



# ***Headquarters U.S. Air Force***

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## **Autonomous Horizons** **System Autonomy in the Air Force**



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***Integrity - Service - Excellence***



# *Outline*



- 
- **Background and context**
  - **Challenges to overcome**
  - **Approaches to solutions**
  - **Next steps**



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# Autonomy Could Transform Many Air Force Missions



Remotely Piloted Vehicles



Manned Cockpits



Space



Cyber Operations



C2&ISR



Air Traffic Control



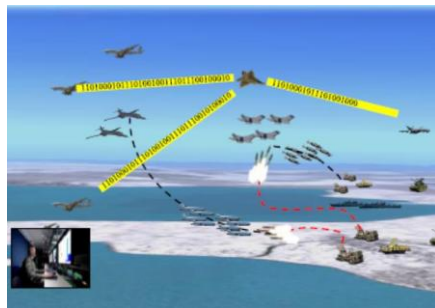
# DSB 2012 Autonomy Study: Recommendations



- The Assistant Secretary of Defense for Research and Engineering (ASD(R&E)) should work with the Military Services to establish a coordinated S&T program with emphasis on:
  - Natural user interfaces and trusted human-system collaboration
  - Perception and situational awareness to operate in a complex battle space
  - Large-scale teaming of manned and unmanned systems
  - Test and evaluation of autonomous systems
- These emphasis areas have driven DoD's Autonomy Community of Interest Tier I Technology Areas\*:



*Human/Autonomous System Interaction and Collaboration (HASIC)*



*Machine Perception, Reasoning and Intelligence (MPRI)*



*Scalable Teaming of Autonomous Systems (STAS)*



*Test, Evaluation, Validation, and Verification (TEVV)*

\*Dr. Jon Bornstein, "DoD Autonomy Roadmap: Autonomy Community of Interest", NDIA 16<sup>th</sup> Annual Science & Engineering Technology Conference, Mar 2015.



# ***DSB 2015 Autonomy Study: Terms of Reference***



- **The study will ask questions such as:**
  - What activities cannot today be performed autonomously? When is human intervention required?
  - What limits the use of autonomy? How might we overcome those limits and expand the use of autonomy in the near-term as well as over the next two decades?
- **The study will also consider:**
  - Applications to include:
    - ◆ Decision aids, planning systems, logistics, surveillance, and war-fighting capabilities
  - The international landscape, identifying key players (both commercial and government), relevant applications, and investment trends
  - Opportunities such as:
    - ◆ Use of large numbers of simple, low cost (ie, "disposable") objects
    - ◆ Use of "downloadable" functionality (e.g., apps) to repurpose basic platforms
    - ◆ Varying levels of autonomy for specific missions rather than developing mission-specific platforms
- **The study will deliver a plan that identifies barriers to operationalizing autonomy and ways to reduce or eliminate those barriers**



# ***DSB 2015 Autonomy Study: Status***



- 
- **Still awaiting release of the Report**
  - **But we can infer some conclusions from DepSecDef (Mr. Work) from his comments last December's CNAS Inaugural National Security Forum**





# *Third Offset Building Blocks\**



- **Autonomous deep learning systems**
  - **Coherence out of chaos: Analyzes overhead constellation data to queue human analysts (National Geospatial Agency)**
- **Human-machine collaboration**
  - **F-35 helmet portrayal of 360 degrees on heads up display**
- **Assisted human operations**
  - **Wearable electronics, heads-up displays, exoskeletons**
- **Human-machine combat teaming**
  - **Army's Apache and Gray Eagle UAV, and Navy's P-8 aircraft and Triton UAV**
- **Network-enabled semi-autonomous weapons**
  - **Air Force's Small Diameter Bomb (SDB)**



# A Spectrum of Autonomous Solutions\*



- **Assisted/enhanced human performance**
  - Wearable electronics, heads-up displays, exoskeletons
  - 711<sup>th</sup> HPW enhanced sensory architecture
- **Human-machine collaboration (human-aiding)**
  - Humans teaming with autonomous systems
  - Cyborg Chess; Pilot's Association helmet
- **Human-machine collaboration (teaming)**
  - ◆ Humans teaming with autonomous systems
  - ◆ AFSOC Tactical Off-board Sensor Technology Demonstration (AOTD)
- **Autonomous “deep learning”**
  - Autonomous systems that learn and “big data”; tactical learning, emergent behavior, ...
  - AFRL’s Autonomous Defensive Operations (ADCO)
- **Cyber-secure and EW-hardened autonomous weapons**
  - AF’s Small Diameter Bomb (SDB) GPS-denied operation



711<sup>th</sup> Human Performance Wing  
BATMAN project



Altius UAV Demo

\* Based on Keynote by Defense Deputy Secretary Robert Work at the CNAS Inaugural National Security Forum, December 14, 2015



# Need Effective Synergy of the Human/Autonomy Team



- **Main benefits of autonomous capabilities are to extend and complement human performance, not necessarily provide a direct replacement of humans**
  - Extend human reach (e.g., operate in more risky areas)
  - Operate more quickly (e.g., react to cyber attacks)
  - Permit delegation of functions and manpower reduction (e.g., information fusion, intelligent information flow, assistance in planning/replanning)
  - Provide operations with denied or degraded comms links
  - Expand into new *types* of operations (e.g., swarms)
  - Synchronize activities of platforms, software, and operators over wider scopes and ranges (e.g., manned-unmanned aircraft teaming)
- **Synergistic human/autonomy teaming is critical to success**
  - Coordination and collaboration on functions
  - Overseeing what each is doing and intervening when needed
  - Reacting to truly novel situations

