

# UNIFIED ARCHITECTURE FRAMEWORK (UAF) HUMAN VIEWS

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ptc

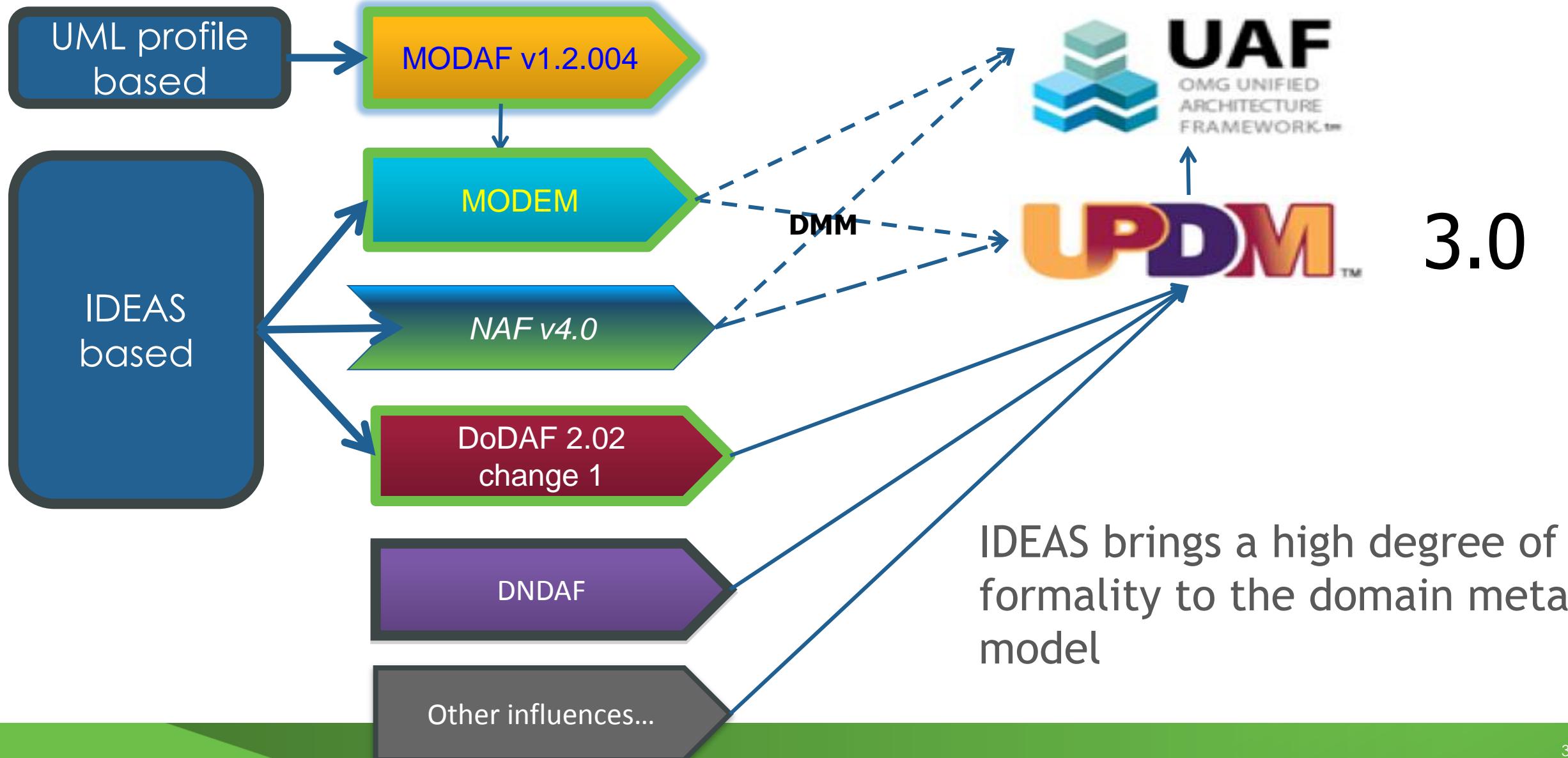
# HUMAN FACTORS/PERSONNEL VIEWS - AGENDA



- Background
- Views
  - Taxonomy
  - Structure
  - Connectivity
  - Processes
  - States
  - Interaction
  - Constraints
  - Roadmap
  - Traceability
- Conclusion



# THE UNIFIED ARCHITECTURE FRAMEWORK (UAF)



# WHY A UNIFIED ARCHITECTURE FRAMEWORK



- Proliferation of frameworks that UPDM was being asked to support
- Need to support industry and federal usage as well as military
  - Commercialization, whilst still supporting Warfighter needs
- Ability to support other frameworks
  - By Extension
  - By Mapping
- IDEAS based format for DMM Allows implementation by non-SysML based tools
  - Same format as DoDAF 2.02

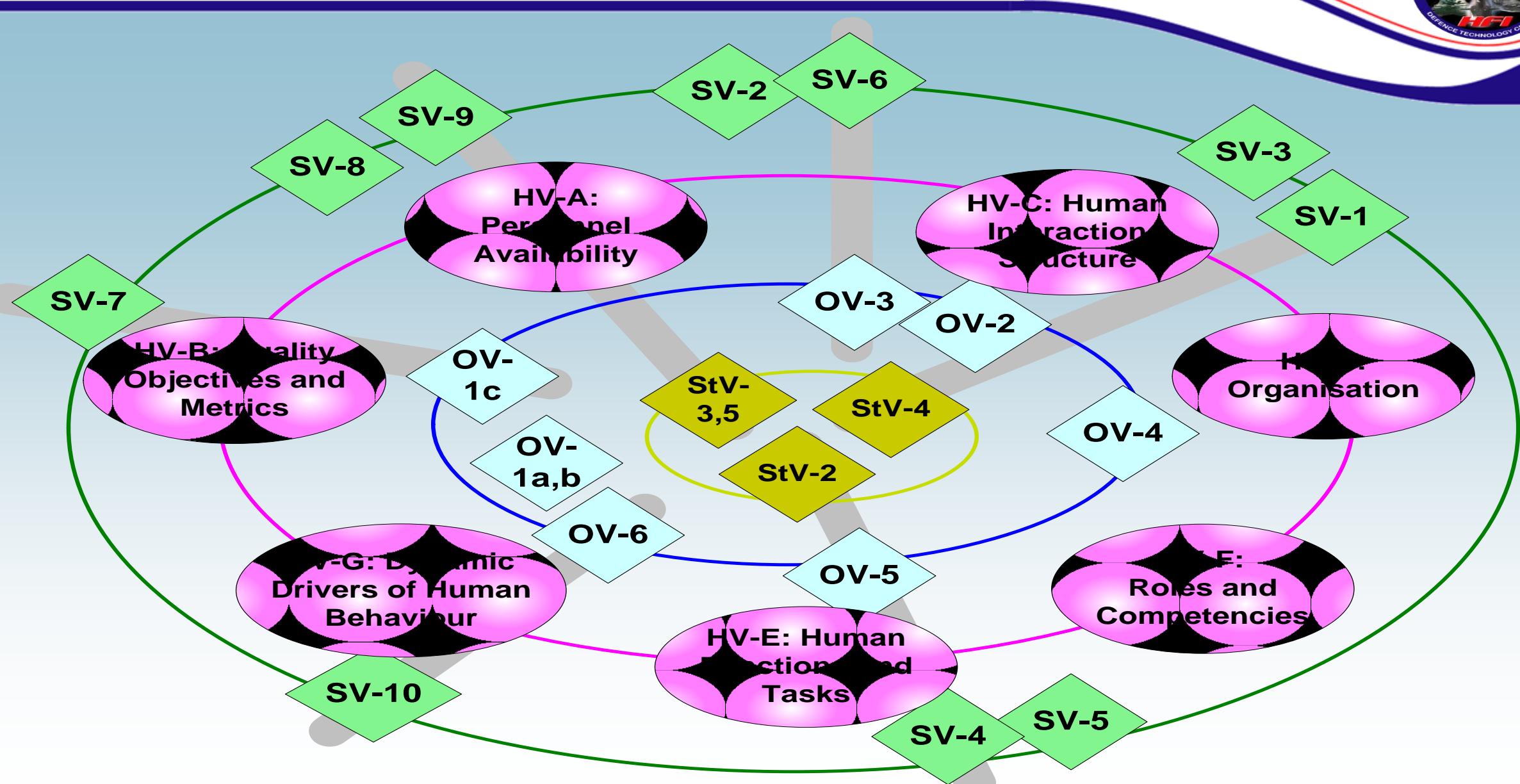
	Taxonomy Tx	Structure Sr	Connectivity Cn	Processes Pr	States St	Interaction Scenarios Is	Information If	Parameters Pm	Constraints Ct	Roadmap Rm	Traceability Tr										
<b>Metadata Md</b>	Metadata Taxonomy Md-Tx	Architecture Viewpoints <sup>a</sup> Md-Sr	Metadata Connectivity Md-Cn	Metadata Processes <sup>a</sup> Md-Pr	-	-	Conceptual Data Model, Logical Data Model, Physical schema, real world results	Environment Pm-En Measurements Pm-Me	Metadata Constraints <sup>a</sup> Md-Ct	Strategic Deployment, St-Rm Strategic Phasing St-Rm	Metadata Traceability Md-Tr										
<b>Strategic St</b>	Strategic Taxonomy St-Tx	Strategic Structure St-Sr	Strategic Connectivity St-Cn	-	Strategic States St-St	-			Strategic Constraints St-Ct		Strategic Traceability St-Tr										
<b>Operational Op</b>	Operational Taxonomy Op-Tx	Operational Structure Op-Sr	Operational Connectivity Op-Cn	Operational Processes Op-Pr	Operational States Op-St	Operational Interaction Scenarios Op-Is			Operational Constraints Op-Ct	-	-										
<b>Services Sv</b>	Service Taxonomy Sv-Tx	Service Structure Sv-Sr	Service Connectivity Sv-Cn	Service Processes Sv-Pr	Service States Sv-St	Service Interaction Scenarios Sv-Is			Service Constraints Sv-Ct	Service Roadmap Sv-Rm	Service Traceability Sv-Tr										
<b>Personnel Pr</b>	Personnel Taxonomy Pr-Tx	Personnel Structure Pr-Sr	Personnel Connectivity Pr-Cn	Personnel Processes Pr-Pr	Personnel States Pr-St	Personnel Interaction Scenarios Pr-Is	Competence, Drivers, Performance Pr-Ct	Personnel Availability, Personnel Evolution, Personnel Forecast Pr-Rm	Personnel Traceability Pr-Tr												
<b>Resources Rs</b>	Resource Taxonomy Rs-Tx	Resource Structure Rs-Sr	Resource Connectivity Rs-Cn	Resource Processes Rs-Pr	Resource States Rs-St	Resource Interaction Scenarios Rs-Is															
<b>Security Sc</b>	Security Taxonomy Sc-Tx	Security Structure Sc-Sr	Security Connectivity Sc-Cn	Security Processes Sc-Pr	-	-															
<b>Projects Pj</b>	Project Taxonomy Pj-Tx	Project Structure Pj-Sr	Project Connectivity Pj-Cn	-	-	-															
<b>Standards Sd</b>	Standard Taxonomy Sd-Tx	Standards Structure Sd-Sr	-	-	-	-	- - Parametric Execution/Evaluation <sup>b</sup>	Project Roadmap Pj-Rm Standards Roadmap Sr-Rm	Project Traceability Pj-Tr Standards Traceability Sr-Tr												
<b>Actuals Resources Ar</b>		Actual Resources Structure, Ar-Sr	Actual Resources Connectivity, Ar-Cn	Simulation <sup>b</sup>																	
Dictionary * Dc																					
Summary & Overview SmOv																					
Requirements Rq																					

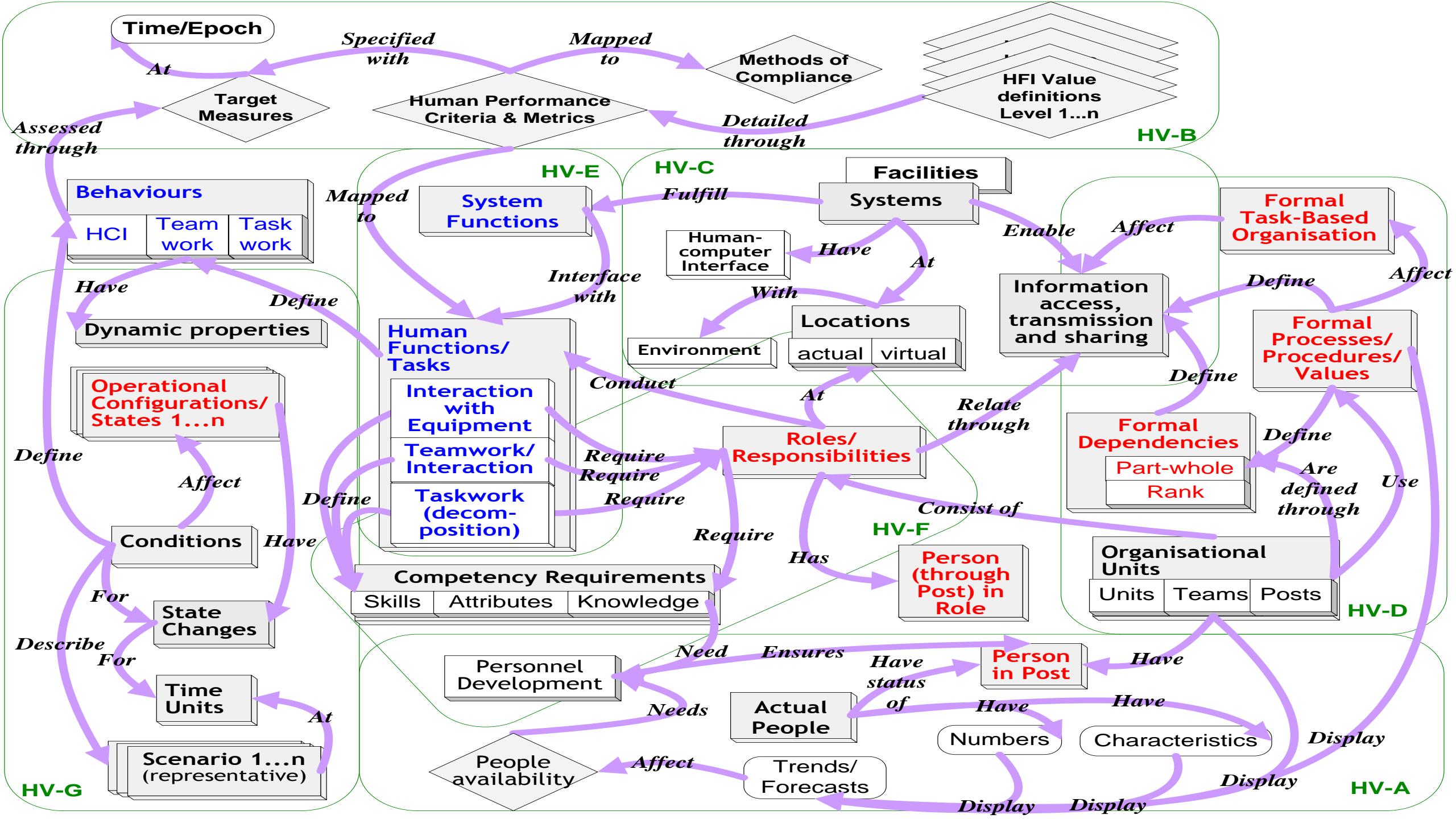
# WHY THE GRID ?

