



Department of Defense Consolidated Adjudications Facility

**NATIONAL DEFENSE INDUSTRIAL (NDIA)
AND THE AEROSPACE INDUSTRIES ASSOCIATION (AIA)**

**DIRECTOR, NED FISH
DOD CONSOLIDATED ADJUDICATIONS FACILITY**

November 14-16, 2016



Agenda

- Mission
- CAF Transformation
- Metrics
- Initiatives
- Questions



DoD CAF Mission

To determine security clearance eligibility of non-Intelligence Agency DoD personnel occupying sensitive positions and/or requiring access to classified material including Sensitive Compartmented Information (SCI). These determinations involve all military service members, applicants, civilian employees, and consultants affiliated with the Department of Defense, to include DoD personnel at the White House and contractor personnel under the **National Industrial Security Program (NISP)**. The DoD CAF also adjudicates security clearance eligibility for staff of the United States Senate and House of Representatives, the Congressional Budget Office, and the United States Capitol Police. Additionally, the DoD CAF renders favorable adjudicative determinations for employment suitability of DoD civilian employees and Common Access Card (CAC) or Fitness eligibility of non-cleared DoD contractors.

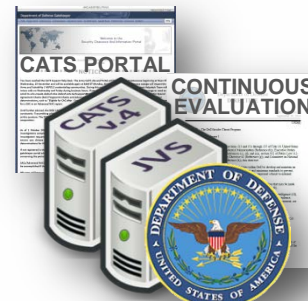
DOD CAF TRANSFORMATION



HSPD-12 & SUITABILITY DETERMINATIONS

REVISED FEDERAL INVESTIGATIVE STANDARDS & CONTINUOUS EVALUATION

IMPROVE AUTOMATED CHECKS & AUTOMATIC TECHNOLOGY



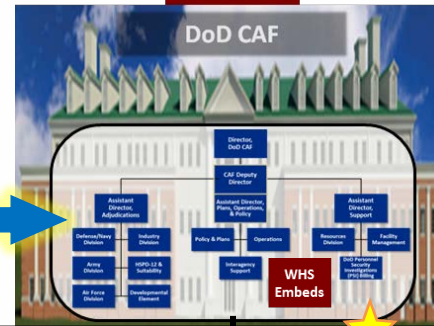
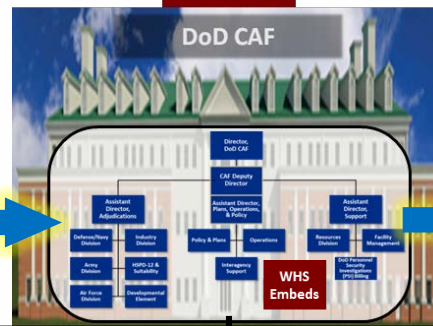
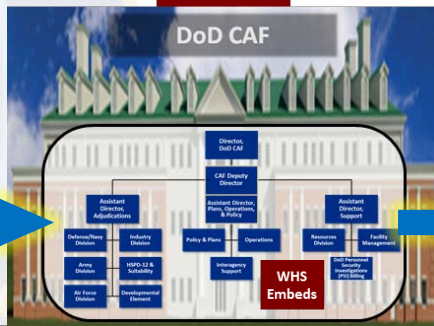
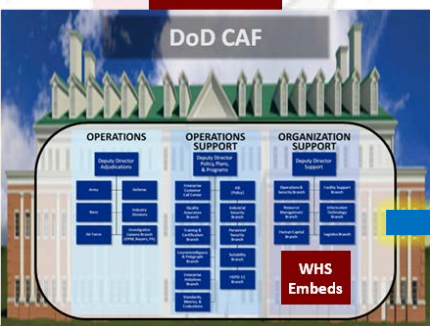
Support from WHS

FY14

FY15

FY16

FY17



October 1, 2013

October 1, 2014

October 1, 2015

October 1, 2016

Begin integration of best practices and cultures

Normalize the culture and continue integration

Prepare for mission growth and begin final phases of integration

Consolidate PERSEC IT DoD-wide upon implementation of DISS/CATS v.4; Expand Support to CE