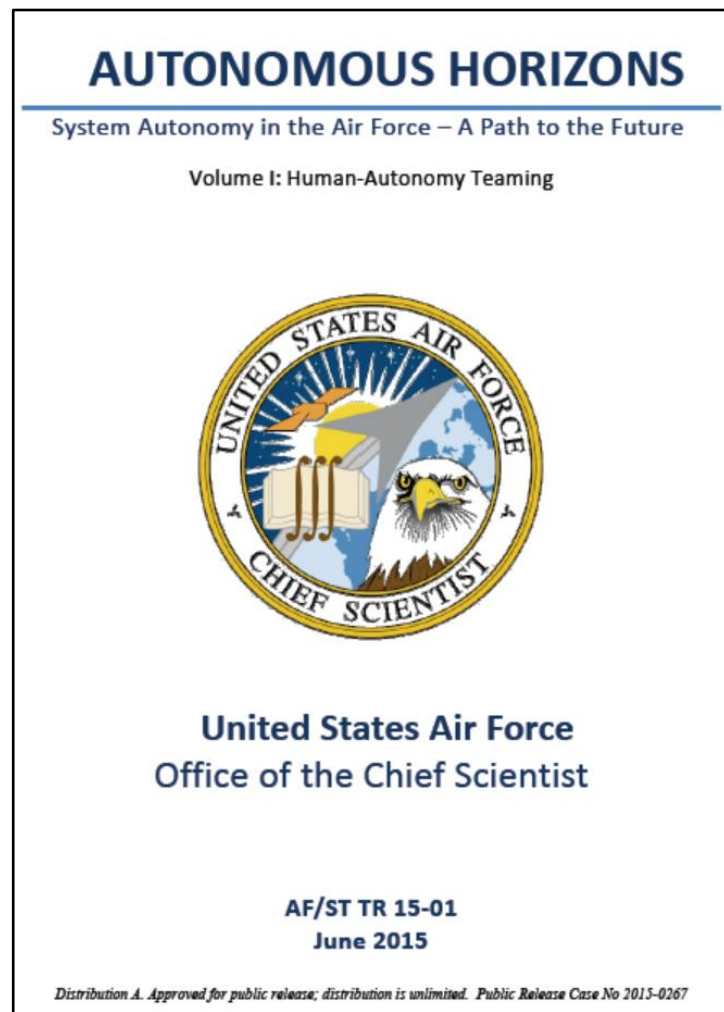




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# *Lessons Learned from Automation*



- **Traditional approaches to automation lead to “out-of-the-loop” errors (low mission SA)**
  - **Loss of situation awareness**
    - ◆ **Vigilance and complacency, changes in information feedback, active vs. passive processing**
  - **Slow to detect problems and slow to diagnose**
- **Previous systems have led to poor understanding of the system’s behavior and actions (low system SA)**
  - **System complexity, interface design, training**
  - **Raft of “mode awareness” incidents in commercial aviation after flight management systems (FMS) introduced**
- **Can actually increase operator workload and/or time required for decision-making**
- **Trust and its impact on over- and under-usage**



# *Does Automation Reduce Workload?*



- **Automation of least use when workload highest (Bainbridge, 1983)**
- **Pilots report workload same or higher in critical phases of flight (Wiener, 1985)**
- **Initiation of automation when workload is high increases workload (Harris, et al, 1994; Parasuraman, et al, 1994)**
- **Elective use of automation not related to workload level of task (Riley, 1994)**
- **Subjective workload high under monitoring conditions (Warm, et al, 1994)**