- Demilitarizes UPDM
  - Still the same underlying metamodel and view constructs that support:
    - DoDAF
    - MODAF
    - NAF
  - Different presentation layers
- Very hard to manage the views with so many contributing frameworks
  - Lead to very complex mapping tables
  - Unwieldy descriptions
- Possible to map many other frameworks onto the MM
  - HSI views and SoS views

- **Stakeholders:** Human resources, Solution Providers, PMs.
- **Concerns:** human factors.
- **Definition:** aims to clarify the role of Human Factors (HF) when creating architectures in order to facilitate both Human Factors Integration (HFI) and systems engineering (SE).
- Based on Human Factors work done at the MOD/NATO
- Dr Anne Bruseberg was one of the principal authors
- Informed by a MODAF/Human Factors gap analysis that found that 95% of human factors views elements were already in MODAF

# HVs between OV and SV level





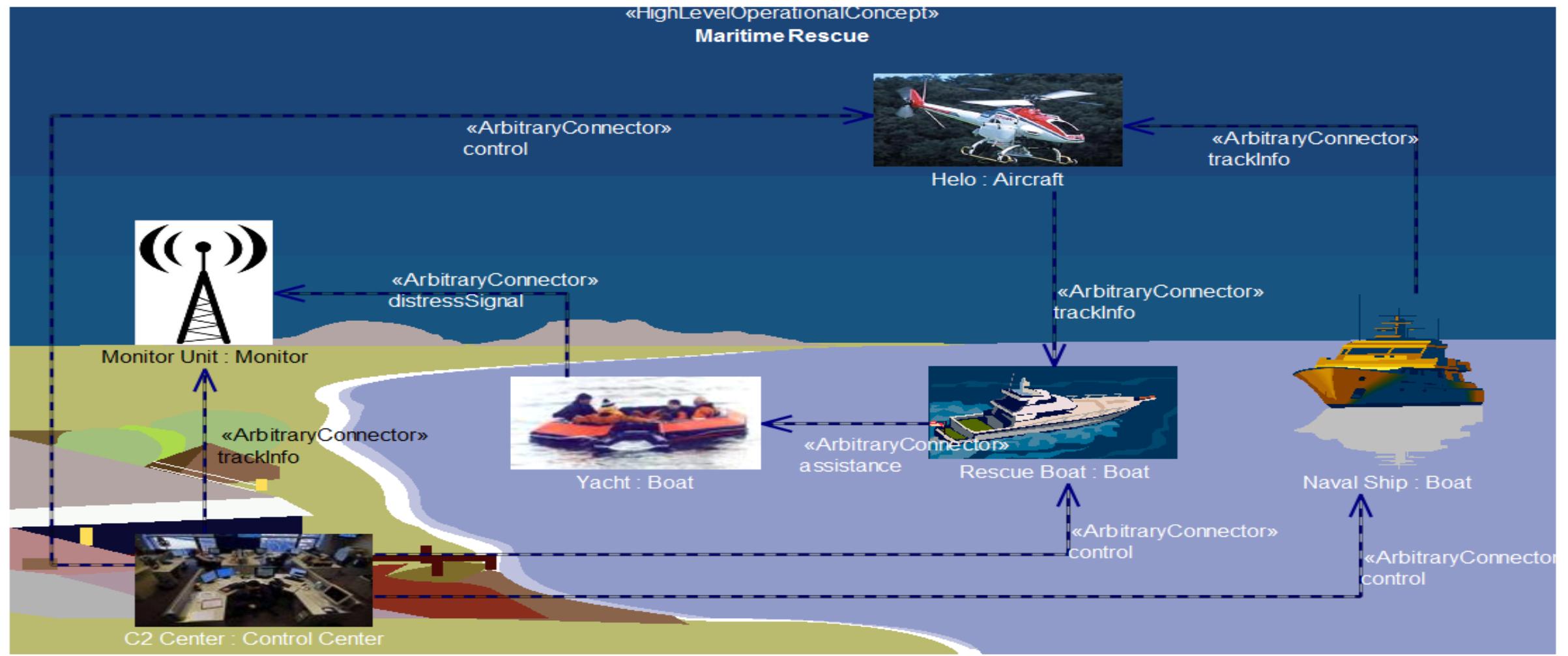
# THE “YACHT IN DISTRESS” SCENARIO



- The Sample Problem applies UPDM to a common scenario in civilian maritime Search and Rescue (SAR) operations -- a Yacht in distress. A Monitor Unit picks up the Distress Signal from the Yacht and passes it on to the Command and Control (C2 Center). The C2 Center coordinates the search and rescue operation among the Rescue Helicopter, a Naval Ship and a Rescue Boat.
- This model is based on a UK MOD example model.

# OV-1: OPERATIONAL CONTEXT GRAPHIC

OV-1a [High Level Operational Concept] Maritime Rescue [OV-1a Graphic]

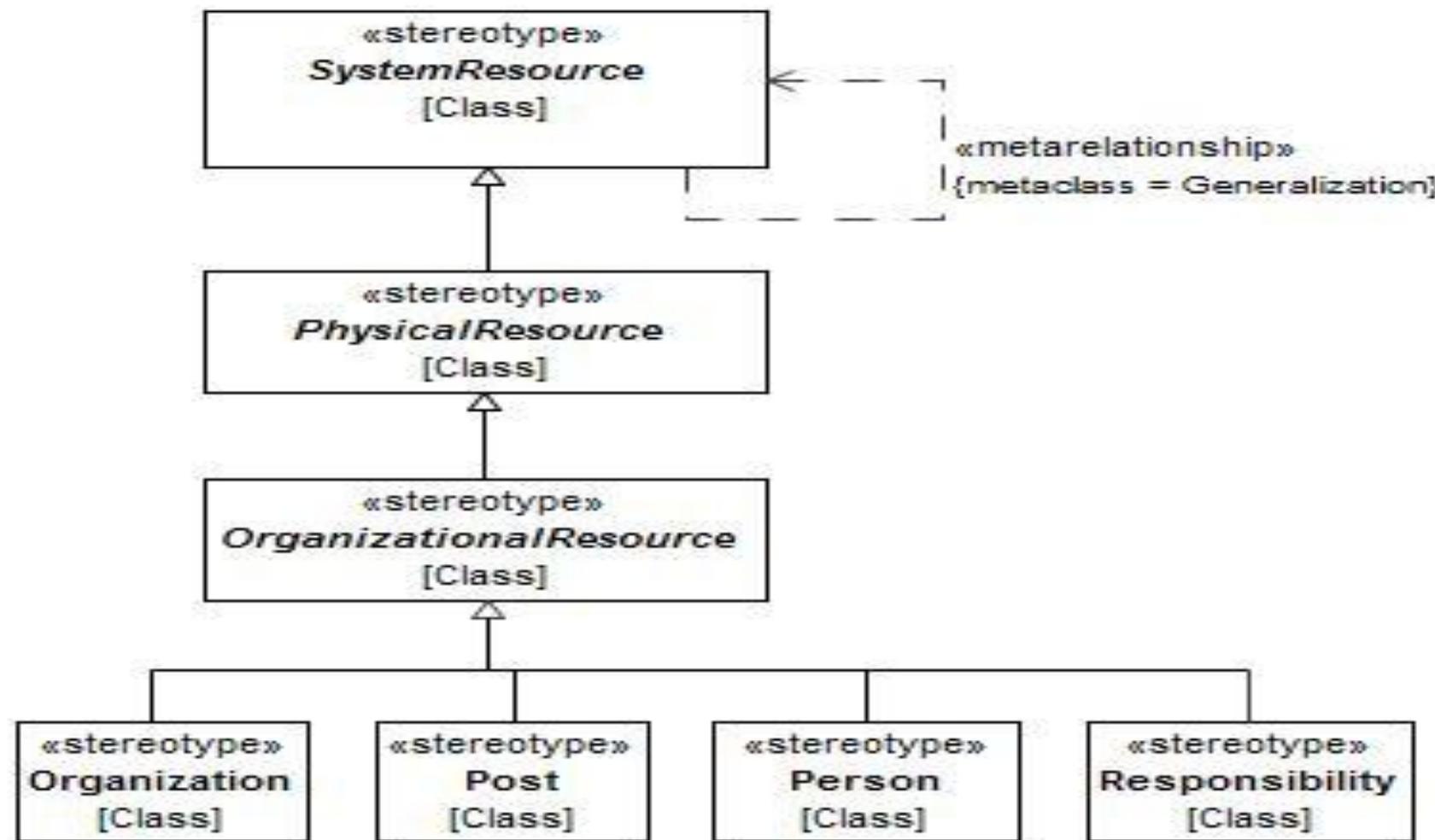


## Pr-Tx Personnel Taxonomy

The Pr-Tx view specifies the taxonomy of types of organizational resources.

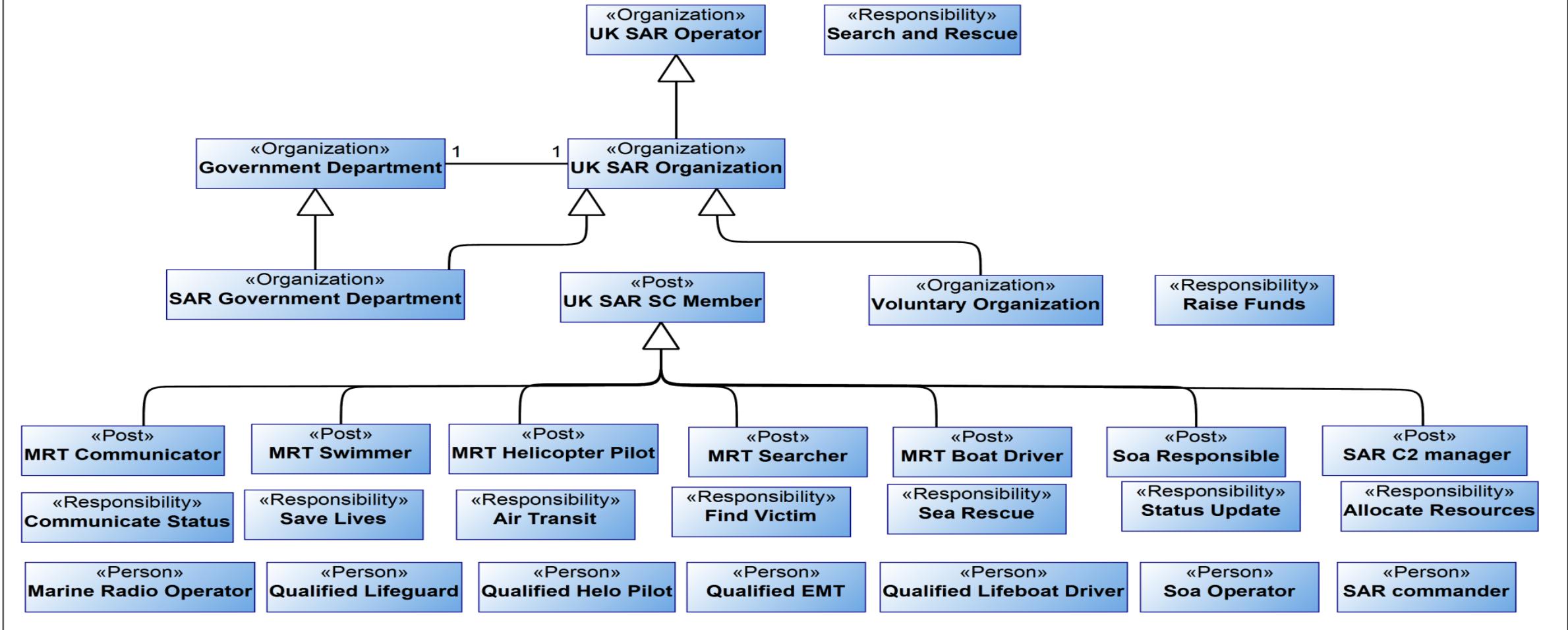
- **Stakeholders:** Human resources, Solution Providers, PMs.
- **Concerns:** organizational resource types.
- **Definition:** shows the taxonomy of types of organizational resources.
- **Recommended Implementation:** SysML Block Definition Diagram.

# PR-TX PERSONNEL TAXONOMY



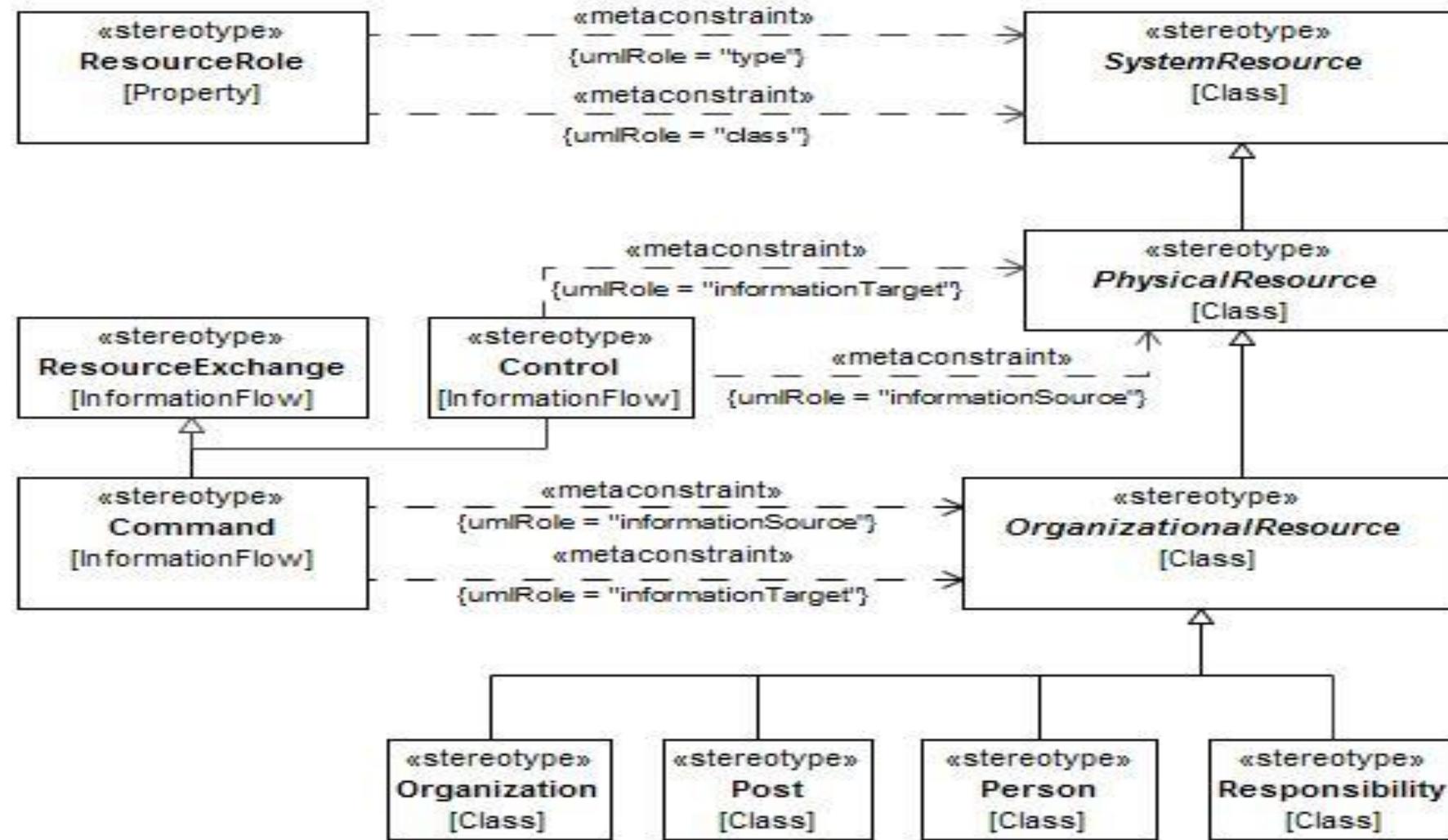
# PR-TX PERSONNEL TAXONOMY

Pr-Tx [Architectural Description] Typical Organizations Taxonomy

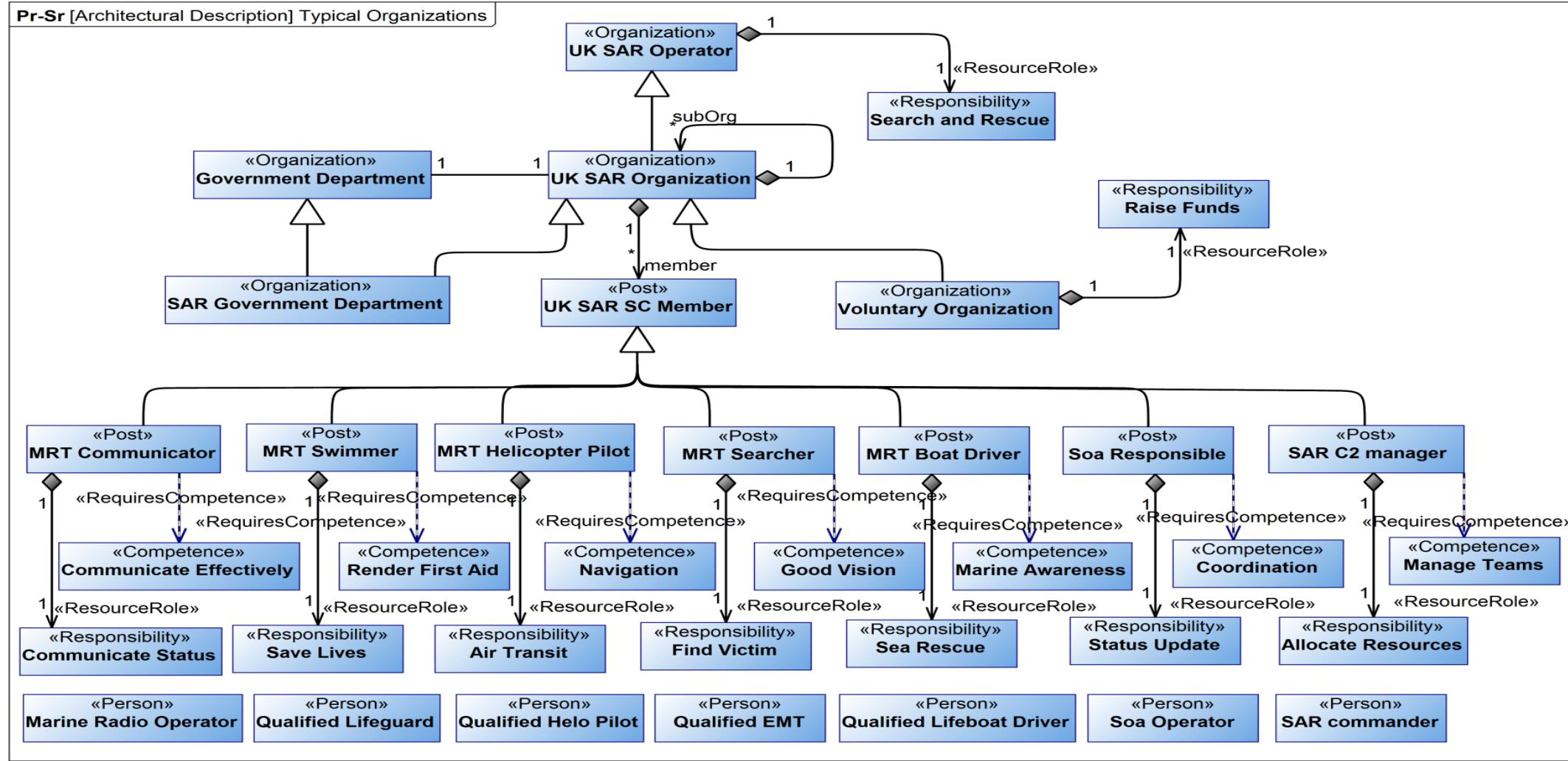


- **Stakeholders:** Human resources, Solution Providers, PMs.
- **Concerns:** typical organizational structure used to support a capability(ies).
- **Definition:** shows organizational structures and possible interactions between organizational resources.
- **Recommended Implementation:** SysML Block Definition Diagram, SysML Internal Block Diagram.

