Management | SMC Standard SMC-S-021, Vol 1  | Technical Reviews & Audits for Systems, Equipment and Computer Software | 2009 |   |
|   | 1.1 | Program Execution; Program Management | IEEE 15288.2                   | Technical Reviews and Audits on Defense Programs                        | 2014 | Developed within a nongovernmental standards development process by joint government-industry working group at the direction of the Defense Standardization Council to reinstitute selected cancelled standards. Released as interim update to SMC 2013 list. |
| 3 | 1.1 | Program Execution; Program Management | SMC Standard SMC-S-002         | Configuration Management  | 2008 |   |
|   | 1.1 | Program Execution; Program Management | SAE 649-1 and SMC tailoring    | Configuration Management Requirements For Defense Contracts             | 2014 | Developed within a nongovernmental standards development process by joint government-industry working group at the direction of the Defense Standardization Council to reinstitute selected cancelled standards. Released as interim update to SMC 2013 list. |
|   | 1.1 | Program Execution; Program Management | SMC Standard SMC-T-007         | SMC Tailoring of EIA 649-1 - ECP change classes                         | 2015 | Added to resolve issue with definition of Major (Class I) ECPs that were not included in the standard. Released as interim update to SMC 2013 list.   |
| 4 | 1.1 | Program Execution; Program Management | MIL-STD-1528A without Notice 1 | Manufacturing Management  | 1986 |   |
|   | 1.1 | Program Execution; Program Management | SAE AS6500                     | Manufacturing Management Program  | 2014 | Developed within a nongovernmental standards development process by joint government-industry working group to replace cancelled MIL-STD-1528A. DOD adopted. Previously announced as interim updates to Feb 2013 SMC compliance standards list.               |

# Summary

- Collaboration and co-evolution have driven these standards projects to a successful completion that:
  - Meets the needs of both Acquirers and Suppliers
  - Fully aligns with other key SE resources
  - Is consistent with other new DoD standards
- Collaboration from Day 1 helped build buy-in and ensure a result that is useful
- Development of the deployment assets will help transition
- We now need to continue the collaboration to change the culture

*“We cannot change anything if we cannot change our thinking” (Kalwar)*  
*“It cannot be changed without changing our thinking” (Einstein)*