# *Trust in Autonomous Systems*



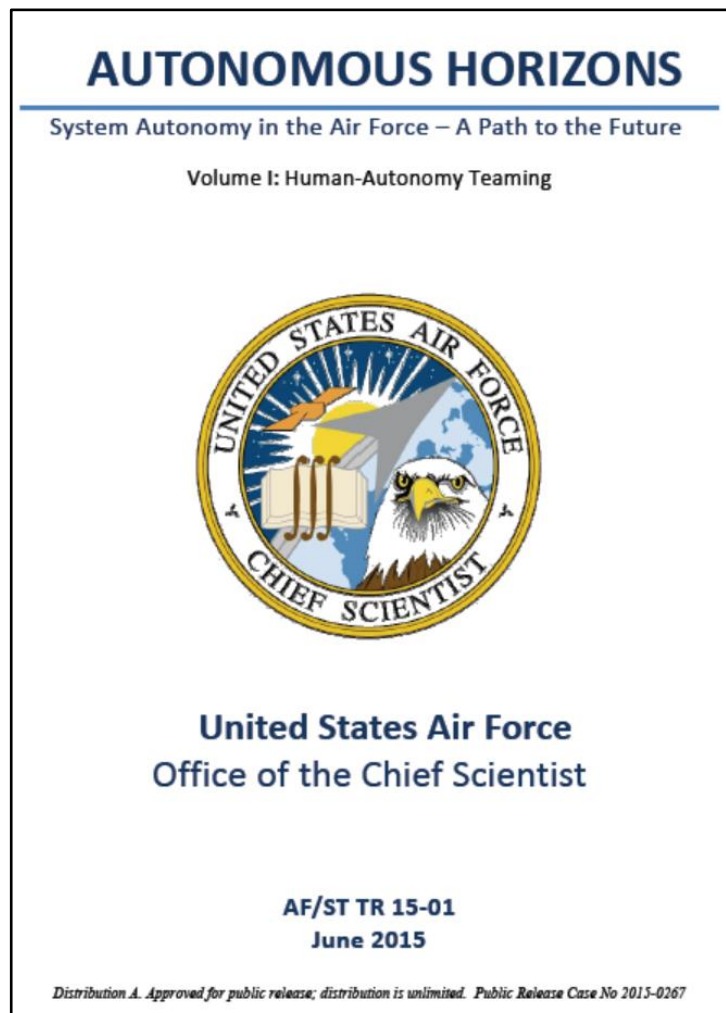
- **Autonomous decisions can lead to high-regret actions, especially in uncertain environments → Trust is critical if these systems are to be used**
  - Current commercial applications tend to be in mostly benign environments, accomplishing well understood, safe, and repetitive tasks. Risk is low.
  - Some DoD activity, such as force application, will occur in complex, unpredictable, and contested environments. Risk is high.
- **Barriers to trust in autonomy include those normally associated with human-human trust, such as low levels of:**
  - Competence, dependability, integrity, predictability, timeliness, and uncertainty reduction
- **But there are additional barriers associated with human-machine trust:**
  - Lack of analogical “thinking” by the machine (e.g., neural networks)
  - Low transparency and traceability; system can’t explain its own decisions
  - Lack of self-awareness by the system (system health), or environmental awareness
  - Low mutual understanding of common goals, working as teammates
  - Non-natural language interfaces (verbal, facial expressions, body language, ...)



# Outline



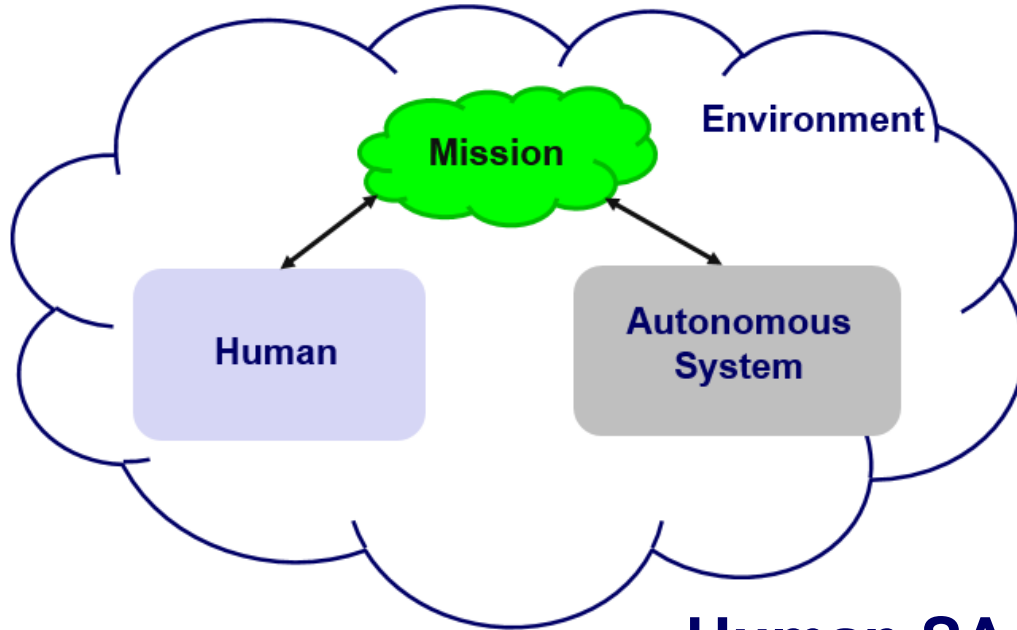
- Background and context
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# SA is Critical to Autonomy Oversight and Interaction



## ■ Human SA of

- Environment
- Mission
- Self
- System

## ■ System SA of

- Environment
- Mission
- Self
- Human



# SA Levels and their Components



## Human

- Data validity
- Automation Status
- Task Assignments
- Task Status
- Current Goals

### Impact of Tasks on Autonomy Tasks

- Impact of Tasks on System/Environment
- Impact of Tasks on Goals
- Ability to Perform Assigned Tasks