# PR-SR PERSONNEL STRUCTURE SPECIFICATION

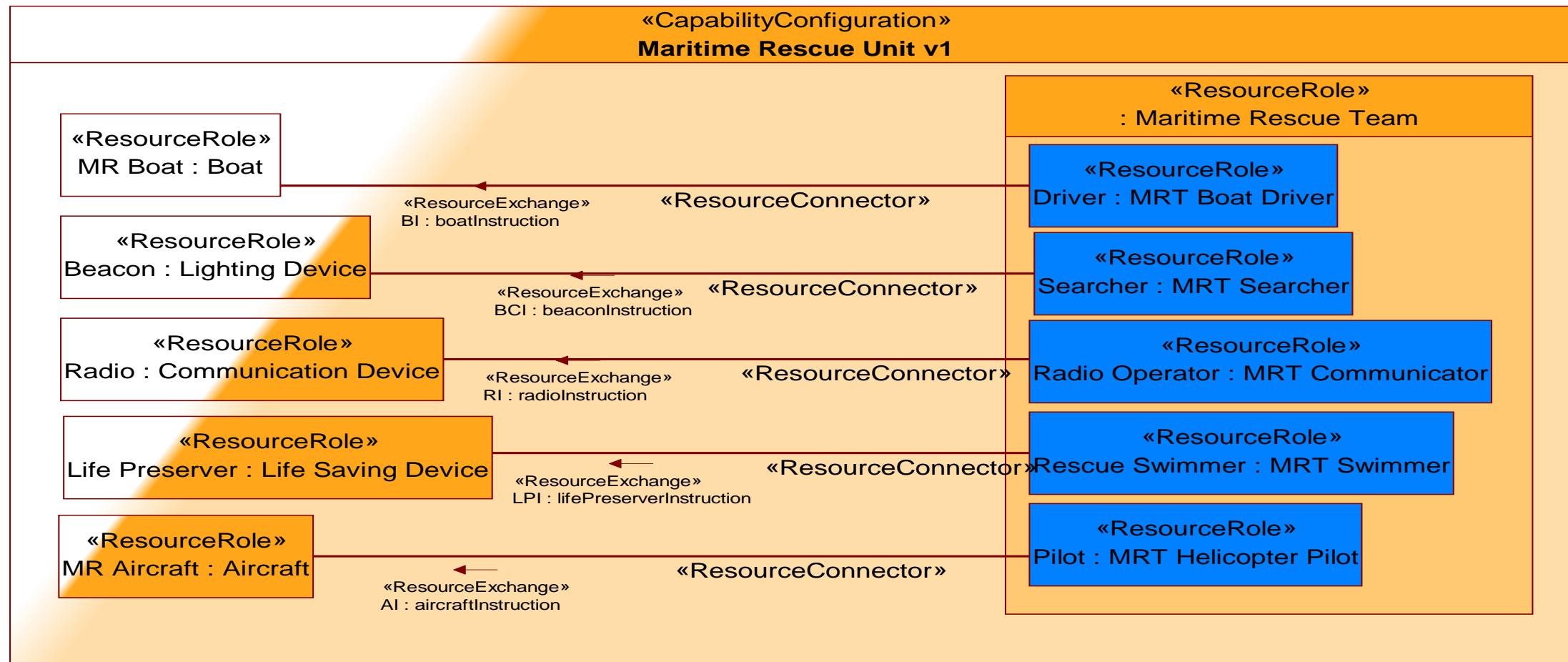


# PR-SR PERSONNEL STRUCTURE SPECIFICATION



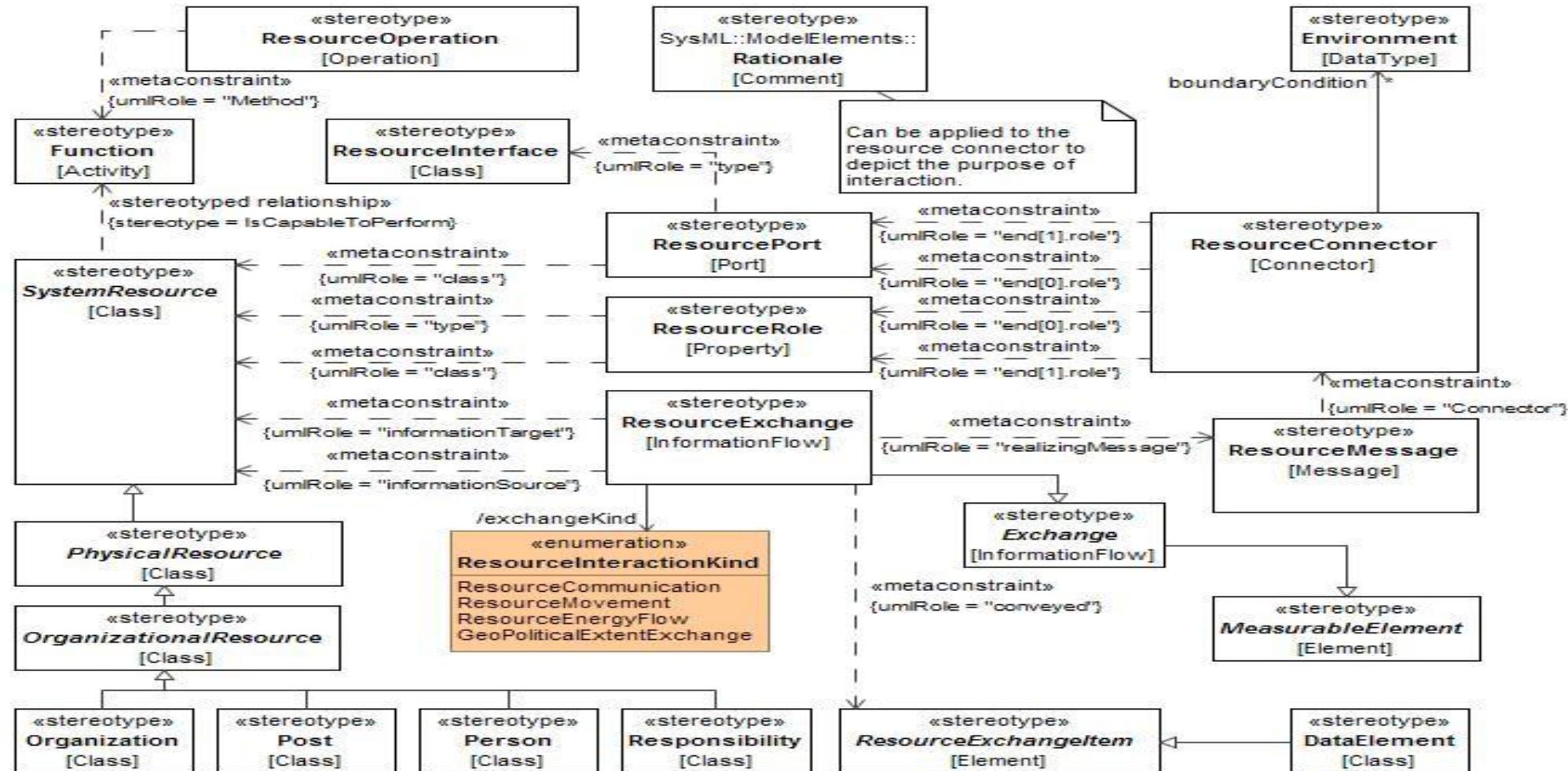
# PR-SR PERSONNEL STRUCTURE SPECIFICATION

## Pr-Sr [Capability Configuration] Maritime Rescue Unit v1



- **Stakeholders:** Solution providers.
- **Concerns:** interaction of organizational resources.
- **Definition:** captures the possible interactions between organizational resources, including command relationships. Interactions typically illustrate the fundamental roles and management responsibilities.
- **Recommended Implementation:** tabular format.

# PR-CN PERSONNEL CONNECTIVITY SPECIFICATION



# PR-CN PERSONNEL CONNECTIVITY SPECIFICATION

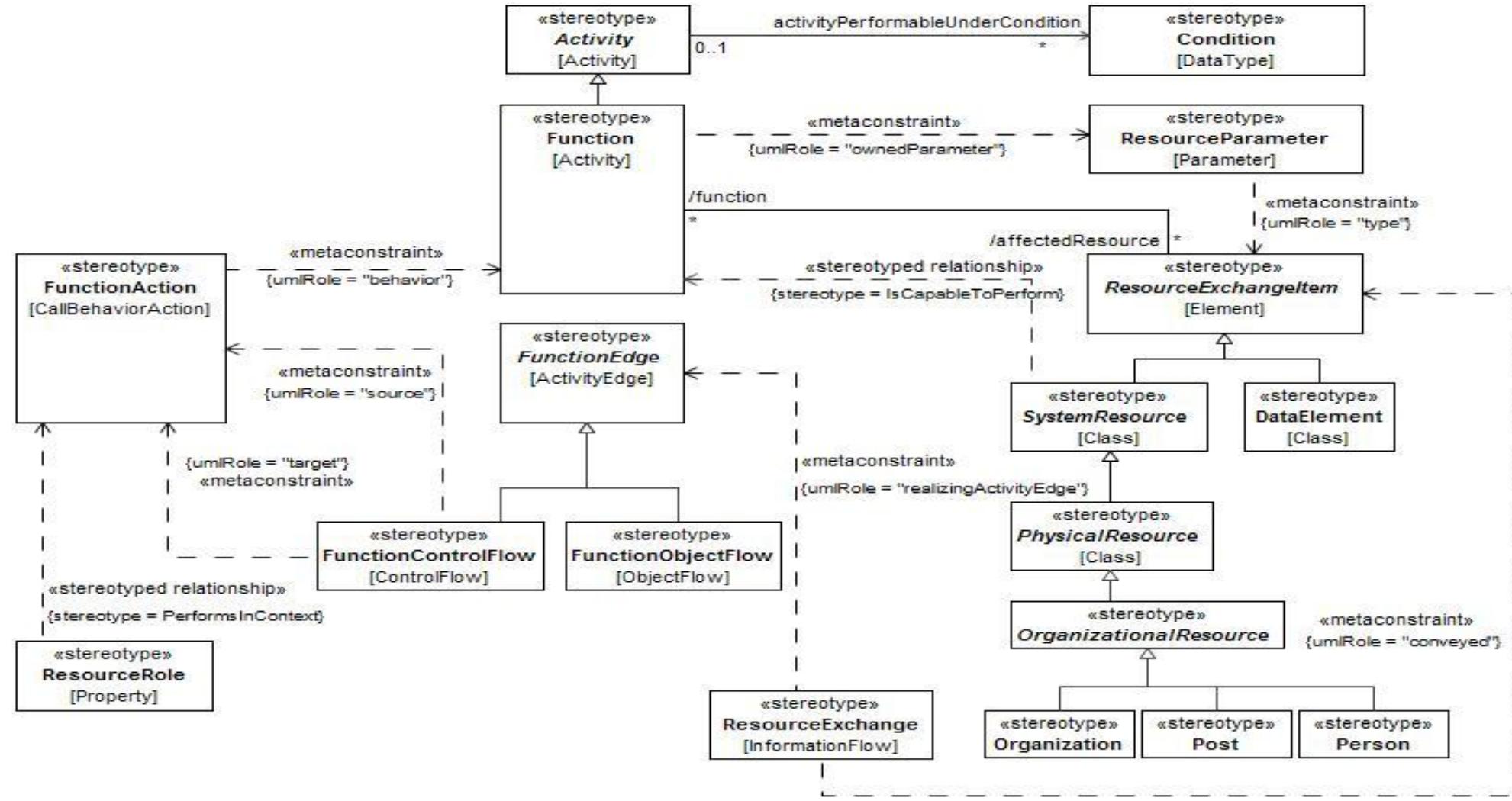


## [Capability Configuration] Maritime Rescue Unit v1 [Pr-Cn]

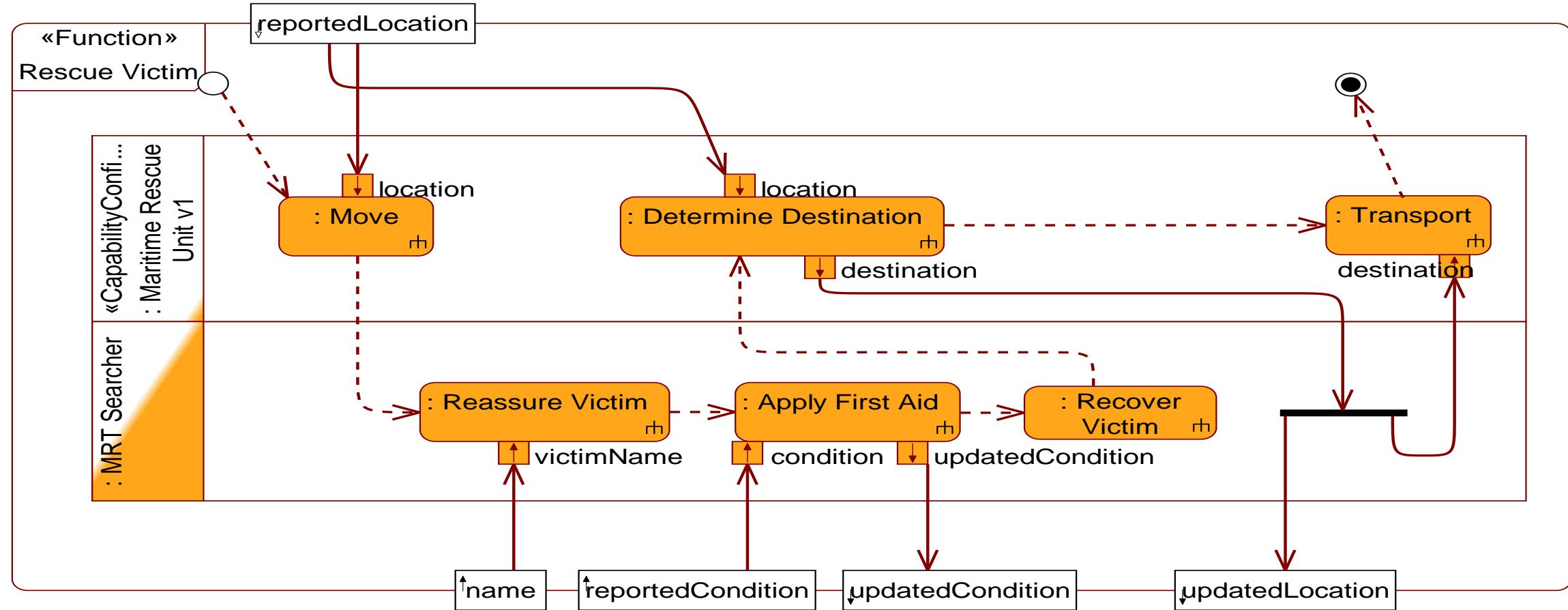
Resource		Producer		Connector /		Consumer	
Name	Conveyed	Resource	Function	Name	Protocol	Resource	Function
BI	«Exchange Element» boatInstruction	«Post» MRT Boat Driver		Resource Connector		«Resource Artifact» Boat	
AI	«Exchange Element» aircraftInstruction	«Post» MRT Helicopter Pilot		Resource Connector		«Resource Artifact» Aircraft	
RI	«Exchange Element» radioInstruction	«Post» MRT Communicator		Resource Connector		«Resource Artifact» Communication Device	
LPI	«Exchange Element» lifePreserverInstruction	«Post» MRT Swimmer		Resource Connector		«Resource Artifact» Life Saving Device	
BCI	«Exchange Element» beaconInstruction	«Post» MRT Searcher		Resource Connector		«Resource Artifact» Lighting Device	