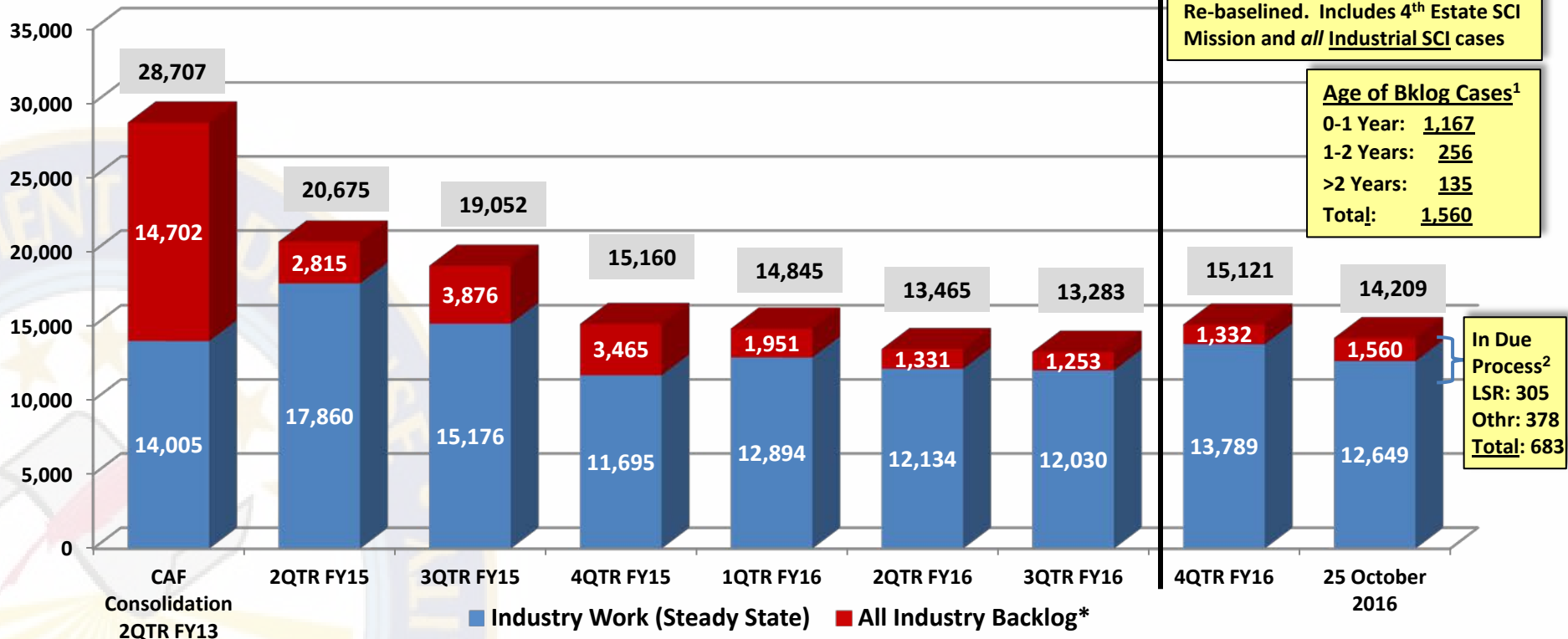
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METRICS



Industrial Cases Pending Adjudication



- Increase due to addition of DIA SCI cases and re-baselined calculations to include all Industrial SCI cases
- Backlog to be eliminated not earlier than 4QTR FY17
- Legal Sufficiency Review (LSR) cases expected to stabilize at 200-300

Month	NISP Backlog	FY 16 NISP Receipt*	Backlog % of Total NISP
October 13	13,515		7.4%
October 16	1,560		0.9%
	-11,955	~ 183,000	