- Strategies/Plans
- Projected actions

## Perception

## Comprehension

## Projection

## Autonomy

- Data validity
- Human Status
- Task Assignments
- Task Status
- Current Goals

### Impact of Tasks on Human Tasks

- Impact of Tasks on System/Environment
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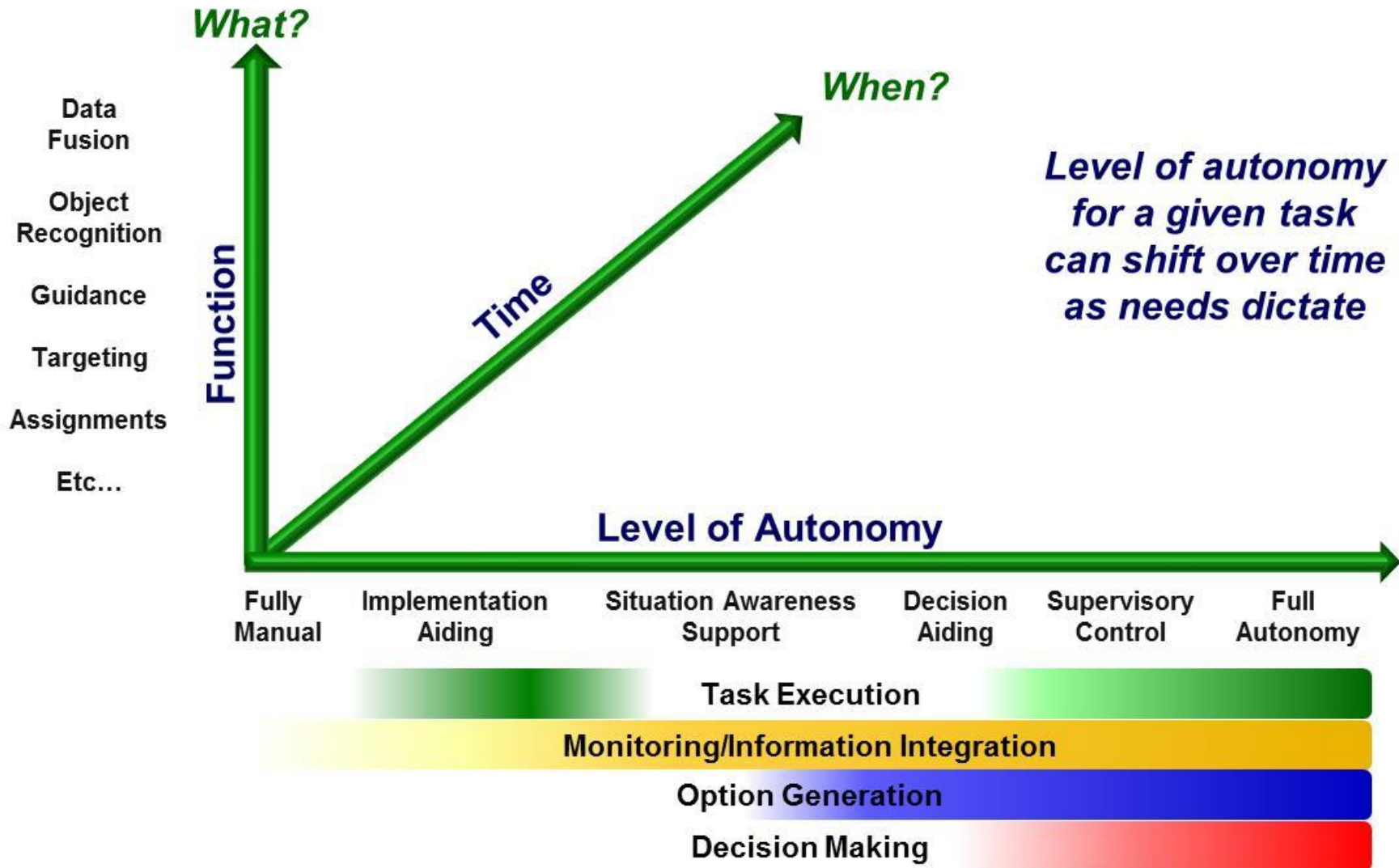
# *Reducing Workload and Reaction Time, and Improving Performance*



- **Supervised, flexible autonomy**
  - Human in ultimate control: Can oversee, modify behavior as needed
  - Autonomy levels available that can shift over time as needed
- **Benefits of autonomy depend on where applied**
  - Significant benefits from autonomy that transfers, integrates, and transforms information to that needed (Level 1 and Level 2 SA)
  - But filtering can bias attention, deprive projection (Level 3 SA)
  - Significant benefit from autonomy that carries out tasks
  - Performance can be degraded by autonomy that simply generates options/strategies
- **Flexible autonomy: Ability to switch tasking from human to automation and back over time and changes in mission tasks**
  - Provides maximum aiding with advantages of human
  - Must be supported through the interface
  - Keep humans in the loop