- **Stakeholders:** Systems engineers, Solution providers.
- **Concerns:** functions that have to be carried out by organizational resources.
- **Definition:** specifies organizational resource functions in relation to resource definitions.
- **Recommended Implementation:** SysML Activity Diagram, SysML Block Definition Diagram, BPMN Process Diagram

# PR-PR PERSONNEL PROCESSES SPECIFICATION



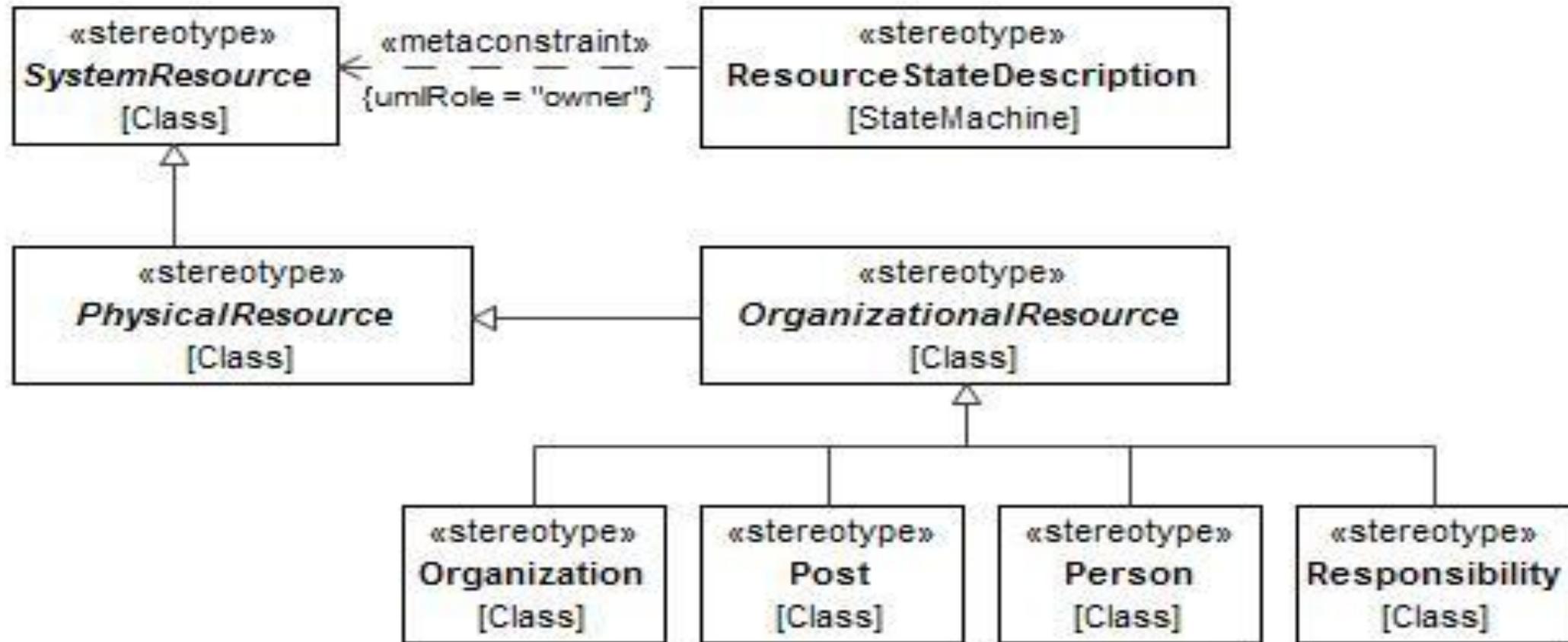
# PR-PR PERSONNEL PROCESSES SPECIFICATION



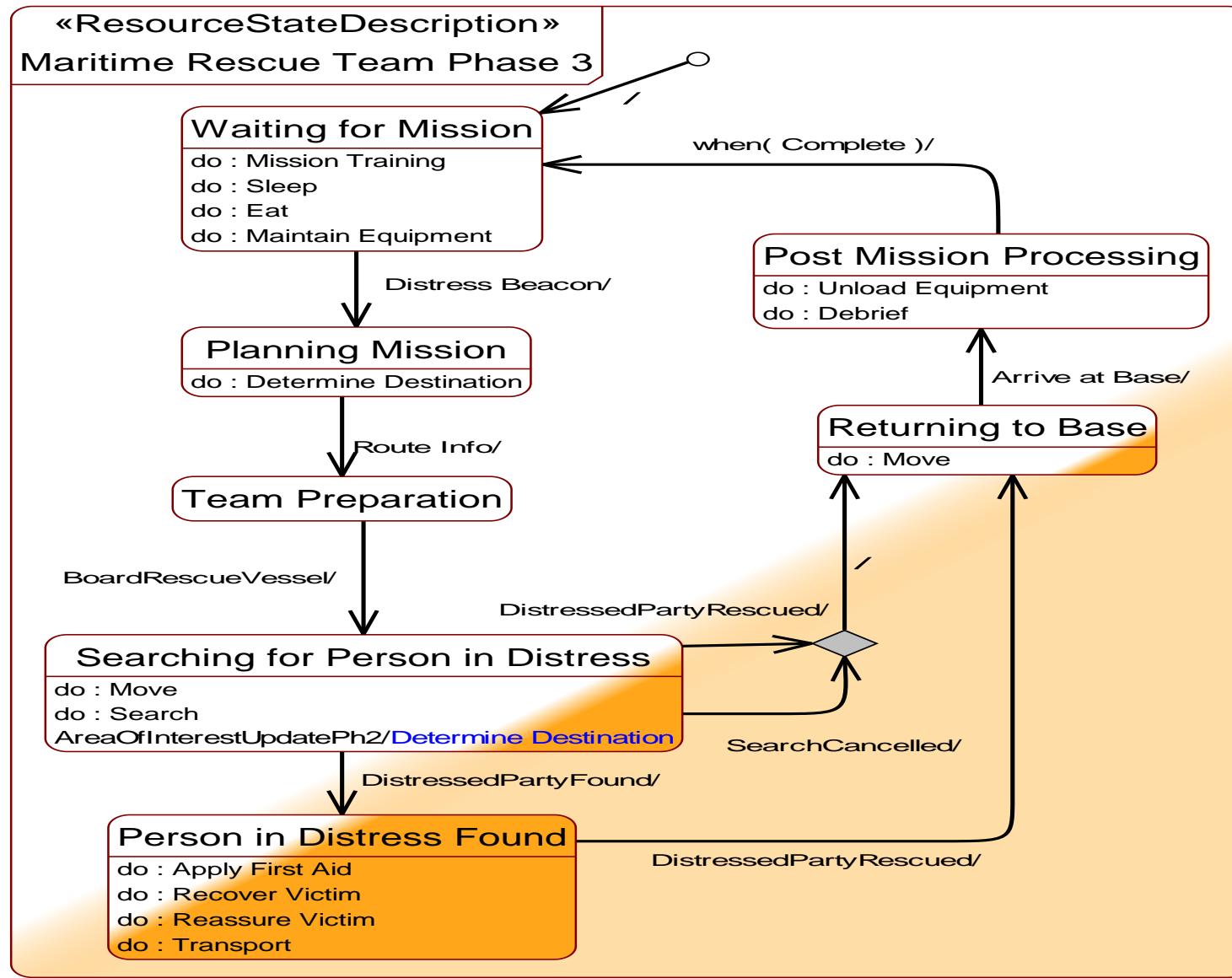
# Pr-St Personnel States Specification

- **Stakeholders:** Systems Engineers, Software Engineers.
- **Concerns:** capture state-based behavior of an organizational resource.
- **Definition:** it is a graphical representation of states of an organizational resource and how that organizational resource responds to various events and actions.
- **Recommended Implementation:** SysML State Diagram.

# PR-ST PERSONNEL STATES SPECIFICATION



# PR-ST PERSONNEL STATES SPECIFICATION

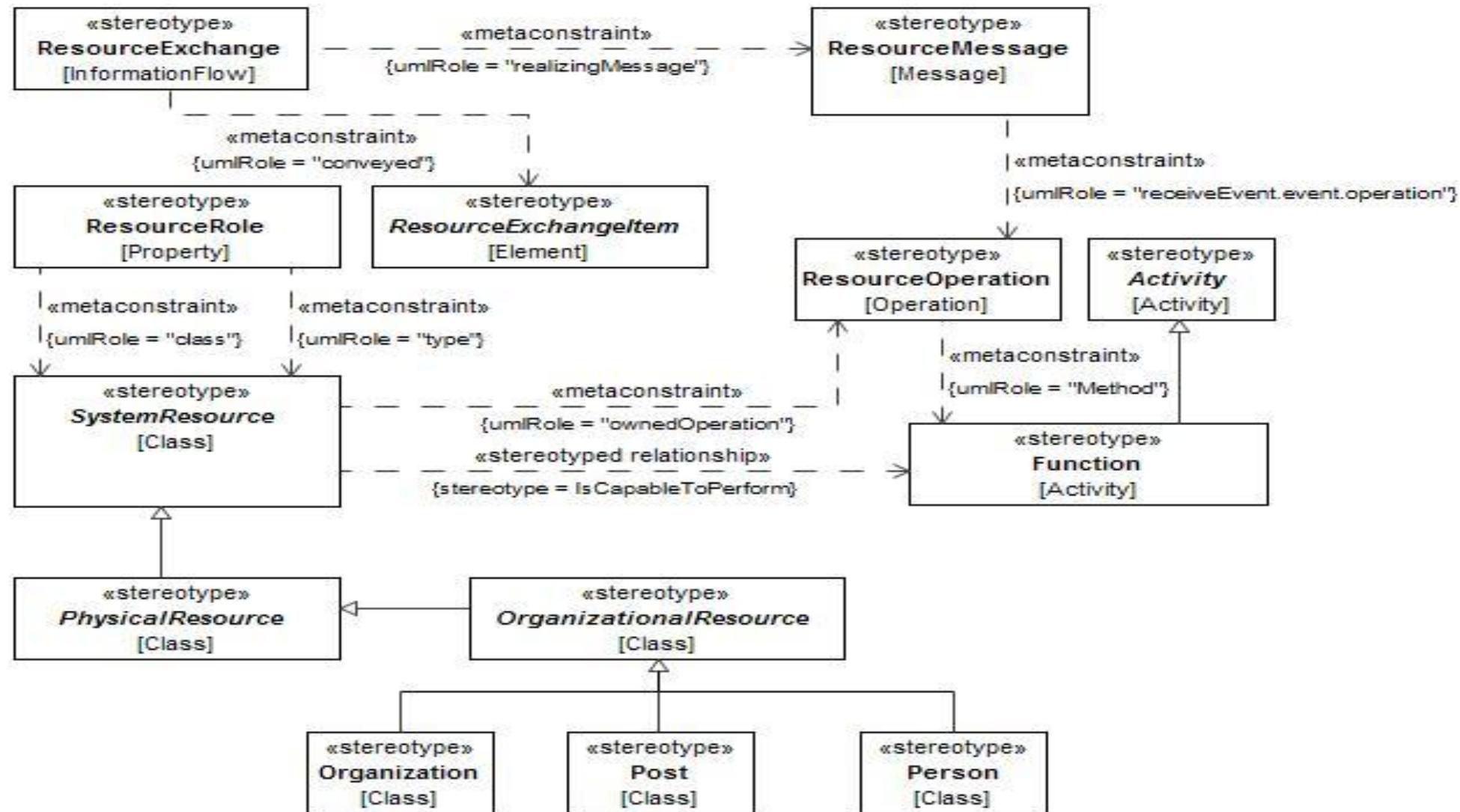


# Pr-Is Personnel Interaction Scenario Specification



- **Stakeholders:** Software Engineers, Systems Engineers.
- **Concerns:** interactions between organizational resources (roles).
- **Definition:** provides a time-ordered examination of the interactions between organizational resources.
- **Recommended Implementation:** SysML Sequence Diagram, BPMN Collaboration Diagram.