# Questions?



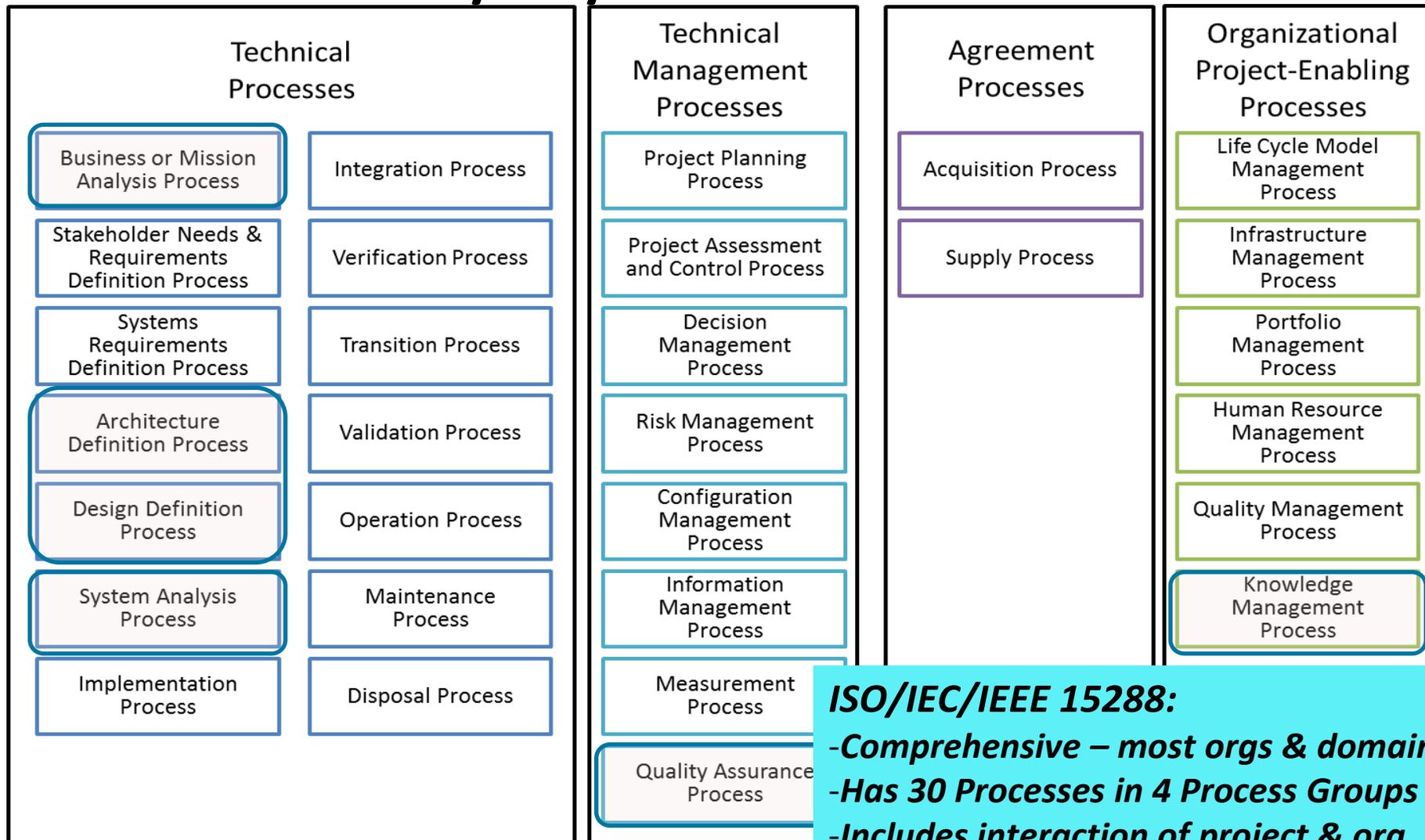
# Back-up Charts

# ISO/IEC/IEEE 15288 scope and focus

- Provides a common, comprehensive & integrated framework for describing and managing the full life cycle of systems for:
  - Small, medium and large organizations
  - Internal self-imposed use, as well as providing a basis for contractual arrangements (i.e., any agreement)
  - Applicable to most domains
  - Applicable to any life cycle model
- Defines a set of processes, concepts, and associated terminology
  - Can be applied at any level in the hierarchy of a system across its life cycle
  - Not sequential or one-way to apply
  - Allows for concurrent process application and concurrent stages
- Applies to man-made systems configured with one or more of the following:
  - Hardware, software, humans, or processes
- Focuses on “what”, not “how”
- Includes tailoring process
- Includes guidance for application to System of Systems (SoS)

Source: Adapted from ISO/IEC JTC1/SC7/WG7 presentation on ISO/IEC 15288.