# Flexible Autonomy

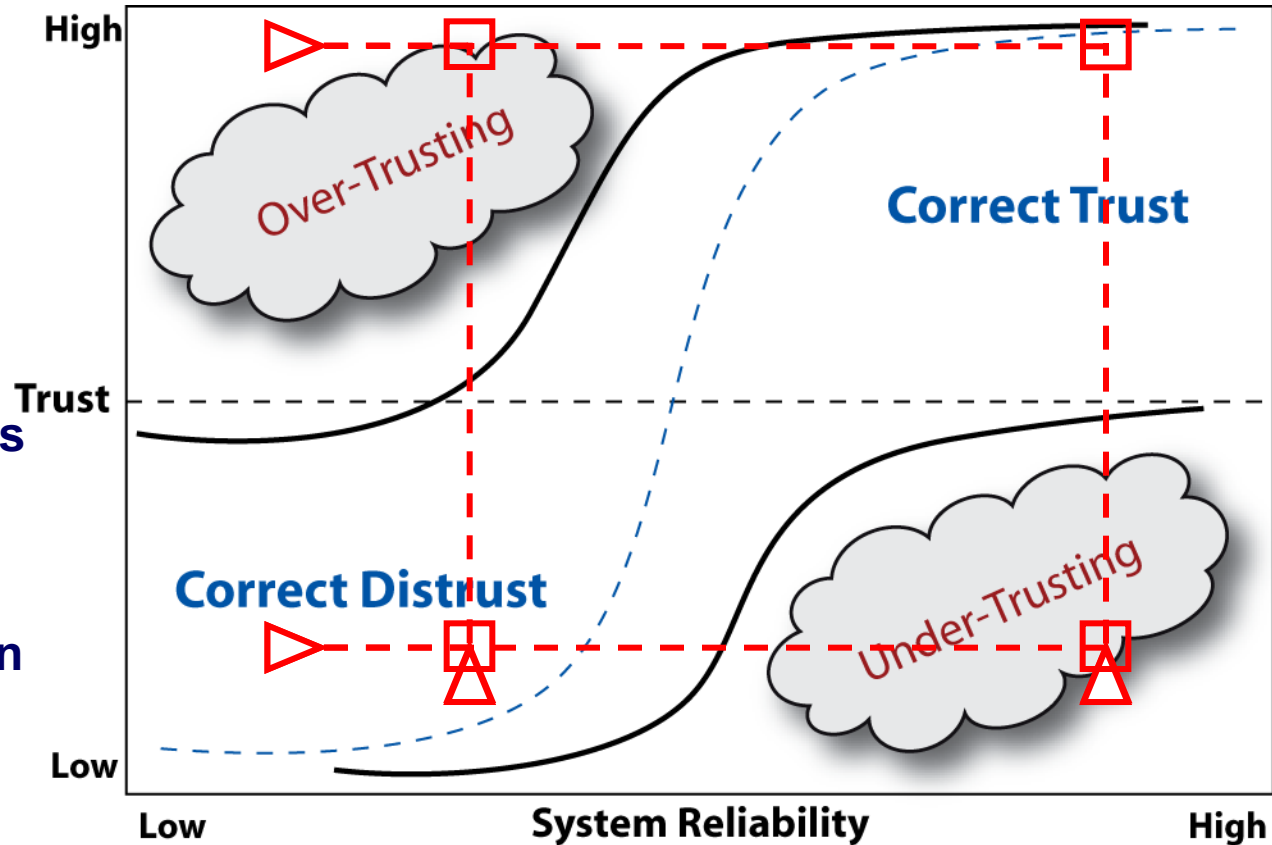




# Trust: Over, Under, and Just Right



- Simple model showing partitioned trust/reliability space\*
- Can use to explore transitions in trust and reliability over time
- But trust depends on many other factors
- And trust, in turn, drives other system-related behaviors, particularly usage by the operator
- But there's more we can do in the way of design and training...



\*Kelley et al, 2003



# Ways to Improve Human Trust of Autonomous Systems (1 of 2)



## ■ Cognitive congruence or analogical thinking

- Architect the system at the high level to be congruent with the way humans parse the problem
- If possible, develop aiding/automation knowledge management processes along lines of the way humans solve problem
- Example is convergence of Endsley's SA model with the JDL fusion model

## ■ Transparency and traceability

- Explanation or chaining engines
- If the system can't explain its reasoning, then the human teammate should be able to drill down and trace it
- Context overviews and visualizations at different levels of resolution
- Reducing transparency by making systems too "human-like" has the *added* problem of over-attribution of capability by the human user/teammate
  - ◆ Visually, via life-like avatars, facial expressions, hand gestures, ...
  - ◆ Glib conversational interface (e.g., Eliza)



# Ways to Improve Human Trust of Autonomous Systems (2 of 2)



- **“Self-consciousness” of system health/integrity**
  - Metainformation on the system data/information/knowledge
  - Health management subsystems should monitor the comms channels, knowledge bases, and applications (business rules, algorithms, ...)\*
  - Need to go far beyond simple database integrity checking and think in terms of consistency checkers at more abstract levels, analogs to flight management health monitoring systems, ...
- **Mixed initiative training**
  - Extensive human-system team training, for nominal and compromised behavior
  - To understand common team objectives, separate roles and how they co-depend
  - To develop mutual mental models of each other, based on expectations for competence, dependability, predictability, timeliness, uncertainty reduction, ...

\*Yes, it's turtles all the way down



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# *Four Tracks Towards Autonomy*

## *(1 of 2)*



### ■ **Cybernetics**

- **1940's: *The scientific study of control and communications in the animal and the machine* (Norbert Weiner)**
- **50's – 70's: Manual control (e.g., flight simulators)**
- **70's – 90's: Supervisory control (e.g., FMS)**
- **90's – present: Cognitive models with a systems bent (e.g., COGNET, SAMPLE)**

### ■ **Symbolic Logic (“hard” AI)**

- **50's: Turing Test, “Artificial Intelligence” Dartmouth Symposium, General Problem Solver (Newell and Simon)**
- **60's – 80's: Symbolic/linguistic focus, expert systems, logic programming, planning and scheduling**
- **80's – present: Cognitive models with a logic bent (e.g., Soar)**