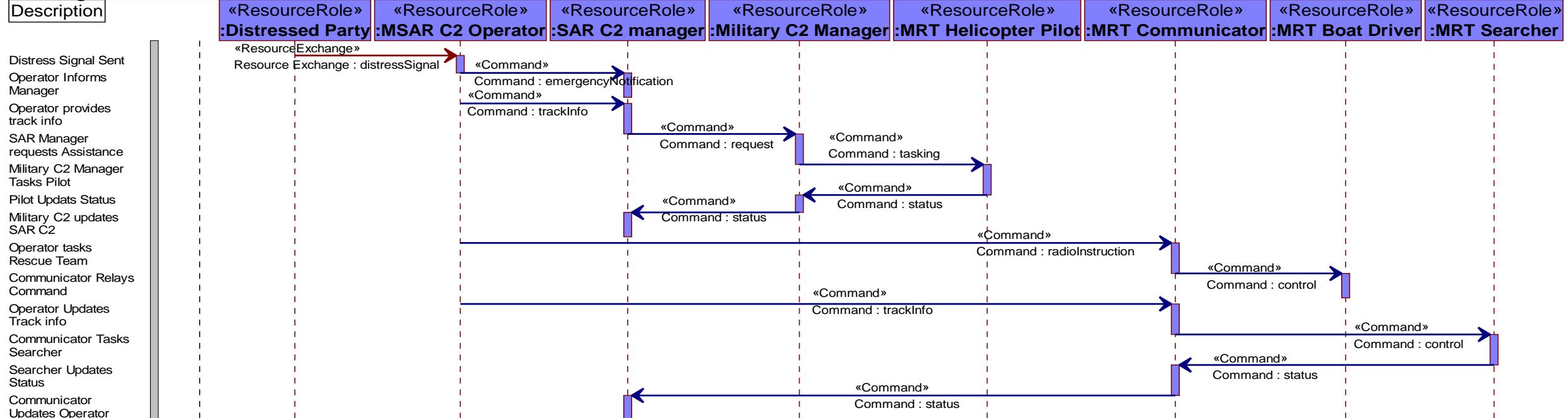
# PR-IS PERSONNEL INTERACTION SCENARIO SPECIFICATION



# PR-IS PERSONNEL INTERACTION SCENARIO SPECIFICATION



## SAR Organization Context Phase 3

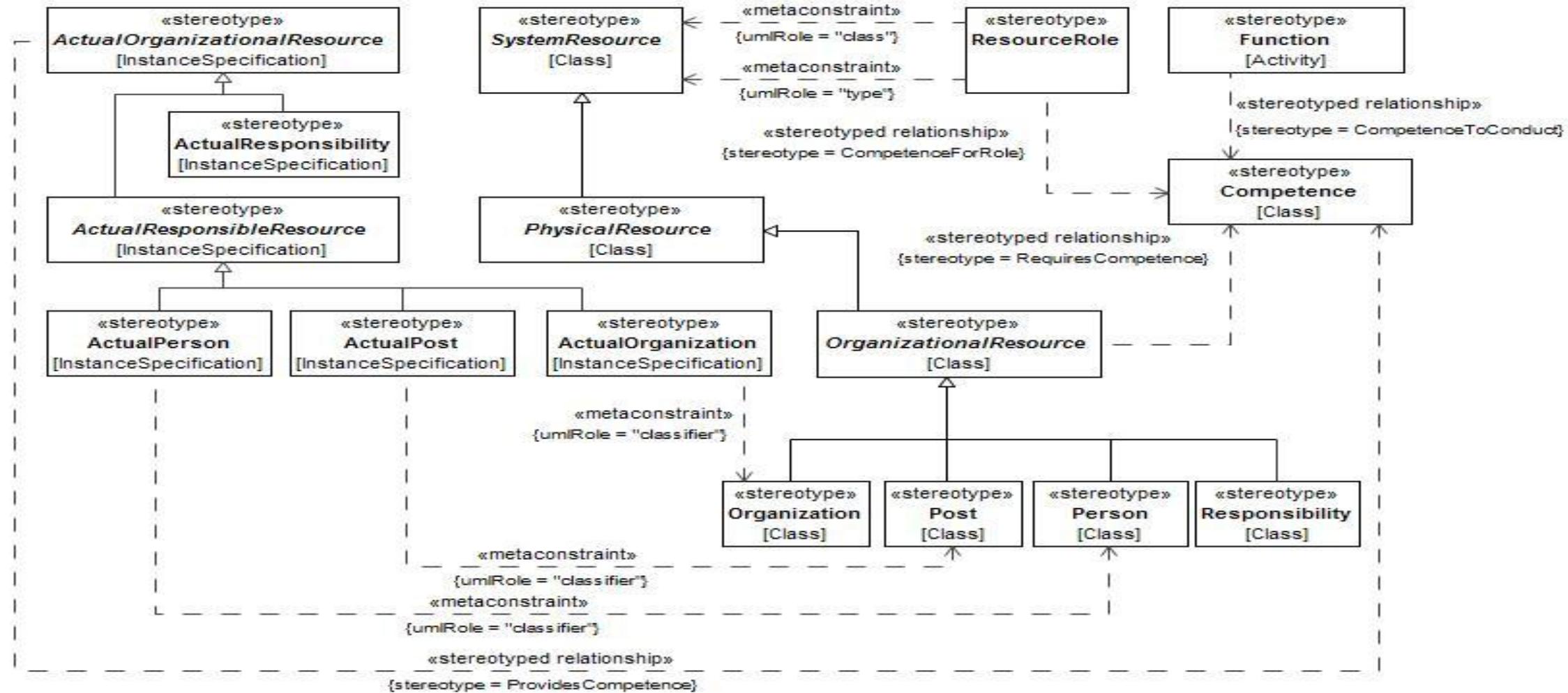


## Pr-Cta Personnel Constraints Specification (Competencies to Posts)



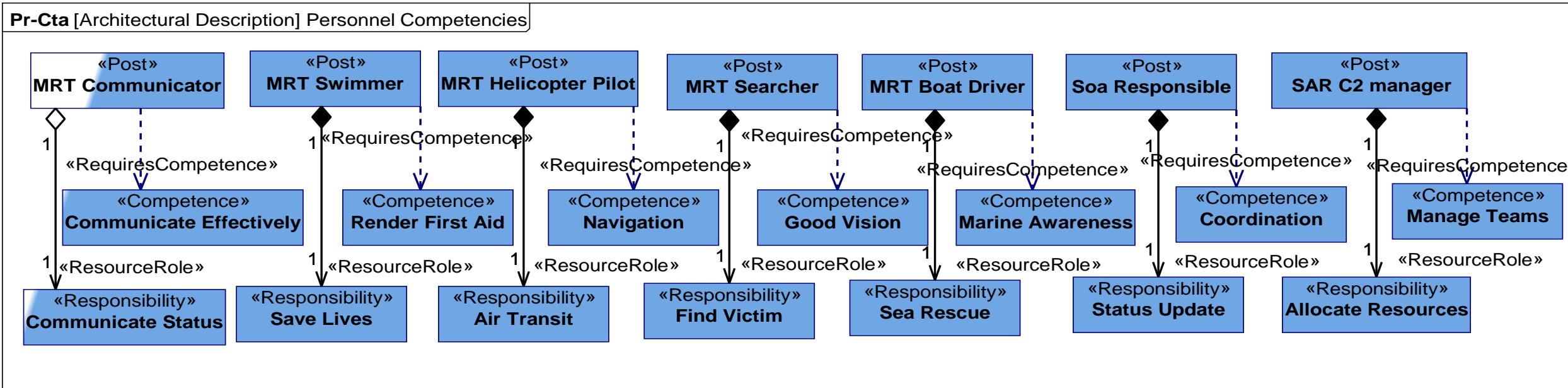
- **Stakeholders:** Systems engineers, Solution providers.
- **Concerns:** allocation of competencies to actual posts.
- **Definition:** specifies requirements for actual organizational resources – by linking competencies and actual posts.
- **Recommended Implementation:** SysML Block Definition Diagram.

# PR-CTA PERSONNEL CONSTRAINTS SPECIFICATION (COMPETENCIES TO POSTS)



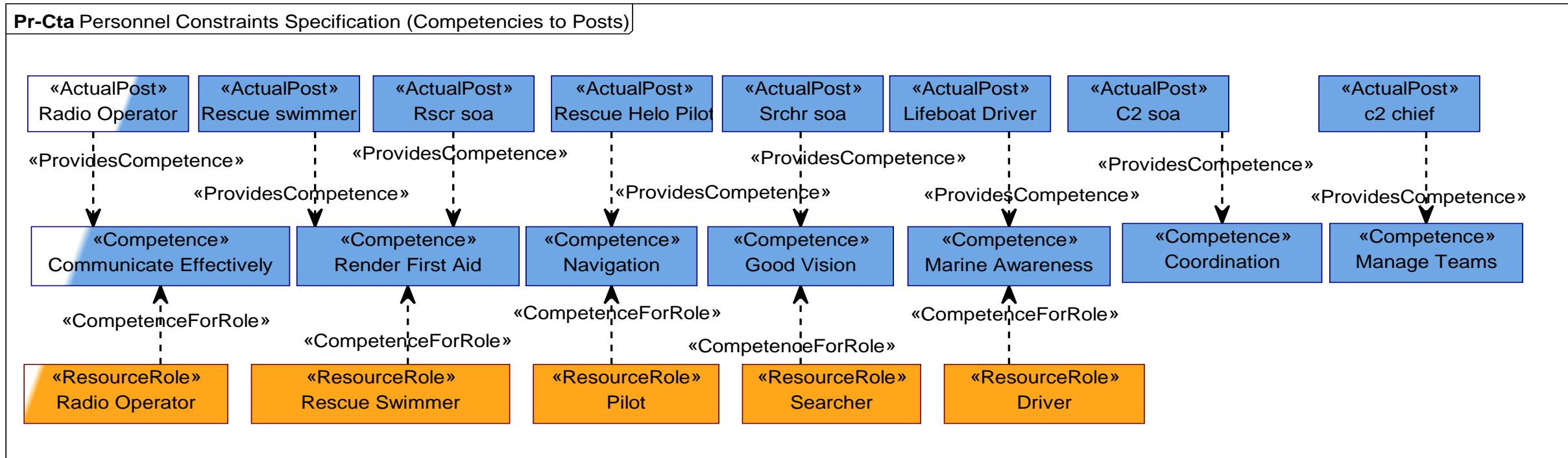
# PR-CTA PERSONNEL CONSTRAINTS SPECIFICATION (COMPETENCIES TO POSTS)

Definitions and Requires Competence



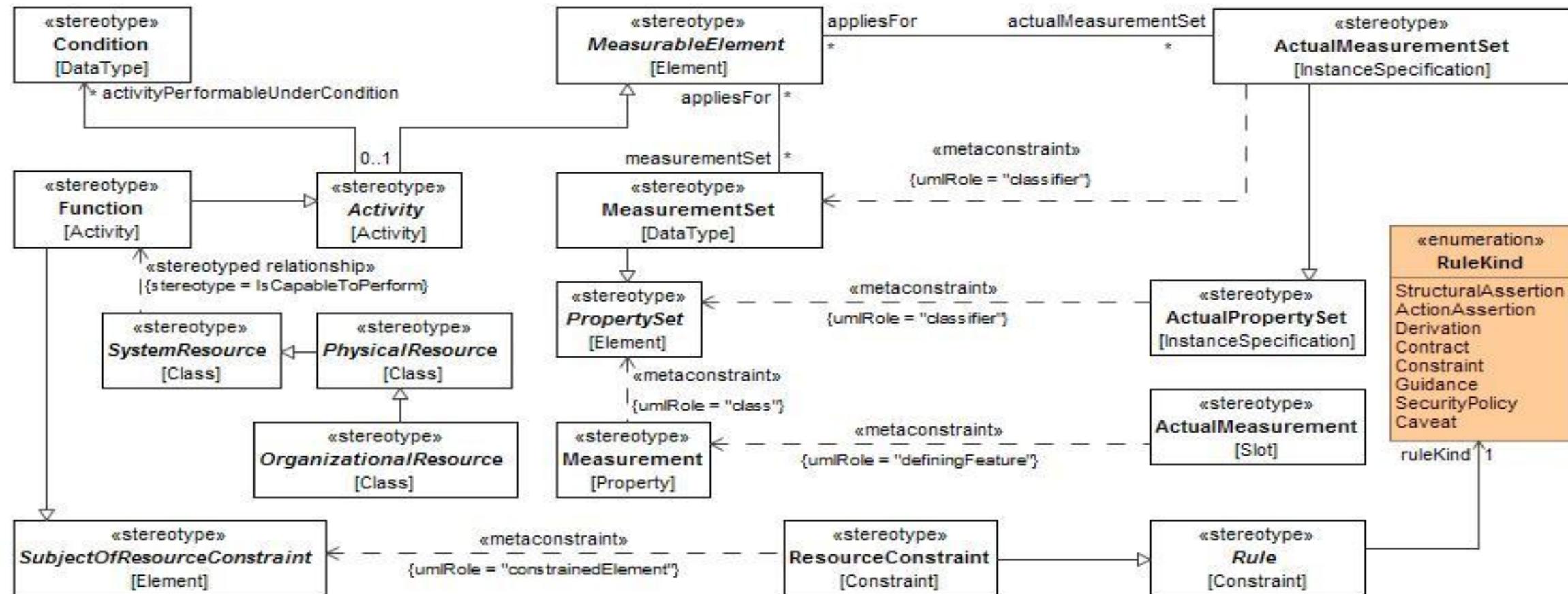
# PR-CTA PERSONNEL CONSTRAINTS SPECIFICATION (COMPETENCIES TO POSTS)

## Roles and Actuals for Provides Competence



- **Stakeholders:** Systems engineers, Solution providers, Human resources.
- **Concerns:** optimization of organizational resource behavior.
- **Definition:** captures the factors that affect, constrain and characterize organizational resource behavior as the basis for performance predictions at the level of actual persons and actual organizations. It creates a bridge between static architectural definitions and behavior predictions through executable models.
- **Recommended Implementation:** tabular format, SysML Parametric Diagram, SysML Block Definition Diagram.

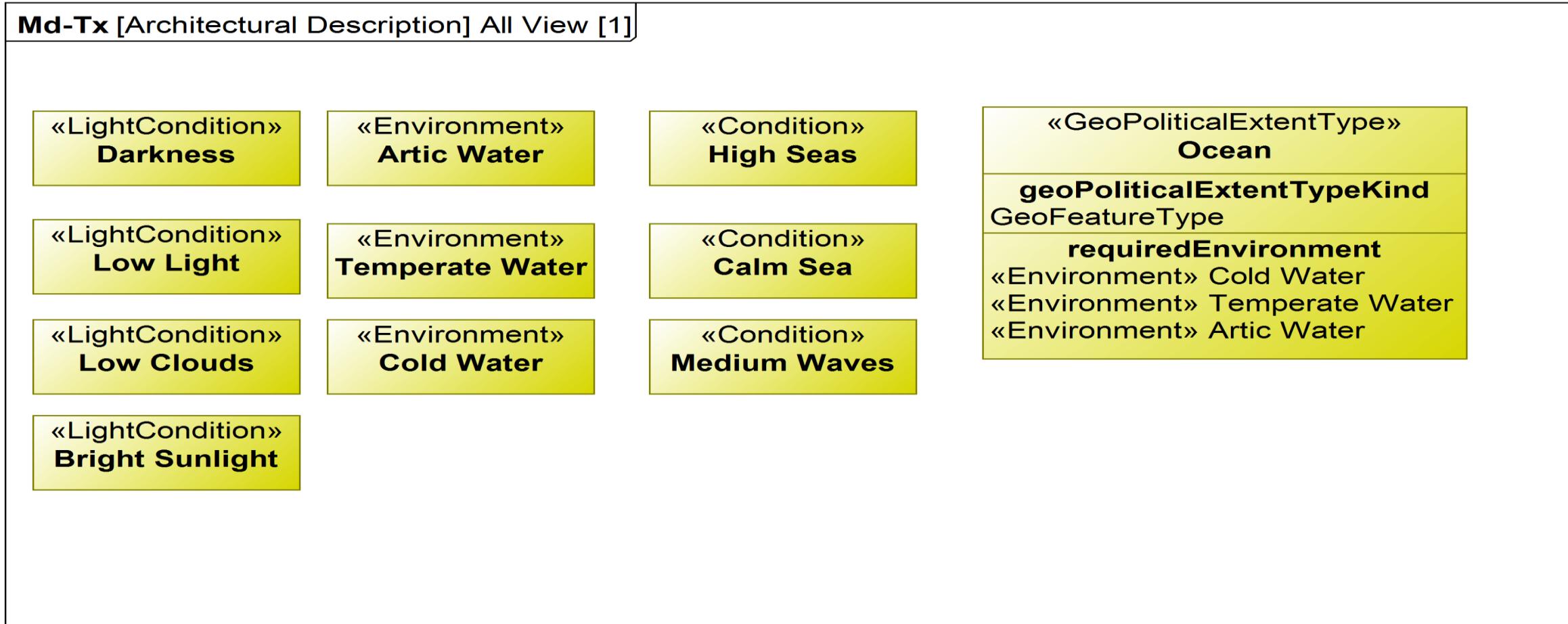
# PR-CTB PERSONNEL CONSTRAINTS SPECIFICATION (DRIVERS)



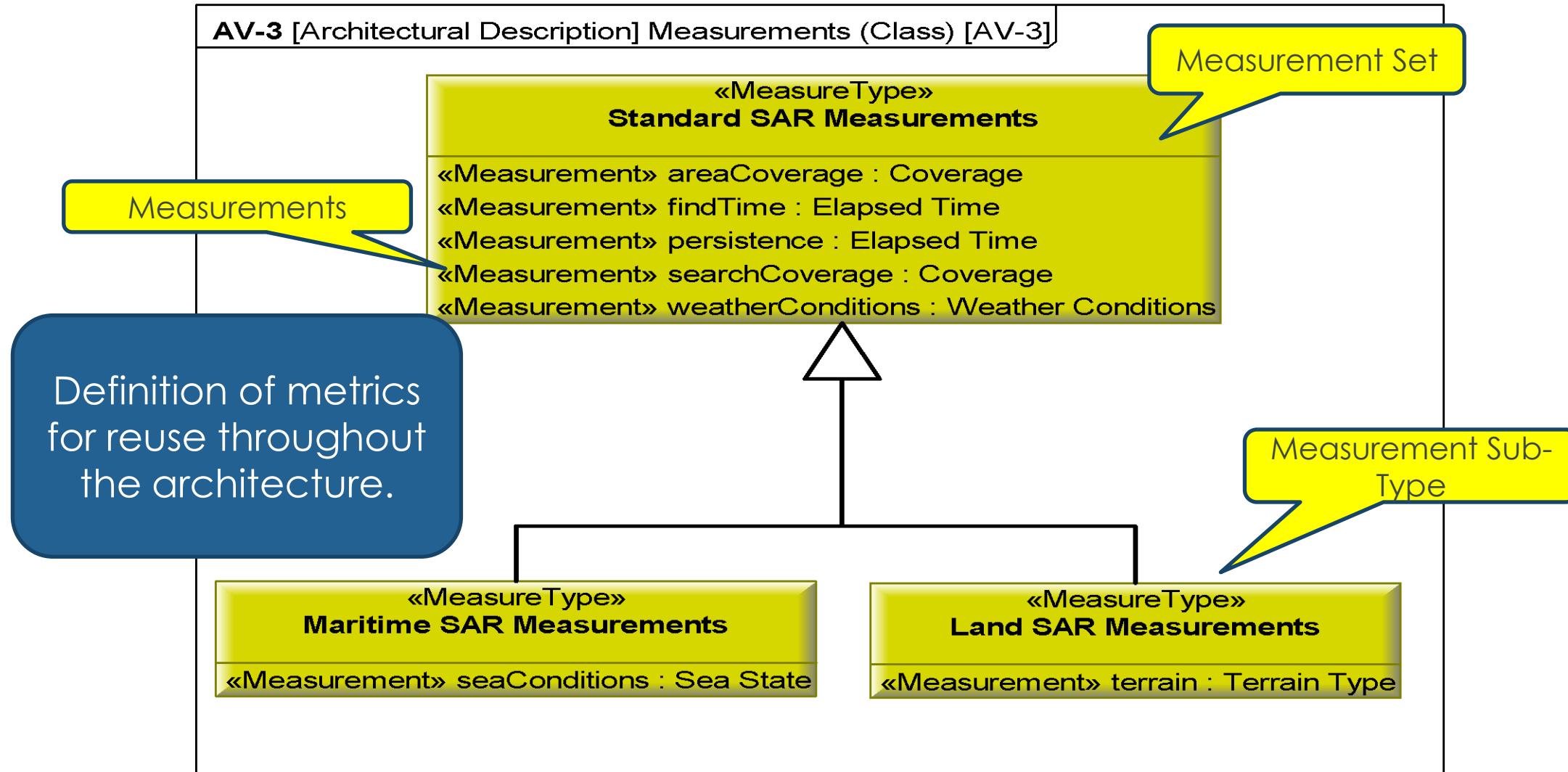
# MD-TX ENVIRONMENTS, CONDITIONS, ETC.