# Processes in ISO/IEC/IEEE 15288:2015

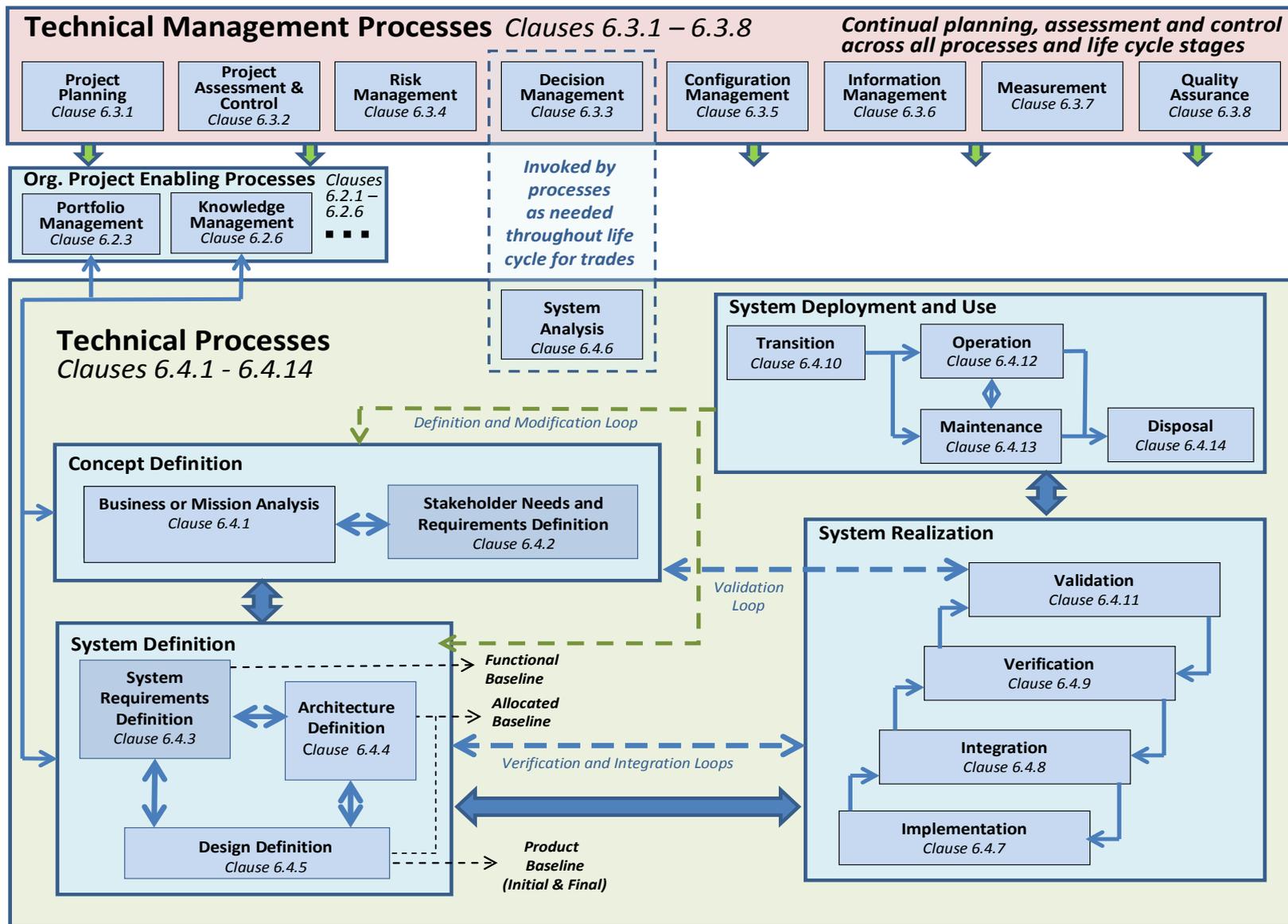


**Tailoring Process**

- ISO/IEC/IEEE 15288:**
- Comprehensive – most orgs & domains
  - Has 30 Processes in 4 Process Groups
  - Includes interaction of project & org.
  - Full life cycle – stages – holistic view
  - Based on proven practices