# Four Tracks Towards Autonomy (2 of 2)



## ■ Computational Intelligence (“soft” AI)

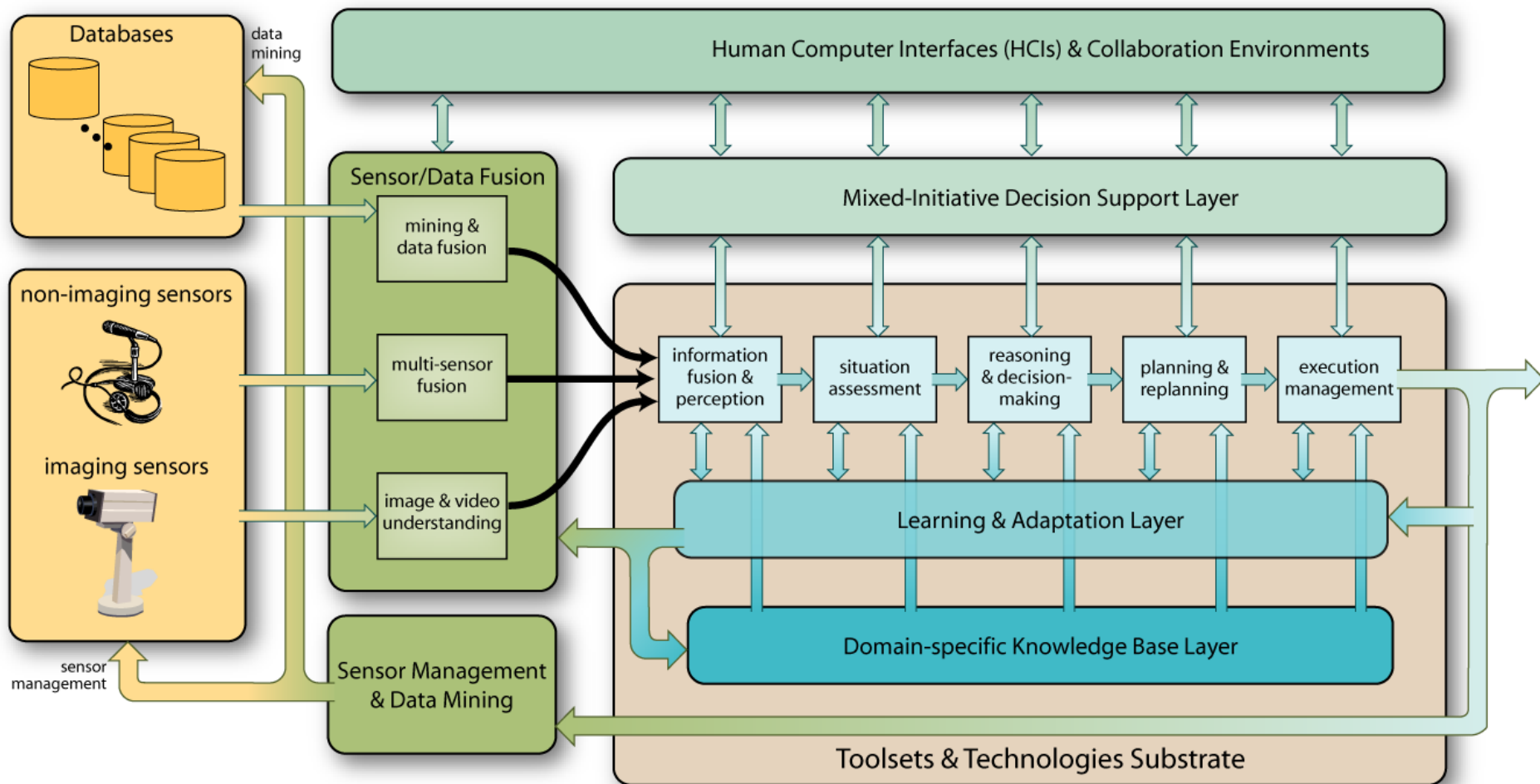
- 40’s: Artificial Neural Networks (ANNs)
- 50’s: ANNs with Learning (Turing again, Hinton, LeCun)
- 60’s – present: Genetic/Evolutionary Algorithms (Holland, Fogel)
- 60’s – 90’s: Fuzzy Logic (Zadeh)
- 80’s – present: Deep Learning
  - ♦ *We’ve ceased to be the lunatic fringe. We’re now the lunatic core.* (Hinton)
  - ♦ Merging architectures for Big Data and Deep Learning, to influence cognitive architectures

## ■ Robotics

- ~1900’s: Remote control of torpedoes, airplanes
- 30’s – present: “Open loop” in-place industrial robots
- 40’s – 70’s: Early locomoting robots
- 70’s – present: “Thinking” locomoting robotics
  - ♦ Actionist approach (e.g., Brooks’ iRobot, Google Cars, ...)
  - ♦ Sensor-driven mental models of “outside” world; drive to “cognition”



# Potential Framework for Autonomous Systems R&D





# *Next Steps for AF/ST and AFRL*



## ■ **Autonomous Horizons Volume II**

- **Focus on developing a framework that will reach across communities working autonomy issues**
  - ◆ Identify high payoff AF autonomous systems applications
  - ◆ Identify technical interest groups working these problems, via Autonomy COI, others
- **Specify key “under the hood” functions included in that framework (e.g., planning)**
- **Evaluate key technologies that can support implementation of these functions (e.g., optimization)**
- **Lay out a research strategy and demonstration program**

## ■ **Autonomous Horizons Volume III**

- **Focus on critical implementation issues, including: cyber security, communications vulnerability, V&V**



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# Does Automation Reduce Response Time?