## Conditions



# AV-3 MEASUREMENTS DEFINITIONS



# AV-3 ACTUAL MEASUREMENTS

## AV-3 [Architectural Description] Measurements (Actual) [AV-3]

«Measure»  
{intention = Estimate}

### Initial Values : Maritime SAR Measurements

seaConditions : Sea State = Sea State 6  
areaCoverage : Coverage = 500  
findTime : Elapsed Time = <8 hours  
persistence : Elapsed Time = >15 hours  
searchCoverage : Coverage = 400  
weatherConditions : Weather Conditions = Heavy Rain

«Measure»  
{intention = Result}

### Final Values : Maritime SAR Measurements

seaConditions : Sea State = Sea State 8  
areaCoverage : Coverage = 650  
findTime : Elapsed Time = <4 hours  
persistence : Elapsed Time = >20 hours  
searchCoverage : Coverage = 550  
weatherConditions : Weather Conditions = Stormy

«Measure»  
{intention = Required}

### Required Values : Maritime SAR Measurements

seaConditions : Sea State = Sea State 8  
areaCoverage : Coverage = 600  
findTime : Elapsed Time = <5 hours  
persistence : Elapsed Time = >20 hours  
searchCoverage : Coverage = 500  
weatherConditions : Weather Conditions = Stormy

Actual Property Set

«Measure»  
{intention = Estimate}

### UPDM : Standard SAR Measurements

#### **intention**

Estimate

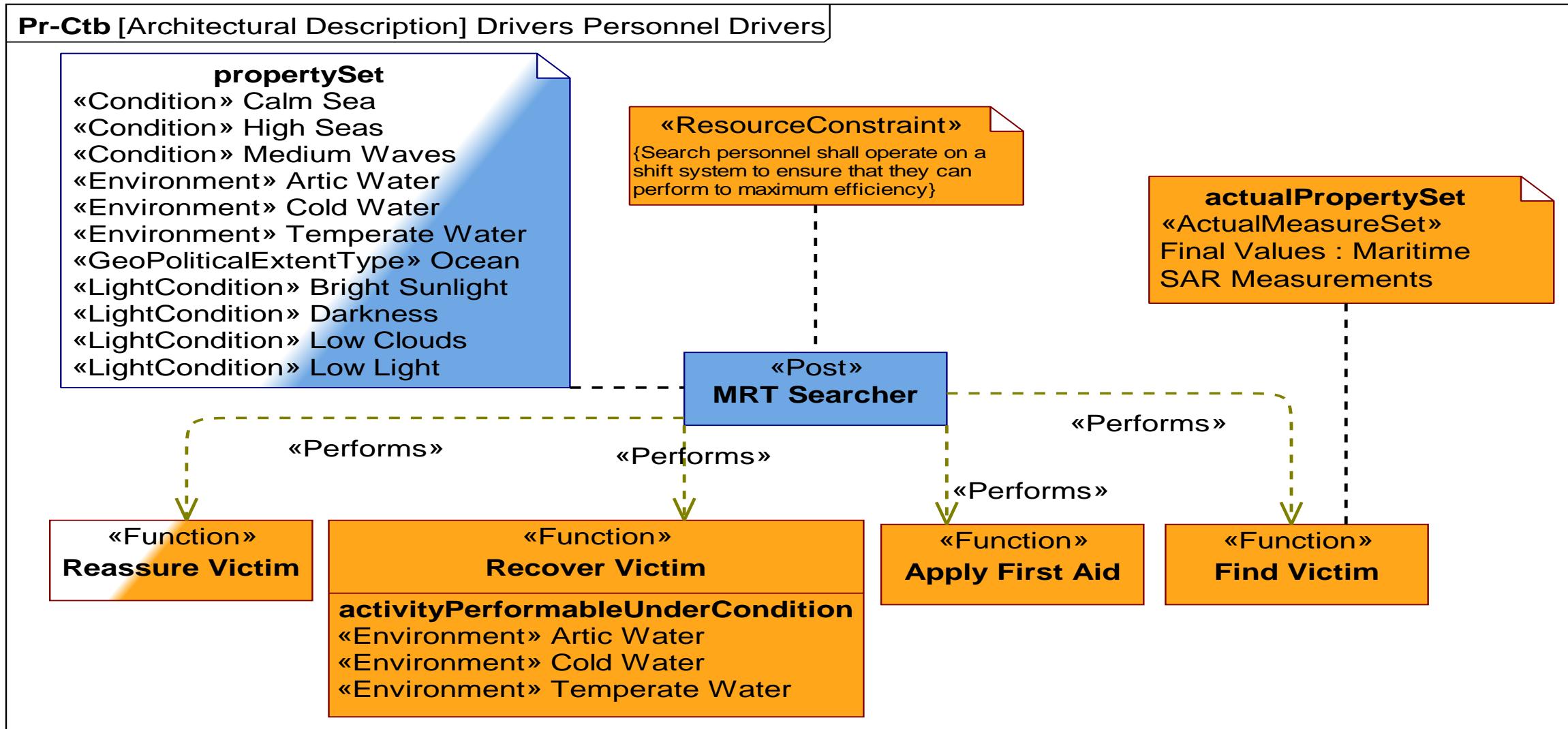
areaCoverage : Coverage = 10  
findTime : Elapsed Time = 20  
persistence : Elapsed Time = 50  
searchCoverage : Coverage = 60  
weatherConditions : Weather Conditions = 70

Measurement  
Values

# PR-CTB PERSONNEL CONSTRAINTS SPECIFICATION (DRIVERS)



## Properties and Constraints (Rules)

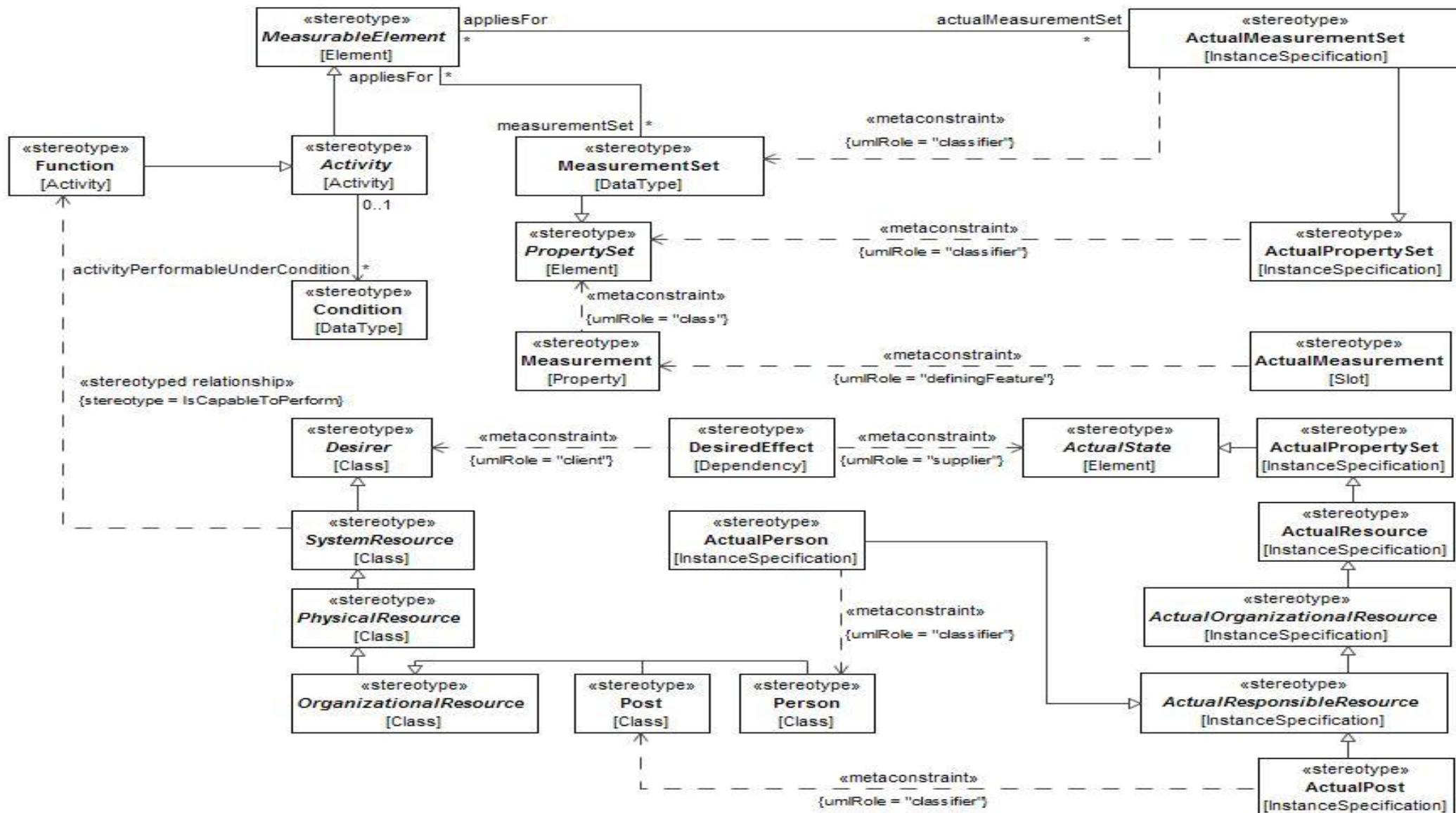


# Pr-Ctc Personnel Constraints Specification (Performance/ Quality Objectives)



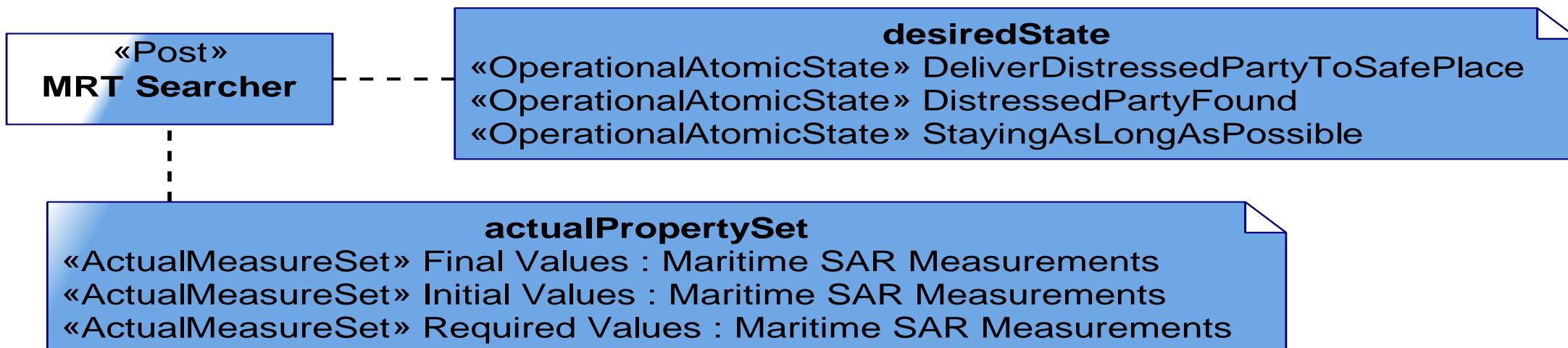
- **Stakeholders:** Human resources, solution providers.
- **Concerns:** how well an actual organizational resource matches the needs of the actual organization.
- **Definition:** provides a repository for human-related measures (i.e. quality objectives and performance criteria (HFI values)), targets and competences.
- **Recommended Implementation:** SysML Block Definition Diagram.

# PR-CTC PERSONNEL CONSTRAINTS SPECIFICATION (PERFORMANCE/ QUALITY OBJECTIVES)



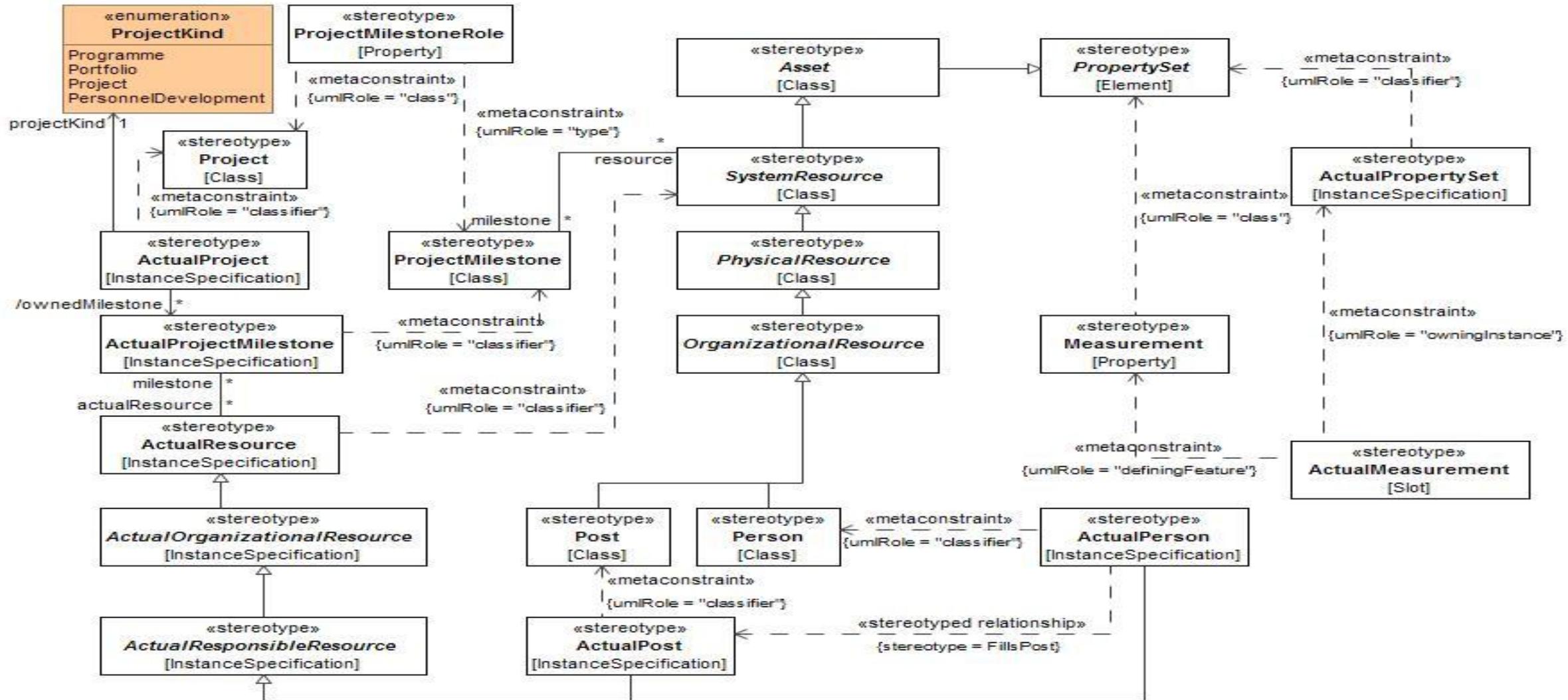
# PR-CTC PERSONNEL CONSTRAINTS SPECIFICATION (PERFORMANCE/ QUALITY OBJECTIVES)

## Pr-Ctc [Architectural Description] Drivers [Performance]



- **Stakeholders:** Human Resources, Training, Logisticians, Solution Providers.
- **Concerns:** the staffing and training of resources.
- **Definition:** defines the requirements and functions to ensure that actual persons with the right competencies, and in the right numbers, are available to fulfill actual posts.
- **Recommended Implementation:** Timeline, SysML Block Definition Diagram