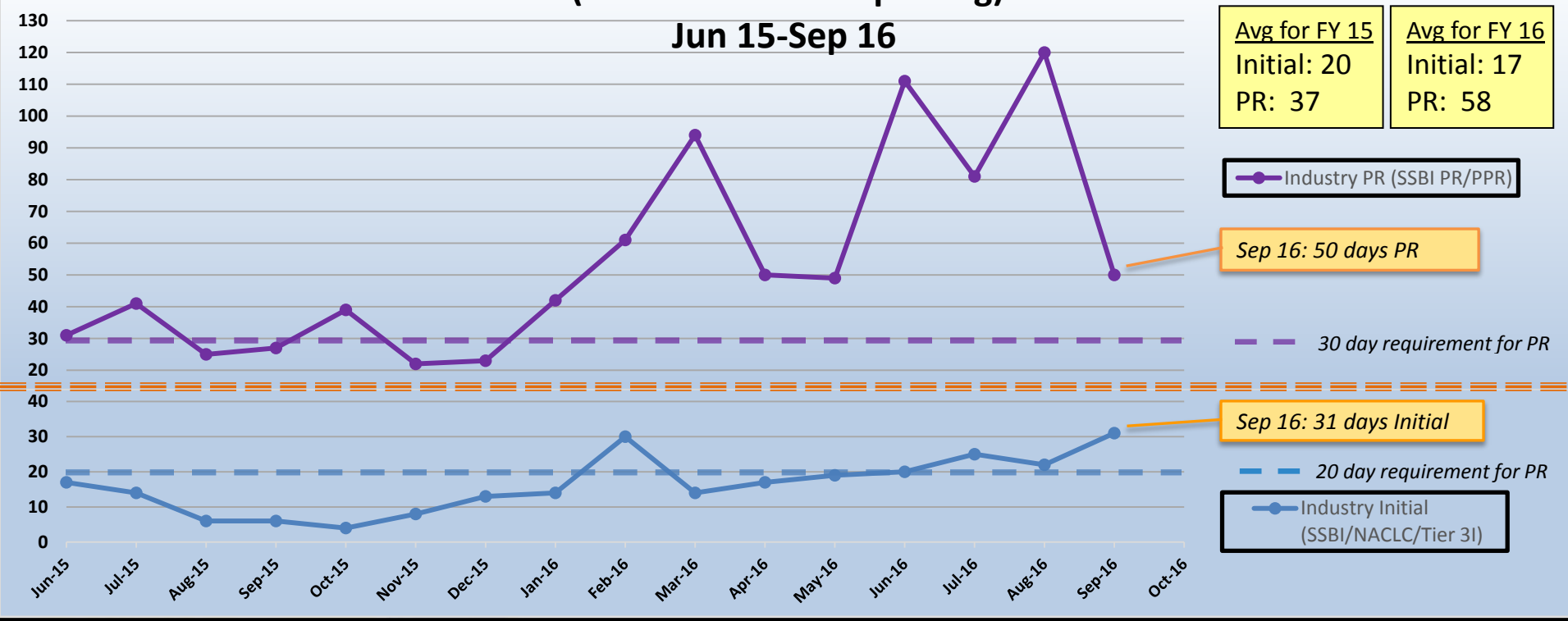
OPR: M&A
¹ Age based on date case received at the DoD CAF
² Data as of 01 November 16
 Slide Revised: 08 November 2016

* Includes Personal Security Investigations, Incident Reports, Reconsiderations, etc. (does not include SACs)



INDUSTRY

Intelligence Reform and Terrorism Prevention Act Performance (Based on OPM Reporting)



- Average for Tier 3 Products in FY 16: Initial: 5 days; PR: 4 days
- Anticipate continued focus on initial investigations as the priority until the backlog is eliminated and CATSv4 implementation occurs. Timelines for Periodic Reinvestigations expected to remain high through FY 17
- Spike in Jul-Sep 16 is the result of:
 - 4th Estate SCI/DIA case transfer/ingest
 - IT latency issues and challenges from legacy CATS
 - Increase in closed older cases
 - Final determinations made on backlogged cases transferred from DIA CAF (beginning on 01 Jul 16)



OPM Oversight Report for FY2016

- **All Agencies National Security Initial End To End: 148 Days**

- DoD CAF: 144 Days
- Army: 139 Days
- Navy: 127 Days
- Air Force: 135 Days
- Industry: 196 Days

- **Contributing Factors (Detractors):**

- Several periods of extended IT service instabilities including periods of low to no ingest contributed to increased timelines
- During periods of low to no ingest, CAF focused on closing aged backlog cases
- Combination of aging ingest (before receipt) and focus on aged cases (in inventory) caused increases in timeliness particularly Industry;
- Later in the FY, CAF placed emphasis on incident reports
- No Tier 3 cases were eAdjudicated in FY2016
- Industry Division's focus on Suspense Case Management and Converting NACLIC Re-Opens to RSI also contributed to increased timelines
- Acceptance of 4th Estate SCI Mission included accepting backlogged cases from DIA