*People take the recommendation as another information source to combine with their own decision processes*

### Parallel Systems

Reliability =  $1 - (1 - HR)(1 - MR)$

ex. HR = 90%  
MR = 85%

$= 1 - (1 - .9)(1 - .85) = 1 - .02 = 98\%$

### Serial Systems

Reliability =  $(HR)(MR)$

ex. HR = 90%  
MR = 85%

$= (.90)(.85) = .77$



# Human-Autonomy Interaction



## ■ Robustness

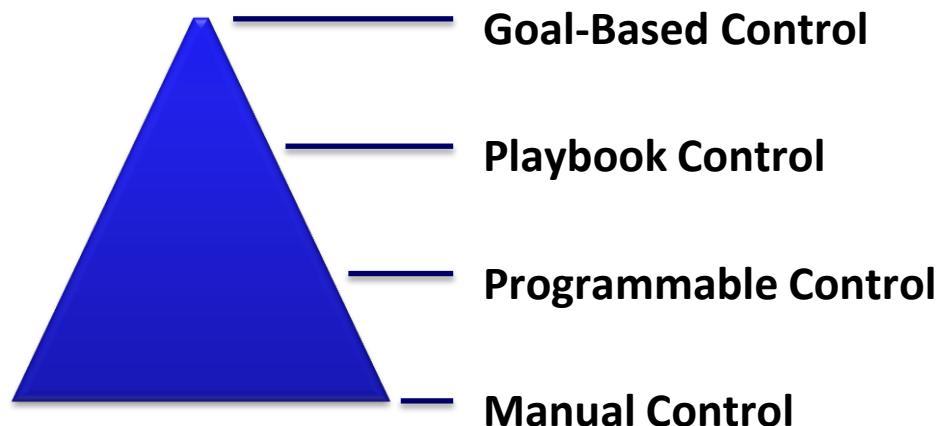
- The degree to which the autonomy can sense, understand, and appropriately handle a wide range of conditions

## ■ Span of Control

- From only very specific tasks for specific functions, up to autonomy that controls a wide range of functions on a system.

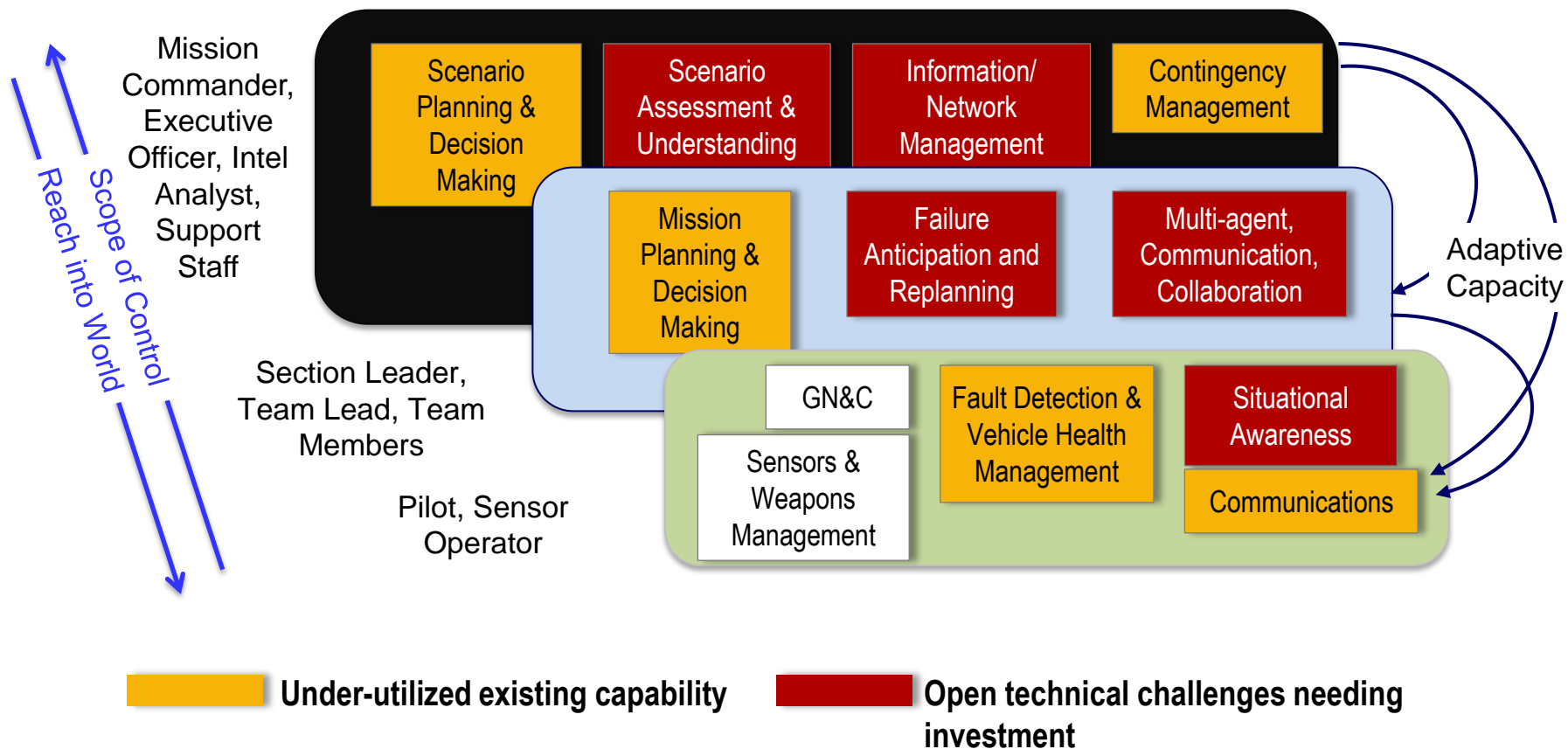
## ■ Control Granularity

- Level of detail in the breakdown of tasks for control





# Missed Opportunities and Needed Technology Developments



*\*Defense Science Board, Task Force on the Role of Autonomy in the DoD Systems, 2012*