# PR-RMA PERSONNEL ROADMAP SPECIFICATION (AVAILABILITY)

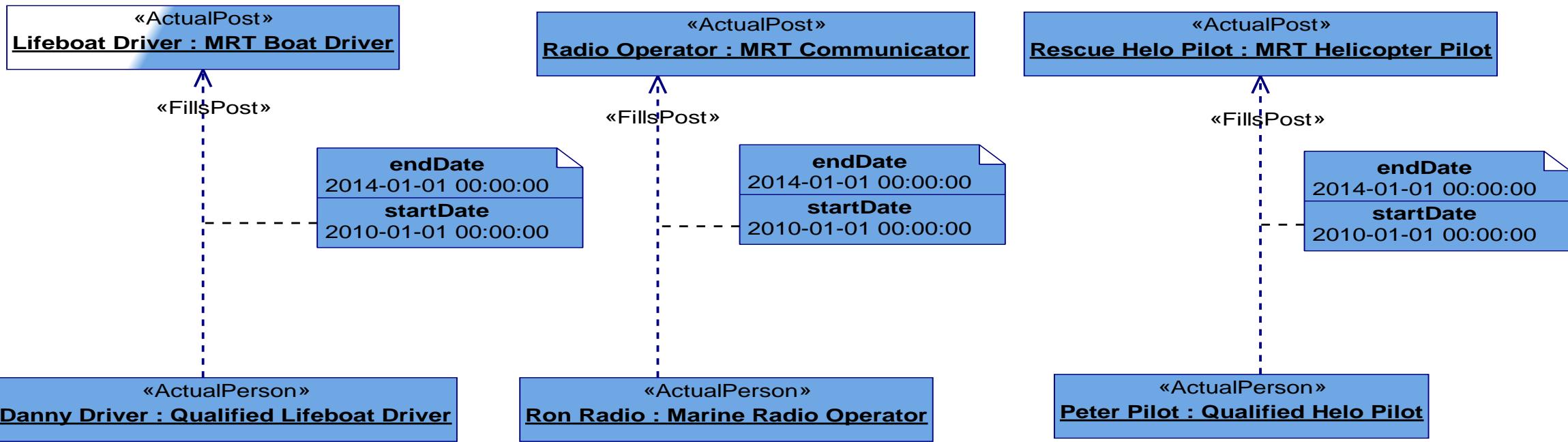


# PR-RMA PERSONNEL ROADMAP SPECIFICATION (AVAILABILITY)



## Definition

Pr-Rm [Architectural Description] Actual Organizations [Availability]



# PR-RMA PERSONNEL ROADMAP SPECIFICATION (AVAILABILITY)



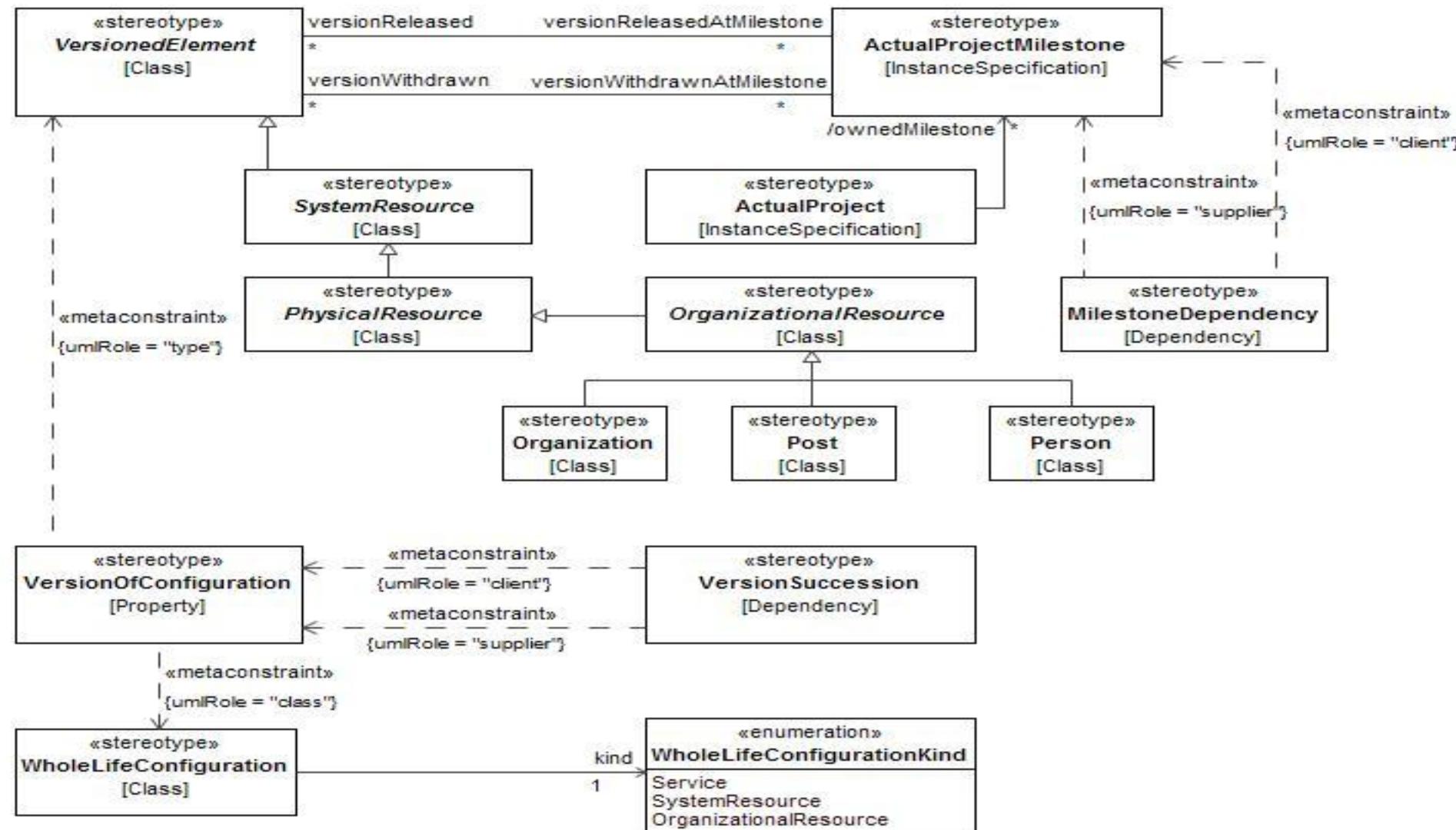
## Report

Architectural Description] Actual Organizations [Availability Table]

Actual Organization	Actual Post	2010					2011					2012					2013					2014								
		J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M
Boat crew																														
Rescue Organization	Lifeboat Driver	<b>Danny Driver</b> Start = 2010-01-01 End = 2014-01-01																												
	Radio Operator	<b>Ron Radio</b> Start = 2010-01-01 End = 2014-01-01																												
	Rescue swimmer																													
	Rscr soa																													
Coastguard	c2 chief																													
	C2 soa																													
	Rescue Heli Pilot	<b>Peter Pilot</b> Start = 2010-01-01 End = 2014-01-01																												
	Srchr soa																													

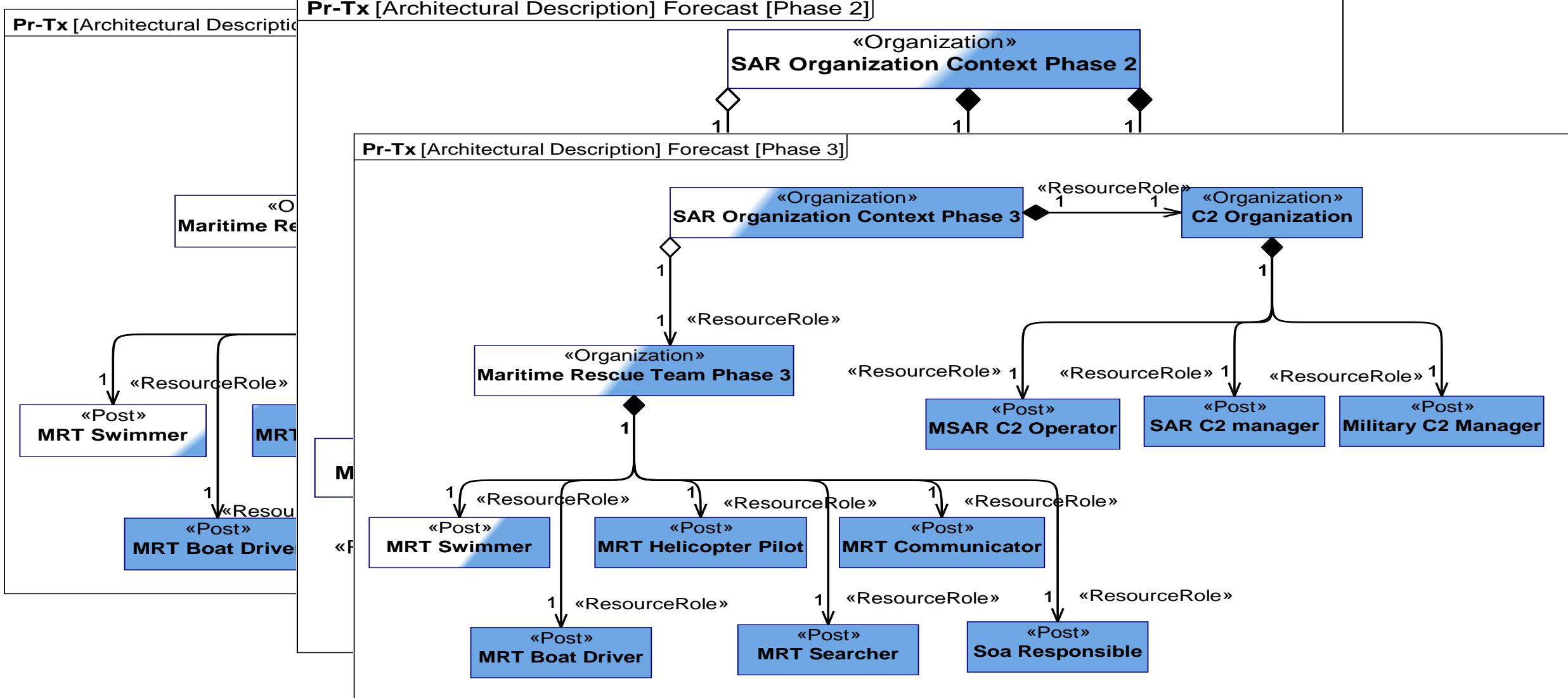
- **Stakeholders:** Human resources, Solution Providers.
- **Concerns:** organizational structure changes over time.
- **Definition:** provides an overview of how a organizational structure changes over time. It shows the structure of several organizational structures mapped against a timeline.
- **Recommended Implementation:** timeline, SysML Block Definition Diagram, SysML Internal Block Diagram.

# PR-RMB PERSONNEL AVAILABILITY SPECIFICATION (EVOLUTION)



# PR-RMB PERSONNEL AVAILABILITY SPECIFICATION (EVOLUTION)

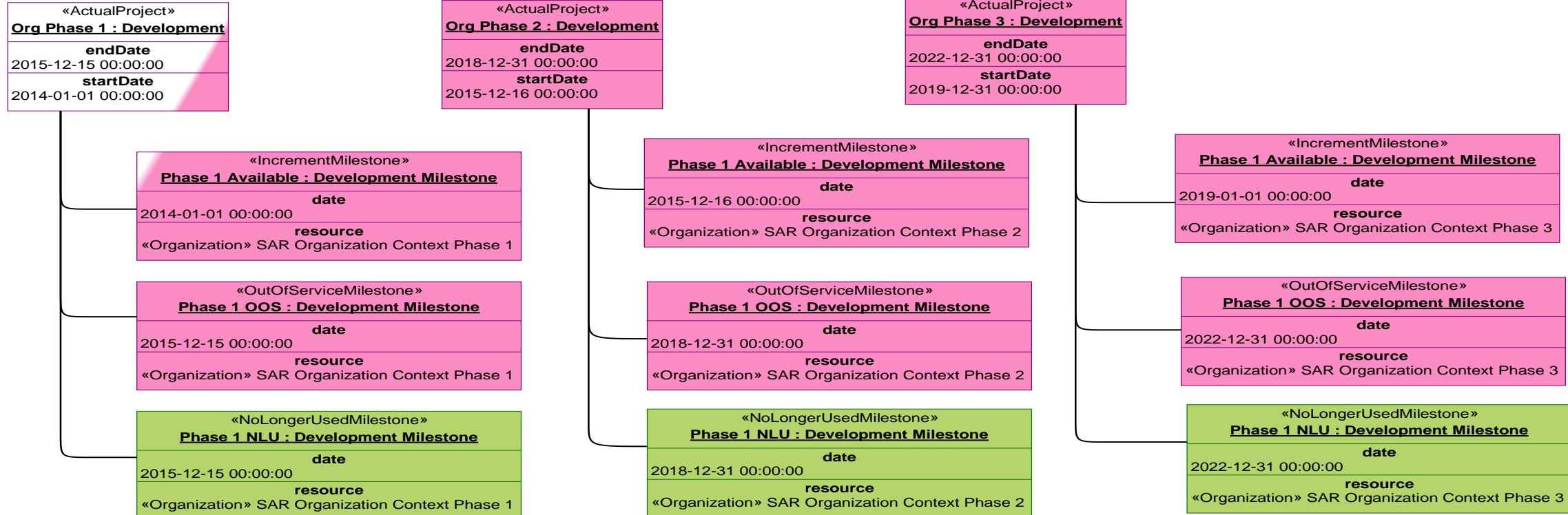
## Organizations



# PR-RMB PERSONNEL AVAILABILITY SPECIFICATION (EVOLUTION)



Pr-Rmb [Architectural Description] Forecast [Pr-Cn]