Adapted from ISO/IEC/IEEE 15288:2015

# Relationship of the Life Cycle Processes



# Example Mapping

| IEEE 15288 Section         | IEEE 15288 Keyword                           | CMMI-DEV Practices                             | Mapping Confidence (Practices)   | Aggregate Confidence | Gap Comment (for aggregate < Strong)                |
|----------------------------|--|--|----------------------------------|----------------------|---|
| <b>6.3.7</b>               | <b>Measurement Process</b>                   |  |                                  |                      |   |
| <b>6.3.7.3</b>             | <b>Activities and Tasks</b>                  |  |                                  |                      |   |
| <b>6.3.7.3a</b>            | <b>Prepare for Measurement</b>               |  |                                  |                      |   |
| 6.3.7.3a 1                 | Define strategy                              | MA SP 1.1<br>MA GP 2.2                         | Strong<br>Strong                 | Strong               |   |
| 6.3.7.3a 2                 | Describe relevant characteristics            | MA SP 1.1<br>IPM SP 1.2                        | Strong<br>Medium                 | Strong               |   |
| 6.3.7.3a 3                 | Identify information needs                   | MA SP 1.1                                      | Strong                           | Strong               |   |
| 6.3.7.3a 4                 | Select measures                              | MA SP 1.2                                      | Strong                           | Strong               |   |
| 6.3.7.3a 5                 | Define procedures                            | MA SP 1.3<br>MA SP1.4                          | Strong<br>Strong                 | Strong               |   |
| 6.3.7.3a 6                 | Define evaluation criteria                   | MA SP 1.4                                      | Strong                           | Strong               |   |
| <a href="#">6.3.7.3a 7</a> | <a href="#">Plan for systems or services</a> | MA GP 2.2<br>MA GP 2.3<br>MA SP 1.3            | Medium<br>Medium<br>Strong       | Strong               |   |
| <b>6.3.7.3b</b>            | <b>Perform measurement</b>                   |  |                                  |                      |   |
| 6.3.7.3b 1                 | Integrate procedures                         | MA.SP1.3<br>MA.SP1.4<br>IPM.SP1.4<br>OPD.SP1.4 | Medium<br>Weak<br>Strong<br>Weak | Strong               |   |
| 6.3.7.3b 2                 | Collect data                                 | MA SP 2.1<br>MA SP 2.3                         | Strong<br>Strong                 | Strong               |   |
| ...                        |  |  |                                  |                      |   |
| <b>6.4.14</b>              | <b>Disposal Process</b>                      |  |                                  |                      | Disposal is not significantly addressed in CMMI-DEV |
| <b>6.4.14.3</b>            | <b>Activities and Tasks</b>                  |  |                                  |                      |   |
| 6.4.14.3a 1                | Define disposal strategy                     | PP SP 1.3<br>RD SP 3.1<br>TS SP 2.2            | Weak<br>Weak<br>Weak             | Weak                 | Disposal is not significantly addressed in CMMI-DEV |
| 6.4.14.3a 2                | Identify constraints                         | RD SP 2.1                                      | Weak                             | Weak                 | Disposal is not significantly addressed in CMMI-DEV |
| ...                        |  |  |                                  |                      |   |

# Source Products

## • Source Products for SE Standard

- *ISO/IEC/IEEE 15288 (CD.2), 2013*
- *ISO/IEC/IEEE 15289, Content of life-cycle information products (documentation), 2011*
- *SMC-S-001, Systems Engineering Requirements and Products, July 2013*
- *DoDI 5000.02*
- *NATO AAP-48, NATO System Life Cycle Processes, July 2012 (Addendum Standard to 15288; focused on NATO Armament Systems)*
- *Defense Acquisition Guide (DAG), Chapter 4, Systems Engineering, 2013*
- *INCOSE SE Handbook V3.2 /V4.0 Draft*
- *SEBoK*
- *EIA 632, Engineering of a System*
- *ISO/IEC/IEEE 24748-4*
- *NAVSEA Instruction & Policy 5000-009 for Systems Engineering Technical Reviews*

## • Source Products for TR&A Standard

- *ISO/IEC/IEEE 15288 (CD.2), 2013*
- *DoDI 5000.02*
- *SMC-S-021, Technical Reviews and Audits for Systems, Equipment and Computer Software, Volume 1, September 2009*
- *NAVSEAINST 5009.9, Naval Systems Engineering Technical Review Handbook, July 2009*
- *NAVAIRINST 4355.19E, Systems Engineering Technical Review Process*
- *NAVAIR Systems Engineering Technical Review Process Handbook, initial release*
- *Defense Acquisition Guidebook, Chapter 4.*

**Source Products include a combination of Industry and DoD resources**

# Source Products Usage

## ■ Approach for Use of Source Products

- *Use as body of knowledge for defense programs*
- *Identify relevant information that should be considered*
  - *Identify differences or additional tasks that need to be considered*
  - *Identify key outputs and their attributes*
  - *Identify essential guidance needed to provide common understanding*
- *Assimilate key information at level that applies to most defense programs*
  - *State in terms of “what”, not “how”*