



# Department of Defense Consolidated Adjudications Facility



**National Defense Industrial (NDIA)  
and Aerospace Industries Association (AIA)  
Edward Fish, Director  
13-15 November, 2017**



# AGENDA

- Mission
- CAF Transformation
- Industry Workload & IRTPA
- Initiatives
- Questions



# MISSION





# DOD CAF MISSION

- **Mission:** To determine security clearance eligibility of non-Intelligence Agency DoD personnel occupying sensitive positions and/or requiring access to classified material including Sensitive Compartmented Information (SCI). These determinations involve all military service members, applicants, civilian employees, and consultants affiliated with the Department of Defense, to include DoD personnel at the White House and contractor personnel under the [National Industrial Security Program \(NISP\)](#). The DoD CAF also adjudicates security clearance eligibility for staff of the United States Senate and House of Representatives, the Congressional Budget Office, the United States Capitol Police and staff of the Supreme Court of the United States. Additionally, the DoD CAF renders favorable adjudicative determinations for employment suitability of DoD civilian employees and Common Access Card (CAC) or Fitness eligibility of non-cleared DoD contractors.
  
- **DoD CAF Lines of Business:**
  - Execute Adjudicative Determinations
  - Conduct Operational Support
  - Mission Support

## Customers

- ~ 96% of DoD
- ~ 84% of cleared personnel in the Federal government
- ~ 34% of Suitability/HSPD-12 Federal government wide determinations executed by the DoD CAF
- Average annual PSI caseload of ~750K
- 1.14M personnel security actions in 2017
- Supporting Worldwide:
  - ~ 43,000 Security Officers
  - ~ 3.52M Affiliated Personnel



# TRANSFORMATION





# DOD CAF – THE FUTURE

## Improved Tools, Changing Requirements & Mission Expansion

**REVISED FEDERAL ADJUDICATIVE GUIDELINES**

DMDC  
DSS  
FY17 NDAA Sec. 951  
Adjudicative Guidelines

REVISED QUALITY TOOLS & FOC OF INVESTIGATIVE PRODUCT

Quality Stds  
Federal Investigative Standards

MORE FREQUENT VETTING & IMPROVED AUTOMATED CHECKS



NBIS IT FOC: IMPROVED AUTOMATION TOOLS

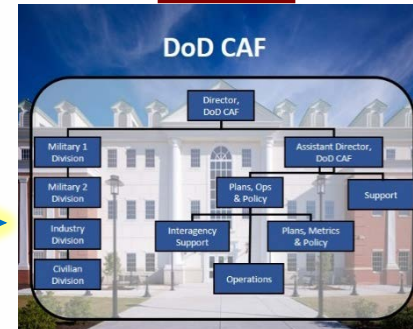
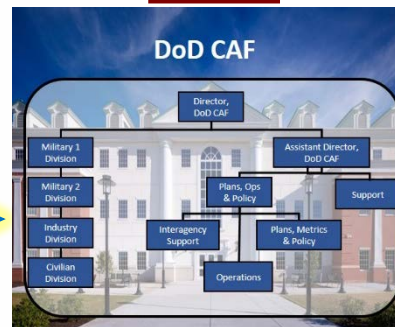
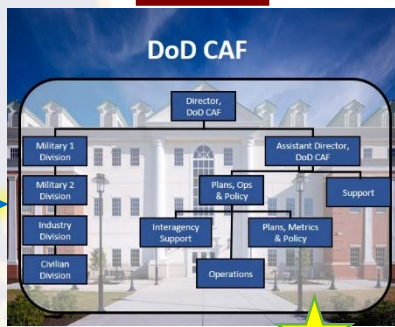
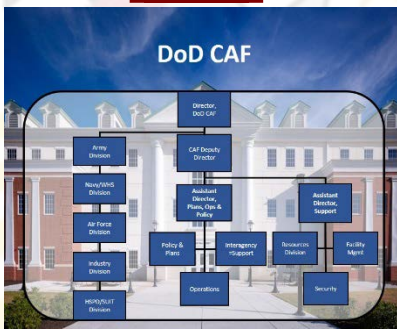


FY17

FY18

FY19

FY20



October 1, 2016

CE @ 500K

Adj of Presidential transition cases  
Adj of select SCOTUS cases

October 1, 2017

CE @ 1M+

Adj of SF86 + Childcare cases  
Reorg, DISS deployment & Backlog Mitigation

October 1, 2018

Utilize ARC results in lieu of NBIB product  
Backlog Mitigation efforts

October 1, 2019

CAF integration & utilization of NBIS IT systems and efficiencies  
Backlog Mitigation efforts