# PR-RMB PERSONNEL AVAILABILITY SPECIFICATION (EVOLUTION)

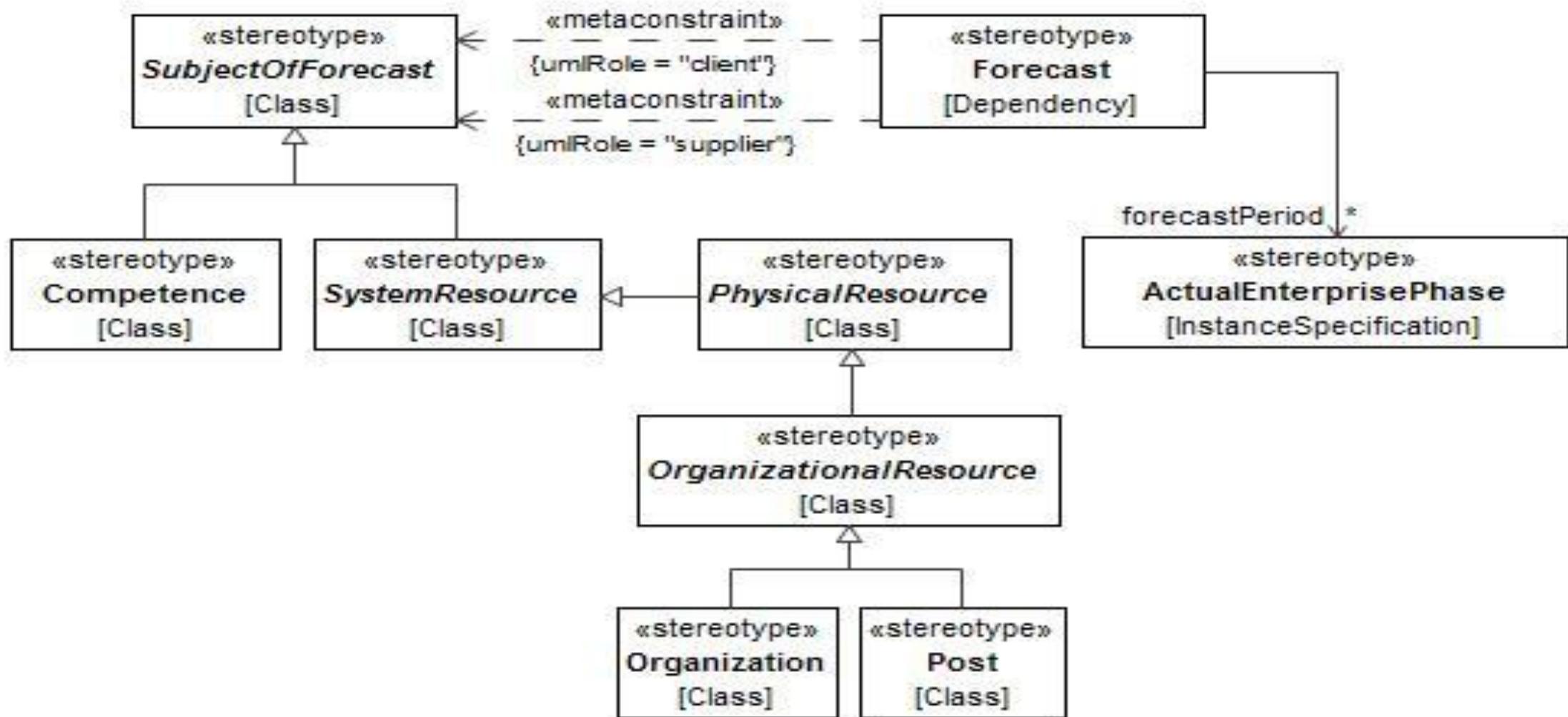


## [Architectural Description] Personnel Forecast [Phases]

Capability	Exhibiting Element			2014-01-01	2015-12-15	2015-12-16	2018-12-31	2019-01-01	2022-12-31
Name	Name	Components							
Common operational picture access	«Organization» SAR Organization Context Phase 1	«Organization» Maritime Rescue Team Phase 1	Increment	Out Of Service					
		«Post» MSAR C2 Operator							
		«Post» SAR C2 manager							
	«Organization» SAR Organization Context Phase 2	«Organization» Maritime Rescue Team Phase 2	Increment	Out Of Service					
		«Post» MSAR C2 Operator							
		«Organization» C2 Organization							
	«Organization» SAR Organization Context Phase 3	«Organization» Maritime Rescue Team Phase 3	Increment	Out Of Service					
		«Organization» C2 Organization							
		«Organization» Maritime Rescue Team Phase 3							
Common operational picture access	«Organization» SAR Organization Context Phase 3	«Organization» C2 Organization	Increment	Out Of Service					
Common operational picture access	«Organization» SAR Organization Context Phase 3	«Organization» Maritime Rescue Team Phase 3							
Distress Signal Monitoring	«Organization» SAR Organization Context Phase 1	«Organization» Maritime Rescue Team Phase 1	Increment	Out Of Service					
		«Post» MSAR C2 Operator							
		«Post» SAR C2 manager							
	«Organization» SAR Organization Context Phase 2	«Organization» Maritime Rescue Team Phase 2	Increment	Out Of Service					
		«Post» MSAR C2 Operator							
		«Organization» C2 Organization							
	«Organization» SAR Organization Context Phase 3	«Organization» Maritime Rescue Team Phase 3	Increment	Out Of Service					
		«Organization» C2 Organization							
		«Organization» Maritime Rescue Team Phase 3							
Military C2	«Organization» SAR Organization Context Phase 1	«Organization» Maritime Rescue Team Phase 1	Increment	Out Of Service					
		«Post» MSAR C2 Operator							
		«Post» SAR C2 manager							
	«Organization» SAR Organization Context Phase 2	«Organization» Maritime Rescue Team Phase 2	Increment	Out Of Service					
		«Post» MSAR C2 Operator							
		«Organization» C2 Organization							
	«Organization» SAR Organization Context Phase 3	«Organization» Maritime Rescue Team Phase 3	Increment	Out Of Service					
		«Organization» C2 Organization							
		«Organization» Maritime Rescue Team Phase 3							
MSAR C2 phase 1	«Organization» SAR Organization Context Phase 1	«Organization» Maritime Rescue Team Phase 1	Increment	Out Of Service					
MSAR C2 phase 1	«Organization» SAR Organization Context Phase 1	«Post» MSAR C2 Operator							
Position location of persons in distress	«Organization» SAR Organization Context Phase 2	«Organization» Maritime Rescue Team Phase 2	Increment	Out Of Service					
		«Post» MSAR C2 Operator							
		«Post» SAR C2 manager							
	«Organization» SAR Organization Context Phase 3	«Organization» Maritime Rescue Team Phase 2	Increment	Out Of Service					
		«Post» MSAR C2 Operator							
		«Organization» C2 Organization							
	«Organization» SAR Organization Context Phase 3	«Organization» Maritime Rescue Team Phase 3	Increment	Out Of Service					
		«Organization» C2 Organization							
		«Organization» Maritime Rescue Team Phase 3							
Recovery phase 1	«Organization» SAR Organization Context Phase 1	«Organization» Maritime Rescue Team Phase 1	Increment	Out Of Service					
Recovery phase 1	«Organization» SAR Organization Context Phase 1	«Post» MSAR C2 Operator							
Recovery phase 2	«Organization» SAR Organization Context Phase 2	«Post» SAR C2 manager	Increment	Out Of Service					
		«Organization» Maritime Rescue Team Phase 2							
		«Post» MSAR C2 Operator							
		«Organization» C2 Organization							

- **Stakeholders:** Human resources, Logisticians, Solution Providers.
- **Concerns:** competencies and skills forecast.
- **Definition:** defines the underlying current and expected supporting competencies and skills of organizational resources.
- **Recommended Implementation:** timeline, tabular format, SysML Block Definition Diagram.

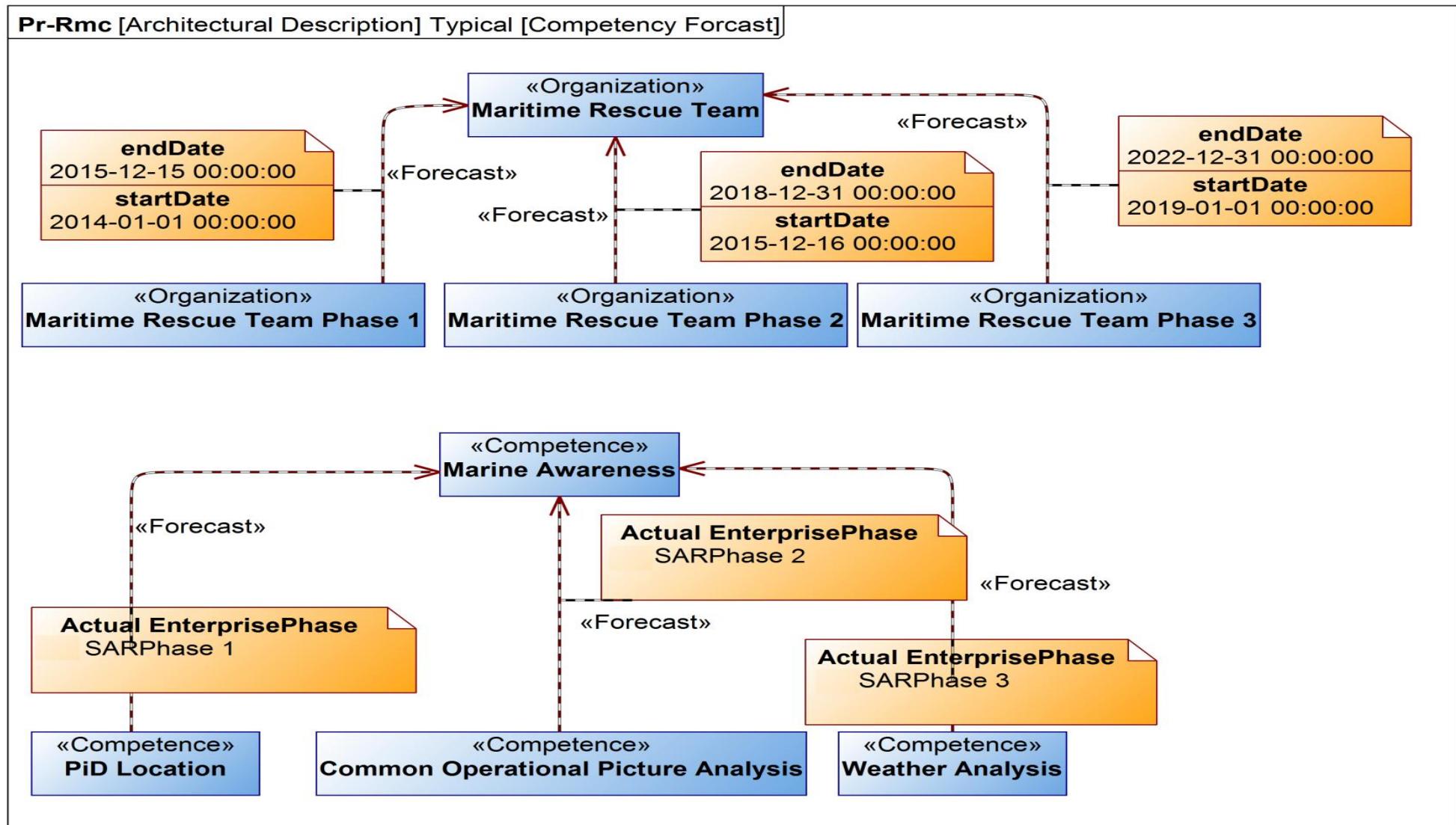
# PR-RMC PERSONNEL ROADMAP FORECAST SPECIFICATION



# PR-RMC PERSONNEL ROADMAP FORECAST SPECIFICATION



## Definition



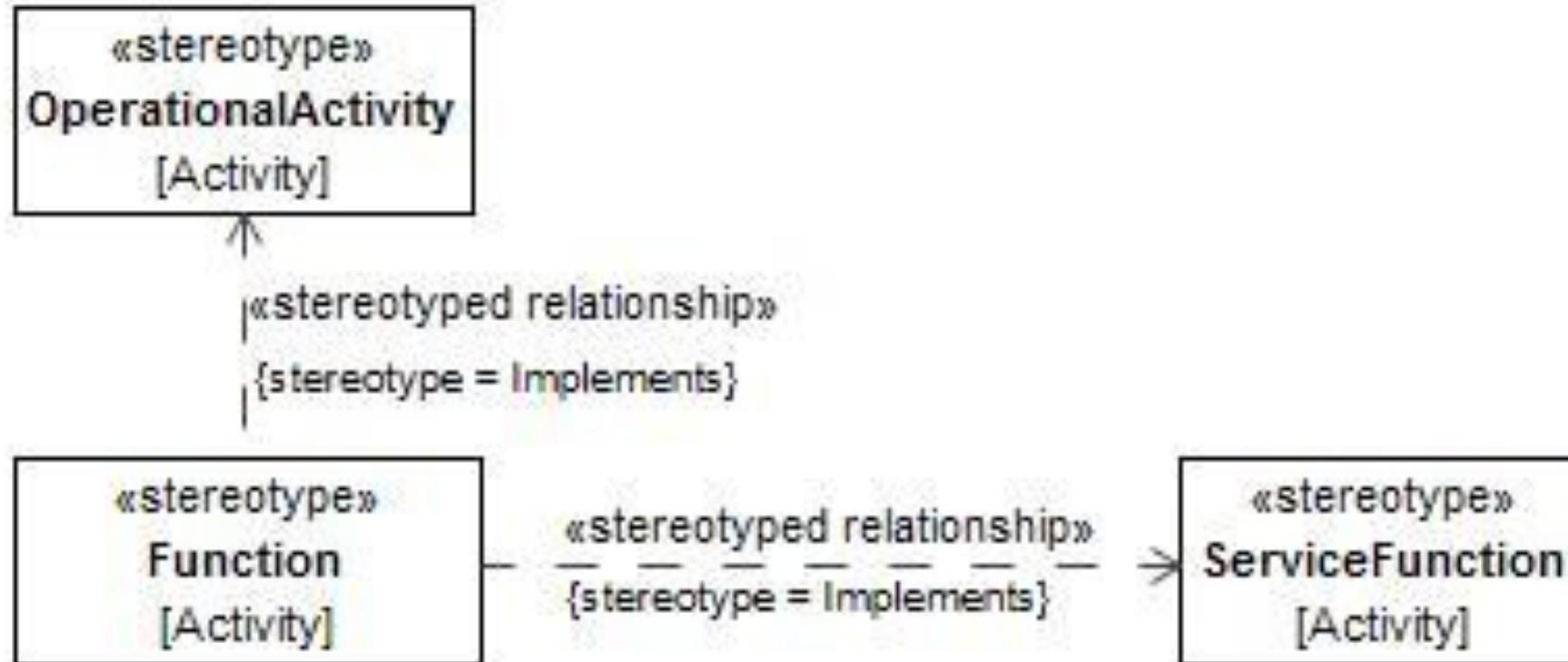
## Report

### [Architectural Description] Typical [Competency Forecast]

Category Type	Category	SARPhase 1	SARPhase 2	SARPhase 3
«Competence»	Marine Awareness	PiD Location	Common Operational Picture Analysis	Weather Analysis
«Organization»	Maritime Rescue Team	Maritime Rescue Team Phase 1	Maritime Rescue Team Phase 2	Maritime Rescue Team Phase 3

- **Stakeholders:** Systems Engineers, Enterprise Architects, Solution Providers, Business Architects.
- **Concerns:** traceability between operational activities and functions that implements them.
- **Definition:** depicts the mapping of functions (performed by organizational resources) to operational activities and thus identifies the transformation of an operational need into a purposeful function performed by an organizational resource or solution.

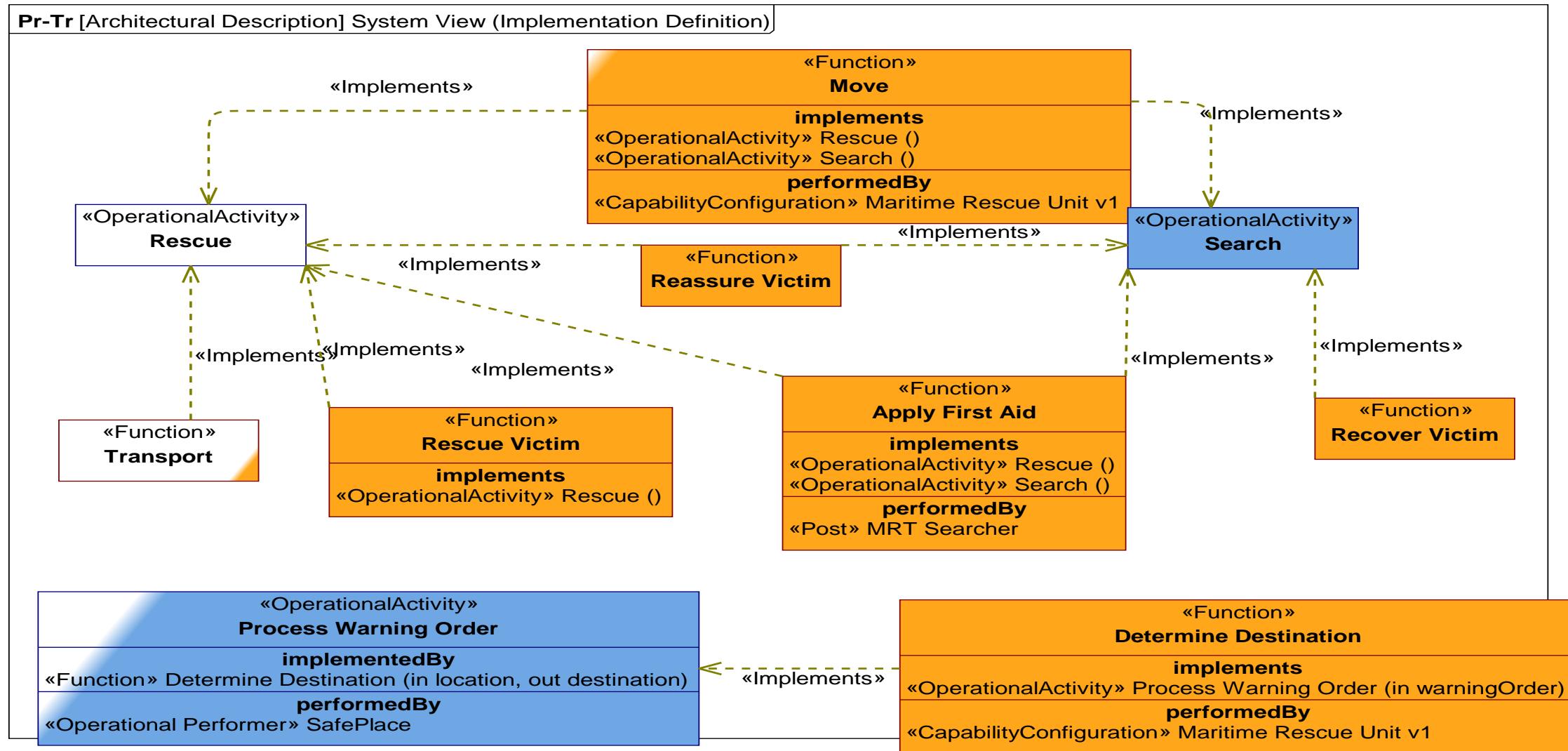
# PR-TR PERSONNEL TRACEABILITY SPECIFICATION



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## Definition



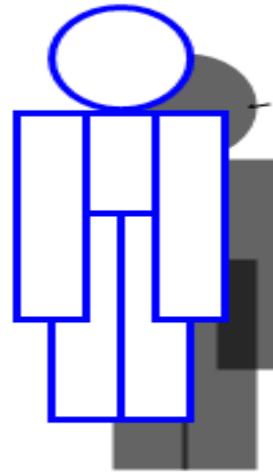
## Report

### [Architectural Description] System View (Implementation Matrix)

		<u>Implemented By</u>							
		«Functions» Apply First Aid	«Functions» Determine Destination	«Functions» Move	«Functions» Reassure Victim	«Functions» Recover Victim	«Functions» Rescue Victim	«Functions» Transport	
Implements	«OperationalActivity» Rescue	X		X	X		X	X	
	«OperationalActivity» Search	X		X	X	X			
	«Activity» Process Warning Order								

# CONCLUSIONS

- The UAF defines enterprise architectures
  - At various levels of abstraction
  - From multiple viewpoints
- The UAF implements DoDAF, NAF and MODAF in SysML
  - Traces to systems engineering
  - Provides multiple forms of trade-off analysis
- Human Factors have been integrated into the UAF as a set of personnel views
- This will enable systems that are fit for humans



**Speaker**

**Thanks for your attention!**



ptc