# *(Bad) Human-System Teaming in the Commercial Cockpit (1 of 2)\**



## ■ Overtrust

- A DC-10 landed at Kennedy Airport, touching down about halfway down the runway and about 50 knots over target speed. A faulty **auto-throttle** was probably responsible. The **flight crew**, who apparently were not monitoring the airspeed, never detected the over-speed condition.
- In 1981 a DC-10 crashed into Mt. Erebus in Antarctica. The accident was primarily due to incorrect navigation data that was inserted into a ground-based computer, and then loaded into the on board aircraft navigation system by the **flight crew**. The **inertial navigation system (INS)**, erroneously programmed, flew dutifully into the mountain.

## ■ Misuse

- While climbing to altitude, the **crew** of a DC-10 flying from Paris to Miami programmed the flight guidance system to climb at a constant vertical speed. As altitude increased, the **autopilot** dutifully attempted to comply by constantly increasing the pitch angle, resulting in a high-altitude stall, and loss of over 10,000 feet of altitude before recovery.

\*Ciavarelli, 1997



# *(Bad) Human-System Teaming in the Commercial Cockpit (2 of 2)*



## ■ Differing intentions across teammembers

- In a China Airlines Airbus A300 accident at Nagaya Japan, the **autopilot** continued to fly a programmed go-around, while the **crew** tried to stay on glide slope. The autopilot applied full nose-up trim and [the] aircraft pitched up at a high angle, stalled, and crashed.\*
- Confusion over flight mode was the cause of a fatal A320 crash during a non-precision approach into Strasburg-Entzheim Airport in France. The **crew** inadvertently placed the aircraft into 3300 feet per minute descent when a flight crewmember inserted 3.3 into the **flight management computer** while the aircraft was in vertical descent mode instead of the proper flight path control mode. Pilots intended to fly a 3.3 glide slope.\*
- The DHL B757 and Tu154M mid-air over Germany in 2002 might have been avoided if both crews had followed their onboard **TCAS** advisories: the B757 was told to dive, the Tu154M to climb. **ATC**, unaware of the advisories, told the Tu154M to dive. The **B757 crew**, trusting TCAS in a close conflict situation, dove. The **Tu154 crew**, trusting ATC, did also.\*\*

\*Ciavarelli, 1997; \*\*Weyer, 2006



# Building Trust in Autonomous Systems

- Understanding autonomous system capability and limitations
  - Develop models, tools, and datasets to understand system performance
  - Experimentation with systems that change over time with the environment, and because of learning
- Understanding the boundaries within which the system is designed to operate, and the systems “experience”
  - Boundaries are situational, may evolve, and may violate the original system design assumptions
  - Systems will change over time because of learning, changing operator expectations
- Supporting effective man-machine teaming
  - Provide mutual understanding of common goals
  - Support ease of communication between humans and systems
  - Train together to develop CONOPS and skilled team performance, across wide range of mission, threat, environment, and users
- Assuring the operator of the system’s integrity
  - Provide for transparency, traceability, and “explainability”,
  - Support machine self-awareness, including boundary operation violations
  - Performance within boundaries must be reliable and secure
  - Awareness of operating outside the boundaries
- Identifying and addressing potential vulnerabilities
  - Red teaming early and often



# Hierarchy for Supporting Collaboration



- **Goal Alignment**
  - Desired goal state actions need to support
  - Requires active goal switching based on prioritization
- **Function Allocation/Re-allocation**
  - Assignment of functions and tasks across team
  - Dynamic reassignment based on capabilities, status
- **Decision Communication**
  - Selection of strategies, plans and actions needed to bring world into alignment with goals
- **Task Alignment**
  - Coordination of inter-related tasks for effective overall operations

**Shared Situation Awareness**



# *Autonomy Functions*



## ■ Machine Perception

- Vision
  - ◆ Image Processing and Computer Vision
  - ◆ Image Understanding
- Tactile Sensing
- Specialized Sensor Processing
  - ◆ EO, IR, Radar, Sonar,...

## ■ Event Detection

## ■ Situation Assessment

- External Environment
- Internal Environment
  - ◆ Health Awareness
- Confidence specification (of assessments)

## ■ Reasoning



# *Autonomy Functions*



- **Planning and Scheduling**
- **Motor Control**
  - **Locomotion**
  - **Motor Control (manipulation)**
  - **Sensor control**
- **Learning**
  - **Knowledge Acquisition**
  - **Adaptation/Learning**
- **Performance Monitoring/assessment**
  - **Performance awareness**
  - **Capability awareness (operating envelope)**
- **Reconfiguration/repair (of self)**



# Autonomy Functions



## ■ Human Computer Interface

### • Auditory Channel

- ◆ Alarms
- ◆ Natural Language Processing
  - Signal Processing
  - Speech Recognition
    - Signal Processing
    - Computational Linguistics
  - Speech Synthesis

### • Haptic Channel

### • Visual Channel

- ◆ Image Processing
  - Face recognition
  - Gesture Recognition
  - Object Recognition
- ◆ Display/Visualization