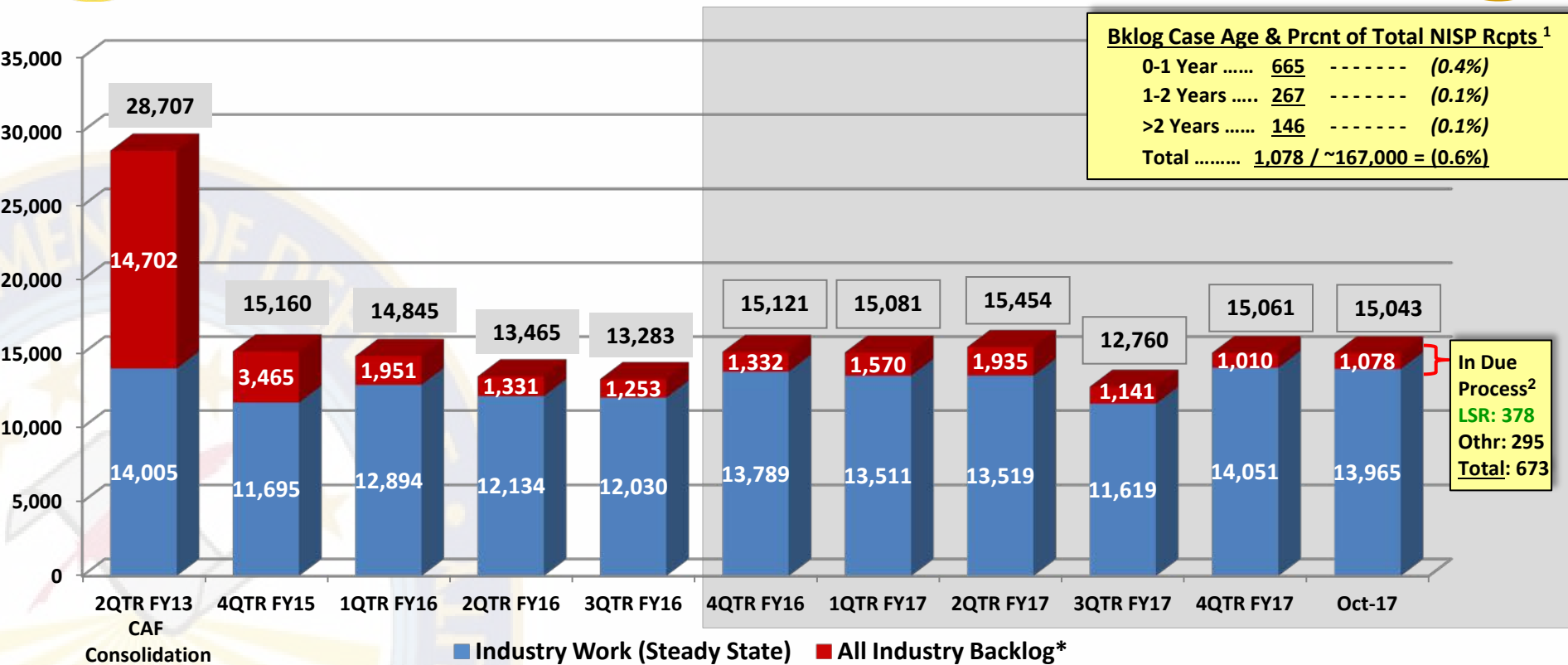


# INDUSTRY WORKLOAD & TIMELINESS





# INDUSTRIAL CASES PENDING ADJUDICATION



0-1 Year	665	(0.4%)
1-2 Years	267	(0.1%)
>2 Years	146	(0.1%)
<b>Total</b>	<b>1,078</b>	<b>~167,000 = (0.6%)</b>

In Due Process<sup>2</sup>  
 LSR: 378  
 Othr: 295  
**Total: 673**

- Backlog was reduced 352 (-25%) since the May 17 NDIA/AIA Meeting
- LSR Due Process cases remain steady at ~418 avg. since May 17
- With planned DISS deployment, the DoD CAF expects an increase in NISP backlog for two or three months until normal OPS is achieved

Month	NISP Backlog	FY 17 NISP Receipt*	Backlog % of Total NISP
October 13	13,515		7.5%
October 17	1,078	~ 167,000	0.6%

NOTE: Re-baselined starting Q4 FY16; Now includes all NISP cases to include 4<sup>th</sup> Estate TS/SCI  
<sup>1</sup> Age based on date case received at the DoD CAF; data as of 24 Oct 17  
<sup>2</sup> Data as of 24 Oct 17  
 OPR: Metrics Team | Slide Revised: 31 Oct 17

\* Includes Personal Security Investigations, Incident Reports, Reconsiderations, etc. (does not include SACs)