IRPTA Timelines Achieved In FY2016 Despite Detractors

- **DoD CAF Adjudication Timelines:**

- Initial (All Types) – Adjudicated in **12** of **20** days
- SSBI-PRs – Adjudicated in **27** of **30** days

- **Army Only Timelines:**

- Initial (All Types) – Adjudicated in **19** of 20 days
- SSBI-PRs – Adjudicated in **28** of 30 days

- **Navy Only Timelines:**

- Initial (All Types) – Adjudicated in **5** of 20 days
- SSBI-PRs – Adjudicated in **10** of 30 days

- **Air Force Only Timelines:**

- Initial (All Types) – Adjudicated in **7** of 20 days
- SSBI-PRs – Adjudicated in **9** of 30 days

- **Industry Only Timelines:**

- Initial (All Types) – Adjudicated in **17** of 20 days
- SSBI-PRs – Adjudicated in **58** of 30 days

"Even though we have successfully implemented T3 eAdjudication (October 2016), we anticipate the FY17 IRPTA timelines to be similar due to disruptions resulting from the planned deployment of CATSv4. Industry SSBI-PR timelines should improve with elimination of last vestiges of legacy backlog in 2017



INITIATIVES





Initiatives

- **Transfer of 4th Estate SCI Adjudications (includes NISP)**
 - Non-DIA contractors requiring TS/SCI eligibility from DIA CAF, are now sent to DoD CAF SOI
 - Defense Agencies
 - DoD Field Activities
 - Combat Support Agencies
- **CECD Pilot:**
 - CY 2015 – 225,00
 - CY 2016 – 275,000 (500,000 Cumulative)
 - Pilot capability evolving into operational CE system; ramp up continues
 - OUSD(I) integrating CE requirements into DISS, maintaining alignment w/ODNI CE capability
- **Tier 3/Tier 3R eAdjudication:**
 - Phased deployment in legacy CATS
 - 31 Oct 2016: DISCO ✓



Initiatives (cont'd)

- **Industry Specific CATS V4 Deployment by DISS PM**
 - Mar - Apr 2017: Deployed to CAF Industry Division
 - Mar - Apr 2017: Industry Portal Deployment
 - 5 May 2017: Tentative Date to “sunset” DISCO CATS

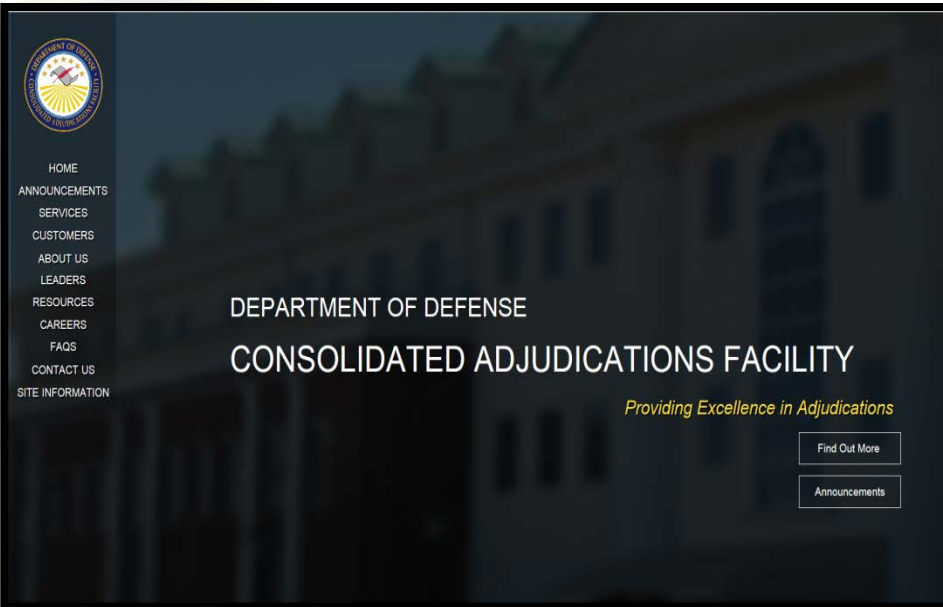




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**NEW! HSPD-12
General Information**

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DoD CAF

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QUESTIONS???