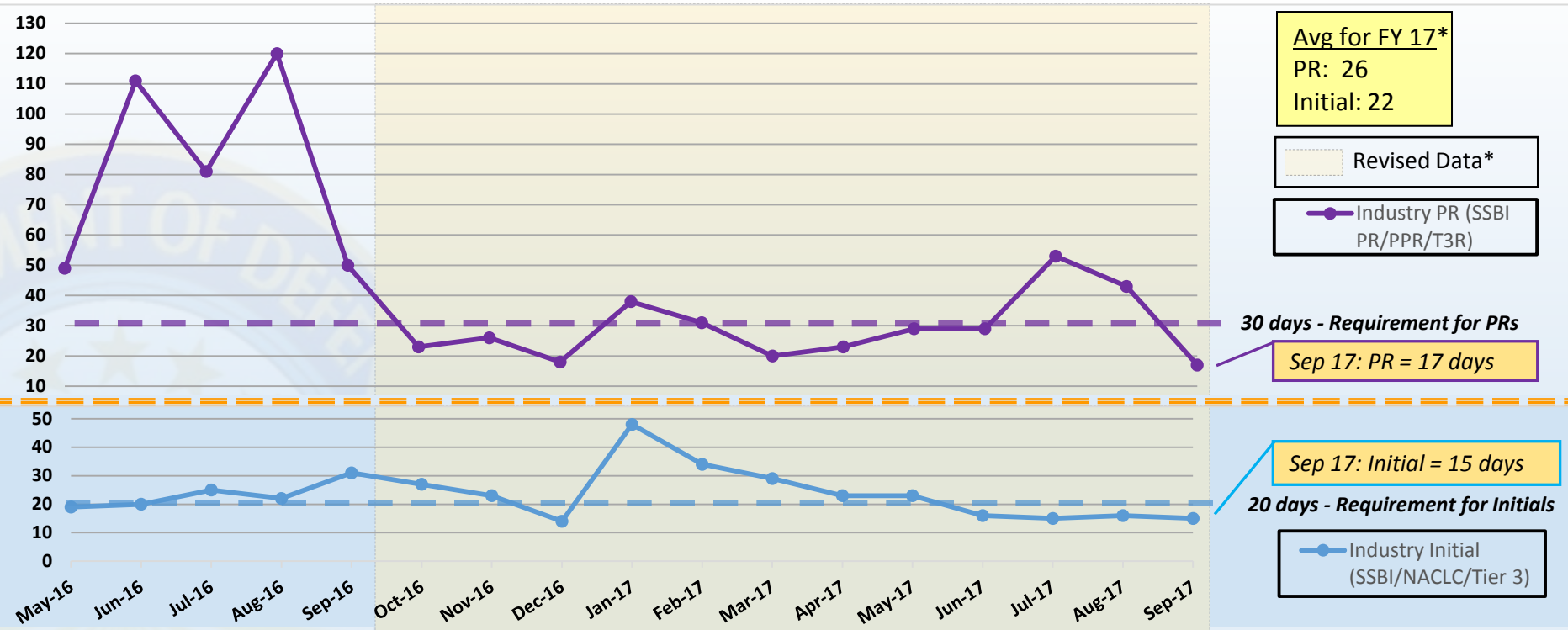




# INDUSTRY

## Intelligence Reform and Terrorism Prevention Act Performance

(Based on OPM Reporting from May 16 – Sep 17)



**Avg for FY 17\***  
 PR: 26  
 Initial: 22

Revised Data\*  
 Industry PR (SSBI PR/PPR/T3R)

30 days - Requirement for PRs  
 Sep 17: PR = 17 days

20 days - Requirement for Initials  
 Sep 17: Initial = 15 days

Industry Initial (SSBI/NACLC/Tier 3)

- Delays in ingest due to IT challenges caused timelines to increase (Jan 17)
- Balance in adjudicating aged and new cases should help steady timelines (Jul 17)
- Expect timelines to remain steady for the first half of FY18; likely to increase after DISS deployment with steady state thereafter

\* Separated non-DoD CAF cases and data applicable to other elements of the DoD (e.g. DIA, NSA, & NGA)



# TRANSFORMATION INITIATIVES





# TRANSFORMATION INITIATIVES – ON GOING

- 1) Develop, Test, and Deploy DISS (aka CATs v4) ICW DISS PM
- 2) Normalize DOD-wide processes (e.g., Security Officers, Adjudicators) upon single DISS Security Manager and Facility Security Officer portals – **FY17/19**
- 3) Sustainment & Expansion of Automatic Technology/eAdjudication (i.e., Tier 3 & 1)  
– **FY17 & Beyond**
- 4) Continue to implement, expand and improve Continuous Evaluation initiative  
– **FY17/18 & Beyond**



## KEY TAKEAWAYS

- CAF, in conjunction with USDI, continues to focus on being properly postured for any/all future workload surges
- Industry portfolio currently approaching relative steady state
- DISS Deployment will have impacts
- Look forward to normalizing procedures in post-DISS deployment era



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# BACKUP





# 31 CUSTOMERS WITHIN THE NISP

- Department of Homeland Security
- Department of State
- Department of Justice
- Nuclear Regulatory Commission
- National Aeronautics and Space Administration
- Department of the Treasury
- Department of Agriculture
- Department of Commerce
- Department of Education
- Department of Health and Human Services
- Department of the Interior
- Department of Labor
- Department of Transportation
- Millennium Challenge Corporation
- Executive Office of the President
- United States Postal Service
- Environmental Protection Agency
- Federal Communications Commission
- Federal Reserve System
- General Services Administration
- Government Accountability Office
- Housing and Urban Development
- National Archives and Records Administration
- National Science Foundation
- Office of Personnel Management
- Small Business Administration
- United States Agency for International Development
- United States International Trade Commission
- United States Trade Representative
- Overseas Private Investment Corporation
- Social Security Administration